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Article Differential Mode Noise Estimation and Filter Design for Interleaved Boost Power Factor Correction Converters

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Abstract: Interleaved power factor correction (PFC) is widely used circuit topology due to good 8 efficiency and power density for single-switch boost PFC. As the differential mode (DM) electro-9 magnetic interference (EMI) noise magnitude depends upon the input current ripple, this research 10 details a comprehensive study of DM EMI filter design for interleaved boost PFC with the aim of 11 minimizing the component size. It is also demonstrated that the different numbers of interleaved 12 stages and switching frequency influence the filter attenuation requirement and, thus, the EMI filter 13 size. First, an analytical model is derived on the basis of the Norton equivalent circuit model for the 14 differential mode noises of interleaved boost PFC within the frequency range of 9-500 kHz. The 15 derived model can help identify the proper phase shifting among the interleaved boost converters 16 in order to minimize the considered differential mode noises at the filter design frequency. So, a 17 novel phase-shift method is developed to get a minimized attenuation required by a filter in Band 18 B. Further, a volume optimization of the required DM filter was introduced based on the calculated 19 filter attenuation and volumetric component parameters. Based on the obtained results, unconven-20 tional and conventional phase shifts have demonstrated a good performance in decreasing the EMI 21 filter volume in Band B and Band A, respectively. A 2-kW interleaved PFC case study is presented 22 to verify the theoretical analyses and the impact of phase-shifting on EMI filter size. 23

Keywords: DM Noise Estimation; Interleaved PFC Converters; Phase-Shifting; EMI filter design.

1. Introduction

Complying with harmonic standards and power factor requirement of the input AC 27 power has resulted in the development of boost PFC circuits to get an improved power 28 factor close to unity. Additionally, using interleaving PFC, numerous benefits are ob-29 tained, including an increased power density, reduced overall design volume, and de-30 clined RMS current flowing through the boost capacitor. And, using an interleaved con-31 figuration leads to a significant decrease in the switching frequency ripples as a result of 32 the ripple cancelation effect [1]. Notably, this application is employed to ensure sinusoi-33 dally shaped input currents in connection with DM EMI input filters, limiting the high-34 frequency noise transmission from the converter to the power grid [2]. However, the in-35 creased integration of power electronics converter into the grid results in some challeng-36 ing EMI issues because of inherent pulse energy conversion characteristics. Thus, the un-37 wanted emissions should be suppressed to fulfill noise emission standards, such as 38 CISPR-11 for frequencies beyond 150 kHz [3]. Because of the increasing demand for pulse-39 width modulation (PWM) converters, a number of standards are defined below the fre-40 quency of 150 kHz in some applications, CISPR-14 (induction hubs) [4], and CISPR-15 41 (lighting equipment) [5]. Moreover, the CISPR 16-1-1 is split into two main frequencies as 42 Band-A (9-150 kHz) and Band-B (150 kHz-30 MHz) [6]. An EMI estimation approach and 43

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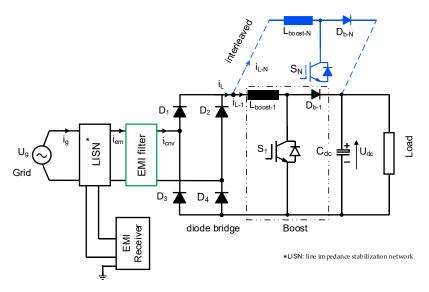


Figure 1. Block diagram of an interleaved boost PFC converter including LISN, EMI receiver, and EMI filter.

EMI filter designing analysis are proposed for the 9-500 kHz based on the following 47 assumptions: 48

• DM noise estimation is only considered. A type of noise current is flowing in the same direction as the power supply current, known as "DM," because the outgoing and return currents are reversely directed.

• Rise time and fall time of switching waveform effects are ignored in the model.

• DM EMI filter is analyzed from the volume optimization point of view. Analysis is not covering the common mode EMI filter volume optimization.

• Parasitic component effects are negligible.

EMI filter is effectively employed for reducing the EMI noise emissions, which is de-56 signed based on the required noise attenuation requirements. The EMI filter's dependency 57 on the EMI noise's peak value has led to many modeling approaches to estimate the EMI 58 noise peak in the Bands A and B frequency ranges [6], [8] - [11]. Additionally, it is highly 59 acceptable that the reduced grid input ripple current results in the reduction of the DM 60 EMI noise magnitude and filter attenuation requirements, which make the DM EMI filter 61 size smaller and the corner frequency higher [1]. So far, the EMI filter has been designed 62 based on Band-B considering the presence of noise criteria within the frequency standards 63 above 150 kHz [7]. Recently, Band-A has become important due to the advent of new 64 standards. Notably, Band-A's design DM EMI filter provides enough damping in Band-B 65 to shift the filter corner frequency within the low frequencies. In the past decade, higher 66 efforts have been carried out to estimate the DM EMI noise emission. Additionally, most 67 of the modeling approaches that have been focusing on EMI analysis within a frequency 68 range above 150 kHz are based on simulations [8] – [9]. Notably, prior state-of-the-art 69 simulation-based methods may be quite cumbersome if scaled up for system-level studies. 70

Only a few analytical-based approaches are introduced for differential mode noise as 71 in [10] to EMI filter designing based on the input current ripple equation of the interleaved 72 boost PFC. It is only suitable for EMI filter designing for conventional phase shift inter-73 leaved and frequency above 150 kHz. Analytical DM EMI estimation is proposed for non-74 interleaved PFC in [11] for Band-A. However, there are no fundamental studies despite 75 reported EMI noise issues to estimate the EMI level for interleaved boost PFC based on 76 the phase shift's dependency, which can be investigated to minimize the DM EMI noise. 77 Hence, this article suggests an analytical-based modeling approach for differential mode 78 EMI noise estimation. The analytical model is proposed for an interleaved boost PFC con-79 verter, depicted in Figure 1 with including the LISN, EMI receiver, and EMI filter. To 80

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create the possibility of compliance with measuring all EMI measuring equipment's impact, including the EMI receivers, LISN is also considered on the analytical model.

