

Aalborg Universitet

Mild inflammation persists in the glenohumeral joint of patients with shoulder instability

Cross-sectional study

Muneshige, Kyoko; Kenmoku, Tomonori; Uchida, Kentaro; Arendt-Nielsen, Lars; Tazawa, Ryo; Nakawaki, Mitsufumi; Ishii, Daisuke; Satoh, Masashi; Inoue, Gen; Takaso, Masashi

Osteoarthritis and cartilage open

DOI (link to publication from Publisher): 10.1016/j.ocarto.2022.100241

Creative Commons License CC BY-NC-ND 4.0

Publication date: 2022

Document Version Publisher's PDF, also known as Version of record

Link to publication from Aalborg University

Citation for published version (APA): Muneshige, K., Kenmoku, T., Uchida, K., Arendt-Nielsen, L., Tazawa, R., Nakawaki, M., Ishii, D., Satoh, M., Inoue, G., & Takaso, M. (2022). Mild inflammation persists in the glenohumeral joint of patients with shoulder instability: Cross-sectional study. Osteoarthritis and cartilage open, 4(2), 100241. Article 100241. https://doi.org/10.1016/j.ocarto.2022.100241

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
 You may not further distribute the material or use it for any profit-making activity or commercial gain
 You may freely distribute the URL identifying the publication in the public portal -

If you believe that this document breaches copyright please contact us at vbn@aub.aau.dk providing details, and we will remove access to the work immediately and investigate your claim.

ELSEVIER

Contents lists available at ScienceDirect

Osteoarthritis and Cartilage Open

journal homepage: www.elsevier.com/journals/osteoarthritis-and-cartilage-open/2665-9131



Mild inflammation persists in the glenohumeral joint of patients with shoulder instability: Cross-sectional study



Kyoko Muneshige ^a, Tomonori Kenmoku ^{a,*}, Kentaro Uchida ^{a,b}, Lars Arendt-Nielsen ^{c,d}, Ryo Tazawa ^a, Mitsufumi Nakawaki ^a, Daisuke Ishii ^a, Masashi Satoh ^e, Gen Inoue ^a, Masashi Takaso ^a

- ^a Department of Orthopedic Surgery, Kitasato University School of Medicine, 1-15-1 Minami-ku Kitasato, Sagamihara City, Kanagawa, 252-0374, Japan
- ^b Shonan University of Medical Sciences Research Institute, Nishikubo 500, Chigasaki City, Kanagawa, 253-0083, Japan
- ^c Center for Neuroplasticity and Pain (CNAP), SMI, Department of Health Science and Technology, Faculty of Medicine, Aalborg University, Fredrik Bajers Vej 7D, 9220, Aalborg Denmark
- d Department of Medical Gastroenterology, Aalborg University Hospital, Hobrovej 18-22, 9100, Aalborg, Denmark
- ^e Department of Immunology, Kitasato University School of Medicine, 1-15-1 Minami-ku Kitasato, Sagamihara City, Kanagawa, 252-0374, Japan

ARTICLE INFO

Keywords: Shoulder instability Osteoarthritis Tumor necrosis factor- α Interleukin-1 β M1 macrophages

ABSTRACT

Objective: Approximately two-thirds of patients with history of shoulder dislocation may develop osteoarthritis (OA) of the glenohumeral joint. However, the biochemical mechanisms underlying the association between dislocation and OA are largely unknown. This study aimed to investigate macrophage markers and inflammatory cytokine expression associated with shoulder instability (SI) in comparison to rotator cuff tears (RCTs).

Design: This study included 30 patients with SI and 30 patients with RCTs. Synovial membrane samples were harvested from the rotator interval during the arthroscopic anatomical repair for both groups. The localization of tumor necrosis factor- α (TNF- α), interleukin (IL)-1 β , and cluster of differentiation (CD) 68 in synovial membranes was determined by immunohistochemistry. Transcript-level expressions of the inflammatory cytokines (*TNFA* and *IL1B*) and macrophage markers pan-*CD68* and -M1 (*CD80* and *CD86*) were quantified. CD80 and CD86 expression in macrophages from the SI group was confirmed using flow cytometry.

Results: TNF- α , IL-1 β , and CD68 were expressed in the synovial lining layer of the synovial tissue in both groups. In addition, the mRNA expressions of *TNFA*, *IL1B*, *CD68*, and *CD80* were significantly higher in the SI group compared to the RCT group (P = 0.012, 0.014, 0.022, 0.003, respectively). In samples from the SI group, 96.3% of CD68+/CD14+ macrophages were CD86-positive, whereas 2.5% of CD68+/CD14+/CD86+ cells were CD80-positive.

Conclusions: Patients with SI had higher mRNA levels of TNFA, IL1B, CD68, and CD80 than those with RCTs. These findings may partially explain the biochemical mechanism underlying the frequent development and progression of osteoarthritis in patients with SI.

1. Introduction

The frequency of osteoarthritis (OA) is lower in the glenohumeral joint than in the hip and knee joints [1–6]. The reason for this difference may be that the shoulder joint is not a weight-bearing joint. In a prospective study, approximately two-thirds of patients with a history of shoulder dislocation were prone to developing OA in the glenohumeral joint [3]. A total of 31% of 282 patients with an anterior dislocation event

in the past 40 years had confirmed glenohumeral joint osteoarthritis [5].

The OA progression mechanism has been widely investigated in weight-bearing joints [2,7–10]. OA development and progression in these joints likely involve inflammation. Elevated levels of tumor necrosis factor- α (TNF- α) and interleukin (IL)-1 β produced by the OA joint synovium promote the activities of matrix metalloproteases (MMPs) and a disintegrant and metalloproteinase harboring thrombospondin motifs (ADAMTS). These proinflammatory cytokines also contribute to the

E-mail addresses: mnsg.k@med.kitasato-u.ac.jp (K. Muneshige), pseudolefty811@yahoo.co.jp (T. Kenmoku), kuchida@med.kitasato-u.ac.jp (K. Uchida), LAN@hst. aau.dk (L. Arendt-Nielsen), popolo-55@hotmail.co.jp (R. Tazawa), mikkun.nakaki.81@gmail.com (M. Nakawaki), dm20001@st.kitasato-u.ac.jp (D. Ishii), msato@med.kitasato-u.ac.jp (M. Satoh), ginoue@kitasato-u.ac.jp (G. Inoue), mtakaso@kitasato-u.ac.jp (M. Takaso).

https://doi.org/10.1016/j.ocarto.2022.100241

^{*} Corresponding author.

downregulation of anabolic events and upregulation of catabolic mechanisms to promote inflammatory responses. These effects of inflammation worsen the structural damage to OA joint. Nevertheless, there are few reports on the OA progression mechanism for the glenohumeral joint [1,11].

