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**Comparison of spatial filters and features for the detection and classification of movement-related cortical potentials in healthy individuals and stroke patients**

# **Comparison of spatial filters and features for the detection and classification of movement-related cortical potentials in healthy individuals and stroke patients**

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### **Abstract**

*Objective.* The possibility of detecting movement-related cortical potentials (MRCPs) at the single trial level has been explored for closing the motor control loop with brain-computer interfaces (BCIs) for neurorehabilitation. A distinct feature of MRCPs is that the movement kinetic information is encoded in the brain potential prior to the onset of the movement which makes it possible to timely drive external devices to provide sensory feedback according to the efferent activity from the brain. The aim of this study was to compare methods for the detection (different spatial filters) and classification (features extracted from various domains) of MRCPs from continuous electroencephalography recordings from executed and imagined movements from healthy subjects ( $n=24$ ) and attempted movements from stroke patients ( $n=6$ ) to optimize the performance of MRCP-based BCIs for neurorehabilitation.

*Approach.* The MRCPs from four cue-based tasks were detected with a template matching approach and a set of spatial filters, and classified with a linear support vector machine using the combination of temporal, spectral, time-scale, or entropy-based features. *Main results.* The best spatial filter (large Laplacian, LLSF) resulted in a true positive rate of  $82\pm9\%$ ,  $78\pm12\%$  and  $72\pm9\%$  (with detections occurring  $\sim200$  ms before the onset of the movement) for executed, imagined and attempted movements (stroke patients). The best feature combination (temporal and spectral) led to pairwise classification of  $73\pm9\%$ ,  $64\pm10\%$  and  $80\pm12\%$ . When the detection was combined with classification,  $60\pm10\%$ ,  $49\pm10\%$  and  $58\pm10\%$  of the movements were both correctly detected and classified for executed, imagined and attempted movements. A similar performance for detection and classification was obtained with optimized spatial filtering. *Significance.* A simple setup with a LLSF is useful

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for detecting cued movements while the combination of features from the time and frequency domain can optimize the decoding of kinetic information from MRCPs; this may be used in neuromodulatory BCIs.

**Keywords:** Movement-related cortical potentials, brain-computer interface, movement kinetics, EEG, signal processing.

### **1. Introduction**

Brain-computer interfaces (BCIs) have been traditionally applied for communication and control purposes (1), but over the past years BCI technology has started to attract attention within the area of neurological rehabilitation after stroke (2,3). Recently, a neuromodulatory protocol was proposed where the cortical activation through cued motor imagination (MI) was paired with timely correlated somatosensory feedback from electrical stimulation of a peripheral nerve (4). The protocol increased the corticospinal excitability of the cortical projections of the target muscle through Hebbian-like plasticity. Induction of plasticity has been correlated with motor learning (5) which is the aim in the rehabilitation process for stroke patients. The protocol proposed by Mrachacz-Kersting et al. was implemented as a self-paced BCI by detecting MI of a specific movement and providing somatosensory feedback from electrical stimulation and passive movement from an ankle-foot orthosis (6,7). The control signal that was used in these studies is known as the movement-related cortical potential (MRCP). It is a slow brain potential that is present in the electroencephalogram (EEG) up to 2 s before the execution and imagination of cued and self-paced voluntary movements (8-11). Besides containing information about when a forthcoming movement is occurring, kinetic information is encoded in the MRCP such as the level of force and speed of the intended movement (11-13). The information about the level of force and speed of a forthcoming movement may be used as input to functional electrical stimulation which then can provide feedback associated to the specific efferent activity from the brain. By decoding kinetic information more

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degrees of freedom can be obtained; this opens the possibility of practising different variations (task variability) of a movement type which has been shown to maximize the retention of acquired motor skills and the capability of generalizing relearned movements (14).

The performance of the BCI has been shown to be correlated to the efficacy of the neuromodulatory protocol described above (6). Therefore, the best techniques for detecting MRCPs should be determined as well as the best methods for discriminating variations of specific movements to optimize the performance of BCIs for neurorehabilitation. It has been shown previously that MRCPs can be extracted from the continuous EEG using different methods for pre-processing of the signals and detection algorithms (15-20). These techniques include various types of spatial and temporal filters, data projections, blind source separation, and feature extraction for classification of movements versus an idle state. Moreover, the level of force and speed has been classified from MRCPs associated with executed and imagined movements for different parts of the body and different movement types, such as plantar- and dorsiflexion of the ankle joint (16,21-24), foot and finger tapping (25) and wrist extensions and rotations (26,27). For this classification, various features have been used, such as time-domain features (16,25), band power and power spectral density (25,26,28), time-frequency and time-scale coefficients (21,22,27), and measures of complexity (24). The features from the various domains may contain different discriminative information thus a combination of these may improve the performance of the classifier. The feature extraction techniques are mainly evaluated in a limited number of healthy subjects which makes it difficult to translate the findings to the intended user groups; stroke patients. The inclusion of the intended users may promote the translation of BCI technology from the laboratory to the clinic.

The aim of this study was to investigate the effect of different spatial filters on the detection performance of cue-based MRCPs associated with different kinetic profiles in terms of true positive rate (TPR). The effect of different spatial filters on the TPR has been explored for self-paced MRCPs; however, it has been shown that cue-based and self-paced MRCPs differ in neural generators and signal morphology (29,30). Moreover, the effect of applying different spatial filters was evaluated for classification of movement kinetics of executed and

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imagined movements from healthy subjects and attempted movements from stroke patients. Lastly, the optimal types of features to use for classification of movement kinetics were determined.

### **2. Methods**

#### *2.1. Subjects*

24 healthy subjects (7 women and 17 men:  $27 \pm 4$  years old) and six stroke patients with lower limb paresis participated in the study (see table 1 for the individual patient information). All procedures were approved by the local ethical committee (N-20100067 and N-20130081), and the participants gave their written informed consent before the experiment.

