Modeling and Sensitivity Analysis of Consensus Algorithm Based Distributed Hierarchical Control for DC Microgrids

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Abstract— Distributed control methods for microgrid systems become a popular topic in recent years. Distributed algorithms, such as consensus algorithms, can be applied for distributed information sharing. However, by using this kind of algorithms the stability analysis becomes a critical issue since the dynamics of electrical and communication systems interact with each other. Apart from that, the communication characteristics also affect the dynamics of the system. Due to discrete nature of information exchange in communication network, Laplace domain analysis is not accurate enough for this kind of dynamic study. The aim of this paper is to model the complete DC microgrid system in z-domain and perform sensitivity analysis for the complete system. A generalized modeling method is proposed and the system dynamics under different control parameters, communication topologies and communication speed are studied. The responses derived from the analytical model are verified by comparing them with Simulink/PLECS based simulation results.

Keywords— DC microgrids, DC/DC converters, consensus algorithm, distributed hierarchical control, discrete-time modeling

I. INTRODUCTION

Microgrid (MG) concept was proposed for efficient use and integration of distributed resources (DR), storage systems, and consumers [1]. It can operate in either islanded or grid-connected modes, tending to increase the flexibility and security of energy system by being able to switch seamlessly between them. A number of methods have been proposed to achieve different MG control objectives [2]–[4], such as appropriate power sharing, enhanced power quality, optimized energy efficiency, DR coordination, and so on.

Hierarchical control [2], [3] is generally applied for MG system to simultaneously realize control objectives in different technical areas and time scales, as shown in Fig. 1. Primary control is in charge of proper power sharing among DRs. Local droop control is usually used in primary level in each controller, as it is accepted as a reasonable way to achieve communication-less distributed power sharing control.

Secondary control can help to keep the desirable power quality within the system and provide ancillary services. Based on this hierarchy, the way of implementing the control can be centralized or distributed. For example, in order to recover the voltage and frequency deviation caused by droop control, centralized and distributed secondary control for voltage and frequency restoration are presented in [2] and [6] respectively. A distributed cooperative control for DC microgrids is proposed in [7] achieving both bus voltage correction and accurate current sharing. In the top level, tertiary control can be also implemented for the purpose of energy management and optimization of economic issues. A system efficiency optimization method is proposed in [8]. Here, a genetic algorithm is implemented in tertiary control’s central controller to minimize the losses of the whole system. On the other side, attempts have been made to achieve distributed management. For that matter, consensus algorithm is often used. A distributed decision-making based on a dynamic consensus algorithm (DCA) was implemented in [13] for automatic load restoration. A consensus theory based distributed coordination scheme for microgrid via wireless communication is proposed in [14] to coordinate the generation, storage and consumption.

For both secondary and tertiary control, low bandwidth communication links (LBCL) are commonly used for data transmission [16], as shown in Fig. 1. In general, when considering the initial investment in the communication network, as well as flexibility and reliability of the system, distributed control methods are usually preferred.

However, although allegedly superior in many ways, modeling and stability analysis for these kinds of control...
systems in practical applications are rarely studied. In particular, the inclusion of consensus algorithm in the finite-speed-communication links becomes a challenge when paired with dynamics of electrical network. Laplace-domain (s) continuous-time (CT) model is then not accurate enough to represent the overall system behavior, due to different nature of electrical, digital control and communication part. In that sense, the electrical part, which includes the filter, transmission lines and loads, is actually a CT system, while the digital controller and communication network are discrete-time (DT) systems. Moreover, sampling times of control and communication significantly differ with typical times for the matter being in μs range and ms range respectively. In order to analyze this kind of system, z-domain DT modeling is applied in this paper taking into account the discrete nature of measurement sampling, controller implementation and communication.

A DC microgrid with primary and secondary control is taken as the study case. The paper is organized as follows. Section II introduces the principles of distributed hierarchical control for DC microgrids. The basics of consensus algorithm are presented in Section III. Section IV proposes the modeling method, based on which the system state space (SS) model is established. Section V shows the comparison of the SS model with Simulink/PLECS (SP) simulation results, and the sensitivity of overall system is studied. Section VI gives the conclusion.