Casagrande et al. reported that cyclooxygenase 2 (Cox-2), collagen type I, TNF-α, MMP-3, and ADAMTS5 were related to OA progression since their expression was significantly increased in OA shoulders compared to controls [1]. Therefore, the mechanisms of OA in the shoulder are likely similar to those in other weight-bearing joints. A previous study showed that patients with shoulder instability (SI) exhibited higher *IL1B* mRNA expression in the glenohumeral synovium than in the subacromial bursa [12]. Microscopic evidence suggests that synovitis occurs in the glenohumeral joint of patients with SI [12]. Furthermore, Cox-2 expression was similar in the glenohumeral synovium of shoulders with instability and rotator cuff tears (RCTs) [13]. According to these reports, mild inflammation may be present in a shoulder with instability. Nonetheless, in patients with SI, the molecular mechanisms underlying the development of glenohumeral synovitis and OA progression remain unknown.

Macrophages are key players in the development and progression of synovitis [14,15]. They can be classified based on their activation as classically activated macrophages (proinflammatory M1 phenotype) and alternatively activated macrophages (anti-inflammatory M2 phenotype). M1 macrophages have proinflammatory functions and are responsible for releasing molecules, such as IL-1 β and TNF- α , which are associated with knee and hip joint inflammation [16–18]. Nonetheless, it is unclear whether increased macrophage counts and M1 polarization occur in the glenohumeral joint after shoulder dislocation, although these mechanisms may be associated with OA development during SI.

The aim of the present study was to investigate the expression of inflammatory cytokines and macrophage markers in the synovial tissue of the glenohumeral joint in patients with SI compared with patients with RCTs. We hypothesized that mild inflammation might persist in the glenohumeral joint after shoulder dislocation and that inflammation might be associated with OA progression.

2. Method

2.1. Patient selection and sampling

The procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2000. This experimental protocol adhered to institutional guidelines and received approval from the Institutional Review Board (reference number: KMEO B20-093). Written informed consent was obtained from all participants.

From October 2017 to April 2020, synovial membrane samples acquired from the glenohumeral joint, specifically the rotator interval, were obtained from patients who underwent arthroscopic surgery. This included 30 patients who underwent Bankart repair for SI (two with single dislocation, 26 with recurrent dislocation, and two with recurrent subluxations) and 30 patients who underwent arthroscopic rotator cuff repair for degenerative RCTs. All the patients in the SI group had a traumatic episode. Patients with SI and RCT were clinically assessed prior to surgery using the Rowe score and the Constant score, respectively [19, 20]. An experienced shoulder surgeon conducted all arthroscopic surgeries. The OA status was assessed radiologically using the Samilson and Prieto grade, and arthroscopically using the Outerbridge classification [21,22].

The patients were allocated to either the SI group or the RCT group based on the details of the surgery. We excluded patients with a history of rheumatoid arthritis or other collagen diseases.

All synovial membrane samples were used for quantitative PCR (qPCR). Additionally, 4 of the 30 synovial samples with sufficient sample

volume in each group were analyzed using immunohistochemistry. Another 3 of the 30 samples from the SI group were used for flow cytometry.

2.2. qPCR

To extract total RNA, synovial samples were homogenized using a Polytron homogenizer (Kinematica AG, Luzern, Switzerland). After centrifugation (15,000 rpm, 4 °C, 5 min), the supernatants were mixed with an equal volume of 100% ethanol and vortexed for 30 s, before being transferred to a spin column (Direct-zol RNA Microprep kit; Zymo Research, Irvine, CA, USA). RNA was eluted as per the manufacturer's protocol. RNA concentration was determined using a DS-11 Spectrophotometer (DeNovix, Wilmington, DE, USA). Total RNA was reversetranscribed into complementary DNA using SuperScript III Reverse Transcriptase (Thermo Fisher Scientific, Waltham, MA, USA). Transcriptlevel expression of inflammatory cytokines (TNFA and IL1B), pan-cluster of differentiation (CD) 68, and M1 macrophage (CD80 and CD86) markers was measured by qPCR on a real-time PCR detection system (CFX-96; Bio-Rad, Hercules, CA, USA). The sequences of the PCR primer pairs used are provided in Table 1. Transcript-level expression of the cytokine and macrophage marker genes was normalized to that of glyceraldehyde 3-phosphate dehydrogenase (GAPDH), before being compared between the SI and RCT groups. Additionally, we also investigated the correlation between mRNA expression and preoperative features of SI patients, including age at the first dislocation, time interval between the final dislocation and surgery, and mean time interval between injury and stabilization.

2.3. Immunohistochemistry

Immunohistochemistry was performed using streptavidin-biotinperoxidase staining (Histofine SAB-PO kit; Nichirei Biosciences Inc., Tokyo, Japan) according to the manufacturer's protocol. All the reagents used for immunohistochemistry were provided in the kit except primary antibodies or unless otherwise specified. Synovial tissue from four samples of each group was fixed with 4% paraformaldehyde, before paraffinembedding and sectioning at 3 µm thickness. After deparaffination, the tissue sections were incubated in a citrate buffer (10 mM citric acid, 0.05% Tween 20, pH 6.0) using a water bath at 98 $^{\circ}$ C for 40 min, allowed to cool to 20 °C for 20 min, and then washed with PBS. Subsequently, the sections were blocked for 10 min using rabbit serum when mouse primary antibodies were used or normal goat serum when rabbit primary antibodies were used. Anti-TNF-α (dilution, 1:200; Cat. No. ab8348; Abcam, Cambridge, UK), anti-IL-1β (dilution, 1:50; Cat No. 16806-1-AP; Proteintech Group, Inc., Rosemont, IL, USA), and anti-CD68 (dilution, 1:100; Cat. No. ab125212; Abcam, Cambridge, UK) were then added, and the sections were incubated for 1 h at room temperature (range 20-22 °C). After washing with PBS, tissue sections were incubated with antimouse or -rabbit IgG biotinylated antibody for 10 min before washing with PBS. The sections were subsequently incubated with avidin DH/

Table 1
Primer sequences used in this study.