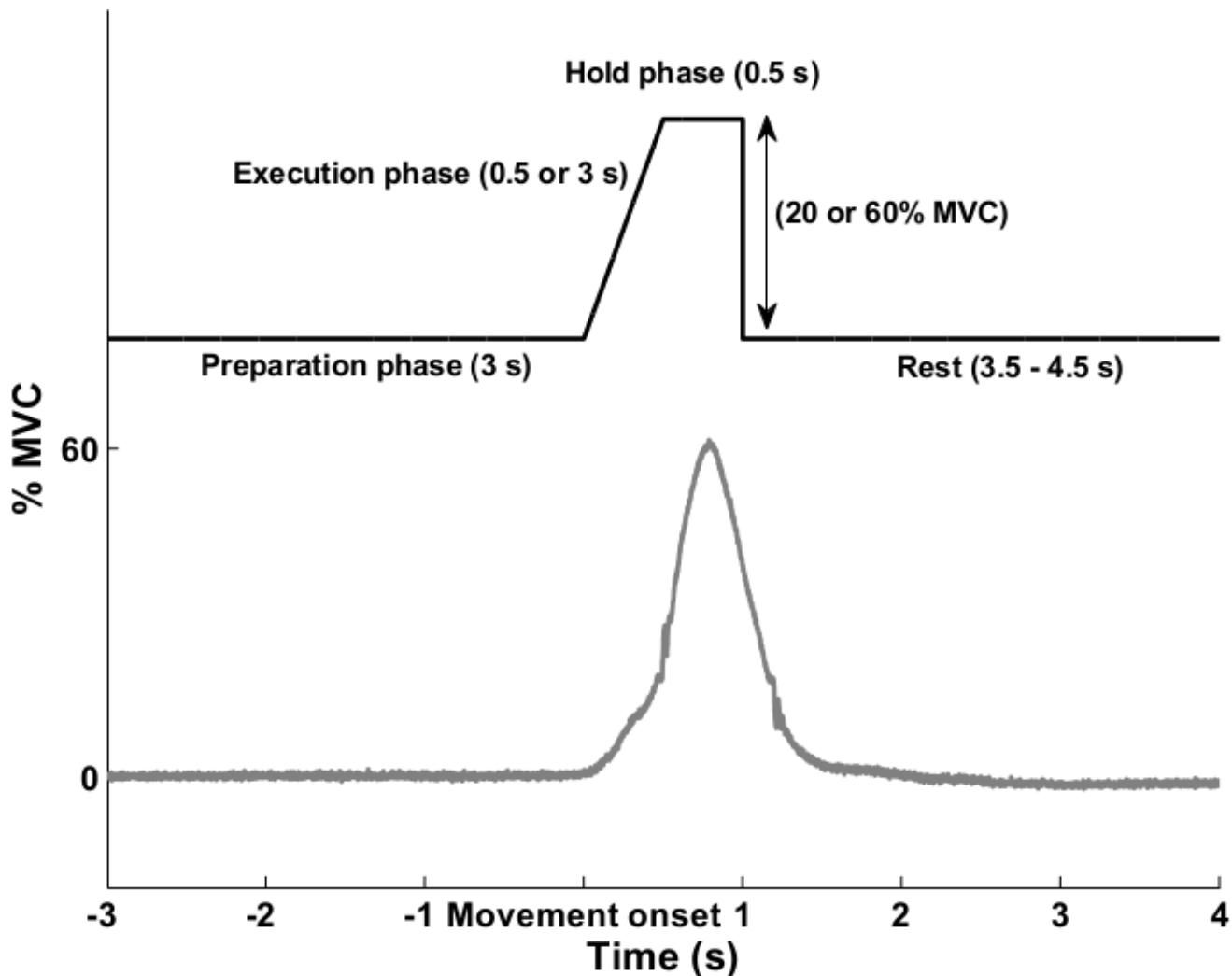
**Table 1:** Patient specifications.

Patient	Diagnosis	Affected site	Gender	Age	Days since event
1	Infarction	Left	Female	59	35
2	Infarction	Right	Male	77	46
3	Hemorrhage	Right	Male	54	58
4	Infarction	Right	Male	51	62
5	Infarction	Right	Female	58	46
6	Infarction	Left	Male	38	36

#### *2.2. Experimental protocol*

Each subject was seated in a comfortable chair with their right (or affected for the patients) foot fixed to a custom made pedal. Force was recorded from a force transducer mounted to the pedal. At the beginning of the experiment, the maximum voluntary contraction (MVC) was determined followed by  $4 \times 50$  repetitions of the following tasks of ankle dorsiflexion (see figure 1): i) 0.5 s to reach 20% MVC, ii) 0.5 s to reach 60% MVC, iii) 3 s to reach 20% MVC and iv) 3 s to reach 60% MVC (16).