II. DISTRIBUTED HIERARCHICAL CONTROL SCHEME

A consensus algorithm based distributed hierarchical control scheme for a DC microgrid is shown in Fig. 2. The distributed generator is considered as a DR connected through an interfacing DC/DC converter with associated LC filter that is connected to the common bus (CB) as well as the loads.

The local control system includes primary control loops and secondary control loops. Primary control is in charge of voltage and current regulation. Proportional integral (PI) controllers are used in inner voltage and current control loops:

\[ d = \frac{K_v}{s} + K_{psv} \cdot (V_{ref} - V) \]  
\[ i_{of} = \frac{K_v}{s} + K_{pc} \cdot (V' + \delta V - V_{ref} - i_{r} R_{d}) \]

where \( s \) is the Laplace operator, \( d \) is the duty ratio for pulse width modulation (PWM), \( i_{of} \) is the current reference, \( V' \) is the voltage reference, \( \delta V \) is the compensating voltage term generated by secondary control, \( V_{ref} \) and \( i_{r} \) are the measured CB voltage and output current, \( K_v \) and \( K_{psv} \) are the integral and proportional term of current PI controller, \( K_v \) and \( K_{pc} \) are the integral and proportional term of voltage PI controller. A virtual resistance \( R_d \) feedback (often referred to as the droop control [2], [3]) is also implemented for better current sharing and system damping.

However, voltage deviation inevitably appears when droop control is applied. Also, the load current cannot be accurately shared when distribution lines are different in parameters or measurement errors are considered. Secondary control can be installed to avoid these problems:

\[ \delta v = \frac{K_v}{s} + K_{pv} \cdot (i_{d} - i_{l}) \]  
\[ \delta V_{p} = \frac{K_v}{s} + K_{pv} \cdot (V_{p} - V') \]

where \( \delta v \) and \( \delta V_{p} \) are the compensating terms for current sharing and voltage restoration, respectively. \( i_{d} \) and \( V_{p} \) are the averaged output current and CB voltage of all the DR units, \( K_v \) and \( K_{pv} \) are the integral and proportional term of current sharing controller, \( K_v \) and \( K_{pv} \) are the integral and proportional term of voltage restoration controller. The summation of \( \delta v \) and \( \delta V_{p} \) is sent to primary controller.

On the top of the controller, consensus algorithm is implemented for information sharing among a set of distributed agents. It helps to discover the averaged value of total generation current \( \bar{\bar{I}} \) and measured CB voltage \( \bar{V}_{p} \). The details are introduced in the following Section.
III. DYNAMIC CONSENSUS ALGORITHM

The general purpose of consensus algorithm is to allow a set of distributed agents to reach an agreement on a quantity of interest by exchanging information through communication network. In case of MG systems, these algorithms can achieve the information sharing and coordination among distributed generators, consumers and storage systems. Graph Laplacians [19] describe the underlying communication structure in these kinds of systems and play a pivotal role in their convergence and dynamic analysis.

A. Dynamic Consensus Algorithm

Considering the discrete nature of communication data transmission, DT form of the consensus algorithm is considered in this paper, which can be described as [17, 18]:

\[ x_i(k+1) = x_i(k) + \varepsilon \sum_{j \in N_i} a_{ij} \cdot (x_j(k) - x_i(k)) \]  \hspace{1cm} (5)

where \( i = 1,2,...,N_T \), \( N_T \) is the total number of agent nodes. \( x_i \) is the state of agent \( i \), \( a_{ij} \) indicates the connection status between node \( i \) and node \( j \), \( a_{ij} = 0 \) if the nodes \( i \) and \( j \) are not linked. \( N_i \) is the set of indexes of the agents that can be connected with agent \( i \), and \( \varepsilon \) is the constant edge weight used for tuning the dynamic of DCA. In addition, in order to ensure the accurate consensus in dynamically changing environment, a modified version of the algorithm, referred to as dynamic consensus algorithm (DCA) [20], is applied in this paper (see Fig. 3):

\[ x_i(k+1) = x_i(0) + \varepsilon \sum_{j \in N_i} \delta_{ij}(k+1) \]  \hspace{1cm} (6)

\[ \delta_{ij}(k+1) = \delta_{ij}(k) + a_{ij} \cdot (x_j(k) - x_i(k)) \]  \hspace{1cm} (7)

where \( \delta_{ij}(k) \) stores the cumulative difference between two agents, and \( \delta_{ij}(0) = 0 \). Based on (6) and (7), it is explicit that the final consensus value depends on initial value \( x_i(0) \), and regardless of any changes to \( x_i(0) \), the algorithm will converge to an appropriate average value.

