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Article The Impacts of Power Switching Devices to the Thermal Performances of 10 MW Wind Power NPC Converter

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Abstract: The power semiconductor switching devices are playing an important role in the performance of high power wind energy generation system. The state-of-the-art device choices in the wind power application are among IGBT modules, IGBT press-pack and IGCT press-pack as reported in the industry. Because of significant deviation in the packaging structure, electrical characteristics, as well as thermal impedance, these available power switching devices may have various thermal cycling behaviors, which will lead to converter solutions with very different cost, size and reliability performances. As a result, this paper is targeted to investigate the thermal related characteristics of some important power switching devices, afterwards their impacts to the thermal cycling of a 10 MW three-level Neutral-Point-Clamped wind power converter are evaluated under various operating conditions, and the main focus will be on the grid connected inverter. It is concluded that the thermal performances of the 3L-NPC wind power converter can be significantly changed by the power device technology as well as their parallel configurations.

Keywords: Wind power, Power switching devices, Inverter, Thermal performance

1. Introduction

The European Union has committed itself to source 20% of its energy from renewables by 2020 [1]. As the most promising candidate, the wind energy production integrated into the power grid is booming up all over the world. Meanwhile, the power capacity of a single wind turbine is increasing continuously to reduce the price pr. produced kWh, 7 MW offshore wind turbines have already been

presented on the market [2]-[4]. Consequently, due to much more significant impact to the power grid and higher cost after a failure than ever before, the wind power generation system is required to be more reliable and at the same time be controllable even under adverse natural environment or grid disturbances.

In order to satisfy the growing reliability and power controllability challenges, configurations for wind power generation is evolved from no power electronic converter based system in the 1980's to the state-of-the-art full power electronic converter system, as indicated in Fig. 1. This is mainly because the full-power-converter system has full power controllability of the whole generated power from the wind turbines, meanwhile the simplified or eliminated gearbox and slip-ring can lead to more reliable mechanical structure of the system [3].



Figure 1. Evolution of wind turbine and power converter in the last 30 years (blue color indicates power level of converters in proportion to the wind turbines) [5].

Nevertheless, the introduced larger capacity power electronic converters with more stressed and expensive power semiconductors may trade off the lifetime and cost performance of the electrical parts in the full power converter based generation system. As a result, the reliability and cost related characteristics are critical consideration when choosing power switching devices for the modern wind power converters.

The dominant power switching device choices as reported in the wind power industrial application are based on module packaging *Insulated Gate Bipolar Transistor* (IGBT), press-pack packaging IGBT and the press-pack packaging *Integrated Gate Commutated Thyristor* (IGCT). The three power semiconductor devices have quite different characteristics and are generally compared in Table 1 [5]. The module packaging technology for IGBT has longer track record of applications and less mounting regulations, however because of the soldering and bond-wire connection of internal chips, module packaging devices may suffer from larger thermal resistance, lower power density and higher failure rates [6]. The press-pack packaging technology improves the connection of chips by directly press-pack contacting, which leads to improved reliability (yet to be scientifically proven but known from industrial experience), higher power density (easier stacking for series connection) and better cooling capability at the disadvantage of higher cost compared to the module packaging devices. Press-pack IGCT were introduced into the medium voltage converters in 90s and are already becoming state of the

art in high power electric drives (e.g. for oil and gas application) but not yet mass adopted significantly in the wind turbine industry [6]-[8].

	IGBT module	IGBT Press-pack	IGCT Press-pack
Power Density	Moderate	High	High
Reliability	Moderate	High	High
Cost	Moderate	High	High
Failure mode	Open circuit	Short circuit	Short circuit
Easy maintenance	+	-	-
Insulation of heat sink	+	-	-
Snubber requirement	-	-	+
Thermal resistance	Moderate	Small	Small
Switching loss	Low	Low	High
Conduction loss	High	High	Low
Gate driver	Small	Small	Large
Major manufacturers	Infineon, Mitsubishi ABB, Semikron, Fuji	Westcode, ABB	ABB
Medium voltage ratings	3.3 kV / 4.5 kV / 6.5kV	2.5 kV / 4.5 kV	4.5 kV / 6.5 kV
Max. current ratings	1.5 kV / 1.2 kA / 750 A	2.2 kA / 2.4 kA	2.1 kA / 1.3 kA

Table 1. Dominant power switching devices for modern wind power converters.