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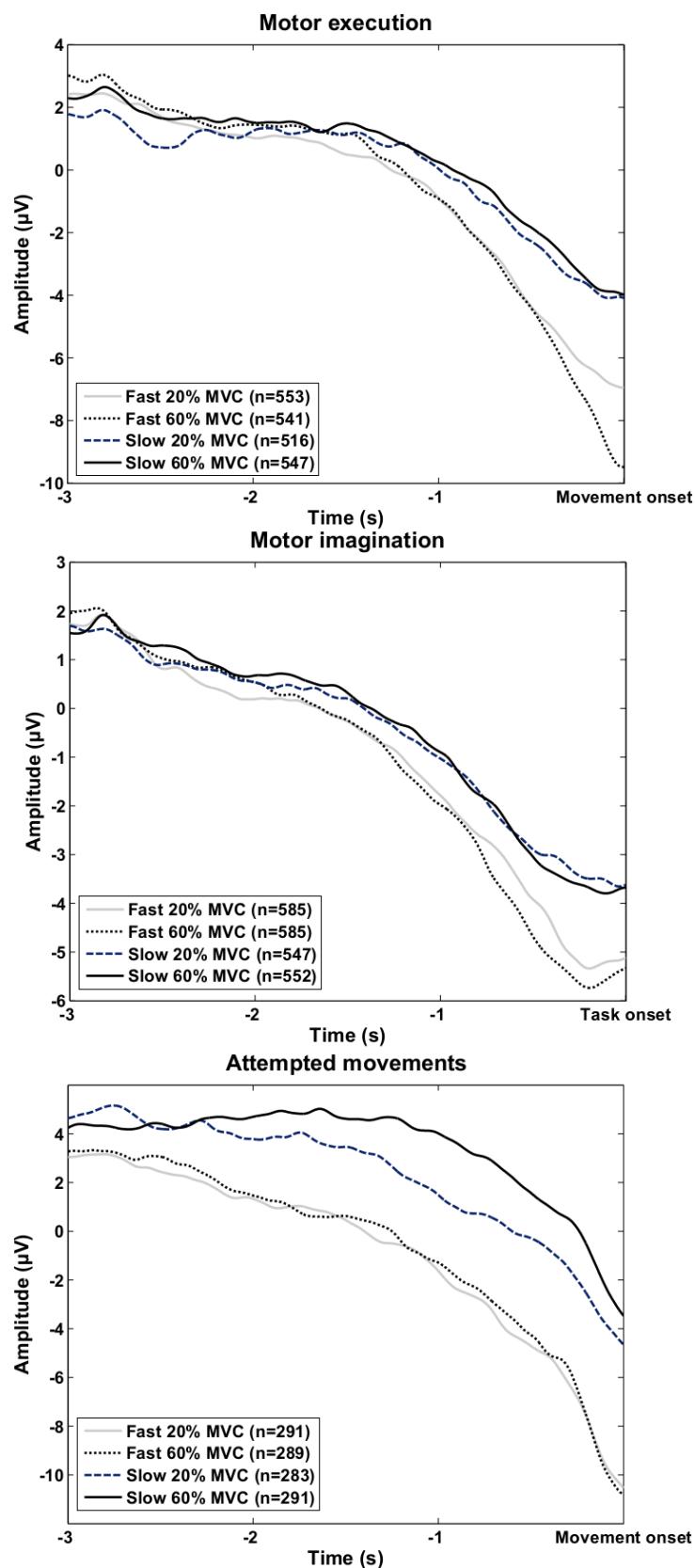
**Figure 1:** Top: Visual cue that was provided to the subject. A moving cursor (with force as input) indicated when the subjects should initiate the movement and how fast and what level of their MVC to reach. Bottom: Force associated with a movement performed by a representative stroke subject. The same visual cue was presented for subjects performing motor imagination to assist them in timing the onset of the movement; however, no force was produced.

See figure 2 for a grand average of the MRCPs associated with the four tasks. In the following, 0.5 s to reach the desired MVC will be referred to as ‘Fast’, and 3 s to reach the same will be referred to as ‘Slow’. The subjects were constrained to spend the given time to reach the desired level of MVC. To assist them in performing the movements correctly they were visually cued (figure 1) by a custom made program (Knud Larsen, SMI, Aalborg

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University). Each movement was separated with 8-10 s and initiated by a digital trigger. The healthy subjects were divided into two sub-groups of 12. One group was asked to perform the tasks while the subjects in the other group imagined the movements. The patients were asked to attempt to perform the tasks. The tasks were randomized in blocks, and ~5 min practice was performed before each task to familiarize the subjects with the tasks. For the subjects performing imagined movements, they practiced motor execution first to recall the kinaesthetic of the movement before practicing the imaginary movements.

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**Figure 2:** Grand average (across subjects) of the MRCP traces of the four tasks for ME (top), MI (middle) and attempted ME (bottom). The averaged MRCP traces are shown for 3-s epochs prior the onset of the movement or task (for motor imagination). Note the difference in the scaling of the y-axes.

### *2.3. Signal acquisition*

#### *2.3.1. EEG*

Continuous EEG was recorded from FP1, F3, Fz, F4, C3, Cz, C4, P3, Pz and P4 according to the International 10-20 system (32 Channel Quick-Cap, Neuroscan and EEG Amplifiers, Numaps Express, Neuroscan). The signals were referenced to the right ear lobe, and ground was placed at nasion. The EEG was sampled with 500 Hz and digitized with 32 bits accuracy. Electrooculography (EOG) was registered from FP1. The impedance of the electrodes was below 5 kΩ during the experiment. The digital trigger from the interface software was sent to the EEG amplifier for epoching the continuous EEG.

#### *2.3.2. Force and MVC*

The force was used as input to the program that cued the subjects, so they were provided with visual feedback on their performance (except for the sub-group that imagined the movements). Force was recorded with custom made software (Knud Larsen, SMI, Aalborg University) and sampled with 2000 Hz. The MVC was recorded at the beginning of the experiment. Three MVCs were performed with 60 s break in between each repetition. The highest value was used as the MVC. The onset of each executed movement for the healthy subjects and patients was determined from the force trace. It was identified when all values in a 200 ms window (with a 1-sample shift) exceeded the baseline; then the time point at the beginning of the 200 ms window was used. The baseline was defined as the mean value of the signal 2-4 s before the task onset provided by the visual cue. The movement onset was used to determine the detection latencies and to synchronize all epochs.

