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## Obstructive sleep apnea is common in patients with high-impact chronic pain - an exploratory study from an interdisciplinary pain center

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*Published in:*  
Scandinavian Journal of Pain

*DOI (link to publication from Publisher):*  
[10.1515/sjpain-2021-0112](https://doi.org/10.1515/sjpain-2021-0112)

*Publication date:*  
2022

*Document Version*  
Accepted author manuscript, peer reviewed version

[Link to publication from Aalborg University](#)

*Citation for published version (APA):*

Larsen, D. B., Bendix, L., Abeler, K., Petersen, K. K., Sprehn, M., Bruun, K. D., Blichfeldt-Eckhardt, M. R., & Vaegter, H. B. (2022). Obstructive sleep apnea is common in patients with high-impact chronic pain - an exploratory study from an interdisciplinary pain center. *Scandinavian Journal of Pain*, 22(1), 106-117. Article A195. Advance online publication. <https://doi.org/10.1515/sjpain-2021-0112>

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50 19 **Original publication for** Scandinavian Journal of Pain

51  
52 20 **Running title:** Sleep disordered breathing in severe chronic pain

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4 31 **Abstract**

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6 32 **Background:** Sleep disturbances are increasingly recognized as a major part of chronic pain  
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9 33 pathology. Obstructive sleep apnea (OSA) is a common occurrence in patients with chronic pain  
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11 34 attending specialized pain clinics, yet its prevalence remains unclear. Using screening tools such  
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14 35 as the Berlin and STOP-BANG questionnaires may aid in early identification of OSA and improve  
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16 36 clinical care. This study i) examined the frequency of OSA based on objective sleep monitoring in  
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19 37 patients with high-impact chronic pain, ii) explored potential differences in self-reported pain and  
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21 38 sleep characteristics between patients with and without OSA, and iii) tested the agreement between  
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24 39 OSA classification based on objective assessment and two OSA screening questionnaires.

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26 40 **Methods:** A consecutive cohort of 90 patients (71 women and 19 men; mean age:  $47.1 \pm 11.0$  years)  
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29 41 referred for interdisciplinary pain treatment, underwent one night of sleep monitoring using  
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31 42 portable respiratory polygraphy (RP), and suspected OSA was confirmed with polysomnography  
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34 43 (PSG). Self-reported data on clinical pain (severity, pain drawings and health-related quality of  
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36 44 life), sleep characteristics (sleep quality insomnia, sleepiness), and risk of OSA (Berlin and STOP-  
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38 45 BANG questionnaires) were collected the day before RP assessment.

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41 46 **Results:** Forty-six (51.1%) patients were classified with OSA according to RP and verified with  
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43 47 PSG. Twenty-eight patients (31.1%) had moderate or severe OSA (apnea-hypopnea index [AHI]  
44  
45 48  $>15$ ). Patients with OSA reported lower sleep quality compared with patients without OSA. Scores  
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48 49 on pain severity, disability, quality of life, insomnia and sleepiness were comparable between  
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51 50 patients with and without OSA. Sensitivity and specificity were 78.6% and 45.2% respectively for  
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53 51 the Berlin questionnaire, and 71.4% and 58.1% respectively for the STOP-BANG questionnaire.  
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55 52 The agreement for both questionnaires with objective assessment was poor-to-fair. Both  
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53 questionnaires had acceptable negative predictive values but low positive predictive values  
54 reducing the clinical utility to identify patients with low OSA-risk in this sample.

55 **Conclusion:** The current study demonstrates a high prevalence of OSA in patients with high-  
56 impact chronic pain referred to specialized pain treatment, however the clinical pain profiles were  
57 similar in patients with and without OSA. The Berlin and STOP-BANG questionnaires have poor  
58 specificity and low-to-fair agreement with RP/PSG questioning their clinical utility in identifying  
59 OSA in this sample.