Gene	Direction	Primer sequence (5′–3′)	Product size (bp)
TNFA	F	CTTCTGCCTGCTGCACTTTG	118
	R	GTCACTCGGGGTTCGAGAAG	
IL1B	F	GTACCTGTCCTGCGTGTTGA	153
	R	GGGAACTGGGCAGACTCAAA	
CD68	F	ACCAAGAGCCACAAAACCAC	173
	R	GGACTGTGAGTGGCAGTTGA	
CD80	F	GCAGGGAACATCACCATCCA	98
	R	TCACGTGGATAACACCTGAAC	
CD86	F	ACGCGGCTTTTATCTTCACC	73
	R	TCCAAGGAATGTGGTCTGGG	
GAPDH	F	TGTTGCCATCAATGACCCCTT	202
	R	CTCCACGACGTACTCAGCG	

biotinylated peroxidase for 10 min and then washed with PBS. A reaction using diaminobenzidine solution was performed for visualization. After counterstaining with Mayer's hematoxylin nuclear counterstain, the sections were washed with tap water and covered with a coverslip.

2.4. Flow cytometric analysis

Synovial samples were digested with 1 mg/mL collagenase type I solution at 37 °C for 16 h and passed through a nylon mesh filter with a pore size of 100 μm to obtain single-cell suspensions. The cells were stained with anti-CD45 (conjugated to Pacific Blue, Clone: HI30; Bio-Legend, San Diego, CA), anti-CD86 (conjugated to PE-Cy7, Clone: IT2.2; Bio-Legend), and anti-CD80 (conjugated to APC, Clone: 2D10; Bio-Legend) for 45 min at 4 °C. Immunostaining for CD68 was performed by treating the cells with the fixation/permeabilization solution (Bio-Legend, San Diego, CA) before incubating with anti-CD68 (conjugated to FITC, Clone: Y1/82A; Bio-Legend) for 30 min at 4 °C. After washing twice in wash buffer, 100,000 total events were acquired using the BD FACSVerse system (BD Biosciences, San Jose, CA, USA). The data were analyzed using the Flow-Jo v10.7 software (Tree Star Inc., Ashland, OR, USA). Negative gates were determined using an isotype control.

2.5. Statistical analysis

Results are expressed as the mean \pm standard deviation (SD). Statistical significance was determined using the nonparametric Mann-Whitney U test or unpaired t-test for comparisons between the two groups. Correlations between mRNA expression and preoperative features in patients with SI were performed using Spearman's correlation coefficient. We also analyzed the relationship between mRNA expression levels and sex and the correlation with age using the Mann-Whitney U test and Spearman's correlation coefficient, respectively. P < 0.05 was considered statistically significant. The classification scheme for Spearman's correlation is defined as follows: $0 < |\rho| \le 0.2$, negligible; $0.2 < |\rho| \le 0.4$, low; $0.4 < |\rho| \le 0.7$, moderate; $0.7 \le |\rho|$, high. All statistical analyses and power analyses were conducted using the JMP Pro 14.1 software (SAS Institute Inc., Cary, NC, USA).

3. Results

3.1. Preoperative features of patients in the SI and RCT groups

The clinical characteristics of the patients are summarized in Table 2. Patients in the SI group were significantly younger than those in the RCT

Table 2
Patient clinical characteristics.

	SI group (n = 30)	RCT group (n = 30)	P
Age at surgery (years)	22.9 ± 9.0	63.7 ± 3.6	< 0.001
Male/female, n	25/5	18/12	0.084
BMI (kg/m ²)	23.8 ± 3.6	25.4 ± 3.7	0.074
Clinical score ^a	33.5 ± 16.5	41.5 ± 17.0	_
Samilson and Prieto grade [21]	1, 27; 2, 3; 3, 0	1, 18; 2, 11; 3,	0.025
Outerbridge classification [22]	1, 25;2, 3; 3, 2; 4, 0	1, 20; 2, 6; 3, 3; 4, 1	0.43
Age at initial dislocation (years) ^b	$\overline{18.4} \pm 5.8$	_	_
Time from initial dislocation to surgery (years) ^b	4.1 ± 3.7	-	-
Time from final dislocation to surgery (months) ^b	5.4 ± 7.0	-	-

SI, shoulder instability; RCT, rotator cuff tear; BMI, body mass index.

group (P < 0.001), although there were no significant differences in the male/female ratio (P = 0.084) or body mass index (P = 0.074) between groups. The Rowe score was 33.5 ± 16.5 before surgery in the SI group, and the Constant score was 41.5 ± 17.0 before surgery in the RCT group. There was a significant difference in the OA status based on the Samilson and Prieto grading system between the SI and OA groups (P = 0.025), despite no significant difference in the Outerbridge grading system (P = 0.43).

3.2. Immunohistochemistry of the synovial lining layer in the SI and RCT groups

Immunohistochemical analysis showed that TNF- α , IL-1 β , and CD68 were predominantly expressed in the hyperplastic synovial lining layer of SI patients (Fig. 1A–, B-1, and C-1, respectively). In RCT patients, modest immunoreactivity for TNF- α , IL-1 β , and CD68 was present in the superficial lining layer (Figs. 1A–2, B-2, and C-2, respectively).