From the system point of view, vector form of the iteration algorithm can be expressed as [17, 18]:

\[ x(k+1) = W \cdot x(k) \]  \hspace{1cm} (8)

where \( x(k) \) is the state vector \( x(k) = [x_1(k), x_2(k),...,x_{N_T}(k)]^T \), \( W \) is the weight matrix of the communication network, if constant edge weight \( \varepsilon \) is considered, \( W \) can be described as:

\[ W = I - \varepsilon \cdot L \]  \hspace{1cm} (9)

where \( L \) is the laplacian matrix of the communication network (see Fig. 3) [21, 22], \( N_i \) is the set of indexes of the agents that are connected with agent \( i \), \( N_T \) is the total number of agents. The initial consensus equilibrium \( x_{eq} \) can be described as:

\[ x_{eq} = \lim_{k \to \infty} x(k) = \lim_{k \to \infty} W^k x(0) = \left( \frac{1}{N_T} \right) I \cdot 1^T \]  \hspace{1cm} (10)

where \( x(0) = [x_1(0), x_2(0),...,x_{N_T}(0)] \) is the vector of the initial values held by each agent, \( I \) denotes the vector with all the elements 1. The detailed proof of the convergence can be found in [18]. In this paper, the initial values are the locally measured CB voltage (\( v_{dc} \)) and inductor current (\( i_L \)).

B. DCA Convergence and Dynamics

In order to ensure the stability and fast convergence of the communication algorithm, \( \varepsilon \) has to be properly chosen. As bidirectional communication links are considered, the problem of finding the fastest rate is referred to as the symmetric fastest distributed linear averaging (symmetric FDLA) problem. It is actually the minimization of spectral radius (\( \rho \) ) of the matrix \( W - (1/N_T) \cdot 1 \cdot 1^T \) with certain constraints on weight matrix \( W \). The fastest convergence is ensured when [23]:

\[ \varepsilon = \frac{2}{\lambda_2(L) + \lambda_{N-1}(L)} \]  \hspace{1cm} (11)

where \( \lambda_j(\cdot) \) denotes the \( j \)th largest eigenvalue of a symmetric matrix. Based on the topology of Fig. 3, the eigenvalues of \( L \) are \([0 \ 3 \ J]^T \) which gives the optimal \( \varepsilon \) = 1/3. The convergence speed is compared in Fig. 4 as an example. In this case, the sampling time of the consensus algorithm is set to \( T_s = 100 \)ms. The system starts with \( x(0) = [0.2, 7] \) and converges to average value 3. According to the results in Fig. 4, the constant edge weight \( \varepsilon \) has pivotal influence over the dynamic of the DCA, and when \( \varepsilon \) = 1/3 the system has minimized spectral radius \( \rho(W - (1/N_T) \cdot 1 \cdot 1^T) \) and fastest converging speed.
Considering the different features and sampling times, the system is modeled with three parts separately, as shown in Fig. 5: 1) microgrid plant model $G_{plt}(s)$ (DR, converter, filter and load), 2) controller model $G_{ctrl}(s)$ (primary control and secondary control), 3) communication part model $G_{ca}(s)$ (consensus algorithm, communication topology).

### A. MG Plant

The DC MG plant model is shown in Fig. 6. DR is simplified as a DC source, which is connected to CB through a DC/DC converter and LC filter. Buck converters are used in this particular study case. They convert input voltage $v_{in}$ to output voltage $v_{o}$ that appears at the common DC bus. Resistive loads ($R_{load}$) that are also connected to CB are considered in this paper. Averaged model of the DC/DC converter is used. If a total number of $N_T$ DRs and converters are connected in parallel, the plant model can be described as:

$$i_L = \frac{V_{DC}}{sL} \cdot d - \frac{1}{sL} \cdot V_{DC}$$

$$V_{DC} = \frac{\sum i_L}{N_T \cdot sC} - \frac{V_{DC}}{N_T R_{load} \cdot sC}$$