According to [6], [9]-[12], the thermal cycling behavior inside the power switching devices is one of the key failure mechanisms and is close related to its life time as well as the cost/size of the corresponding heat sink system. Therefore, it is interesting to investigate the thermal cycling related characteristics of the three important power switching devices applied in the wind power application. In this paper the impacts of the three important power switching device to the thermal cycling of a 10 MW three-level Neutral-Point-Clamped wind power converter are evaluated under various operating conditions, and the main focus will be on the grid connected inverter.

2. Wind power converter for case study

It is expected that 10 MW wind turbines with full-scale power converter will be the next long-term target to be conquered according to the technology trends [2]-[5]. In most cases, the multi-level converter topologies will be demanded to handle such a high power with medium voltage ratings [13]. As the most commercialized multilevel converter [14], [15], three-level neutral-point-clamped (3L-NPC) topology seems to be a promising candidate [17], as shown in Fig. 2, where T_{out} represents the outer switch, D_{out} is the outer freewheeling diode, T_{in} is the inner switch, D_{in} is the inner freewheeling diode. This configuration is selected for the analysis in this paper.



Figure 2. A back-to-back three level neutral-point-clamped wind power converter system.

Rated output active power P_o	10 MW
DC bus voltage V_{dc}	5.6 <i>kV DC</i>
*Rated primary side voltage V_p	3.3 kV rms
Rated line-to-line grid voltage V_g	20 kV rms
Rated phase current Iphase	1750 A rms
Carrier frequency f_c	800 Hz
Filter inductance L_f	1.13 <i>mH</i> (0.2 <i>p.u.</i>)

Table 2. Rated parameters of 10 MW 3L-NPC wind power inverter for case study.

* Line-to-line voltage in the primary windings of transformer.

A 10 MW medium-voltage 3L-NPC wind power inverter is first designed for a case study. As summarized in Table 2 [18], all of the power devices have the commutated voltage of 2.8 kV in order to utilize the dominant 4.5 kV high-power semiconductors available on the market, and the rated DC bus voltage can be determined at 5.6 kV. Normal Phase-Disposition Sinusoidal-Pulse-Width-Modulation method for 3L-NPC converter is applied and the carrier frequency is typically designed to be 800 Hz in order to reach an acceptable switching loss in the power switching devices. The output filter inductance is designed to limit the maximum current ripple to 25% of the rated current amplitude, and the filter capacitance is not taken into account. For simplicity of analysis and to keep the analysis focus on the power loss and thermal behavior of the power semiconductors, the power grid is considered as three ideal AC voltage sources, the DC bus capacitance is assumed high. The used generator and wind turbine models can be found from [19], [20].

Three power switching device solutions by using IGCT press-pack, IGBT press-pack and IGBT module for the given 10 MW 3L-NPC wind power converter are listed in Table 3, where the device ratings, configurations, total device numbers and used device models are indicated respectively [21], [22]. It is noted that in order to justify and facilitate the comparison, each device solution is designed to handle the same load current up to 3.6 kA, thereby two 1.8 kA IGBT press-packs and three 1.2 kA IGBT modules have to be paralleled due to the limits of maximum available current rating for the corresponding power switching devices.

Solutions	Main Switch (each)	Clamping Diode (each)	Device Numbers
IGCT Presspack	1 × IGCT (3.6 kA/4.5 kV) 1 × Diode (3.6 kA/4.5 kV)	$1 \times (3.6 \text{ kA/4.5 kV})$ Diode	30
IGBT Presspack	2 × IGBT (1.8 kA/4.5 kV) Integrated Diode	$2 \times (1.8 \text{ kA}/4.5 \text{ kV})$ Diode	36
IGBT Module	3 × IGBT (1.2 kA/4.5 kV) Integrated Diode	$3 \times (1.2 \text{ kA}/4.5 \text{ kV})$ Diode	54

Table 3. Three power switching device solutions for 10 MW 3L-NPC conve

IGCT presspack: ABB 40L4511 with recommended freewheeling diode 10H4503. IGBT presspack: Westcode T1800GB45A with integrated freewheeling diode. IGBT Module: ABB 1200G450350 with integrated freewheeling diode.