3.3. Inflammatory cytokine and macrophage marker expression in patients with SI and RCT

TNFA and IL1B mRNA levels were significantly higher in the SI group than in the RCT group (Fig. 2A: TNFA, P = 0.012, Power = 0.88; Fig. 2B: IL1B, P = 0.014, Power = 0.46; Fig. 2B': IL1B data with two outliers removed, P = 0.027, Power = 0.74), as were CD68 and CD80 levels (Fig. 2C: CD68, P = 0.022, Power = 0.58; Fig. 2D: CD80, P = 0.003, Power = 0.67; Fig. 2D': *CD80* data with two outliers removed, P = 0.008, Power = 0.57). In contrast, no significant differences were observed in CD86 expression between the two groups (P = 0.081, Power = 0.18; Fig. 2E). Even though significant differences were observed between the two groups, there were no significant differences for any of the genes when considering the OA statuses based on the Samilson and Prieto grades (TNFA, P = 0.70, Power = 0.13; IL1B, P = 0.90, Power = 0.09; CD68, P = 0.40, Power = 0.18; CD80, P = 0.66, Power = 0.13; CD86, P = 0.75, Power = 0.09) and the Outerbridge classification (*TNFA*, P = 0.81, Power = 0.11; *IL1B*, P = 0.33, Power = 0.15; *CD68*, P = 0.34, Power = 0.29; CD80, P = 0.46, Power = 0.21; CD86, P = 0.94, Power = 0.08).

The expression of *TNFA* was significantly correlated to that of *CD68* ($\rho=0.64, P<0.001;$ Fig. 3A), *CD80* ($\rho=0.52, P=0.0003;$ Fig. 3B), and *CD86* ($\rho=0.60, P<0.001;$ Fig. 3C) in the SI group. The expression of *IL1B* was significantly correlated to that of *CD68* ($\rho=0.49, P=0.006;$ Fig. 3D) and *CD86* ($\rho=0.38, P=0.037;$ Fig. 3E) in the SI group (Fig. 3D and E). However, there was no significant correlation between the expression of *IL1B* and *CD80* ($\rho=0.33, P=0.075;$ Fig. 3F).

3.4. Flow cytometric analysis of CD80 and CD86 expression in macrophages isolated from SI patients

CD80 and CD86 are not only observed in macrophages, but also in B, T, and dendritic cells $[23,24]^{23,24}$. To confirm whether elevated expression of CD80 and CD86 reflects an increase in the M1 macrophage population, we used a flow cytometric analysis to investigate the presence of CD80 and CD86 in CD68+/CD14+ macrophages harvested from the synovial membranes of patients in the SI group. Almost all CD68+/CD14+ macrophages were positive for CD86 (96.25 \pm 1.51%; Fig. 4A), whereas only 2.55 \pm 1.00% CD68+/CD14+/CD86+ cells were positive for CD80 (; Fig. 4B).

3.5. Correlation between age and gene expression and comparison between sexes

We observed significant, albeit low, negative correlations for $\it L1B$ ($\rho = -0.28$, p = 0.028; Fig. 5B) and $\it CD80$ ($\rho = -0.39$, p = 0.0022; Fig. 5D) with age. However, there were no differences between any other parameters and gene expression (Fig. 5A, C, E). In addition, there were no significant differences between sexes in the expression of any of the genes

^a SI patients were assessed using the Rowe score [20], whereas RCT patients were assessed using the Constant score [19]. ††Cartilage damage in the glenohumeral joint was assessed using the Outerbridge classification [22].

^b Information pertaining to four patients in the SI group was missing.

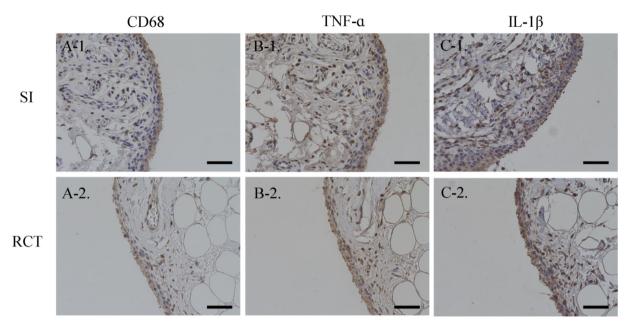


Fig. 1. Localization of inflammatory cytokines and macrophages in the synovium Immunolocalization of TNF- α , IL-1 β , and CD68 in patients with shoulder instability (SI) and rotator cuff tears (RCT). TNF- α expression in the SI (A-1) and RCT groups (A-2). IL-1 β expression in the SI (B-1) and RCT groups (B-2). CD68 expression in the SI (C-1) and RCT groups (C-2). SI, shoulder instability group; RCT, rotator cuff tear group. Scale bars indicate 100 μm.

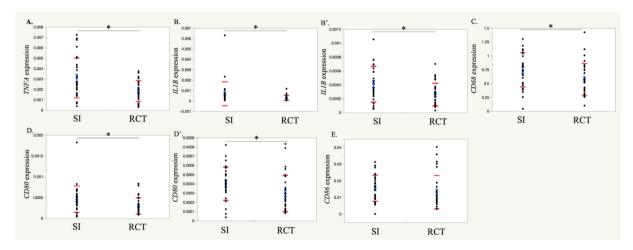


Fig. 2. Expression of inflammatory cytokines and macrophage markers in the synovial tissue of the glenohumeral joint Expression of (A) TNFA, (B, B') IL1B, (C, C') CD68, (D) CD80, and (E) CD86 in the glenohumeral synovium. The data in panels B' and D' do not include outliers. SI, shoulder instability group; RCT, rotator cuff tear group. *P < 0.05. Blue bar, standard deviation; red bar, average and standard error.

evaluated (Fig. 6).

3.6. Relationship between clinical characteristics and gene expression in the SI group

We observed a significantly negative correlation between *CD80* expression and the time interval between final dislocation and surgery in the SI group ($\rho=-0.420,\,P=0.033;\,\text{Table 3}).$ However, there were no differences between any other parameters (Rowe score, age at initial dislocation, and time interval between initial dislocation and surgery) and gene expression.

4. Discussion

This study showed that *TNFA* and *IL1B* expression in the synovial membrane of the glenohumeral joint were significantly elevated in patients with SI compared to that in RCT patients. These data indicate that

an ongoing inflammatory process is an important part of the pathophysiology during SI. Nevertheless, there was no significant difference among OA statuses determined by the Samilson and Prieto grading system and the Outerbridge classification [21,22].