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**Keywords:** Sleep, chronic pain, OSA, respiratory polygraphy, STOP-BANG, Berlin

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77 **1. Introduction**

78 Chronic pain, recognized by the International Association for the Study of Pain (IASP) as pain that  
79 persists longer than 3 months [1], remains a major societal challenge given its contribution to years  
80 lived with disability [2]. Several lines of evidence have shown associations between a variety of  
81 chronic pain conditions and psychological factors such as pain catastrophizing [3], depression [4],  
82 and anxiety [5]. However, a recent systematic review highlighted the need for a better  
83 understanding of underlying factors for chronic pain [6]. Earlier evidence has demonstrated that  
84 partial [7] and total sleep deprivation [8] may affect central pain mechanisms, indicating that  
85 regular sleep is important to maintain a healthy response to pain. Indeed, a frequent complaint in  
86 chronic pain is disturbance of sleep, where an estimated 66-88% of patients with chronic pain  
87 report low-quality sleep [9–11]. While a recent review on polysomnographic findings in  
88 nonmalignant chronic pain, reported a non-consistent pattern of objective sleep disturbances [12],  
89 recent meta-analyses [13,14] reported that chronic pain and fibromyalgia patients experience sleep  
90 disturbances involving sleep initiation and maintenance. Other studies have shown that sleep  
91 disturbances plays a pivotal part in chronic pain pathology [15,16]. For instance, a recent machine  
92 learning study on 277 patients undergoing tertiary pain management, demonstrated that patients  
93 with severe pain were often characterized as having sleep problems along with more pain areas,  
94 greater affective pain interference, fear of pain, and lower self-rated health [17]. In this respect,  
95 sleep disordered breathing (SDB) is a prevalent sleep problem that has been reported as high as  
96 32-82% in women with fibromyalgia [18,19]. Obstructive sleep apnea (OSA) is the most common  
97 type of SDB. OSA is clinically characterized by excessive daytime sleepiness, insomnia, fatigue  
98 or decreased quality of life [20,21] and is diagnosed by sleep recordings - respiratory polygraphy  
99 (RP) or polysomnography (PSG) - quantifying apneas and hypopneas per hour in the apnea-

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100 hypopnea index (AHI). In an elderly population it was shown that subjects diagnosed with OSA  
101 also exhibited increased pain sensitivity [22]. It therefore seems evident that sleep and pain are  
102 intimately linked, and even if concurrent treatment of pain and sleep impairment is warranted, this  
103 is rarely done in a systematic manner [23]. Traditionally, sleep disturbances in patients with  
104 chronic pain have been based on self-reported questionnaires on e.g. poor, non-restorative sleep  
105 with multiple nightly awakenings [10,24], whereas the current gold-standard for assessing sleep  
106 disturbances is polysomnography as is utilized in e.g. OSA [25]. However, since PSG requires  
107 technical prowess and is expensive to utilize [26,27], the clinical implementation for assessment  
108 of SDB in patients with chronic pain presents a challenge, even if screening for e.g. OSA may be  
109 relevant in this population [9]. Further, it remains unclear if OSA screening questionnaires such as  
110 the Berlin [28] and the STOP-BANG [29] questionnaires may inform on OSA presence in  
111 agreement with objective findings in chronic pain patients. [12].

112         Therefore, the current study aimed to explore the frequency of OSA based on objective  
113 sleep monitoring in patients with high-impact chronic pain, defined as persistent pain with  
114 substantial restriction of life activities lasting 6 months or more [30], referred for interdisciplinary  
115 pain treatment. Secondary aims were to identify possible differences in clinical pain characteristics  
116 between patients with and without OSA, and to determine the agreement in classification of OSA  
117 between the objective assessment and two OSA screening questionnaires (the Berlin questionnaire  
118 and the STOP-BANG questionnaire). Based on earlier evidence we hypothesized (1) high  
119 prevalence of OSA in the current sample; (2) patients with OSA would present with worse clinical  
120 characteristics when compared to no-OSA patients; and (3) that subjective questionnaires (Berlin  
121 and STOP-BANG) would show high agreement with objective OSA classification [28,31].

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123 **2. Methods and materials**

124 *2.1 Patient population*

125 Patients between 18-65 years of age referred for interdisciplinary pain treatment at the University  
126 Hospital Pain Center in Odense, Denmark between January and December 2017, were included as  
127 part of the everyday clinic. Exclusion criteria were inability to understand Danish and currently  
128 undergoing treatment for sleep apnea. All patients referred to the Pain Center have chronic (> 6  
129 months) non-cancer pain conditions and have previously tried a number of treatments in primary  
130 and secondary care settings with an unsatisfactory clinical response. Patients in this setting have  
131 moderate to severe pain intensity, high disability, and psychological distress, and most report pain  
132 in more than one body area [32–34]. This exploratory and observational cohort study was  
133 registered and approved by the local ethics committee (S-20160129) and The Danish Data  
134 Protection Agency and was conducted in accordance with the Declaration of Helsinki.