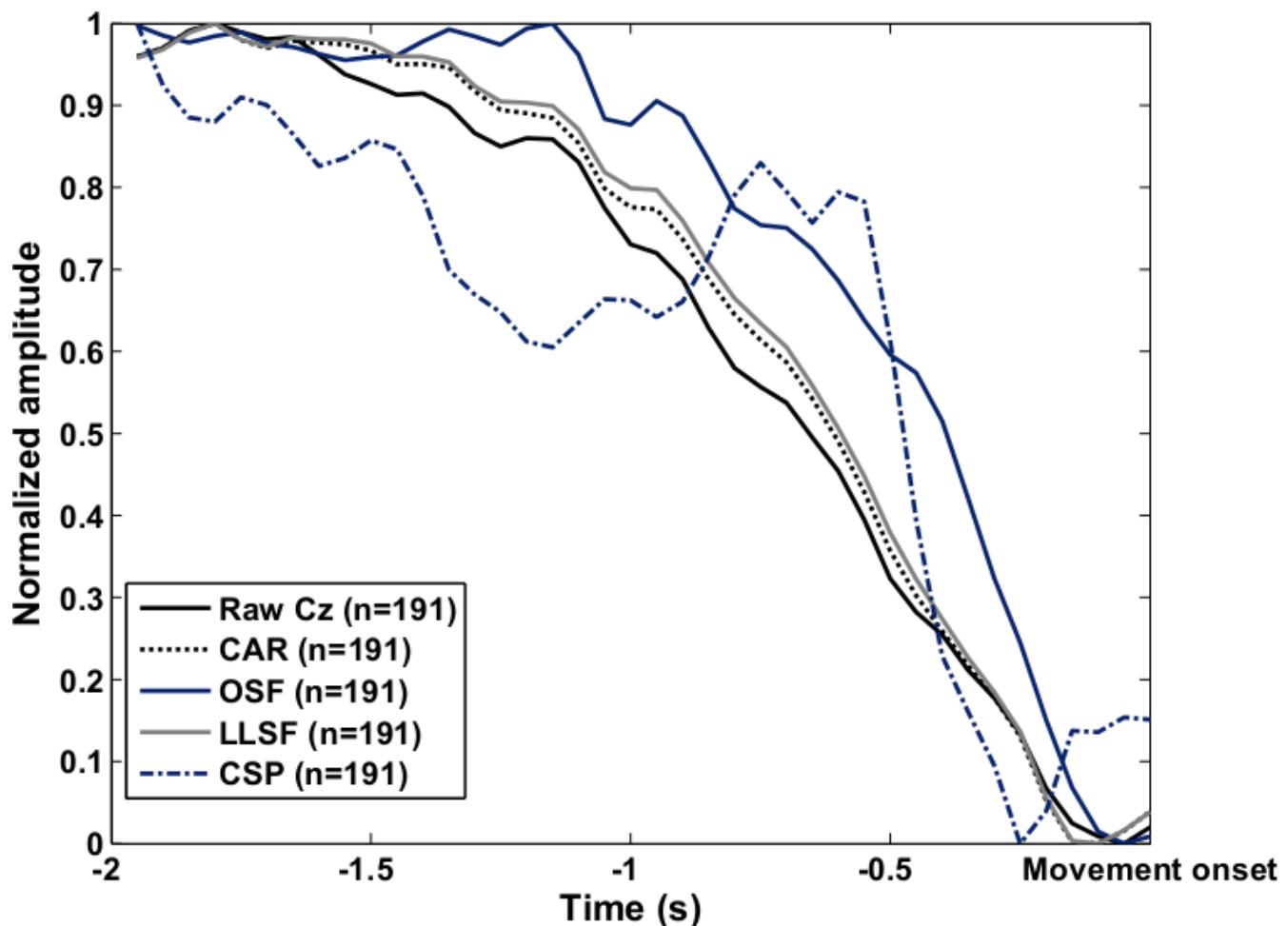
### *2.4. Signal processing*

The EEG was band-pass filtered with a 2<sup>nd</sup> order Butterworth filter from 0.05-10 Hz in the forward and reverse direction for movement detection and for the extraction of temporal features. The remaining features were

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extracted from data that were high-pass filtered with a cut-off frequency of 0.05 Hz. To correct for the poor spatial resolution of EEG, the data were spatially filtered. Four spatial filters were compared: Large Laplacian (LLSF), optimized spatial filter (OSF) (15), common average reference (CAR), and common spatial pattern (CSP).

The CAR was calculated for Cz as described in (31). OSF is a data-driven approach that was used to find the filter weights to maximize the signal-to-noise ratio on the training data (15). CSP was used to maximize the distance between signal epochs and noise epochs and was implemented as in (32). Signal epochs were extracted from the movement/task onset and 2 s prior this point; noise epochs were extracted from 5-3 s prior the movement/task onset.



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**Figure 3:** Averaged MRCP across tasks for a representative subject when using the different spatial filters.

### *2.5. Movement detection*

The method for detecting movements was similar to the one proposed by Niazi et al (15) and Jochumsen et al (16). The analysis was performed offline on the continuous EEG recordings. Initially, the data were band-pass filtered and spatially filtered with one of the four filters (LLSF, OSF, CAR or CSP) to obtain a weighted linear combination of the EEG channels. The data set was randomly divided into four parts (four-fold cross-validation); three for training of the detector and one for testing the performance of the constructed detector. For the OSF and CSP, the filter coefficients were calculated on the training set. A template was extracted from the spatially filtered data of the training set by averaging all trials from the peak of maximum negativity and 2 s prior this point (see figure 3). From the training set, a receiver operating characteristics (ROC) curve was obtained to determine the detection threshold. The threshold was selected at the upward convex part of the ROC curve to obtain a trade-off between the number of false positive detections and the TPR. When two out of three consecutive windows exceeded the detection threshold, and EOG activity in FP1 was below the EOG threshold ( $125 \mu\text{V}$ ), a detection was registered. The detector decision was based on the likelihood ratio (Neyman Pearson lemma) between the template and the weighted linear combination in a 2 s window with a 200 ms shift. The performance of the detector was quantified by the TPR, number of false positive detections per minute (FPs/min), and the detection latency. The detection latency was defined as the time of detection according to the movement onset (for motor execution - ME) or task onset (for MI).