A transfer function $G_{plt}(s)$ can be obtained with duty ratios $[d_1, d_2, ..., d_{N_T}]$ as inputs, inductor current $[i_L1, i_L2, ..., i_LN_T]$ and CB voltage $V_{DC}$ as outputs. The SS model of the MG plant part is correspondingly formulated as follows:

$$\dot{x}_{pl}(t) = A_{pl}(s) \cdot x_{pl}(t) + B_{pl}(s) \cdot u_{pl}(t)$$

$$y_{pl}(t) = C_{pl}(s) \cdot x_{pl}(t) + D_{pl}(s) \cdot u_{pl}(t)$$

$$x_{pl}(t) = [i_L1(t) \quad \cdots \quad i_LN_T(t) \quad V_{DC}(t)]^T$$

$$u_{pl}(t) = [d_1(t) \quad \cdots \quad d_{N_T}(t)]^T$$

$$y_{pl}(t) = [i_L1(t) \quad \cdots \quad i_LN_T(t) \quad V_{DC}(t)]^T$$

with $A_{pl}$, $B_{pl}$, $C_{pl}$ and $D_{pl}$ being the state matrix, input matrix, output matrix and feedthrough matrix, respectively.

### B. Controller Part

The controller model is shown in Fig. 7. Four PI control loops and a droop control loop are included as described in (1)-(4). A local error $e_M$ (%) is also considered which can be caused by transmission line parameter differences or measurement error.

A transfer function $G_{ctrl}(s)$ is obtained. The input variables are voltage reference ($V^*$), averaged measured CB voltage obtained by each local controller by using DCA ($V_{DC1}, ..., V_{DCN_T}$), averaged inductor current obtained by each local controller by using DCA ($i_L1, ..., i_LN_T$), local measured CB voltage with measurement error ($V_{DC1} - V_{DC(e_M)}$, $V_{DC2} - V_{DC(e_M)}$) and inductor current ($i_L1, ..., i_LN_T$). The outputs are duty ratios of the PWM signals for each converter ($d_1, d_2, ..., d_{N_T}$). Based on the model shown in Fig. 7, the SS model of the controller part is formulated as:

$$\dot{x}_{ctrl}(t) = A_{ctrl}(s) \cdot x_{ctrl}(t) + B_{ctrl}(s) \cdot u_{ctrl}(t)$$

$$y_{ctrl}(t) = C_{ctrl}(s) \cdot x_{ctrl}(t) + D_{ctrl}(s) \cdot u_{ctrl}(t)$$
System model integration

\[
\mathbf{x}_{\text{out}}(t) = \left[ \begin{array}{c} x_{0V}(t) \\ x_{0C}(t) \\ x_{vV}(t) \\ \ldots \\ x_{iV}(t) \\ x_{iC}(t) \\ \ldots \\ x_{N-1V}(t) \\ x_{N-1C}(t) \end{array} \right] \\
\mathbf{u}_{\text{out}}(t) = \left[ \begin{array}{c} V_c(t) \\ V_{dc}(t) \\ \tilde{V}_i(t) \\ \hat{i}_{iV}(t) \\ \hat{i}_{iC}(t) \end{array} \right] \\
\mathbf{v}_{dc}(t) = \left[ \begin{array}{c} V_{dc1}(t) \\ V_{dc2}(t) \\ \ldots \\ V_{dcdn}(t) \end{array} \right] \\
\mathbf{L}_i(t) = \left[ \begin{array}{c} \tilde{L}_1(t) \\ \tilde{L}_2(t) \\ \ldots \\ \tilde{L}_n(t) \end{array} \right] \\
\mathbf{V}_{dc}(t) = \left[ \begin{array}{c} V_{dc1}(t) \\ V_{dc2}(t) \\ \ldots \\ V_{dcdn}(t) \end{array} \right] \\
\]

where \( \mathbf{x}_{\text{out}} \) is the state vector of the \( i \)-th controller, as four PI controllers are included corresponding to 4 states: secondary voltage and current loop states \( (x_{SVi} \text{ and } x_{SCi}) \), and inner voltage and current loop states \( (x_{Vi} \text{ and } x_{Ci}) \).