136 *2.2 Procedure*

137 During the initial visit to the Pain Center, patients were informed about the study. Patients who  
138 consented to participate were scheduled for a clinical examination on a separate day. At this visit  
139 patients completed the questionnaires and underwent a clinical examination. Hereafter, patients  
140 were instructed how to use the sleep monitoring device, and underwent one night of sleep  
141 monitoring the following night.

143 *2.3 Monitoring of obstructive sleep apnea*

144 To evaluate the presence of OSA, all patients underwent one night of sleep monitoring with a  
145 portable RP sleep device (NOX T3™, NOX Medicals, Iceland). RP is an accepted modality for



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146 diagnosing OSA by the 3<sup>rd</sup> edition of the International Classification of Sleep Disorders (ICSD-3)  
147 [35]. The NOX T3<sup>TM</sup> was originally validated for detecting OSA by assessing airflow (cannula  
148 pressure transducer), thoracic and abdominal respiratory effort (respiratory inductance  
149 plethysmography), wireless pulse oximetry, body position, snoring, actigraphy, and audio, and has  
150 good measure agreement with polysomnography (PSG) [36]. Abnormal (AHI > 5) or inconclusive  
151 RP results were confirmed by PSG at the Odense University Hospital Respiration Center within  
152 approximately 2 weeks after the RP.

153  
154 *2.3.1 Polysomnography*

155 NOX A1 equipment and software from NOX medical version 5.1.3.20388, were used for PSG,  
156 and the recording and scoring were performed by trained clinicians in accordance with The  
157 American Academy of Sleep Medicine (AASM) Manual for the Scoring of Sleep and Associated  
158 Events, version 2.5 [37]. Six electroencephalogram leads (F3/F4, C3/C4, O1/O2), right and left  
159 electro-oculogram, and submental electromyogram were used for sleep scoring. Pressure flow  
160 nasal cannula, inductive thoracic and abdominal belts (effort), and oximetry were used for  
161 respiratory assessment, and the AASM hypopnea scoring rule 1A was applied ( $\geq 10$  s duration of  
162  $\geq 30\%$  of air flow reduction associated with a  $\geq 3\%$  decrease in oxygen saturation and/or an  
163 electroencephalographic arousal). Apneas were scored when there were a 90% drop in the flow  
164 signal lasting 10 or more seconds. The apnea-hypopnea index (AHI) is used to grade the severity  
165 of OSA into mild OSA, defined as AHI of 5-15, and moderate-severe OSA defined as AHI  $\geq 15$   
166 [38].

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168 *2.4 Clinical examination*

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169 Prior to sleep monitoring, patients were assessed by a pain specialist from the Pain Center and  
170 based on pain distribution and pain history patients were classified into one of the following pain  
171 conditions: widespread pain, radiating back/neck pain, neuropathic pain condition or  
172 regional/localized pain.

173 Height, weight, blood pressure, neck circumference, and Mallampati score were measured  
174 by a trained nurse. The Mallampati score is categorized in four classes, based on a visual  
175 assessment of the distance from the tongue base to the roof of the mouth. A higher class indicating  
176 less space, has been associated with higher incidence of OSA [39].

177  
178 *2.5 Questionnaire data*

179 A web-based questionnaire system (PainData) was used to collect data on demographics (sex, age),  
180 clinical pain characteristics and sleep (quality, insomnia, sleepiness, risk of OSA) was completed  
181 at the hospital prior to the clinical examination [40].

182  
183 *2.5.1 Assessment of pain intensity and health-related quality of life*

184 Peak and average pain intensity during the last 24 hours were assessed on two 11-point numeric  
185 rating scales ranging from 0 (no pain) to 10 (worst imaginable pain) [41], which has been shown  
186 to be reliable and valid [42]. Furthermore, patients completed pain drawings indicating all  
187 locations - divided into 71 body areas - with pain during the previous week [40].

188 Health-related quality of life (QOL) was assessed using the 0 to 100 Visual Analog Scale  
189 (VAS) included in the EuroQol 5-D questionnaire with 100 indicating the best QOL [43].

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191 *2.5.2 Sleep-related questionnaires and assessment of risk factors for OSA*

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192 Sleep quality was assessed using the four items from the Karolinska Sleep Questionnaire (KSQ)  
193 sleep quality subscale, assessing frequency of sleep disturbance, early awakenings, difficulties  
194 falling asleep and night time awakenings with difficulty returning to sleep [44,45]. In the Danish  
195 version of the KSQ, participants respond using one of 5 categories (1= every night or almost every  
196 night, 2= several times a week, 3= several times a month, 4= several times a year, 5= never). The  
197 questions and response options used in this study have previously been used to explore sleep  
198 difficulties in a large Danish study [46].