### *2.6. Feature extraction and selection*

All features were extracted from the point of detection to 2 s prior this point.

#### *2.6.1. Temporal features*

Six temporal features were extracted for the executed movements, as described previously (16): i) point of maximum negativity, ii) mean amplitude of the 2 s data window, iii+iv) slope and intersection of a linear regression of the data in the 2 s window, v+vi) slope and intersection of a linear regression of the data from the

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point of detection and 0.5 s prior this point. For the imaginary movements, the same features were extracted except for the intersections of the two linear regressions. Also, the mean amplitude of the data from the point of detection and 0.5 s prior this point was extracted.

### *2.6.2. Spectral features*

Five spectral features were extracted. Welch's power spectral density estimate was calculated on each epoch using a Hamming window with 50% overlap of the segments. The average power was calculated in the following frequency ranges: i) 0-4 Hz, ii) 4-8 Hz, iii) 8-13 Hz, iv) 13-30 Hz and v) 30-100 Hz. These ranges correspond approximately to the delta, mu, alpha, beta and gamma frequency bands, respectively.

### *2.6.3. Time-scale features*

The marginal distribution of the discrete wavelet transform (DWT) was calculated for 10 different mother wavelets (21). Daubechies 1-10 mother wavelets were used and for each mother wavelet, 10 levels of decomposition were calculated. The marginal distribution was calculated for each level of the decomposition and used as a feature.

### *2.6.4. Entropy-based features*

Four types of entropy were calculated for each epoch and used as features: i) approximate entropy (ApEn) (33), ii) permutation entropy (PeEn) (34,35), iii) sample entropy (SaEn) (36) and iv) constrained sample entropy (CSEn) (37). The false-nearest neighbors' algorithm was used to determine the optimal embedding dimension ( $m=2$ ) (38). The tolerance for ApEn, PeEn and SaEn was  $0.2 \times$  standard deviation of the epoch, and the time lag was 1. For the constrained sample entropy, the tolerance was fixed at  $0.2 \times$  standard deviation of all "noise" epochs for the specific task (see section 2.4).

### *2.6.5. Feature selection*

The combination of the features for each of the four feature types (temporal, spectral, time-scale and entropy) was used to classify the task pairs (fast 20% MVC vs fast 60% MVC, etc.). The best combination of features for

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each feature type, leading to the highest classification accuracy across the task pairs, was selected. This was followed by an evaluation of classification accuracies for the combination of the optimized feature types. The classification accuracies were obtained using leave-one-out cross-validation and a support vector machine (SVM) with a linear kernel.

### *2.7. Movement classification*

The classification was divided into two main categories: 2-class and 4-class problems. The 2-class classification accuracies were obtained using leave-one-out cross-validation. The classification accuracy for each task pair was calculated (Fast 20% MVC vs. Fast 60% MVC, Fast 20% MVC vs. Slow 20% MVC, etc.). The classification accuracies for the 4-class problem were found by extending the binary SVM to a multi-class SVM using the ‘one-vs-one’ scheme. A classifier was constructed for each task pair and a test sample was tested by each one. It was labelled according to the class with most votes. The number of correctly classified samples was divided with the number of performed movements in the specific class to obtain the classification accuracy.

### *2.8. System performance*

The system performance was calculated by combining the TPR with the classification accuracy. It is assumed that the two events are independent; therefore, the following formula is used:

$$\text{Performance} = \frac{\text{CA}(1,2) * [\text{TPR}(1) + \text{TPR}(2)]}{2}$$

CA(1,2) is the classification accuracy of the task pair, and TPR(1) and TPR(2) are the TPRs for task 1 and 2, respectively. Using the formula, the percentage of correctly detected and classified movements is obtained for the 2-class system. Also, the percentage of correctly detected and misclassified movements is reported; this is calculated by substituting CA(1,2) with its complement. The 4-class performance was calculated by multiplying the classification accuracy (or its complement) with the TPR for the specific task.

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### **2.9. Statistics**

Statistical significance was assumed when the p-value < 0.05. For the analysis of variance (ANOVA) tests, significant test statistics were followed up with a post hoc analysis where Bonferroni's correction was applied for multiple pair-wise comparisons.

#### **2.9.1. Detection**

A two-way multivariate ANOVA was performed to investigate the effect of the factors; 'spatial filter' and 'movement type', on the dependent variables; averaged TPRs (across tasks), number of FPs/min and detection latency. 'Spatial filter' had four levels: LLSF, OSF, CAR and CSP, and 'movement type' had three levels: ME, MI and attempted ME. A three-way ANOVA was performed with the factors 'spatial filter', 'movement type' and 'task' to investigate the effect on the TPR for each task (Fast 20% MVC, Fast 60% MVC, Slow 20% MVC and Slow 60% MVC).

#### **2.9.2. Classification and system performance**

A three-way ANOVA was performed to investigate if the averaged classification accuracies (across task pairs) differed when using the different spatial filters and feature extraction techniques for the movement types. The factors were 'movement type', 'spatial filter' and 'feature type' with four levels: temporal, spectral, entropy and time-scale. Also, a two-way multivariate ANOVA was performed to test if the classification accuracies and system performance differed for the three movement types when the signals were processed with the different spatial filters. The factors were 'movement type' and 'spatial filter'.