4.1. Biomarkers in SI and their importance for disease progression

Increased mRNA levels of inflammatory cytokines in the gleno-humeral joint tissue have previously been reported in patients with shoulder OA and SI [1,12]. In particular, IL1B is expressed at higher levels in the synovium of the glenohumeral joint than in the subacromial bursa in patients with SI [12]. Moreover, TNF- α is elevated in the cartilage from the glenoid of patients with shoulder OA [1]. IL-1 β and TNF- α mediate cartilage catabolism by inducing MMPs and ADAMTSs in the synovium of patients with OA [25]. Synovitis combined with the increased mRNA expression of inflammatory cytokines in patients with SI may explain the development and progression of OA at preoperational

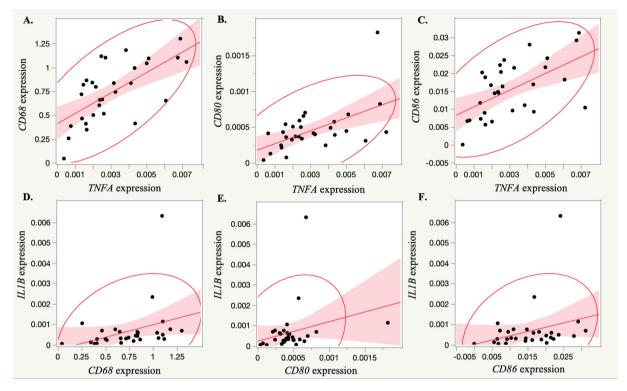


Fig. 3. Correlation between the expression of inflammatory cytokines and macrophage markers in synovial tissue of the glenohumeral joint. A: Correlation between TNFA and CD68 ($\rho=0.64$, P < 0.001). B: Correlation between TNFA and CD80 ($\rho=0.52$, P = 0.003). C: Correlation between TNFA and CD86 ($\rho=0.60$, P < 0.001). D: Correlation between IL1B and CD68 ($\rho=0.49$, P = 0.006). E: Correlation between IL1B and CD80 ($\rho=0.33$, P = 0.075). F: Correlation between IL1B and CD86 ($\rho=0.38$, P = 0.037). Red line, regression line; red region, confidence interval; red ellipse, 0.95 confidence ellipse.

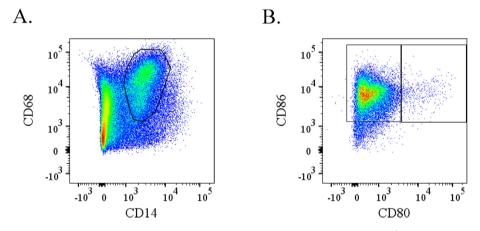


Fig. 4. Flow cytometric analysis of CD80⁻ and CD86-positive cellsDot plot analysis of (A) CD68+/CD14+ among CD45⁺ populations and (B) CD80⁺ and CD86⁺ cells among CD68+/CD14+ populations.

stages [5,6].

Macrophages play a key role in synovial inflammation [14]. M1 macrophages in particular produce high amounts of TNF- α and IL-1 β , as observed in the synovial tissue of humans and mice with knee OA [26–29]. In addition, an increase in the number of M1 macrophages is associated with knee OA severity [30]. Here, we observed higher expression levels of pan macrophage (CD68) and M1 macrophage (CD80) markers in the glenohumeral synovial tissue of patients with SI than patients with RCT. In addition, *CD68* expression levels were positively correlated with *TNFA* and *IL1B* expression in the synovial tissue of patients with SI. Thus, increased levels of macrophages may play an important role in the early development of OA during SI, by increasing inflammatory cytokine production.

4.2. Risk factors for the development of OA

Risk factors for the development of OA in patients with SI have previously been identified [3–6,31–33]. Traumatic cartilage damage has been reported after shoulder dislocation [34]. Patient age at the initial dislocation is correlated with the occurrence of arthritis after surgery. Additionally, a longer time interval between the initial dislocation and surgery increases the chance of developing arthritic changes [31]. In the present study, *CD80* expression was negatively correlated with the time interval between final dislocation and surgery. This observation may imply that polarization toward M1 macrophages occurs immediately after dislocation. A longer time interval between dislocation and surgery may lead to repeated inflammatory responses with recurrent dislocation, subluxation of the glenohumeral joint, or both, ultimately aggravating

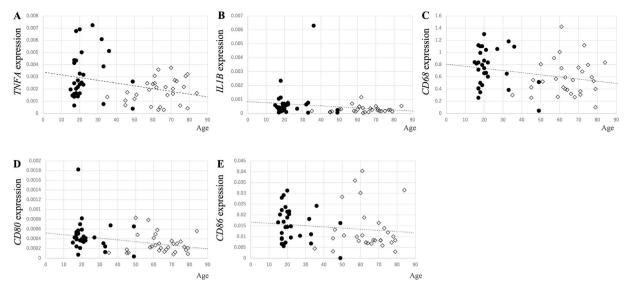


Fig. 5. Correlation between the expression of synovial inflammatory cytokines or macrophage markers and age. A: Correlation between *TNFA* and age ($\rho=-0.17$, P = 0.21). B: Correlation between *IL1B* and age ($\rho=-0.28$, P = 0.028). C: Correlation between *CD68* and age ($\rho=-0.25$, P = 0.058). D: Correlation between *CD80* and age ($\rho=-0.39$, P = 0.0022). E: Correlation between *CD86* and age ($\rho=-0.23$, P = 0.077). Black circle, shoulder instability group; white rhombus, rotator cuff tear group.

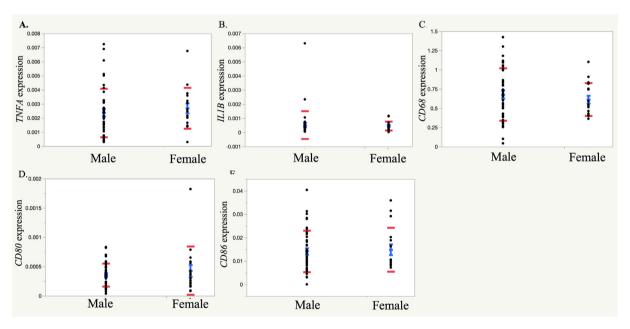


Fig. 6. Comparison of the expression of synovial inflammatory cytokines or macrophage markers with sexExpression of (A) TNFA (P = 0.24), (B) $\mathit{IL1B}$ (P = 0.14), (C) $\mathit{CD68}$ (P = 0.61), (D) $\mathit{CD80}$ (P = 0.95), and (E) $\mathit{CD86}$ (P = 0.82) in the glenohumeral synovium. SI, shoulder instability group; RCT, rotator cuff tear group. Blue bar, standard deviation; red bar, average and standard error.