199           Insomnia was assessed with the Insomnia Severity Index (ISI) which encompasses seven  
200 items measuring severity of sleep-onset; sleep maintenance and early morning awakening  
201 difficulties; satisfaction with sleep patterns; daily function interference; impairments due to sleep  
202 problems; and distress or concerns due to sleep problems [47]. Each item is rated from 0-4 (0= no  
203 problem, 4= severe problem), yielding a total score of 28, with higher scores reflecting worse  
204 insomnia, and is validated for use in research [48] and primary care [49].

205           Daytime sleepiness was assessed with the Epworth Sleepiness Scale (ESS). The subjects  
206 rate their chance of dozing off or falling asleep in eight different daytime situations, on a 4-point  
207 Likert scale (0= would never doze, 3= high chance of dozing). ESS scores greater than 11 is  
208 indicative of excessive daytime sleepiness [50].

209           Risk factors for OSA was evaluated by the Berlin questionnaire and The STOP-BANG  
210 questionnaire, which screens for the probability of OSA. The Berlin Questionnaire spans three  
211 categories, snoring/gasping; daytime somnolence; and hypertension/BMI. Patients with a positive  
212 score in two or more categories are considered at high-risk for OSA [28]. The STOP-BANG  
213 questionnaire [31] is a four-item forced yes/no questionnaire which assesses snoring; tiredness,  
214 fatigue, or daily sleepiness; external observation of cessation of breathing; and blood pressure, and

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215 is validated in e.g. patients undergoing elective surgery [31], and patients referred to sleep clinics  
216 [29]. Three or more positive responses on the questionnaire indicate higher risk of OSA with BMI  
217 greater than 35 kg/m<sup>2</sup>, age older than 50, male gender, and neck circumference greater than 40 cm  
218 greatly increasing the predictive value for OSA [31].

220 *2.6 Statistical analysis*

221 All statistical analyses were performed in SPSS version 24 (IBM Corporation, Armonk, NY). *P* <  
222 0.05 was considered significant, and due to the exploratory nature of the study, p-values were not  
223 adjusted for multiple comparisons.

224 Descriptive data for objective sleep monitoring and questionnaire data on demographics, pain  
225 characteristics and sleep are reported as count and proportions (%) with 95% confidence intervals  
226 or means and SDs. For exploration of potential differences between patients with and without  
227 OSA, t-tests or Chi<sup>2</sup> tests were used. Possible differences between patients with mild OSA and  
228 moderate to severe OSA vs no OSA were explored using one-way analysis of variance (ANOVA).  
229 In addition, because of the different gender ratios between groups and the significant differences  
230 between men and women in clinical pain parameters, all parameters were gender adjusted by z-  
231 transformation by subtraction of the mean values divided by the SD for men and women,  
232 respectively.

233 To explore performance characteristics of the Berlin questionnaire and the STOP-BANG  
234 questionnaire, contingency tables were made using objective RP (verified by PSG) as reference  
235 standard. Data were derived from the tables to calculate sensitivity, specificity, positive and  
236 negative likelihood ratios, and positive and negative post-test probabilities. The sensitivity  
237 measure is the proportion of patients correctly identified with OSA by the questionnaire (compared

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238 to reference). The specificity measure is the proportion of patients correctly identified as not  
239 having OSA by the questionnaire. The positive and negative post-test probability measures are the  
240 probabilities of the presence of OSA after scoring above or below cut-off on the respective  
241 questionnaires. The positive predictive value reflects the probability that a patient is classified with  
242 OSA by the questionnaire and the objective assessment. Conversely, the negative predictive value  
243 is the probability that a patient is considered no-OSA by the questionnaire and the objective  
244 assessment.

245 Cohen's kappa coefficient was used to explore agreement between objective and subjective  
246 (Berlin Questionnaire and the STOP-BANG) classification of OSA. Kappa values of 0.81-1.0 was  
247 interpreted as almost perfect agreement, 0.61-0.80 as substantial agreement, 0.41-0.60 as moderate  
248 agreement, 0.21-0.40 as fair agreement, and 0.0-0.20 as poor agreement [51].

### 3. Results

252 In total, 91 patients were enrolled in the study, but due to complications with sleep while using the  
253 provided equipment, one patient withdrew. Therefore, 90 patients (71 women and 19 men; mean  
254 age: 47.1±11.0 years) were enrolled in this exploratory study. Based on the clinical examination,  
255 patients were classified into the following pain conditions: widespread pain (58%), radiating back  
256 pain (13%), neuropathic pain (4%), and regional pain (25%). The average pain duration was 12.3  
257 years (range: 10 months to 44 years).