## **3. Results**

The results are summarized in table 2, 3 and 4.

### **3.1. Detection**

On average (across different tasks), the highest TPRs were obtained for LLSF (table 2), although for ME, OSF resulted in the greatest TPR. On average (across tasks) with the LLSF, 82±9%, 78±12% and 72±9% of the movements were correctly detected for ME, MI and attempted ME, respectively. When investigating the

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averaged TPRs, no significant interaction was observed between spatial filters and movement types ( $F_{(6,119)}=0.71; P=0.65$ ). However, a significant effect of spatial filter ( $F_{(3,119)}=5.03; P=0.003$ ) was found where the LLSF outperformed CSP. For the movement types ( $F_{(2,119)}=15.29; P=0.000001$ ), all three types were different. For the number of FPs/min there was an interaction between the type of spatial filter and movement type ( $F_{(6,119)}=3.78; P=0.002$ ). The main effects of spatial filter ( $F_{(3,119)}=4.95; P=0.003$ ) and movement type ( $F_{(2,119)}=10.87; P=0.00005$ ) were significant where LLSF and OSF led to less FPs/min compared to CSP, and ME and MI were lower than attempted ME (see table 2). For the detection latencies, no significant interaction was observed between spatial filter and movement type ( $F_{(6,119)}=1.52; P=0.18$ ), but the main effects of spatial filter ( $F_{(2,119)}= 5.06; P=0.003$ ) and movement type ( $F_{(2,119)}=19.11; P=0.007*10^{-8}$ ) were significant. The detection of movements occurred earlier with LLSF, OSF and CAR compared to CSP, and when ME or MI was performed compared to attempted movements.

To investigate if the TPRs were different for the four tasks a three-way ANOVA was performed. No differences were found; the interaction of movement types, spatial filter and tasks was not significant ( $F_{(18,479)}=0.45; P=0.98$ ).

In summary, the best detection performance was obtained with the LLSF, but it was not significantly different from OSF and CAR.

**Table 2:** The TPR for movement detection of ME, MI and attempted ME is presented. The results are presented (mean  $\pm$  standard deviation across subjects) for different spatial filters.

<b>Motor execution Healthy subjects</b>	<b>LLSF [%]</b>	<b>OSF [%]</b>	<b>CAR [%]</b>	<b>CSP [%]</b>
<i>Task</i>				
Fast 20% MVC	83 $\pm$ 6	84 $\pm$ 8	72 $\pm$ 7	77 $\pm$ 15
Fast 60% MVC	87 $\pm$ 10	86 $\pm$ 11	76 $\pm$ 9	80 $\pm$ 14
Slow 20% MVC	76 $\pm$ 9	79 $\pm$ 10	69 $\pm$ 8	72 $\pm$ 11
Slow 60% MVC	81 $\pm$ 8	82 $\pm$ 9	74 $\pm$ 14	81 $\pm$ 14
Mean across tasks	82 $\pm$ 9	83 $\pm$ 9	78 $\pm$ 14	73 $\pm$ 10
FPs/min	1.1 $\pm$ 0.7	1.1 $\pm$ 0.7	1.5 $\pm$ 0.9	2.6 $\pm$ 0.8
Detection latency [ms]	-297 $\pm$ 108	-244 $\pm$ 125	-297 $\pm$ 108	-269 $\pm$ 139
<b>Motor imagery Healthy subjects</b>				

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<i>Task</i>				
Fast 20% MVC	76±13	73±13	71±13	68±14
Fast 60% MVC	78±9	72±13	71±12	68±16
Slow 20% MVC	78±11	69±9	71±16	73±10
Slow 60% MVC	79±13	69±11	74±17	75±13
Mean across tasks	78±12	71±12	72±15	71±13
FPs/min	1.1±0.4	1.3±0.7	1.3±0.5	1.5±0.7
Detection latency [ms]	-209±84	-232±133	-236±99	-169±115

<b>Attempted movements</b>				
<b>Stroke patients</b>				
<i>Task</i>				
Fast 20% MVC	70±8	65±15	61±9	60±20
Fast 60% MVC	73±8	73±10	65±5	62±15
Slow 20% MVC	73±9	60±16	67±9	63±17
Slow 60% MVC	74±11	72±10	72±11	56±18
Mean across tasks	72±9	67±13	66±8	60±17
FPs/min	1.7±0.1	2±0.3	1.8±0.3	2.3±0.3
Detection latency [ms]	-96±44	-59±113	-64±76	-28±120
	<b>Mean±SD</b>	<b>Mean±SD</b>	<b>Mean±SD</b>	<b>Mean±SD</b>

### 3.2. Classification and system performance

The classification accuracies for the optimal features for each feature type and the combination of features are presented in table 3. The difference in classification accuracies was tested for the different spatial filters, feature types and movement types. The interaction of the three factors was not significant ( $F_{(6,479)}=1.41; P=0.12$ ), but the effect of feature type ( $F_{(3,479)}=107.24; P=0.07*10^{-50}$ ) and movement type ( $F_{(2,479)}=28.85; P=0.02*10^{-10}$ ) was significant. The classification accuracies associated with the time-scale features were lower than the other three feature types, and those obtained for MI were lower than ME and attempted ME. No difference was found in the classification accuracies when using the different spatial filters.

**Table 3:** The classification accuracies (CA) associated with the selected features are presented for ME, MI and attempted ME from stroke patients. The order of the features is the same as presented in the Methods section. Also, the classification accuracies are presented for the optimized feature combination. The results are presented for each of the spatial filtering techniques. ‘Temp’: Temporal, ‘Spec’: Spectral, ‘Ent’: Entropy and ‘Ti-Sc’: Time-Scale.