From an EMI viewpoint, finding the appropriate phase shift angles is of great im-83 portance to get an optimal filter volume [1], [12]. So, the optimal DM EMI filters design 84 for interleaved boost PFC applications is an important challenge, especially within the 85 low-frequency EMI range in-between 2-150 kHz. This research's primary purposes are to 86 propose an appropriate analytical DM EMI noise estimation and EMI filter volume inves-87 tigation. Moreover, the analytical noise estimation approach covers the number of inter-88 leaved units and the related different types of phase shifts. Thus, the unconventional 89 phase shift is achieved based on the analytical EMI estimations for carrier harmonics on 90 Band-B. Also, the effects of the different types of the phase shift on Band B and A DM EMI 91 filter design have been investigated. 92

The main contributions of this research can be highlighted as follows. First, the im-93 pact of switching frequency selection and the number of interleaved stages in a single-94 phase PFC on DM EMI filter sizing (filter corner frequency and required attenuation) are 95 analytically investigated. Second, an analytical method is proposed for interleaved PFC 96 to predict maximum peak noise that is highly important in the DM EMI filter design. The 97 dependency of the maximum peak noise on the phase shift between the interleaved units 98 leads to the investigation of the phase shift impact on the DM EMI filter size via the EMI 99 estimation approach. So, a novel formulation is presented for the unconventional phase 100 shift method based on the EMI estimation analysis within Band B. Furthermore, it is 101 shown that the unconventional phase-shift angle can be obtained depending on the 102 switching frequency of the power converter and the number of interleaved stages. Third, 103 a general flowchart is presented to find optimal filter volume based on the proper phase 104 shift, EMI estimation approach, type of the band frequency, and volumetric component 105 parameter. 106

The rest of the research is organized as follows. Section 2 details the design process 107 of a typical two-stage DM EMI filter. In this section, the EMI measurement setup is de-108 scribed according to the CISPR standard such as EMI receiver and line impedance stabi-109 lizing network (LISN). Section 3 provides the process of getting the filter's attenuation 110 requirement and filters corner frequency in the interleaving units. In order to calculate the 111 required filter attenuation, the simplified analytical modeling approach is presented in 112 order to estimate DM EMI noise level in Section 4. Subsequently, in Section 5, the ad-113 vantages of the unconventional phase shift in the interleaved units are developed in Band-114 B, where the filter attenuation drop is presented. Moreover, filter volume optimization is 115 given based on the type of phase shifts in Section 6. Section 7 provides the experimental 116 results of two interleaved boost PFC converters to validate the DM EMI model noise for 117 different phase shifts. Ultimately, conclusions are provided in Section 8. 118

2. The Design Approached Two-Stage DM Filter

The EMI filter is employed for protecting the utility against the high frequency conducted emission noises. To this end, they should comply with EMI standard requirements. 121 Therefore, a symmetrical two-stage filter structure design, as shown in Figure 2, is considered. Notably, the primary purpose of the EMI filter is to reduce the emission noise in order to fulfill relevant standards [3], [5]. The selection of the filter components depends upon the filter attenuation requirement Att-req, calculated by (1) [6]: 125

$$A_{\mu_{reg}}(f_D)[dB] = U_{max}(f_D)[dB\mu V] - CISPR_{limit}(f_D)[dB\mu V] + Margin[dB]$$
(1)

where f_D is filter design frequency, U_{max} is the first noise voltage peak. A_{tt-req} is the noise 127 quantity, which should be damped by the filters. *CISPR*_{limit} is considered emission limits 128 following CISPR-15 [5] and CISPR-11 [3] based on QP (Quasi Peak) for band A and B, 129 respectively. Moreover, *Margin* is the filter design margin. It is considered as 6 dB because 130 of uncertainty and EMI filter parameter tolerances [7], [13]. So, A_{tt-req} for a symmetrical 131 two-stage EMI filter, including inductor and capacitor size is obtained by (2): 132

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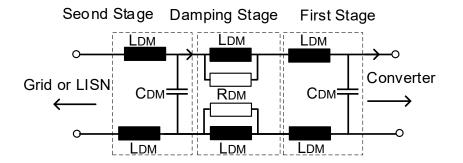


Figure 2. Symmetrical two-stage DM EMI filter configuration.

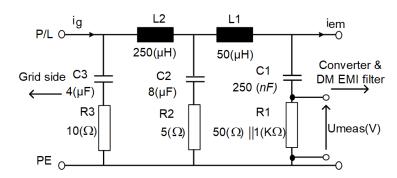


Figure 3. LISN per-phase circuit diagram recommendation by CISPR-16 for 9-500 kHz [10], [11].

$$A_{\mu_{rem}}(f_D) = ((j2\pi f_D)^2 \cdot (2L_{DM}) \cdot C_{DM} + 1)^2 + (2\pi f_D)^2 \cdot (2L_{DM}) \cdot C_{DM}$$
(2) 137