#### 3.1 Frequency of sleep disordered breathing

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260 Forty-six of the 90 patients were diagnosed with OSA on RP and verified by PSG (prevalence of  
261 51.1% [95% CI 40.3% - 61.8%]). Twenty-eight patients had moderate to severe OSA (prevalence  
262 of 31.1% [95% CI 21.8% - 41.7%]), and 18 patients had mild OSA (prevalence of 20% [95% CI  
263 11.7% - 28.3%]).

### 265 *3.2 Self-reported socio-demographics, pain and sleep characteristics in patients with and without* 266 *OSA*

267 As illustrated in Table 1, patients in the OSA group were more often men, had higher age and BMI  
268 and neck circumference compared with patients in the no-OSA group. These differences were  
269 mainly explained by differences between patients with moderate to severe OSA compared with  
270 patients with no OSA. In addition, the score on the KSQ sleep quality subscale was lower in the  
271 OSA group compared with the no-OSA group. This between-group difference was mainly due to  
272 differences in the proportions of patients with frequent repeated awakenings and early awakenings.  
273 No significant differences were observed for clinical pain characteristics, insomnia, or sleepiness.  
274 As illustrated in Table 2, similar findings were observed for the gender-adjusted values.

### 276 *3.3 Performance characteristics for the two OSA screening questionnaires*

277 In total, 56 patients (62.2%) were classified with high risk of OSA based on the Berlin  
278 Questionnaire. The contingency table is presented in Table 3 and performance characteristics are  
279 shown in Table 4. The Berlin questionnaire showed a sensitivity of 78.6% (correctly identified by  
280 the questionnaire as OSA) and a specificity of 45.2% (correctly identified by the questionnaire as  
281 not having OSA). The negative predictive value was 82% (i.e. the probability that a patient with a  
282 negative questionnaire is also classified as no-OSA by the objective assessment). The positive

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283 predictive value was 39% (i.e. the probability that a patient with a positive questionnaire is also  
284 classified with OSA based by the objective assessment). Examination of the agreement in  
285 classification of OSA based on the questionnaire versus objective assessment showed a significant  
286 but poor agreement ( $\kappa = 0.18$  (95% CI: 0.03 to 0.35),  $P = 0.03$ ).

287 In total, 46 patients (51.1%) were classified with high risk of OSA based on the STOP-  
288 BANG questionnaire. The contingency table is presented in Table 3 and performance  
289 characteristics are shown in Table 4. The STOP-BANG questionnaire showed a sensitivity of  
290 71.4% (correctly identified by the questionnaire as OSA) and a specificity of 58.1%. The negative  
291 predictive value was 82%. The positive predictive value was 44%. Examination of the agreement  
292 in classification of OSA based on the questionnaire versus objective assessment showed a  
293 significant and fair agreement ( $\kappa = 0.25$  (95% CI: 0.07 to 0.18),  $P = 0.01$ ).

## 295 Discussion

296 This study demonstrated a high frequency of 51.1% of patients with OSA, with 31.1% presenting  
297 the moderate-to-severe manifestation. Furthermore, gender-adjusted estimates of pain  
298 characteristics, reports of insomnia, and sleepiness did not differ between OSA and no-OSA  
299 groups. Performance characteristics of the Berlin questionnaire showed a sensitivity of 76.8% and  
300 specificity of 45.2%, with a significant but poor agreement with objective RP assessments. The  
301 STOP-BANG questionnaire had a sensitivity of 71.4% and specificity of 58.1%, with a significant  
302 fair agreement with RP assessments. Both questionnaires had acceptable negative predictive  
303 values but low positive predictive values indicating a potential clinical utility of identifying  
304 patients with low risk of OSA in this sample.