As it can be seen, in order to achieve 10 MW power conversion, the needed power semiconductors for the three device solutions are quite different: IGBT module solution consumes up to 80% more device numbers than the other two press-pack solutions, this is mainly because of the maximum current rating limits for a single IGBT module. When taking into account the extra auxiliary parts like drives, fans, heat sink, wires etc. the IGBT module solution may result in much lower power density and higher component counts, which may be un-preferable in the wind power application.

3. Thermal related characteristics of different power switching devices

The junction temperature of power switching devices is decided by the losses during switching and conducting, as well as the thermal impedance from junction to ambient [23]-[25]. Therefore, the characteristics related to the power loss and thermal impedance of power switching devices in Table 3 are going to be evaluated first.

3.1. Switching loss

The switching loss of the switch (i.e. IGBT or IGCT) is generated when it is turning on and turning off (E_{on} and E_{off}), while the majority switching loss of the diode is generated when it is turning off (E_{rr}) [24]. As an indicator for the switching loss characteristic, the consumed energy during the switching process (in the unit of Joule) with relation to the current flowing in the switch (I_c) or diode (I_F) can be derived from the datasheets of manufacturers.

The switching loss profiles of IGCT/IGBT ($E_{on}+E_{off}$) and diode (E_{rr}) for the designed power device solutions are compared in Fig. 3 (a) and Fig. 3 (b) respectively. It is noted that, in order to unify and compare solutions with different numbers of parallel devices, the vertical axis in Fig. 3 represents the switching loss for only one switch/diode in the parallel device solutions, and the horizontal axis is normalized by the load current I_{load} of the 3L-NPC converter rather than current flowing in the devices (I_c or I_F).



Figure 3. Normalized switching loss profiles for different power devices (recommended test conditions, 125 °C).

It can be seen that for the switches (i.e. IGCT or IGBT), IGBT press-pack has larger total switching loss $E_{on}+E_{off}$ compared to the IGCT press-pack and IGBT module. As for the freewheeling diodes, the IGCT solution shows significantly larger E_{rr} compared to the other two IGBT solutions based on press-pack and module packaging technology. This is maybe because the normally used clamping circuit for the IGCT will significantly slow down the rising time of load current during the turning on process, and thereby result in very large reverse recovery charge Q_{rr} on the freewheeling diodes. As for the IGBT module, it shows the lowest overall switching loss either in the switches or in the freewheeling diodes.

When investigating the E_{on} and E_{off} on switch separately, as shown in Fig. 4, it can be found that the large switching loss of IGBT press-pack comes from the larger turn on loss E_{on} . Due to the use of clamping circuit, IGCT can achieve smaller turn on loss E_{on} , but with the cost of larger switching loss E_{rr} in the freewheeling diode, as indicated in Fig. 3 (b), and as can be seen from Figure 4 (b) IGCT shows a large turn off loss E_{off} in the switch.



Figure 4. Normalized switching loss profiles for switches (recommended test conditions, 125 °C).

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The conduction loss of the power switching devices is generated when the switch or diode is conducting load current. As an important indicator for the conduction loss characteristic, the conduction voltage of IGCT/IGBT v_{ce} or diode v_F with relation to the current flowing in switch (I_c) or diode (I_F) can be also derived from the datasheets of manufacturers.

The profiles of v_{ce} and v_F for the chosen power switching devices with relation to the load current of the 3L-NPC inverter I_{load} are compared in Fig. 5 (a) and Fig. 5 (b) respectively. It can be seen that the IGCT and its freewheeling diode shows lower conduction voltage compared to the other two IGBT solutions.



Figure 5. Normalized conduction voltage for different power devices (recommended test conditions, 125 °C).

However, different from the switching loss characteristic in Fig. 4, the conduction voltage does not directly reflect the conduction loss characteristic of the power switching devices because the current flowing in switch I_c and diode I_F have to be also taken into account [26]. In order to better compare the conduction loss performance among different device solutions, a series new profiles are plotted in Fig. 6, where the vertical axis is changed to $V_{ce}*I_c$ for switches and V_F*I_F for diodes, these profiles contain the information of both conduction voltage and switching current, and thereby can directly reflect the conduction loss level of different device solutions. It is noted that the conduction loss characteristics is only for one single device in parallel device solutions.