As discussed earlier, the input ripple current reduction implies a lower DM EMI noise 138 magnitude (U_{max}), and thus a smaller component size of the DM EMI filter. Additionally, 139 the dependency of EMI filter corner frequency on the filter component results in a challenge in sizing the filter components. Accordingly, reducing the EMI filter component size 141 makes the DM filter corner frequency higher. One of the primary goals of this research is 142 to get an optimal corner frequency based upon the interleaved technique and the employed phase shift. The filter corner frequency is obtained by (3) 144

$$fc = \frac{1}{2.\pi} \sqrt{L_{DM} C_{DM}}$$
(3) 145

Moreover, to measure the U_{max} based on the CISPR-16 [7] standard requirement, a LISN 146 and an EMI receiver are required. The LISN not only decouples the line and the device 147 under test (DUT) but also provides an interface between the DUT and the test receiver. 148 The LISN structure employed for EMI measurement within the frequency range of 9 kHz 149 - 30 MHz is illustrated in Figure 3. Notably, LISN is able to measure the RMS time-domain 150 voltage (u_{meas}) in order to define the EMI noise based on (4). So, the EMI test receiver uti-151 lizes a QP detection to get the EMI peak measurement. Finally, by considering (4), the EMI 152 peak measurement [13], [14] is achieved: 153

$$U_{\max}[dB\mu V] = 20\log[1/\mu V \sum_{f=MB-\frac{BW}{2}}^{f=MB+\frac{BW}{2}} u_{meas}(f) \cdot RBW(f)]$$
(4)

where, MB is frequency sweep that is shifted over the frequency band of interest. *RBW* is 155 4th order Butterworth bandpass filter. And, the bandwidth (*BW*) is 200 Hz for Band A (9 - 150 kHz), and 9 kHz for Band B (150 kHz - 30 MHz). 157

3. Required EMI filter Attenuation in Interleaving Units Using Conventional Phase158Shift159

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The interleaved boost PFC and the related advantages have been reported in the past lit-160 erature [1]. Here, Figure 1 illustrates the block-diagram of the test system, including two 161 interleaved boost PFCs, EMI receiver, LISN and EMI filter. And, the boost-inductor design 162 for continuous conduction mode (CCM) [15], [16] operation has already provided in the 163 literature. So, for the simplicity, only the widely used equations are specified in this sub-164 section. And, the boost inductor size for CCM operations is obtained by (5) assuming 22% 165 input ripple current according to [17]: 166

$$L_{boost} = U_{dc} / 4.\Delta i_{L,\max} f_{sw}$$
⁽⁵⁾

The value of parameters for a single-phase PFC are summarized in Table 1. The parame-168 ters are used to define the filter's attenuation requirements with respect to various switch-169 ing frequencies in CCM. So, inductor size can be obtained via (5) for the different case 170 studies. Thus, the maximum peak values of the spectrum (U_{max}) for various frequency 171 switchings are achieved based on PLECS simulations and Eq. (4). 172

Figure 4 illustrates the phase shift implementation between the two units with phase shift 173 180° in order to decrease input ripple currents on the boost stages. Notably, as shown in 174 Figure 4, selecting the proper phase shift may affect the ripple of input current. Providing 175 numerous simulation case studies with different phase shifts and switching frequencies 176 is a time-consuming and complicated task at the system-level analysis. In order to allevi-177 ate the computational burden/time, a new analytical estimation is proposed in Section 5. 178 In this section, the interleaving technique is evaluated to get the optimal design of DM 179 EMI filter. So, up to four interleaved units have been working at different switching fre-180 quencies in Band A and Band B to get the connection between the attenuation requirement 181 considering the interleaving and phase shifting. So, the conventional phase shift of $360^{\circ}/N$ 182 (N is the number of the interleaved converters) is employed among the 183

Table 2. Inductor Sizes For Single-Phase Unit In CCM Modes based On (5)	

fsw (kHz)	20	25	30	35	37.5	45	50	70	75	140	150	250	500	186
Lboost(mH)	8.06	6.45	5.38	4.61	4.3	3.58	3.23	2.3	2.15	1.15	1.08	0.65	0.32	187

Table 1. Case Study Specification of Single-Phase Boost PFC.

Symbo	l Parameter	Value	e Unit
U_g	Grid phase voltage	230	Vrms
fg	Grid frequency	50	Hz
C_{dc}	DC-link capacitor	500	μF
U_{dc}	Output voltage	400	V
P_o	Output power	1	kW
Δi L,max	Inductor current ripple	22	%
k_{L1}	Inductor size factor	3	cm ³ /mH. A ²
k_{L2}	Inductor size factor	8	cm³/mH
kl3	Inductor size factor	1.1	cm ³ /A
kc1	Capacitor stored energy factor	62	cm ³ /F. V ²
k_{C2}	Capacitor voltage dependent factor	0.7	cm ³

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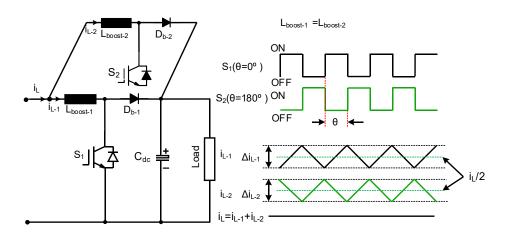


Figure 4. Analysis phase shift effects on input ripple current in two units interleaved boost stages.189The phase shift between units is considered 180°.190

interleaved units. Interestingly, the first noise peak value erects at the switching frequency 191 of f_{sw} in Band B. By interleaving task, the equivalent switching frequency is equal to N f_{sw} , 192