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<b>Large Laplacian spatial filter</b>				
Feature Type	Selected features	CA across tasks [%] Mean±SD	Optimal feature type combination	CA across tasks [%] Mean±SD
Temp	[1-4,6] / [1-3,5] / [1-4,6]	73±5 / 62±3 / 80±6	ME: [Temp+Spec]	73±9 / 52±14
Spec	[1-3,5] / [1-4] / [2,3,5]	66±3 / 60±1 / 58±3	MI: [Temp+Spec]	64±10 / 37±13
Ent	[1,3,4] / [1,4] / [1,4]	61±4 / 58±3 / 58±4	Stroke: [Temp+Spec]	80±12 / 61±19
Ti-Sc	[db1]/ [db4]/ [db4]	52±3 / 52±2 / 51±1		
<b>ME/MI/Stroke</b>		<b>ME/MI/Stroke</b>		<b>2-class / 4-class</b>

<b>Optimized spatial filter</b>				
Feature Type	Selected features	CA across tasks [%] Mean±SD	Optimal feature type combination	CA across tasks [%] Mean±SD
Temp	[1,3,4] / [1,3-5] / [1,3,5,6]	65±5 / 61±5 / 69±5	ME: [Temp+Spec+Ent]	75±14 / 51±19
Spec	[1,3-5] / [1,4,5] / [1,4,5]	71±4 / 71±6 / 71±6	MI: [Temp+Spec]	66±11 / 40±15
Ent	[3,4] / [1,2,4] / [1,4]	68±4 / 60±3 / 67±7	Stroke: [Temp+Spec+Ent]	77±14 / 57±20
Ti-Sc	[db6]/ [db7]/ [db6]	52±3 / 51±2 / 52±1		
<b>ME/MI/Stroke</b>		<b>ME/MI/Stroke</b>		<b>2-class / 4-class</b>

<b>Common average reference filter</b>				
Feature Type	Selected features	CA across tasks [%] Mean±SD	Optimal feature type combination	CA across tasks [%] Mean±SD
Temp	[1,3,4,6] / [1-4] / [1-4]	65±4 / 61±4 / 72±6	ME: [Temp+Spec+Ent]	72±11 / 48±16
Spec	[1,2,4,5] / [1,2,4,5] / [1,2,4,5]	66±3 / 58±2 / 67±5	MI: [Temp+Spec+Ent]	64±9 / 39±14
Ent	[3,4] / [1,2,4] / [1,3,4]	63±5 / 60±2 / 66±5	Stroke: [Temp+Spec+Ent]	77±13 / 55±18
Ti-Sc	[db1]/ [db1]/ [db1]	52±2 / 51±3 / 53±1		
<b>ME/MI/Stroke</b>		<b>ME/MI/Stroke</b>		<b>2-class / 4-class</b>

<b>Common spatial pattern filter</b>				
Feature Type	Selected features	CA across tasks [%] Mean±SD	Optimal feature type combination	CA across tasks [%] Mean±SD
Temp	[1,3,5,6] / [1,2] / [1-3,5,6]	57±2 / 58±2 / 62±3	ME: [Spec+Ent]	73±14 / 50±20
Spec	[1-5] / [1,3-5] / [1,3-5]	72±3 / 74±5 / 74±5	MI: [Temp+Spec]	67±10 / 42±15
Ent	[1,2,4] / [1,2,4] / [2-4]	68±2 / 63±1 / 71±5	Stroke: [Temp+Spec+Ent]	77±10 / 56±15
Ti-Sc	[db1]/ [db8]/ [db6]	52±3 / 51±2 / 52±1		
<b>ME/MI/Stroke</b>		<b>ME/MI/Stroke</b>		<b>2-class / 4-class</b>

Next, it was tested with a multivariate ANOVA if the optimized classification accuracies differed when pre-processing was performed with the different spatial filters for the three movement types. No significant

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interaction was observed ( $F_{(6,239)}=0.34; P=0.91$ ). The effect of movement type was significant ( $F_{(2,239)}=19.46; P=0.02*10^{-6}$ ), and it was found that different classification accuracies were obtained for each movement type with attempted ME being higher than ME and MI. For the system performance, when the TPR was combined with the classification accuracies, there was no significant interaction between the factors in the multivariate ANOVA ( $F_{(6,239)}=0.89; P=0.50$ ). The effect of movement types was significant ( $F_{(2,239)}=18.33; P=0.04*10^{-6}$ ) where better performance was obtained for ME and attempted ME compared to MI.

**Table 4:** Averaged system performance (across subjects and task pairs/tasks) for each spatial filter and movement type; this is reported for a 2-class and 4-class system. The percentage of correctly detected and classified movements is reported as well as the percentage of correctly detected and incorrectly classified movements.

System performance			
Spatial filter: # of classes	TPR*CA / TPR*(1-CA) ME – Healthy subjects	TPR*CA / TPR*(1-CA) MI – Healthy subjects	TPR*CA / TPR*(1-CA) ME – Stroke patients
LLSF: 2-class	60±10 / 22±8	49±10 / 29±8	58±10 / 14±9
OSF: 2-class	61±12 / 21±12	47±11 / 24±8	52±11 / 15±10
CAR: 2-class	56±11 / 25±9	46±10 / 26±7	51±9 / 16±9
CSP: 2-class	53±12 / 20±10	47±10 / 24±8	46±13 / 14±7
<hr/>			
LLSF: 4-class	42±13 / 39±12	28±11 / 49±13	44±15 / 28±14
OSF: 4-class	42±15 / 40±16	29±12 / 42±12	38±15 / 29±15
CAR: 4-class	37±14 / 41±14	28±11 / 44±13	36±12 / 30±12
CSP: 4-class	36±15 / 36±15	30±13 / 41±13	34±12 / 27±11
<hr/>		Mean±SD	Mean±SD
<hr/>		Mean±SD	Mean±SD

## 4. Discussion

In general, the highest TPRs and lowest number of FPs/min were obtained using a LLSF and OSF. The optimal features to use for classifying the movement kinetics were the combination of temporal and spectral features. The system performance for healthy subjects was not significantly different from the stroke patients.