Figure 6. Normalized conduction loss profiles for different power devices (recommended test conditions, 125

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As it can be seen, although the IGCT solution has the lowest conduction voltage level, it shows the largest conduction loss profile for each device because of much larger current flowing in each device (the current is 3 times of that in each IGBT module and 2 times of that in each IGBT press-pack as indicated in Table 3). Again the IGBT module solution shows overall lower conduction loss level in each switching device either in the switch or in the diode.

2.1. Thermal resistance

The thermal resistance is another important characteristic which can determine the thermal performances of power switching devices [23]. The thermal resistance from junction to heat sink of each device solution is shown in Fig. 7, where the switches and diodes are indicated respectively.



Figure 7. Thermal resistance from junction to heat sink for different power devices. $R_{th(CH)}$ and $R_{th(JC)}$ represent the thermal resistance from junction to case and case to heat sink respectively.

It can be seen that, the thermal resistance of power switching device is closely related to the packaging technology: the press-pack devices IGCT and IGBT have significantly smaller thermal resistance both in the switches and in the freewheeling diodes than the module packaging device "IGBT M". The freewheeling diodes in all of the three device solutions have significant larger thermal resistance than the corresponding IGBT or IGCT. It is noted that the major thermal resistance difference between the press-pack and module packaging devices comes from the thermal resistance outside the devices, i.e. from case to heat sink R_{th(CH)}, which is much larger for the IGBT module.

4. Thermal analysis of different device solutions

The used thermal models of a single switch and clamping diode are indicated in Fig. 8 [21]-[23] in which the thermal impedance from junction to case $Z_{(j-c)}$ is modeled as a multi-layer Foster RC network. Each of the thermal parameters can be found from the manufacturer datasheets, where the thermal resistance R_{th} will decide the steady state mean value of junction temperature, and the thermal capacitance (with time constant τ) will decide the dynamic change or fluctuation of the junction temperature.

It is noted that normally the IGBT manufacturer will only provided thermal parameters inside IGBT modules with Foster RC network, in order to establish the complete thermal models from junction to the ambient, the thermal impedance of $Z_{T/D(j-c)}$ has to be transferred to the equivalent Cauer RC network to facilitate the thermal impedance extension outside IGBT modules []. Because the temperature of the heat sink T_H is normally much lower and more stable compare to the junction temperature T_j in a properly designed converter system, so the heat sink temperature is considered as a constant value at 60 °C in this paper. However the heat sink temperature may strongly depend on the operation site and the design of the heat sink system.



Note:

 T_j : junction temperature, T_C : case temperature, T_H : heat sink temperature, T_A : ambient temperature $Z_{(j-c)}$: thermal impedance from junction to case, Z(c-h): thermal impedance from case to heat sink

Figure 8. Thermal model for the analysis and simulation of 3L-NPC converter.

After the parameters for the converter as well as the loss and thermal models for the power switching devices are settled, some important operation modes of the 3L-NPC converter can be simulated. The simulations are carried out based on PLECS blockset in Simulink [27], and the simulation parameters are consistent with the ones in Table 2 and Table 3, the main focus will be on the grid connected inverter.

2.1. Normal operation

The thermal cycling performance of the 3L-NPC wind power inverter when the wind turbine is running at rated wind speed 12 m/s and rated grid voltage will first be analyzed. Fig. 9 shows the converter output voltage pulses, phase current and grid voltage under rated and normal condition of the wind turbines. It can be seen that the load current is in phase with the grid voltage, i.e. power factor PF=1.



Figure 9. Simulation outputs of 3L-NPC inverter under rated normal operation (output voltage pulsesgreen, grid voltage-blue, phase current-red, $V_g=1$ p.u., PF=1, $v_w=12$ m/s, DC bus voltage $V_{DC}=1$ p.u.).

2.1.1. Loss distribution

The loss calculation method shares the same idea as in [26], [28], which is a commonly accepted method for the loss evaluation of power semiconductor devices. It is noted that the switching loss profile of the chosen switching devices only have the test condition at 125 $^{\circ}$ C on datasheet, therefore the loss models in this paper are considered temperature independent during the simulation. However, if the device characteristics under other temperatures are provided, the loss model with junction temperature dependence can be established and simulated by iteration in the simulation software.