OA progression. Rotator cuff tears are also related with the translation of the glenohumeral joint during shoulder motion [35]. However, a capsulolabral lesion after dislocation was required to induce instability in a rotator cuff-deficient model during a cadaveric study [36]. Therefore, capsulolabral damage affects the glenohumeral joint instability more than a rotator cuff tear. In addition, capsulolabral damage can induce micro-instability during shoulder motions regardless of recurrent shoulder dislocations. Both micro-instability and cartilage damage after dislocation may have a more significant effect than RCT on the persisting inflammatory cytokines in the glenohumeral joint and on early OA progression, similar to weight bearing joints [2,7–10].

4.3. The relationship between age/sex, inflammatory cytokines and macrophages

In this study, the mean age was lower in patients with SI than in patients with RCT, and we show that age could potentially affect the expression of inflammatory cytokines and M1 macrophage proliferation. Recent studies have suggested that aging is related to chronic low-grade inflammation, referred to as inflammaging, although the precise mechanism remains largely unknown [37,38]. During inflammaging, inflammatory cytokines in the joint synovium of elderly patients can be higher than those in younger patients [37,38]. Proinflammatory cytokines produced form monocytes in the serum and intramuscular macrophage abundance in the healthy elderly are increased at or above the same

Table 3Correlation between patient characteristics and gene expression in the SI group.

	Rowe score	Age at initial dislocation (years)	Time from initial dislocation to surgery (years)	Time from final dislocation to surgery (months)
TNFA	$\begin{array}{l} \rho = \\ -0.03 \end{array}$	$\rho=0.28$	$\rho = 0.13$	$\rho = -0.14$
	P = 0.86	P = 0.15	P = 0.50	P = 0.49
IL1B	$\rho = 0.33$	$\rho = -0.049$	$\rho = 0.15$	$\rho = -0.31$
	$\mathbf{P} =$	P = 0.81	P = 0.43	P = 0.12
	0.079			
CD68	$\begin{array}{l} \rho = \\ -0.048 \end{array}$	$\rho=0.15$	$\rho = -0.12$	$\rho = -0.20$
	P =	P = 0.44	P = 0.55	P = 0.33
	0.80			
CD80	$\rho = 0.21$	$\rho = 0.041$	$\rho = -0.27$	$\rho = -0.42$
	$\mathbf{P} =$	P = 0.84	P = 0.16	P = 0.033
	0.26			
CD86	$\rho =$	$\rho = 0.23$	$\rho = -0.28$	$\rho = 0.027$
	0.093			
	P =	P = 0.23	P = 0.15	P = 0.89
	0.63			

Boldface values indicate significant correlations between the two groups.

levels as in young participants regardless of sex [39–43]. Thus, the expression of macrophage markers and inflammatory cytokines in younger patients is presumed to be equal to or lower than that in elderly patients, regardless of sex. However, our findings showed significantly higher expression levels of *TNFA*, *IL1B*, *CD68*, and *CD80* in the SI group than in the RCT group. Therefore, the instability in the glenohumeral joint may influence inflammatory cytokine expression and M1 macrophage expansion to a greater extent than that after RCT injuries or aging. However, further research is needed to confirm these hypotheses.

4.4. Limitations

The first caveat is that this study did not include a healthy population as a control group, which would enhance the validity of the findings. Second, this is a cross-sectional study. We could not confirm whether OA of the glenohumeral joint was developed or not in patients with SI. However, the OA-related inflammatory cytokines were significantly higher in the glenohumeral joints of patients with SI than in those with RCT. These findings support the increasing rate of OA in shoulders with SI, compared with healthy shoulders. Third, the mean age of patients with SI was lower than that of patients with RCT, and there is a significant difference in the sex ratio between both groups. RCT is a common degenerative disorder [44,45]; the shoulder rotator cuff is damaged in more than 75% of patients older than 60 years during shoulder dislocation, but is rarely damaged in younger subjects [46]. Moreover, in other reports, 47% of patients older than 40 years had an isolated rotator cuff tear during a shoulder dislocation [47]. Therefore, it is difficult to obtain a large enough number of patients with SI who do not have RCTs and belong to the middle or elderly age groups, for a proper epidemiological study. Finally, this is a cross-sectional study with inherent limitations. Longitudinal studies are required to determine whether elevated inflammatory cytokine expression and macrophage numbers contribute to dislocation arthropathy.

5. Conclusion

The expression of inflammatory cytokines and M1 macrophage markers is elevated in the glenohumeral synovial tissue of patients with SI. This may be one underlying molecular mechanism driving the early development and progression of OA in patients with SI.

Author contributions

MK wrote the manuscript and performed PCR analysis and flow cytometric analysis. TK enrolled patients and organized this study. KU performed PCR and flow cytometric analysis and contributed to manuscript writing. LAN provided logistic support, interpreted PCR and flow cytometric data, and revised the manuscript. RT and MN performed PCR analysis. MS performed immunohistochemistry analysis and contributed to manuscript writing. GI is responsible for the integrity of this study, especially methodological and ethical issues. MT is responsible for the integrity of this study and contributed to revising the manuscript. All authors approved the final version of the manuscript.

Role of the funding source

This investigation was supported in part by the Japan Society for the Promotion of Science (JSPS) Grant-in-Aid for Scientific Research (KAKENHI) [Grant No. 18K16633] and Danish National Research Foundation (DNRF121). The funding sources played no role in study design; in the collection, analysis, and interpretation of data; in the writing of the report; or the decision to submit the article for publication.

Declaration of competing interest

The authors have no conflicts of interest to declare.

Acknowledgements

We are grateful to Yuko Onuki for her helpful support during the study.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.ocarto.2022.100241.