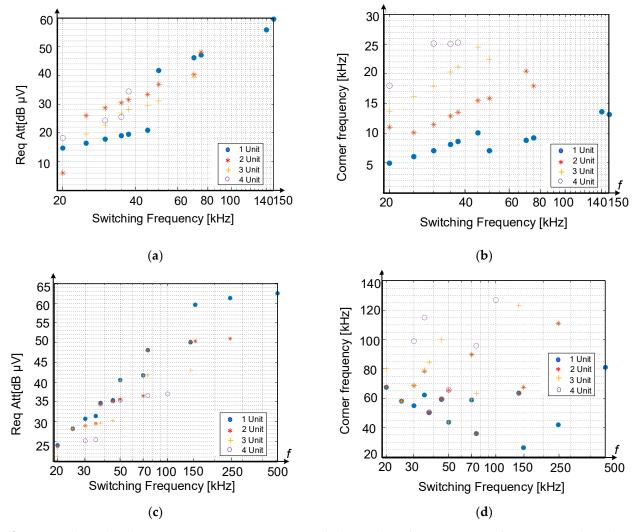


Figure 5. Relationship between attenuation requirement and the switching frequency (up to four units interleaved) in a) Band A. c) Band-B. The relationship between a two-stage filter corner frequency and switching frequency (up to four units interleaved) in b) Band A d) Band B for CCM based on the attenuation requirement (1)-(2). The conventional phase shift is considered 360°/ N.

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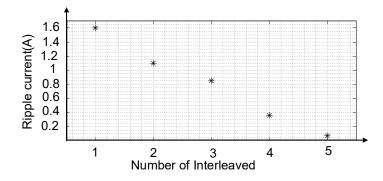


Figure 6. Input ripple current on the basis of the number of the interleaved converters with f_{sw} 198=37.5 kHz, P_0 = 1 kW, and L_{boost} =1 mH based on PLECS simulations.199

where N denotes the number of the interleaved units. More details about the impact of the interleaving task on ripple current has been reported in [1]. 201

Figure 5(a) depicts the connection between the attenuation requirement and the switching fre-202 203 quency up to four units for CCM mode in Band A. As the equivalent switching frequency appears in N f_{sw}, thus there is no need to employ a filter if the switching frequency is considered higher than 204 75 kHz in two units, 50 kHz in three units, and 37.5 kHz in four units. And, Figure 5(b) depicts the 205 filter corner frequency (f_c) via different switching frequencies for a two-stage DM EMI filter in Band 206 A subjected to (1)-(2). Notably, as depicted in Figure 5(c) and Figure 5(d), the filter corner frequency 207 increases while the filter attenuation requirement decreases at a specific switching frequency range 208 (30 - 37.5 kHz, 50 - 75 kHz, and > 150 kHz) in two units interleaved. For example, in order to select 209 switching frequencies of 35 kHz and 30 kHz in Band B, filter design frequencies appear at 175 kHz 210 and 150 kHz, respectively (5th carrier harmonics occur above 150 kHz). Hence, a case study with 175 211 kHz compared with 150 kHz obtains a smaller component size and higher filter corner frequency in 212 the same filter attenuation requirement. Thus, switching at the aforementioned critical frequencies 213 and utilizing a switching frequency lower than them is not highly efficient. Because, this increases 214 the filter corner frequency without affecting the boost inductor size. Besides, using the interleaving 215 technique leads to decreased input ripple currents. Hence, Figure 6 shows the relationship between 216 the input ripple current with the number of the interleaved converter using PLECS simulations. The 217 current ripple decreases by adding the number of interleaved units. From the ripple current per-218 spective, it is not beneficial to increase the number of units above 5. 219

4. Proposed DM EMI Estimation Method For Interleaved Units

In this part, time-frequency analytical modeling methods are used for DM EMI noise pre-221 diction that is important in the DM EMI filter design in order to fulfill the standard re-222 quirements. Additionally, the proposed method is on the basis of the closed-loop input 223 impedance and the double Fourier analysis of the noise source spectrum. The suggested 224 technique characterizes the production emissions of the power converter within the fre-225 quency range of 9-500 kHz considering the double Fourier analysis and closed-loop im-226 pedance. Extra details about the modeling of DM noise, closed-loop input impedance, and 227 the frequency behavior have been reported in [11] for single-phase non-interleaved boost 228 PFC. The DM noise spectrum of each switch is presented by (6), where it contains a DC 229 offset value, baseband harmonics, carrier group harmonics, as well as sideband harmonics 230 [18]: 231

$$u_{s}(t) = \frac{A_{00}}{2} + \sum_{n=1}^{\infty} [A_{0n} \cos(nw_{0}t) + B_{0n} \sin(nw_{0}t)] + \sum_{m=1}^{\infty} [A_{mo} \cos(mw_{c}t) + B_{m0} \sin(mw_{c}t)] + \sum_{m=1}^{\infty} \sum_{\substack{n=-\infty\\n\neq 0}}^{\infty} A_{mn} \cos([mw_{c} + nw_{c}]t) + B_{mn} \sin([mw_{c} + nw_{c}]t)$$
(6) 232

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where *m* and *n* denote the carrier group and baseband group indexes, respectively. The fundamental and carrier angular frequencies are denoted by closed-loop and w_0 . A_{0n} , B_{0n} , A_{m0} , B_{m0} , A_{mn} , and B_{mn} denote the harmonic coefficients [11], [18]. Moreover, it has to be noted that the carrier harmonics can be updated by the phase shift effects given as (7): 236

$$A_{mo} + jB_{mo} = \frac{8U_{dc}}{\pi^2} \frac{1}{m} e^{jm\theta} \sum_{\substack{k=1\\k=odd}}^{\infty} \frac{J_k(m\pi M)}{k}$$
(7) 237