The loss distribution of the 3L-NPC converter with different device solutions under normal rated condition is shown in Fig. 10, where only one single device is indicated if parallel power device solutions are applied.



Figure 10. Loss distribution for different power switching devices under normal operation of Fig. 9 $(L_{con}$ means conduction loss-red, L_{sw} means switching loss-blue).

As it can be seen from Fig. 10, the major losses for all of the device solutions are consumed by the outer switches T_{out} , inner switches T_{in} and the clamping diodes D_{npc} under normal operation of the wind power converter. The IGCT solution shows significantly larger loss in D_{npc} due to larger

switching loss, on the other hand the IGBT module solution shows significantly lower overall loss level especially in T_{out}.

2.1.1. Thermal performances

With the thermal model in Fig. 8 and loss information in Fig. 10, the junction temperature for the switching devices in the given 3L-NPC inverter can be also simulated, as shown in Fig. 11, where only one single device is indicated if the parallel power device solutions are applied. It can be seen that the thermal distribution with different device solutions are quite different.



(c) IGBT module solution

Figure 11. Thermal cycling of the 3L-NPC inverter with different switching device solutions (normal operation condition in Fig. 9)

The junction temperature mean value T_{jm} and temperature fluctuation ΔT_j for each of the switching device by different device solutions in Fig. 11 are summarized in Fig. 12. Obviously, the IGCT solution has larger T_{jm} and ΔT_j in almost all of the switching devices especially the clamping diode D_{npc} . For the IGBT press-pack solution it shows the lowest T_{jm} level but relative higher ΔT_j level. The IGBT module solution does not achieve the best thermal performance with the lowest power losses because of much larger thermal resistance.





Figure 12. Thermal cycling profile of the 3L-NPC inverter with different switching device solutions under normal operation (V_g =1 p.u., PF=1, v_w =12m/s).

2.1. Low-Voltage-Ride-Through Operation

The simulation output of the 3L-NPC inverter undergoing low voltage rid through (LVRT) operation is shown in Fig. 13, in which the grid voltage is set to 0.05 p.u. of the rated value as a severe voltage dip, and the converter has to provide 100% rated reactive current according to the grid codes [29], [30]. It can be seen that the phase current lags 90 degree to the grid phase voltage, and the inverter achieves 100% rated reactive current injection (1.75 kA rms) into the power grid.



Figure 13. Simulation outputs of 3L-NPC inverter under LVRT operation (output voltage pulses-green, grid voltage-blue, phase current-red, V_g =0.05 p.u., 100% rated reactive current, DC bus voltage V_{DC} =1.1 p.u.).

2.1.1. Loss distribution

The loss distribution of the 3L-NPC inverter undergoing the given LVRT operation is shown in Fig. 14, where different device solutions are indicated and compared. It can be seen that, the loss distribution is quite different from the one undergoing normal operation in Fig. 10, T_{in} has the highest power loss for all of the device solutions. IGCT solution again shows significantly larger loss in D_{npc} , while IGBT module solution has the lowest power loss level.



Figure 14. Loss distribution for different power switching device solutions under LVRT operation of Fig. 13 (L_{con} means conduction loss-red, L_{sw} means switching loss-blue).

2.1.1. Thermal performances

The junction temperature for each of the power switching device in the given 3L-NPC inverter can be also simulated under LVRT operation, as shown in Fig. 15, where only one single device is indicated if parallel power device solutions are applied. It can be seen that the thermal distribution with different device solutions are still quite different from each other under LVRT operation of wind turbines.





(c) IGBT module solution

Figure 15. Thermal cycling of the 3L-NPC inverter with different switching device solutions during Low-Voltage-Ride-Through operation condition in Fig. 13.

The junction temperature mean value T_{jm} and temperature fluctuation ΔT_j for each of the switching device by different device solutions in Fig. 15 are summarized in Fig. 16. It can be seen that again the IGCT solution has larger T_{jm} and ΔT_j in almost all of the switching devices especially for the clamping diode D_{npc} . The IGBT press-pack solution shows the lower T_{jm} but higher ΔT_j compared to the other two device solutions.