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306 *Prevalence of OSA in patients with high-impact chronic pain referred for interdisciplinary*  
307 *treatment*

308 In the current study, 51.1% of patients with high-impact chronic pain were diagnosed with clinical  
309 OSA. This finding is in agreement with a recent study on predictive factors for OSA in patients  
310 with chronic pain, where 58.8% of patients presented with OSA [52], and a recent meta-analysis  
311 on 37 studies which estimated a pooled prevalence of 44% (32% for OSA) for clinically diagnosed  
312 sleep disorders in a broad population of patients with chronic pain [13]. Furthermore, the  
313 prevalence of moderate-severe OSA is similar to a recent study investigating sleep characteristics  
314 in chronic musculoskeletal pain patients (31.1% and 28.6%, respectively) [53]. The prevalence of  
315 OSA in the current cohort is much greater than what has been estimated in the general population.  
316 For instance, the well-known Wisconsin Sleep Cohort Study estimated the prevalence of SDB to  
317 be 6.5% in women, and 17% in men, whereas a more recent population-based study investigated  
318 2,121 people and reported a prevalence of 23.4% in women and 49.7% in men [54,55]. As such,  
319 even if the estimated prevalence of SDB in the general population may increase due to  
320 advancements in technology and guidelines [37] for the diagnosis of e.g. OSA [56], it is important  
321 to recognize the high prevalence in chronic pain populations. In a large-scale retrospective study,  
322 an OSA prevalence of 13.8% out of 4,036 chronic spinal pain patients was reported [57].  
323 Furthermore, sleep disturbances are commonly reported in patients with fibromyalgia [18] and  
324 osteoarthritis [58,59]. The current study supports and extends these findings by showing a similar  
325 high prevalence of diagnosed OSA in patients with high-impact chronic pain referred for  
326 interdisciplinary treatment. As it is known that OSA incidence increase with age (in particular  
327 between ages 40-60) [60], it should be considered if the higher prevalence reported here, is due to  
328 age differences between the included participants and earlier study cohorts. When compared to the



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329 Wisconsin Sleep Cohort study, the included cohort is younger ( $48.4 \pm 7.7$  years versus  $47.1 \pm 11$ ,  
330 respectively) [55], and similarly younger when compared to a recent large-scale OSA study in the  
331 general population [61]. Age alone, can therefore not explain the higher prevalence in OSA in our  
332 sample of high-impact chronic pain patients. It is also worth noting that half of the current sample  
333 was on prescription opioids for pain management, however no difference in opioid use between  
334 mild and moderate-to-severe OSA was found. However, it is important to note that the current  
335 study was not powered to investigate differences in opioid use in mild versus moderate-to-severe  
336 OSA. Similar percentages of opioid use were reported in a recent large-scale study in more than  
337 12,000 patients with high-impact chronic pain in Denmark [40] which found that 39.1% of women  
338 and 51% men were using opioids at the time of attendance. Opioid-induced SDB has been  
339 extensively studied [62–64], and a recent systematic review and meta-analysis reported a SDB  
340 prevalence of 63% and 91% in non-cancer chronic pain patients on prescription opioids, attending  
341 pain or sleep clinics, respectively [65].

#### 343 *4.2 Clinical characteristics in patients with and without OSA*

344 Findings from the current study did not suggest a difference in pain intensity, insomnia complaints  
345 or sleepiness when comparing patients with and without OSA, although scores on average pain  
346 intensity approached significance suggesting higher pain intensity in patients with moderate to  
347 severe OSA. A recent systematic review found substantial differences in pain outcomes (increased  
348 pain intensity or decreased pain tolerance) when comparing patients with and without OSA [66].  
349 Co-occurrence of OSA and insomnia is well-established [67], and an explanation for the discrepant  
350 findings may be, that since insomnia complaints were not different between the groups, sleep  
351 disturbances were not of sufficient magnitude to affect general pain perception as seen in e.g. knee

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352 osteoarthritis patients with and without insomnia [68]. It could be speculated that co-morbid  
353 insomnia may be one mediating factor for increased pain perception in high-impact chronic pain  
354 patients, independent of clinical OSA or not, but is outside the scope of the current report.

355 Conversely, KSQ sleep quality was significantly worse for the OSA group when compared to the  
356 no-OSA group. While patients with high-impact chronic pain have increased mortality rates when  
357 compared to the general population [69], it is still unclear if and how the high prevalence of OSA  
358 may affect this. However, OSA is associated with increased risk of cardiovascular diseases, e.g.  
359 through intermittent hypoxemia, vascular endothelial dysfunction, and oxidative stress [70], and  
360 is known to increase all-cause mortality [71]. This emphasizes the importance of early  
361 identification of OSA in patients with chronic pain, since a recent state-of-the-art article outlined  
362 that the use of continuous positive airway pressure effectively improved quality of life, mood, and  
363 work productivity, and lowers risk of cardiovascular events [72]. As such, future studies are  
364 encouraged to investigate the prevalence of OSA in patients with chronic pain and its association  
365 with mortality and e.g. comorbid cardiovascular diseases.