References

- D. Casagrande, J.P. Stains, A.M. Murthi, Identification of shoulder osteoarthritis biomarkers: comparison between shoulders with and without osteoarthritis, J. Shoulder Elbow Surg. 24 (2015) 382–390, https://doi.org/10.1016/ i.ise.2014.11.039.
- [2] M. Kapoor, J. Martel-Pelletier, D. Lajeunesse, J.-P. Pelletier, H. Fahmi, Role of proinflammatory cytokines in the pathophysiology of osteoarthritis, Nat. Rev. Rheumatol. 7 (2010) 33–42, https://doi.org/10.1038/nrrheum.2010.196.
- [3] L. Hovelius, M. Saeboe, Arthropathy after primary anterior shoulder dislocation— 223 shoulders prospectively followed up for twenty-five years, J. Shoulder Elbow Surg. 18 (2009) 339–347, https://doi.org/10.1016/j.jse.2008.11.004.
- [4] L. Hovelius, H. Rahme, Primary anterior dislocation of the shoulder: long-term prognosis at the age of 40 years or younger, Knee Surg. Sports Traumatol. Arthrosc. 24 (2016) 330–342, https://doi.org/10.1007/s00167-015-3980-2.
- [5] K. Ogawa, A. Yoshida, H. Ikegami, Osteoarthritis in shoulders with traumatic anterior instability: preoperative survey using radiography and computed tomography, J. Shoulder Elbow Surg. 15 (2006) 23–29, https://doi.org/10.1016/ i.jse.2005.05.011.
- [6] B.M. Kruckeberg, D.P. Leland, C.D. Bernard, et al., Incidence of and risk factors for glenohumeral osteoarthritis after anterior shoulder instability: a US population-based study with average 15-year follow-up, Orthop. J. Sport Med. 8 (2020) 1–8, https://doi.org/10.1177/2325967120962515.
- [7] B. Carten, C.R. Flannery, C.E. Hughes, C.B. Little, Mechanisms involved in cartilage proteoglycan catabolism, Matrix Biol. 19 (2000) 3333–3344.
- [8] M. Kobayashi, G.R. Squires, A. Mousa, et al., Role of interleukin-1 and tumor necrosis factor α in matrix degradation of human osteoarthritic cartilage, Arthritis Rheum. 52 (2005) 128–135, https://doi.org/10.1002/art.20776.
- [9] J.P. Pelletier, J. Martel-Pelletier, S.B. Abramson, Osteoarthritis, an inflammatory disease: potential implication for the selection of new therapeutic targets, Arthritis Rheum. 44 (2001) 1237–1247, https://doi.org/10.1002/1529-0131(200106)44: 6<1237::AID-ART214>3.0.CO:2-F.
- [10] D.T. Felson, Osteoarthritis of the knee, N. Engl. J. Med. 354 (2006) 841–848, https://doi.org/10.1056/NEJMcp051726.

- [11] A. Ratcliffe, E.L. Flatow, N. Roth, F. Saed-Nejada, L.U. Bigliani, Biochemical makers in synovial fluid identify early osteoarthritis of the glenohumeral joint, Clin. Orthop. Relat. Res. 330 (1996) 45–53, https://doi.org/10.1097/00003086-199609000-00007.
- [12] M. Gotoh, K. Hamada, H. Yamakawa, et al., Increased interleukin- 1β production in the synovium of glenohumeral joints with anterior instability, J. Orthop. Res. 17 (1999) 392–397, https://doi.org/10.1002/jor.1100170314.
- [13] R. Tazawa, T. Kenmoku, K. Uchida, et al., Increased nerve growth factor expression in the synovial tissues of patients with rotator cuff tears, Mol. Pain 17 (2021) 1–10, https://doi.org/10.1177/17448069211021252.
- [14] A. Kennedy, U. Fearon, D.J. Veale, C. Godson, Macrophages in synovial inflammation, Front. Immunol. 2 (2011) 1–9, https://doi.org/10.3389/ fimmu.2011.00052.
- [15] L. De Rycke, D. Baeten, D. Foell, et al., Differential expression and response to anti-TNFα treatment of infiltrating versus resident tissue macrophage subsets in autoimmune arthritis, J. Pathol. 206 (2005) 17–27, https://doi.org/10.1002/ path.1758.
- [16] H. Tan, N. Wang, S. Li, M. Hong, X. Wang, Y. Feng, The reactive oxygen species in macrophage polarization: human diseases, Oxid. Med. Cell. Longev. (2016) (2016) 1–16, https://doi.org/10.1155/2016/2795090.
- [17] X.Q. Wu, Y. Dai, Y. Yang, et al., Emerging role of microRNAs in regulating macrophage activation and polarization in immune response and inflammation, Immunology 148 (2016) 237–248, https://doi.org/10.1111/imm.12608.
- [18] S. Gordon, A. Plüddemann, S. Mukhopadhyay, Sinusoidal immunity: macrophages at the lymphohematopoietic interface, Cold Spring Harbor Perspect. Biol. 7 (2015) 1–20, https://doi.org/10.1101/cshperspect.a016378.
- [19] C.R. Constant, A.H. Murley, A clinical method of functional assessment of the shoulder, Clin. Orthop. Relat. Res. 214 (1987) 160–164, https://doi.org/10.1097/ 00003086-198701000-00023.
- [20] C.R. Rowe, B. Zarins, Recurrent transient subluxation of the shoulder, J. Bone Jt. Surg. 63 (1981) 863–872, https://doi.org/10.2106/00004623-198163060-00001.
- [21] R. Samilson, V. Prieto, Dislocation arthropathy of the shoulder, J. Bone Jt. Am. 65 (1983) 456–460.
- [22] R. Outerbridge, The etiology of chondromalacia patellae, J. Bone Jt. Surg. Br. 43 (1964) 752–757, https://doi.org/10.1302/0301-620X.43B4.752.
- [23] A. Bernard, L. Boumsell, The clusters of differentiation (CD) defined by the first international workshop on human leucocyte differentiation antigens, Hum. Immunol. 11 (1984) 1–10, https://doi.org/10.1016/0198-8859(84)90051-x.
- [24] T.S. Lim, J.K.H. Goh, A. Mortellaro, C.T. Lim, G.J. Hämmerling, P. Ricciardi-Castagnoli, CD80 and CD86 differentially regulate mechanical interactions of T-cells with antigen-presenting dendritic cells and B-cells, PLoS One 7 (2012) 1–8, https://doi.org/10.1371/journal.pone.0045185.
- [25] M. Goldring, M. Otero, K. Tsuchimochi, K. Ijiri, Y. Li, Defining the roles of inflammatory and anabolic cytokines in cartilage metabolism, Ann. Rheum. Dis. Suppl 3 (2008) 75–82, https://doi.org/10.1136/ard.2008.098764.
- [26] J. Bondeson, A.B. Blom, S. Wainwright, C. Hughes, B. Caterson, W.B. Van Den Berg, The role of synovial macrophages and macrophage-produced mediators in driving inflammatory and destructive responses in osteoarthritis, Arthritis Rheum. 62 (2010) 647–657, https://doi.org/10.1002/art.27290.
- [27] C.Q. Chu, M. Field, M. Feldmann, R.N. Maini, Localization of tumor necrosis factor α in synovial tissues and at the cartilage–pannus junction in patients with rheumatoid arthritis, Arthritis Rheum. 34 (1991) 1125–1132, https://doi.org/ 10.1002/art 1780340908
- [28] Y. Chen, W. Jiang, H. Yong, et al., Macrophages in osteoarthritis: pathophysiology and therapeutics. Am. J. Transl. Res. 12 (2020) 261–268.
- [29] J. Bondeson, S.D. Wainwright, S. Lauder, N. Amos, C.E. Hughes, The role of synovial macrophages and macrophage-produced cytokines in driving aggrecanases, matrix metalloproteinases, and other destructive and inflammatory