### 4.1. Detection

The highest TPRs were obtained for the fast movements when the healthy subjects performed the movements; this is in agreement with previous findings (16). On the contrary, the TPRs for slow movements when

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performing MI and attempted movements were similar or slightly larger than the TPRs for fast movements. This is a bit surprising since the fast movements have larger signal-to-noise ratios compared to the slow movements (see figure 2) (11). Also, it is surprising that the TPRs for the stroke patients were lower than MI for healthy subjects when comparing the grand averages of the two movement types in figure 2; the amplitudes of the MRCPs were larger for the attempted movements compared to MI. Some of the patients had difficulties in relaxing between the movements leading to more FPs/min; therefore the detection threshold was increased to avoid too many FPs/min. The TPR (~80%), number of FPs/min (~1-3) and detection latencies of the detector are in the range of what has been reported previously for ME (15-17,19,20). The slight difference in detection latencies between cued and self-paced movements can be explained by the morphological difference between the signals (30). For MI, a higher TPR (~78%) was obtained compared to previous studies where 65-75% was obtained (6,7,15). Also, the performance of the stroke patients was better than previously reported (~60%) (15). The improved performance may be due to the fact that the healthy subjects and patients were visually cued (39).

The TPRs when using LLSF and OSF were higher compared to CSP processed data. This is consistent with another study where the effect of spatial filtering with OSF, LLSF and CSP was compared for self-paced executed movements (15). The reason for the lower performance of CSP may be due to too little training data to calculate optimal filter coefficients; also, the performance of OSF may be optimized with more training data since these techniques are data-driven approaches. In the current study, the performance of using OSF and LLSF was not different, on the contrary to the findings in Niazi et al. (2011) where OSF was associated with greater TPRs. This can possibly be due to the differences in signal morphology for cue-based and self-paced movements as well as the fact that the movements were performed with different kinetic profiles, which also affect signal morphology (see figure 2) (11,30).

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### *4.2. Feature extraction and classification*

It was found that the temporal features were the most discriminative, but the performance was slightly improved when information from the spectral domain and entropy-based features were incorporated. The combination of features from the time and frequency domain has previously been reported for discrimination of different levels of speed for imagined hand movements (26). The performance of the classifiers for ME and MI was comparable to previous studies using temporal (~75%, ME), spectral (~65-70% for fast vs. slow MI) and entropy-based features (~60%, ME) (16,24,40). However, the performance obtained with the time-scale based features using various Daubechies mother wavelets was lower compared to the findings when using parameterized and optimized mother wavelets with more data available for feature extraction (~70-80%) (21-23,27). The classification accuracies obtained from the stroke patients were surprisingly higher than those obtained from the healthy subjects. It is indicated in figure 2 that the attempted movements may be more separable than at least MI. The difference between the classification accuracies of attempted movements and ME may be explained by the amount of information that was available for feature extraction (16). For attempted movements, data until  $96\pm44$  ms before the movement onset were used for feature extraction compared to  $297\pm108$  ms for ME (see the separation between the average MRCPs around the movement onset in figure 2). The classification accuracies for the 2-class and 4-class problems were above the chance level reported with a confidence interval corresponding to a significance level of  $\alpha=5\%$  (41).

In this study, subject independent features (and the combination of these) were used, but to optimize the performance subject-dependent features could be extracted. To avoid large dimensionalities of the feature vectors, different feature selection techniques could be used to select the optimal feature set for the specific subject. This approach requires more training of the system.

### *4.3. Implications*

The findings indicate that a simple LLSF can be used for pre-processing the data to obtain a relatively high detection performance and that the classification of movement kinetics can be performed with subject

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independent temporal and spectral features. The limited number of channels, simple pre-processing and subject independent features indicate that the system can be implemented online and potentially moved out of the laboratory. The performance of the detector is in the range of what has previously been reported for inducing plasticity (6). When detection is combined with classification, however, the performance needed to induce plastic changes is not known as well as the effect of providing incorrect feedback as a result of incorrect classification. With the current performance of the detector, stroke patients may use such a BCI system for rehabilitation, and it may be possible to extend the detector with classification of movement kinetic information, so more degrees of freedom are obtained. This can potentially be used to introduce task variability in the training and provide sensory feedback according to the efferent activity of the brain; however, the effect of this needs to be addressed.

### *4.4. Limitations*

The analysis was performed offline; however, due the simplicity of the detector and classifier it is feasible to implement them in an online system. The classification accuracies may be more variable using an online system due to the variation in the detection latencies of the movements. This may reduce or increase the amount of discriminative information that can be used for classification. The detection threshold may be modified to tune the detection latency, so it will be reduced (~50-100 ms before the movement onset) which is needed to induce plasticity (4). Modifying the threshold in this way will reduce the number of FPs/min, but also the TPR will be reduced. The system performance of the 2-class systems is in the range to be used for asynchronous control purposes; however, the performance of the 4-class system is not in that range. To reach that level in performance, the number of classes may be reduced from four to three.

## **5. Conclusion**

This study demonstrates that the best detection performance was obtained with LLSF and OSF across tasks and movement types. Also, it was found that the discrimination between movement kinetics can be optimized by combining features extracted from the time and frequency domain. Based on the performance of the stroke

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patients, it is feasible to implement a multiclass MRCP-based BCI for stroke rehabilitation where movements can be detected and kinetic information decoded.

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