366 In the current study, there was a lower proportion of patients in the no-OSA group reporting  
367 frequent repeated and early awakenings on the KSQ as compared to the OSA groups, with a  
368 possible dose-response pattern with more repeated and early awakenings, the worse the sleep  
369 problems become. This, however, remains a speculation for now, given the sample sizes and  
370 potential power issues. Daytime sleepiness, as reflected by the ESS, and insomnia, as measured by  
371 the ISI, did not differ between the no-OSA and OSA groups, which is surprising since these are  
372 two of the main symptom clusters related to OSA. Possible explanations are that the OSA measure  
373 (current AHI-scoring) is too sensitive thereby identifying less symptomatic patients or that there  
374 are characteristics related to having chronic pain that may mask OSA-symptoms. In contrast, an

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375 earlier large-scale study suggested an association between daytime sleepiness and SDB in men,  
376 but not in women [73], yet contrasting findings are available in e.g. atrial fibrillation patients [74]  
377 and general population [61]. As such, the utility of including the Epworth Sleepiness Scale in  
378 assessing potential OSA, remains questionable, which is further supported by the current study.  
379 For insomnia, Cho et al. [75] showed that in 476 patients with OSA, 29.2% of the patients also  
380 presented with insomnia, which is further supported by a recent systematic review and meta-  
381 analysis which estimated a 27-29% prevalence of insomnia in patients with OSA [76]. The current  
382 results suggest that while insomnia is reported in patients with chronic pain, this may not be related  
383 to the presence of co-morbid OSA. Future studies could focus on investigating the relationship  
384 between the clinical presentation of e.g. mild versus moderate to severe OSA and awakenings, and  
385 possibly how this may relate to pain as earlier evidence suggest that co-morbid OSA or insomnia  
386 may impact pain intensity reports in patients with chronic pain [77].

387  
388 *4.3 Classification of patients with high risk of OSA based on the Berlin and STOP-BANG*  
389 *questionnaire and performance characteristics*

390 The Berlin questionnaire identified 62.2% of the cohort to be at high-risk for moderate-to-severe  
391 OSA. Similar findings were reported in a study on 316 patients recruited from respiratory clinics,  
392 where 69.7% and 77.5% were identified at high risk for OSA, depending on allocation into a  
393 home-based sleep test or hospital-based PSG group [78]. The sensitivity and specificity were found  
394 to be 78% and 23% when predicting an apnea-hypopnea index  $\geq 15$  as diagnosed by PSG [78].  
395 The current study agrees with respect to the sensitivity, but with a higher specificity which may be  
396 due to the difference in patient populations included (patients with high-impact chronic pain versus  
397 patients referred for suspected OSA). Moreover, a systematic review and meta-analysis on the

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398 performance characteristics of the Berlin questionnaire estimated a sensitivity and specificity of  
399 69% and 83%, respectively in general populations and 69% and 56%, respectively in surgical  
400 populations, when using PSG as reference [79]. As such, the current findings support that the  
401 Berlin may have modest-to-high sensitivity for detecting OSA. However, as the Berlin  
402 questionnaire had an acceptable negative predictive value but a low positive predictive value it  
403 seems most useful for identifying patients with low OSA-risk in the current sample.

404         The STOP-BANG questionnaire identified 51.1% of the included patients to be at high-  
405 risk for OSA. In agreement, an earlier study found, that in 305 chronic pain patients, 58.2% of the  
406 patients screened positive for OSA by the STOP-BANG questionnaire [80]. Unfortunately, the  
407 previous study did not report on polysomnographic diagnosed OSA to confirm the validity of these  
408 findings. Another recent study conducted in 204 patients with chronic pain, found that for each  
409 one-unit increase in the STOP-BANG score, odds for moderate-to-severe OSA was increased by  
410 70% [52]. The STOP-BANG questionnaire sensitivity and specificity for OSA in the current study  
411 showed lower sensitivity and specificity when compared with earlier evidence (sensitivity and  
412 specificity for OSA: 92.9% and 43%) [31]. The discrepancy may be due to differences in the  
413 included populations, as the current study employed the STOP-BANG questionnaire in patients  
414 with high-impact chronic pain. In addition, the current results indicate that patients with OSA were  
415 older, had higher BMI, and larger neck circumference as compared with patients without OSA.  
416 Further, when compared to a recent large-scale publication in high-impact chronic pain [40], the  
417 included cohort had slightly higher BMI, which may have affected the performance of the STOP-  
418 BANG questionnaire. This is in line with the original STOP-BANG questionnaire validation study  
419 which also reported that BMI > 35 kg/m<sup>2</sup>, age > 50 years, and neck circumference > 40 cm greatly  
420 increased the positive predictive value for OSA [31]. Like the Berlin questionnaire, the STOP-

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421 BANG questionnaire had an acceptable negative predictive value but a low positive predictive  
422 value, and implementation of the STOP-BANG questionnaire may aid in identifying persons with  
423 low OSA risk in high-impact chronic pain patients.