Finally, sideband harmonics are obtained by the phase shift effects in interleaved units given as (8): 238

$$A_{mn} + jB_{mn} = \frac{2.U_{dc}}{\pi^2} \frac{1}{jm} e^{jm\theta}$$

$$\sum_{k=1}^{\infty} J_k(m\pi M)(j^k - j^{-k})(\frac{(\sin(\frac{k-n}{2})\pi)}{k-n} + \frac{(\sin(\frac{k+n}{2})\pi)}{k+n})$$
(8) 240

where θ is an interleaved unit phase shift, which can be selected by the phase selecting 241 methods. For instance, in two interleaved units, the first unit phase shift is zero, and the 242 second unit phase shift is θ . The structure of a simplified case study with a Norton equivalent circuit is illustrated in Figure 7. The current for N units can be calculated from (9): 244

$$i_{L}(s) = \sum_{n'=1}^{N} \frac{u_{s}\left\{(n'-1)\theta\right\}}{z_{in}(n')}$$
(9) 245

where $(n'-1) \theta$ is the phase shift for unit number n'. The PFC converter's input impedance based on a large signal model is obtained by (10) [11]: 247

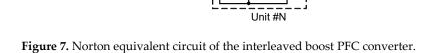
$$z_{in}(s) = sL + \frac{R_s}{u_{mo}} \left(U_{dc} G_{ci} \right) / 1 + \frac{1}{u_{mo}} \left(g U_{dc} G_{ci} \right)$$
(10) 248

where, u_{mo} denotes the peak-to-peak value of the PWM signal, and g denotes a constant249value. More details on the closed-loop impedance modeling of the boost PFC converter250are reported in [19]. Since the switching function of the diode rectifier is a square-waved251signal, its Fourier transform is obtained by (11):252

Equivalent Circuit

unit #1

$$i_{d}(t) = \sum_{\substack{h=1\\h=odd}}^{\infty} \frac{2}{h\pi} \sin(\frac{h\pi}{2}) \cos(w_{0}ht)$$
(11) 253



Z

Rectifier

EMI Receiver

LISN

Where h is harmonics order number. Thereby, the LISN input current without EMI filter 256 is obtained by (12): 257

$$i_{cnv}(s) = i_d(s)i_L(s)$$
 (12) 258

The relationship between the LISN input current and EMI receiver voltage should be 259 added in the proposed analytical method to complete it. Hence, the relationship between 260 the input current LISN and EMI receiver branch by considering the EMI filter is given as 261 (13) [11]: 262

$$i_{rec}(s) = \frac{C}{D}i_{cnv}(s)$$
 (13) 263

C and *D* are defined in (14) and (15), [11]as:

$$C = L_1 L_2 C_1 C_2 s^4 + R_2 C_1 C_2 (L_2 + L_1) s^3 + C_1 (L_2 + L_1) s^2$$
(14)

$$D = C_1 C_2 (R_2 L_1 + L_2 L_1) s^4 + C_1 C_2 (L_2 R_1 + L_2) s^3 + (L_1 C_1 + L_2 C_2 + L_2 C_1 + R_1 R_2 C_2 C_1) s^2 + (R_1 C_1 + R_2 C_2) s + 1$$
(15) 266

Besides, the EMI receiver voltage noise is

$$U_{meas}(s) = R_{\rm l}i_{rec}(s) \tag{16}$$

5. Unconventional Phase Shift Approach

As seen in Figure 5(c), the attenuation requirement and filter corner frequency are slightly 270 different for one unit non-interleaved and two units and three units with a conventional 271 phase shift. Hence, the interleaving technique does not have any benefits at some switch-272 ing frequency ranges such as 75-150 kHz for two units interleaved in comparison to one 273 unit in Band B. Hence, observing the carrier frequency harmonics behavior based on the 274 phase to get the unconventional phase shift is essential in that frequency range. Notably, 275 analytical EMI estimation can be predicted on EMI noise level in any order of carrier fre-276 quency harmonics based on the selective phase angle. Therefore, an unconventional phase 277 shift can be selected by looking at the first appeared carrier harmonics behavior in the 278 different phases in Band B. Hence, Table 3 provides an unconventional phase shift from 279 an analytical estimation approach to get a low filter attenuation requirement based on the 280 switching frequency and the number of interleaved units. Figure 8 depicts the first carrier 281 harmonics behavior in Band B for several interleaved case studies in different phases and 282 switching frequencies. The first carrier harmonics can be removed by proper phase shift 283 selection. On the other hand, by eliminating the first noise peak, which is important in 284 EMI filter design in Band B, the filter design frequency shifts to a high frequency. So, (17) 285 and (18) representing unconventional phase shift formulation are obtained from Table 3 286 by assessing the relation between N, k, and θ . 287

$$\theta = \frac{360^{\circ}}{N} \qquad if \ k \ is \ not \ a \ multiple \ of \ N \tag{17} \tag{288}$$

$$\theta = \frac{360^{\circ}}{\min\{factor(N).k\}} \quad if \ k \ is \ a \ multiple \ of \ N \tag{18} 289$$

where *k* denotes the harmonic order of the switching frequency, that is the first noise 290 peak in Band B. 291

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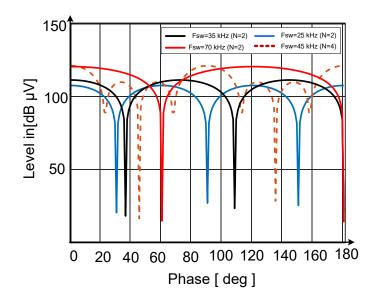