Moreover, considering the facts that digital controller has fixed sampling time \( (T_s) \), the controller model needs to be discretized so as to accurately represent the control system. Since trapezoidal rule based PI controllers are used for each control loop, Tustin approximation [24] is applied for transferring controller model from CT form to DT form. \( T_s=5e^-3 \) s is considered as the sampling time of the digital controller.

C. Communication Algorithm Part

The model of the communication algorithm is shown in Fig. 3. The inputs of the communication part model \( (G_{col}(z)) \) are the initial states of each node \( (x_i(0), j=1,2,\ldots,N) \) which are locally measured voltage and current. The outputs are the states reached in each node at \( k \)-th iteration \( (x_i(k)) \). The state variables include the cumulative differences between node \( i \) and \( j \) \( (\delta_{ij}(k+1)) \), \( j \in N_i \), \( N_i \) is the set of indexes of the agents that are connected with agent \( i \) and the output state \( (x_i(k+1)) \).

Based on this scheme, and considering that the Laplacian matrix should also be included, (6)-(9) can be used to establish the SS model of communication part:

\[
\begin{align*}
\mathbf{x}_{ca}(k+1) &= \mathbf{A}_{ca} \cdot \mathbf{x}_{ca}(k) + \mathbf{B}_{ca} \cdot \mathbf{u}_{ca} \\
\mathbf{y}_{ca}(k+1) &= \mathbf{C}_{ca} \cdot \mathbf{x}_{ca}(k) + \mathbf{D}_{ca} \cdot \mathbf{u}_{ca} \\
\end{align*}
\]

where the elements from Laplacian matrix are included in \( \mathbf{A}_{ca} \) representing the relationship of state variables. \( \delta_{ij}(k) \) is the cumulative difference state between node \( i \) and node \( j \). Both the voltage and current information consensus algorithms are based on this model.

D. Model Integration

The overall system model can be obtained by integrating the three models: the plant part model in CT form (s domain), controller part model in DT form (z domain) with sampling time \( T_{ca} \) and communication part model in DT form with sampling time \( T_{ca} \). The model integration requires proper handling of different sampling times and interfacing variables. The following steps are executed in order to obtain the accurate overall system model, as shown in Fig. 8: (1) The MG plant is modeled in CT form, however, the controller part measures voltage and current based on the measurement sampling time \( (T_{ca}) \). (2) Tustin method is used to transform the controller model from s domain to z domain with a sampling time \( T_{ca} \). (3) The combination of MG plant model and controller model can be realized by interfacing their input/output variables and closing loops. Duty ratios become inner states after combination; (4) In order to integrate with communication part model, the MG plant and controller combined model is discretized using ZOH method with sampling time \( T_{ca} \); (5) Finally, the complete system model can
be obtained by integrating communication part model with MG plant and controller model under sampling time $T_{ca}$.

V. RESULTS AND SENSITIVITY ANALYSIS

In order to verify the correctness of the proposed modeling approach, Simulink and PLECS are used as simulation environment. A group of paralleling buck converters is built in PLECS with the same parameters as shown in Fig. 6 (time step of $1e^{-6}$ s is used to emulate the CT system). Primary and secondary control loops are implemented in Simulink with time step $T_d=5e^{-5}$ s. The communication links and consensus algorithm are also formulated in Simulink with time step $T_{ca}$ which was changed from 1ms to 1000ms. 3-unit system is simulated. The parameters of primary and secondary control loops are given in Table I. First of all, the step response of the SS model is compared with the SP model to verify the correctness of the modeling approach. Then several comparison cases are studied and discussed focusing on the system dynamics under different communication topologies, consensus algorithm parameters and control parameters. Finally, conclusions are made based on the presented results.

The step response of the SS model and SP model with different system configurations are obtained as shown in Fig. 9. Both the voltage and current are both divided by the rated voltage value (48V) so as to compare the dynamic of the SS model (dotted black curves) and SP model (colored curves). It can be seen that with different communication topologies and control parameters the step response of the SS model fits well into the SP model, which demonstrates the correctness of the modeling method. Based on this model, the system dynamics under different communication topologies, DCA adjustment steps, communication rate (time step), and control parameters are analyzed in the following part.