Figure 16. Thermal cycling profile of the 3L-NPC inverter with different switching device solutions under Low-Voltage-Ride-Through operation (V_g =0.05 p.u., 100% rated reactive current).

2.1. Wind Gust Operation

The wind gust operation of wind turbines may impose power switching devices with large thermal cycling amplitude and longer time disturbances compared to the LVRT and normal operation modes. According to the one year return period wind gust definition by IEC standards [31], a wind gust condition for a case study is indicated in Fig. 17, where the wind speed is set from 10 m/s dropping to 8 m/s and rising to 16 m/s, then the reverse fashion is continued. The active current reference of the converter is accordingly changed with the wind speed referring to the wind turbine model in [20].



Figure 17. Wind speed and current references of grid side 3L-NPC inverter in a defined wind gust.

2.1.1. Thermal performances

The junction temperature distribution of the target 3L-NPC inverter during the given wind gust operation condition are indicated in Fig. 18. It is obvious that three device solutions show significant difference in the most stressed devices, temperature fluctuation amplitude, and thermal distribution.



Figure 18. Thermal cycling of the 3L-NPC inverter with different switching device solutions (wind gust operation in Fig. 13)

The maximum junction temperature fluctuation amplitude with different device solutions are summarized in Fig. 18 (d), it can be seen that the temperature in T_{out} is the most fluctuated device in all of the proposed solutions, and IGCT solution shows more temperature fluctuation amplitude in T_{in} and D_{npc} under the given wind gust defined by the IEC standards.

2.1. Summary of thermal performances under different operation modes

Finally, both the most stressed and least stressed devices of 3L-NPC inverter with different device solutions are summarized in Table 4 and Table 5 respectively, where the defined three operation modes of wind turbines are included.

It is interesting to see that for all of the power switching device solutions, the outer switches T_{out} , clamping diodes D_{npc} and inner switch T_{in} are likely to become the most stressed devices under various operations of wind turbines. While the outer diode D_{out} and inner diode D_{in} are barely used with all of the device solutions under various operation modes. This information may be used to guide the design and selection of power device for 3L-NPC wind power converter.

Solutions	Normal	LVRT	Wind Gust
IGCT Presspack	Tout & Dnpc	Dnpc & Tin	Dnpc & Tout
IGBT Presspack	Tout	Tin	Tout
IGBT Module	Tout	Dnpc & Tin	Tout

 Table 4. Most stressed devices of different power device solutions.

 Table 5. Least stressed devices of different power device solutions.

Solutions	Normal	LVRT	Wind Gust
IGCT Presspack	Dout & Din	Din	Dout & Din
IGBT Presspack	Dout & Din	Din	Dout & Din
IGBT Module	Dout & Din	Din	Dout & Din

4. Conclusions

The thermal performance of power switching devices is important for the modern wind power converter system. It is found that the thermal related characteristics of the three dominant power switching devices in wind power application are quite different.

For all of the power switching device solutions in the 3L-NPC grid side inverter, the outer switches T_{out} , clamping diodes D_{npc} and inner switch T_{in} are likely to become the most stressed devices under various important operation modes of the wind turbines, therefore they are critical components for the 3L-NPC wind power converter. While the performance of outer freewheeling diode D_{out} and inner freewheeling diode D_{in} are less important because they are barely used.

Regarding to the most stressed devices T_{out} , T_{in} and D_{npc} , three device solutions show quite different loading behaviors because of different power loss and thermal impedance characteristics: For the IGCT solution, the switching loss in the diode is much larger because of the normally used clamping circuit, this disadvantage may lead to much higher junction temperature in D_{npc} in compare with the other two IGBT solutions. The IGBT press-pack solution tend to have larger switching loss in the switch, however because of smaller thermal resistance, it shows similar junction temperature level in the switches as the IGCT solution, but with much better thermal performance in the clamping diode. IGBT module solution shows the best loss performances among the three device solutions, but due to much large thermal resistance especially from case to heat sink, the junction temperature level is generally high, it is noted that the IGBT module solution may result in a large component counts in 10 MW power conversion system, which may be un-preferable in the wind power application.

Finally it is worth to mention that, the paralleling of power switching devices may change the loading profile significantly and have strong impacts to the loss, thermal, cost and power density performances of the converter, thereby the parallel numbers of power switching devices should be carefully evaluated in the design process.

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