Figure 8. First carrier harmonics behavior with various switching frequencies in Band-B for three293interleaved units with different phases.294

Table 3. The Unconventional Phase Shift Angles at the Switching Frequency Range (20 - 150 kHz)295up to Four Units Interleaved for Band B.296

		Number of interleaved (N)			
Frequency (kHz)	Harm. order (k):	2	3	4	
20	8 th	22.5°	120°	22.5°	
25	6 th	30°	20°	90 °	
30	5 th	180°	120°	90 °	
35	5 th	180°	120°	90 °	
37.5	$4^{ m th}$	45 °	120°	45 °	
45	$4^{ m th}$	45 °	120°	45 °	
50	3 th	180°	40°	90 °	
70	3 th	180°	40°	90 °	
75	2 th	90 °	120°	90 °	
140	2^{th}	90 °	120°	90 °	
150	1 th	180°	120°	90 °	

Notably, unconventional phase shift does not effected on the DM EMI filter loss. Since 297 the DM capacitor takes most of the switching ripple, the DM inductor loss is almost the 298 same no matter which phase shift is applied. In addition, Figure 9 illustrates the RMS 299 input current of the DC-link capacitor for conventional phase shift and unconventional 300 phase shift based on the number of the interleaved converters. As it is clear from Figure 301 9, the unconventional phase shift increases the RMS capacitor input current in some cases. 302 Notably, it does not affect the DC capacitor size regarding ripple current is lower than 303 non-interleaved PFC. 304

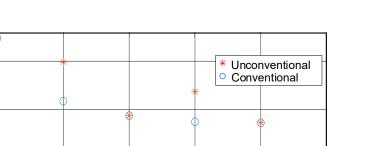


Figure 9. Input ripple current of the DC capacitor on the basis of the number of the interleaved converters with $f_{stv} = 37.5$ kHz, $P_0 = 1$ kW, and $L_{boost} = 1$ mH based on PLECS simulations. The conventional phase shift is considered 360°/ N and unconventional is selected based on the (17) and (18).

3

Number of Interleaved

2

1

6. Filter Volume Optimization

3

2.5

2

1.5

1

Capacitor RMS current(A)

In this section, the primary purpose is to optimize the EMI filter size considering the selected proper phase shift. To investigate the efficiency of the proposed method, EMI filter volume can be calculated based on [14]. As mentioned above, the symmetrical two-stage EMI filter [17], shown in Figure 2, has been considered for this paper's analysis. Hence the EMI filter capacitor size can be obtained from (19) [14]: 315

$$V_C = k_{C1} C_{DM} u_g^2 + k_{C2} \tag{19}$$

5

where the factor k_{C1} denotes the capacitor volume proportionality to the stored energy and k_{C2} denotes a voltage-dependent factor. Furthermore, the inductor size is obtained by (20) [14]: 319

$$V_L = k_{L1} L_{DM} I_g^2 + k_{L2} L_{DM} + k_{L3} I_g$$
(20) 320

 k_{L1} is a constant factor describing the proportionality between the stored energy 321 $E_L=1/2 \cdot L_{DM} \cdot I_g^2$ and the inductor volume. These factors can be derived analogously to k_{L1} , 322 k_{L2} , and k_{L3} from the manufacturer's data using Magnetics toroid cores [10], which is present in Table 1. So, the total volume of the two-stage symmetrical EMI filter is calculated from (21): 325

$$V_{tot} = 2(n_f + 1)V_L + n_f V_C \rightarrow \min$$
(21) 326

where n_f is the number of filter stages [10], [14], [17]. Solving (19)– (21) and (2) results in 327 optimum filter component parameters for a certain number of filter stages n_f . To simplify 328 the calculation analysis, (2) is simplified for two-stage EMI filter as 329

$$A_{u_{req}}(f) = (j2\pi f)^{2n_f} . (2L_{DM})^{n_f} . C_{DM}^{n_f}$$
(22) Finally, 330

331

EMI filter components are calculated by:

$$C_{DM} = \sqrt{\frac{(n_f + 1)(k_{L1}J_g^2 + k_{L2}) \cdot \sqrt[n]{Att_{req,DM}}}{2n_f \cdot k_{C1}u_g^2 \cdot (2\pi f_D)^2}}$$
(23) 332

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307

308

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$$L_{DM} = \sqrt{\frac{n_f k_{C1} u_g^2 \sqrt[2]{Att_{req,DM}}}{2(n_f + 1) \cdot (k_{L1} \cdot I_g^2 + k_{L2}) \cdot (2\pi f_D)^2}}$$
(24) 333

Finally, Figure 10 shows a flowchart demonstrating the steps to design the optimal filter 334 volume based on the analytical EMI noise estimation approach. Further, as it is clear from 335 Figure 8, the relation (17)-(18) does cover some unconventional phase angles that filter 336 required attenuation minimized from the analytical method. On the other hand, there are 337 other points for minimization filter required attenuation. The green dash line in Figure 10 338 shows the general method for phase shift selection based on the analytical estimation. 339 Moreover, (17)-(18) can use instead of the general unconventional phase-shifting method 340 to improve computation time. This flowchart is mainly applied to calculate the EMI filter 341 component with only a few equations considering proper phase shifts with unconven-342 tional phase shift or analytical estimation. Hence, Figure 11 shows optimal DM EMI filter 343 boxed-volume approximation, including conventional and unconventional phase shifts in 344 Band-B based on Table 3 and Figure 10. As previously mentioned, the unconventional 345 phase shift efficiency in a decreased EMI filter size is obtained from Figure 11. Here, two 346 cases are presented with different phase shifts, including conventional as 180° and uncon-347 ventional as 45° selected from Table 3 based on the $f_{sw} = 37.5$ kHz for two units interleaved. 348

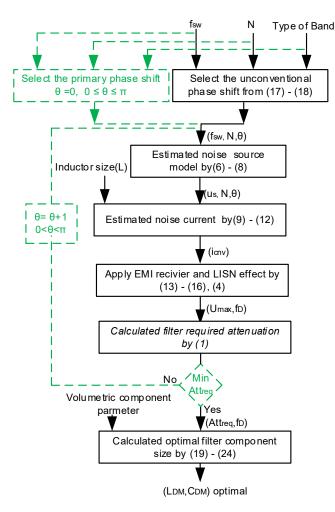


Figure 10. Flow chart of DM EMI filter boxed-volume optimization.