- responses in osteoarthritis, Arthritis Res. Ther. 8 (2006) 1–12, https://doi.org/10.1186/ar2099.
- [30] B. Liu, M. Zhang, J. Zhao, M. Zheng, H. Yang, Imbalance of M1/M2 macrophages is linked to severity level of knee osteoarthritis, Exp. Ther. Med. 16 (2018) 5009–5014, https://doi.org/10.3892/etm.2018.6852.
- [31] F. Buscayret, T. Edwards, I. Szabo, P. Adeleine, H. Coudane, G. Walch, Glenohumeral arthrosis in anterior instability before and after surgical treatment: incidence and contributing factors, Am. J. Sports Med. 32 (2004) 1165–1172, https://doi.org/10.1177/0363546503262686.
- [32] C.S. Neer 2nd, K.C. Watson, F.J. Stanton, Recent experience in total shoulder replacement, J. Bone Joint. Surg. Am. 64 (1982) 319–337.
- [33] J. Matsoukis, W. Tabib, P. Guiffault, et al., Shoulder arthroplasty in patients with a prior anterior shoulder dislocation: results of a multicenter study, J. Bone Joint Surg. 85 (2003) 1417–1424, https://doi.org/10.2106/00004623-200308000-00001.
- [34] H. Ruckstuhl, E.D. De Bruin, E. Stussi, B. Vanwanseele, Post-traumatic glenohumeal cartilage lesions: a systematic review, BMC Muscoskel. Disord. 9 (2008) 1–8, https://doi.org/10.1186/1471-2474-9-107.
- [35] M.M. Gomberawalla, J.K. Sekiya, Rotator cuff tear and glenohumeral instability: a systematic review, Clin. Orthop. Relat. Res. 472 (2014) 2448–2456, https:// doi.org/10.1007/s11999-013-3290-2.
- [36] N. Pouliart, O. Gagey, Concomitant rotator cuff and capsuloligamentous lesions of the shoulder: a cadaver study, Arthroscopy 22 (2006) 728–735.
- [37] R.F. Loeser, J.A. Collins, B.O. Diekman, Ageing and the pathogenesis of osteoarthritis, Nat. Rev. Rheumatol. 12 (2016) 412–420, https://doi.org/10.1038/ nrrheum.2016.65.
- [38] C. Franceschi, M. Bonafè, S. Valensin, et al., Inflamm-aging: an evolutionary perspective on immunosenescence, Ann. N. Y. Acad. Sci. 908 (2000) 244–254.
- [39] D. Tousoulis, E. Oikonomou, E.K. Economou, F. Crea, J.C. Kaski, Inflammatory cytokines in atherosclerosis: current therapeutic approaches, Eur. Heart J. 37 (2016) 1723–1735, https://doi.org/10.1093/eurheartj/ehv759.
- [40] O.Y. Kim, J.S. Chae, J.K. Paik, et al., Effects of aging and menopause on serum interleukin-6 levels and peripheral blood mononuclear cell cytokine production in healthy nonobese women, Age 34 (2012) 415–425, https://doi.org/10.1007/ s11357-011-9244-2.
- [41] D.H. Lee, M. Kim, M. Kim, et al., Age-dependent alterations in serum cytokines, peripheral blood mononuclear cell cytokine production, natural killer cell activity, and prostaglandin F2α, Immunol. Res. 65 (2017) 1009–1016, https://doi.org/10.1007/s12026-017-8940-0.
- [42] K.M. Lavin, R.K. Perkins, B. Jemiolo, U. Raue, S.W. Trappe, T.A. Trappe, Effects of aging and lifelong aerobic exercise on basal and exercise-induced inflammation in women, J. Appl. Physiol. 129 (2020) 1493–1504, https://doi.org/10.1152/ JAPPLPHYSIOL.00655.2020.
- [43] K.M. Lavin, R.K. Perkins, B. Jemiolo, U. Raue, S.W. Trappe, T.A. Trappe, Effects of aging and lifelong aerobic exercise on basal and exercise-induced inflammation, J. Appl. Physiol. 128 (2020) 87–99, https://doi.org/10.1152/ japplphysiol.00495.2019.
- [44] C.S. Neer, Impingement lesions, Clin. Orthop. Relat. Res. 173 (1983) 70–77.
- [45] A. Yamamoto, K. Takagishi, T. Osawa, et al., Prevalence and risk factors of a rotator cuff tear in the general population, J. Shoulder Elbow Surg. 19 (2010) 116–120, https://doi.org/10.1016/j.jse.2009.04.006.
- [46] A. Makovskiy, G. Fedoruk, A. Stephanchenko, V. Dubrov, Comparison of the pattern injuries of the shoulder joint after dislocation in patients different age groups, Adv. Gerontol. 32 (2019) 198–202.
- [47] J.H. Kim, J.W. Park, S.Y. Heo, Y.M. Noh, Magnetic resonance imaging analysis of rotator cuff tear after shoulder dislocation in a patient older than 40 years, Clin. Shoulder Elb. 23 (2020) 144–151, https://doi.org/10.5397/cise.2020.00227.