![Fig. 9](image)

TABLE I. BASIC PARAMETERS OF THE SYSTEM

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primary Control</td>
<td></td>
</tr>
<tr>
<td>Virtual Resistance</td>
<td>0.2 Ohm</td>
</tr>
<tr>
<td>Voltage PI Loop</td>
<td>$K_{pv}$=4</td>
</tr>
<tr>
<td>Current PI Loop</td>
<td>$K_{iv}$=800</td>
</tr>
<tr>
<td>Secondary Control</td>
<td></td>
</tr>
<tr>
<td>Secondary Voltage PI Loop</td>
<td>$K_{psv}$=0.02</td>
</tr>
<tr>
<td>Secondary Current PI Loop</td>
<td>$K_{psc}$=0.02</td>
</tr>
<tr>
<td>Emulated Measurement Error</td>
<td></td>
</tr>
<tr>
<td>$e_{M1}$</td>
<td>+0.8%</td>
</tr>
<tr>
<td>$e_{M2}$</td>
<td>+0.2%</td>
</tr>
<tr>
<td>$e_{M3}$</td>
<td>-0.8%</td>
</tr>
</tbody>
</table>
that the performance of secondary control is based on the knowledge from DCA, this control level is more interactive between nodes and should hence be designed with respect to the communication features. In Fig. 9 (d) the secondary voltage control loop parameter $K_{sv}$ is changed as an example. It can be seen from the comparison between Fig. 9 (a) and (d) that, the increased $K_{sv}$ results in faster response while incurs more oscillation on both voltage and current. On the contrary, in order to damping the system $K_{sv}$ can be decreased to slower down the secondary control to match the communication speed as shown in the comparison between Fig. 9 (b) and (e). Fig. 9 (b) indicates an oscillation dynamic because of slow convergence of DCA in line-shape case, while in Fig. 9 (e) the same line-shape topology is used but $K_{sv}$ is decreased to 1.5 offering a slower but more damped system dynamic.

The root locus of the system with $K_{sv}$ changing is shown in Fig. 10 (b). The $K_{sv}$ is changed from 1 to 10 under ring-shape network. It can be seen from the figure that with the increasing of $K_{sv}$, the system response becomes faster but occur to be more oscillating. The basic parameters keep the stable operation of the system. When $K_{sv}$ reaches the value of 10, the system is near to be unstable.

D. Communication time step $T_{ca}$

Another practical issue in communication part is the communication rate which depends on the type of communication topology being used in the real system. In the study case, the communication rate is taken as the time step of the DCA ($T_{ca}$). The change of $T_{ca}$ certainly has a decisive influence on the dynamics of the overall system. In Fig. 9 (f), $T_{ca}$ is changed to 180ms simulating a slower communication case. By comparing Fig. 9 (f) with (a) we can see that, the increased $T_{ca}$ results in longer and more oscillating convergence of the whole system. It is not hard to understand that the increasing of $T_{ca}$ causes slower convergence of DCA and consequently affects the system dynamics. In this case it can be wise to decrease the secondary control speed so as to stabilize the system.

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**Fig. 10.** Root locus analysis with $\varepsilon$ changing from 0.2/3 to 1.6/3.

**Fig. 11.** Root locus analysis with $K_{sv}$ changing from 1 to 10.
The root locus with $T_{ca}$ variations is shown in Fig. 10 (c). $T_{ca}$ is changed from 20ms to 240ms under ring-shape network. The basic parameter case is demonstrated to be stable while with the increasing of $T_{ca}$ oscillations and instability might happen to the system. When $T_{ca} > 200$ms, the system cannot keep stable operation.

VI. CONCLUSION

This paper investigates the modeling method for dynamic consensus algorithm based distributed hierarchical control of DC microgrids with full consideration of underlying communication topology. The hierarchical control includes inner voltage and current control loops, virtual resistance and secondary voltage and current control loops aiming at realizing accurate current sharing and keeping rated voltage amplitude in the CB. The performance of secondary control is based on the knowledge from dynamic consensus algorithm which includes the averaged voltage and current value among all the distributed resources. Accordingly, the dynamics of the system becomes more interactive. Taking into account the different sampling times of real world plant, digital controller and communication devices, the system is modeled with these three parts separately. Zero order hold and Tustin methods are used to discretize the models and integrate them into a complete system state space model. By comparing with Simulink/PLECS based model, the correctness of the state space model is justified. Finally, based on this model, the system dynamics and parameter sensitivity are studied and analyzed.

REFERENCES