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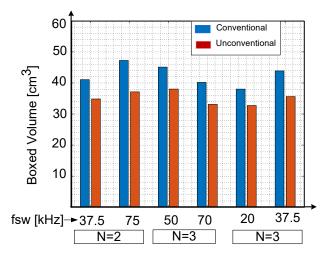
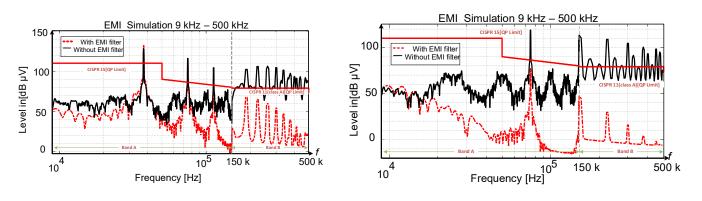


Figure 11. Optimal DM EMI filter boxed-volume approximation including conventional and unconventional phase shift in Band b based on Table 3 and flowchart in Figure 10.



(a) Design EMI filter for band $B(\theta=45^{\circ})$

(**b**) Design EMI filter for band A(θ =180°)

Figure 12. EMI simulation approach for two-unit interleaved CCM at *f*_{sw} = 37.5 kHz based on Table 4.

Table 4. Band-B and A EMI Filter Design Based on PLECS Simulations for conventional (180°) and unconventional Phase Shift (45°)355regarding (17) And (18). The Switching Frequency is 37.5 kHz For Two Interleaved Units356

Band	Phase	Lboost	Δi L	fD	Attreq	Ldm	Срм	Vtot	Ldm	Срм
		[mH]	[%]	[kHz]	[dB]	[µH]	[nF]	[cm ³]	[cm ³]	[cm ³]
В	180°			150	41.5	40	150	41.5	6.16	2.04
	45°	4.3	22	187.5	30.4	23	90	34.86	5.32	1.48
А	180°			75	39.77	75	293	54.4	8	3.3
	45°			37.5	21.6	180	730	96.8	13.75	7.11

Table 4 provides the outcome of the two case studies, including the attenuation require-357 ment and corner frequency. The phase shift of 45° compared to 180° is required for a lower 358 filter attenuation in Band B, while a phase shift of 180° needs a higher filter attenuation. 359 As it is clear from Table 4, the unconventional and conventional phase shifts provide 360 many beneficiaries such as EMI filter size reduction in Band B and Band A, respectively. 361 Notably, Figure 12 is shown the proposed flowchart optimization approach for EMI filter 362 designing and its benefit to fulfill the EMI level under the standard limitation in designing 363 band frequency. 364

7. Experimental Results

In order to validate the theoretical analyses, a two-unit interleaved boost PFC rectifier, 367 depicted in Figure 1, operating in CCM is taken into account. The required data are sum-368 marized in Table 5. A laboratory setup, including EMI receiver, LISN, and the two-unit 369 interleaved converter is considered to validate theory and design. A simplified prototype 370 of the single-phase interleaved boost PFC converter, illustrated in Figure 13, is utilized to 371 verify the proposed method. In addition, the simulation model is carried out in PLECS. 372 The sampling frequency for simulations and experiments is 100 kHz. And, Figure 14 de-373 picts the experimental waveforms of two units - interleaved through the parameters (f_{sw} = 374 20 kHz) and phase shift 180° given in Table 5. The first test case is a two-unit interleaved 375 PFC with a zero-phase shift. Figure 15(a) compares the simulation and experimental re-376 sults for two-unit interleaved with $\theta = 0^{\circ}$. The purpose of employing the phase-shifting 377 technique is to suppress the harmonics. As there is no cancelation impact for the $\theta = 0^{\circ}$, it 378 can be simply used as an acceptable case scenario for filter's attenuation requirement. In 379 the second test, a 180° phase difference between two interleaved PFC is applied which is 380 called interleaving using a conventional phase shift. It is obvious from Figure 15(b) that 381 the experimental results are verified via simulations by the conventional phase shift be-382 tween the units. Notably, the first order of harmonics appears in $2f_{stv}$ compared to $\theta = 180^{\circ}$ 383 at higher frequencies. It has many benefits on Band A, including the elimination of the 384 odd order noises, and it is shifting the EMI filter design frequency to a higher frequency. 385 Therefore, the filter size decreases as it occurs at a high frequency. 386

Table 5. Case Study Specification

Symbol	Parameter	Value	Unit
U_g	Grid phase voltage	230	Vrms
f_{g}	Grid frequency	50	Hz
L	DC link inductor	2	mH
f_{sw}	Switching frequency	20	kHz
C_{dc}	DC link capacitor	500	μF
U_{dc}	Output voltage	400	V
P_o	Output power	2	kW
arDelta Vdc,max	Capacitor voltage ripple	20	V
Δi L,max	Inductor current ripple	20	%
heta	Phase shift	0,90,180	degree (°)

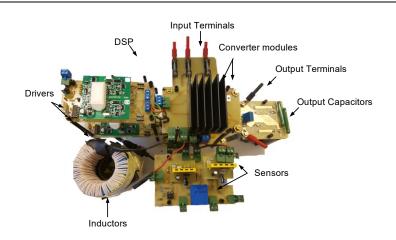


Figure 13. Experimental prototype of two single phase interleaved boost PFC converter.