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#### 425 *4.4. Clinical implications*

426 The STOP-BANG and Berlin questionnaire can be used to identify patients with low risk of OSA.  
427 Among those with a higher risk, and based on the current findings, it can be recommended that  
428 clinicians systematically ask high-impact chronic pain patients about their sleep patterns. This  
429 should also encompass plausible comorbidities such as insomnia, cardiovascular diseases,  
430 diabetes, as well as willingness to comply with the treatment (continuous positive airway pressure)  
431 for OSA, which has notoriously suboptimal compliance rates (reported 68-84%) [81].

432

#### 433 *4.5 Limitations*

434 The current exploratory study has several limitations. Only 90 patients were included. This,  
435 however, is unlikely to have affected the reported sleep disturbances, as a recent large-scale study  
436 (n=12257) in high-impact chronic pain [40] demonstrated similar percentage reports of difficulties  
437 falling asleep (64.3% versus 67.6%, current findings versus large-scale study, respectively),  
438 disturbed/poor sleep (81% versus 80.5%), repeated awakenings (61% versus 70.2%), and early  
439 awakenings (57.1% versus 62%). One limitation is that data on the inclusion and retention rate are  
440 not available, and we can therefore not exclude that a predominance of patients with greater sleep  
441 disturbances are represented in the current study. However, we find that unlikely as the percentages  
442 reporting sleep problems (as reported above) are similar to findings in other larger-scale studies.  
443 Furthermore, as no a priori sample size calculation was performed, the current study may be

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444 underpowered, particularly for the three group comparisons (no OSA versus mild versus moderate-  
445 to-severe OSA). It is suggested that findings between the three subgroups are interpreted with care.  
446 Another limitation is that PSG was solely performed when RP showed an AHI > 5. RP is normally  
447 known to underestimate OSA since it does not take into account the actual sleep duration (total  
448 sleep time) and applies less sensitive scoring of hypopneas (based only on desaturations, not  
449 cortical arousals) [82]. We can therefore not rule out with certainty that OSA was present also in  
450 patients that did not undergo PSG. However, RP is an accepted modality for diagnosing OSA by  
451 ICSD-3 [35].

452  
453 *4.5 Conclusions*

454 The current exploratory study demonstrates a high prevalence of OSA in patients with high-impact  
455 chronic pain referred for interdisciplinary pain treatment; however, the clinical pain profile was  
456 not worse in patients with OSA. While the percentage identified with high risk of OSA in this  
457 sample by the Berlin and STOP-BANG questionnaires was similar to earlier reports, the current  
458 findings report poor performance for both questionnaires, when evaluating the agreement with  
459 gold-standard diagnosis of OSA, questioning their clinical utility in identifying OSA in patients  
460 with high-impact chronic pain. Future studies are encouraged to investigate the benefit of including  
461 early screening questionnaires for OSA and whether interventional strategies to combat e.g.  
462 moderate-to-severe OSA may improve clinical outcomes in this sample.

463  
464 **Acknowledgments**

465 The authors acknowledge the important work conducted by study nurse Eva McGehee, staff at  
466 Pain Center South, and all the patients who voluntarily participated in the study. ResMed Maribo

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467 is acknowledged for providing the NOX T3™ for the entire project period. They had no impact  
468 on design of the study, analysis of the data or content in the manuscript.

469  
470 **AUTHOR STATEMENTS**

471 **Research Funding:** No funding was received for this study. KKP is supported by the Aalborg  
472 University Talent Management Program (j.no. 771126). Center for Neuroplasticity  
473 and Pain (CNAP) is supported by the Danish National Research Foundation (DNRF121).

474 **Conflict of Interest:** There are no actual or potential conflicts of interest for any of the authors.

475 **Informed Consent:** Written informed consent was obtained from all patients included in this study.

476 **Ethical Approval:** This study was approved by the local ethics committee (S-20160129) and The  
477 Danish Data Protection Agency.

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