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The second part of the experiments is related to the selective unconventional phase shift. 390 As mentioned before, the 2nd order harmonics disappear when 90° is considered as a phase 391 shift. This scenario illustrates the effect of 90° as a phase shift if the switching frequency 392 is selected to be 75-150 kHz. Hence, the second-order harmonics appear to be above 150 393 kHz, and by using the 90° as a phase shift, it can remove the second harmonics, which is 394 the first harmonic in Band B. Since the switching frequency limitation of the test setup, the 395 effect of this phase shift is investigated at 20 kHz. On the other hand, Figure 15(c) is shown 396 the effects of the 90° phase shift on the second harmonics cancelation. Hence, Figure 15(c) 397 shows the unconventional phase shift impacts considering 90° as a phase shift between 398 the units for two-unit interleaved. As the noise-emission level is quite above the standard 399 requirements, as depicted in Figure 15, designing an appropriate EMI filter is necessary. 400 Further, Figure 15 depicts the simplified estimated DM EMI approach for different phase 401 shifts as the method estimates the DM EMI noise with an error lower than 1 dB in Band A 402 and Band B. As the concentration of harmonics energy on the top of the harmonics is im-403 portant, the results are just shown on the top of the harmonics' multiple order. Hence, 404 Table 6 summarizes the comparative DM noise results for one case study having different 405 phase shifts for comparisons. Obviously, the proposed analytical model accurately 406 matches the experimental results, and the maximum errors in Band A and Band B are 407 below 1 dB for all considered phase shifts. This analytical modeling approach is valid for 408the different phase shifts, and also it can be applied by the many interleaved parts. 409



Figure 14. Measured waveforms of two units interleaved using parameters ($f_{sw} = 20 \text{ kHz}$) and a411phase shift of 180° given in Table 5.412

f_{sw} = 20 kHz (CCM Operation)										
Phase	θ	=0	θ=	180	θ=90					
Band	Α	В	Α	В	Α	В				
Method[dBµV]/ frequency[kHz]	20	160	40	160	20	160				
	кНг	кHz	кHz	кНz	кНг	кНг				
Estimated	137.1	115.8	129	116	133.5	115				
Simulated	136.3	115.1	128	115.3	133.3	115.2				
Experimental	137.3	115.4	129.3	115.75	134.2	115.8				
$E_{es-e}[dB]^1$	0.2	0.4	0.3	0.25	0.7	0.8				
$E_{s-e}[dB]^2$	1	0.3	1.3	0.55	1.1	0.6				
1: Error between estimated and experiment										
2: Error between simulation and experiment										

Table 6. Comparative DM Noise Results for the Case Studies

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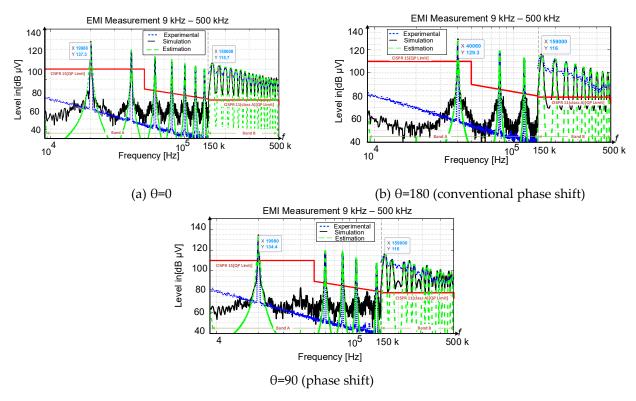


Figure 15. EMI results measurement for 2-unit interleaved boost PFC converter, including estimation-based proposed 415 model, simulations, and experiments. Test system specification is on the basis of results given in Table 5. 416

8. Conclusion

This research studied the impact of unconventional proposed phase-shift selection on EMI 418 filter optimization for both Band A (9-150 kHz) and Band B (>150 kHz). The results ob-419 tained in Band A revealed that the interleaved topology provided has more advantages 420 which gives the possibility of using no filter if the switching frequency is higher than 75 421 kHz for two units, 50 kHz for three units, and 37.5 kHz four units. Additionally, in Band-422 B, the application of conventional phase-shift between the units was not effective for all 423 switching frequency ranges. Thus, various phase-shifts (unconventional) were employed 424 to get a higher corner frequency and smaller filter size in Band B based on the EMI esti-425 mation technique. 426

Notably, in order to design a DM EMI filter, the proposed technique was used to model 427 the noise level with higher accuracy at different phase shifts. In addition, this research 428 highlighted the benefits of using the conventional phase shifts in Band-A to suppress the 429 odd-order harmonics in order to optimize the attenuation requirement for EMI filter de-430 sign. At the end, filter volume optimization was utilized to get a minimized component 431 size by using an analytical estimation method and selective proper phase shifts. Therefore, 432 a general method based on the analytical equation considering phase shift was employed 433 to make EMI filter volume optimization. Experimental results verified the EMI estimation 434 method with different phase shifts in Band A and Band B, and their maximum errors are 435 below 1 dB. 436

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