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Directional Measurements and Propagation Models at 28 GHz for Reliable Factory Coverage

Dmitry Chizhik, Jinfeng Du, Reinaldo A. Valenzuela, Dragan Samardzija, Stepan Kucera, Dmitry Kozlov, Rolf Fuchs, Juergen Otterbach, Johannes Koppenborg, Paolo Baracca, Mark Doll, Ignacio Rodriguez, Rodolfo Feick and Mauricio Rodriguez[1]

Abstract—**Directional measurements of over 2600 links in four distinct factories at 28 GHz are used to formulate path gain and azimuth gain models to allow reliable 90% coverage estimates. A simple theoretical model of path gain, dependent on ceiling and clutter heights, is found to represent path gain across the 4 factories with 4.4 dB RMSE, contrasted with 6.9 dB slope-intercept fit and 8.5 to 14.9 dB RMSE for 3GPP factory models. The model also did well against 3.5 GHz path loss data collected over 18 MHz bandwidth in one of the factories, with RMSE of 3.3 dB. In non-line-of-sight conditions, scattering reduces available antenna azimuth gain from nominal value by up to 7.3 dB in 90% of links. Line of sight blockage by a 1.7 m × 1 m obstacle in factory aisle leads to 7 dB signal reduction, attributed to availability of other paths. It is found that an Access Point (AP) using 25 dBm transmit power per polarization, with 23 dBi nominal gain and omnidirectional terminals, supporting 2×2 MIMO in 400 MHz bandwidth, can provide 130 Mbps for 90% of factory locations within 50 m.**

Index Terms—**propagation, path loss, measurement, factory, IIoT.**

I. INTRODUCTION

Providing coverage for high-rate links in mmWave bands is of particular interest in factory environments for Industrial Internet of Things (IIoT) applications. Coverage range critically depends on path gain and available antenna directional gain. Accurate prediction of these quantities is important for planning and deploying wireless communication networks. Path gain as a function of range is typically predicted using slope-intercept formulas, with these two parameters determined from linear fit to measurements conducted in similar environments [3]. Antenna performance is determined by scattering, often characterized through angle spread.

The 3GPP 38.901 standardization document [3] provides a path gain model in the range 0.5 to 100 GHz with 4 types of factory environments, with combinations of sparse and dense clutter, with Access Point (AP) antennas above and below clutter ("high" and "low" antennas). Extensive measurements in several industrial settings were conducted by NIST [4][5], at 2.2 and 5.4 GHz, providing path gain vs. range models for individual data runs, with distance exponents ranging from 3.2 to 5.0.

In the present work we report directional measurements and propagation models from extensive measurement campaigns in 4 factory buildings, with over 2600 links, 18 million individual power measurements. Measured factory areas did not have wall separations. Ceiling heights in the 4 factories ranged from 3.3 to 8 m, with average clutter height (height of metal machinery) ranging from 1.5 to 3.5 m. We also provide estimates of coverage in factories at 28 GHz and provide guidance on required antenna gains.

We characterize measured path gain dependence on range using both a conventional slope-intercept model as well as a theoretical model dependent on ceiling and clutter heights and a (fixed) absorption loss parameter. Line of Sight (LOS) and Non-Line of Sight (NLOS) links are examined separately. We also summarize statistically the distribution of measured effective antenna gains, degraded by scattering.

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Part of this work has been presented at IEEE URSI-APC, 2019 [1] and IEEE URSI-APC, 2020 [2].

Effect of LOS blockage by an obstacle appearing in a factory aisle was assessed experimentally to quantify the impact on the link budget.

Statistical models formulated based on analysis of the experimental results are then used to assess coverage and achievable rate in factories and provide guidance on the value of using directional antennas in factory environments.

Key contributions of this paper include:

- Path gain and azimuth gain models derived from over 2600 link measurements in 4 distinct factory buildings to allow reliable estimates of 90% coverage. Corresponding 3GPP models are found to predict 6 to 10 dB less loss than measured here.
- Simple path gain formula derived from physics, requires only ceiling and clutter heights as inputs. The new formula reproduces diverse path gain results from the 4 factories with 4.4 dB RMS error, as compared to 6.9 dB RMS from an overall data fit and 8.5 to 14.9 dB RMS from 3GPP factory models. The model remained accurate against 3.5 GHz wideband data collected in one of the factories with 3.3 dB RMS error.
- Blockage of LOS by a 1.7 m × 1 m obstacle a factory aisle results in a modest 7 dB reduction in signal strength, attributed to availability of other paths.
- Estimation of coverage using antennas with practical gains in realistic conditions, providing a way to assess the value of using directional antennas in a scattering environment.

II. MEASUREMENT DESCRIPTION

We used a narrowband sounder [6], transmitting a 28 GHz continuous wave (CW) tone at 22 dBm into an omnidirectional (omni) antenna. The receiver antenna is a 10° half- power beamwidth (elevation and azimuth), 24 dBi horn.

Measurements were done in four factory buildings, each typically 100 m×50 m, with ceiling heights ranging from 3.3 to 8 m. Machinery (large, irregularly shaped metal enclosures) ranged in height from 1.5 to 3.5 m from factory to factory. Rows of machinery were separated by aisles with widths varying from 1.5 to 3 m.

The transmitter with the omnidirectional antenna was placed 1 m above the factory floor, emulating a mobile terminal. The 10° horn receiver, spinning at up to 300 rpm, was placed 2.3 to 2.6 m above the floor, close to ceiling supports in positions that would correspond to an AP. The receiver was moved along a factory aisle, stopping every 1 m to collect measurements at ranges from 1 m up to 140 m from the user terminal. At each link recorded data consisted of received power vs. azimuth, allowing estimation of both path gain and effective azimuth gain. Over 2600 link measurements were made. Typical measurement runs are illustrated in Fig. 1.

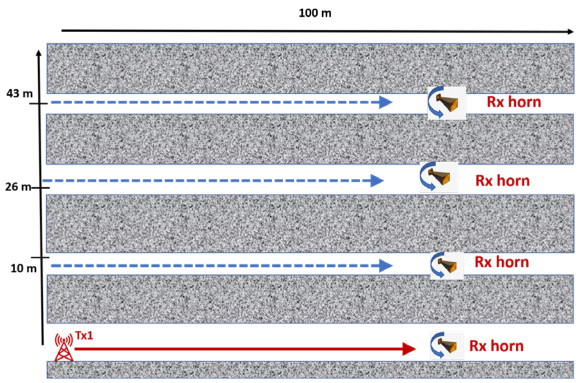

Fig. 1. Measurement geometry of typical links in a factory where the rotating Rx horn moves along LOS (red solid line) and NLOS (blue dashed lines) trajectories for a fixed omniTx location.

III. MEASURED PATH GAIN IN LOS FACTORY AISLES

Path gain measurements were conducted in LOS conditions in a factory aisle, with the omnidirectional Tx antenna placed at one end of an aisle, while the spinning Rx horn was moved in 1 m increments up to a range of 140 m.

Measured LOS path gain is plotted vs. distance in Fig. 2 for over 500 links in 5 aisles in 4 factories, along with Least Mean Square (LMS) linear (slope and intercept) fit to data, as well as Friis free space path gain. Different symbols correspond to different aisles. The Friis free space formula predicts the measured path gain with RMSE of 4.2 dB, close to 4.0 dB RMS variation around linear fit. The 2 lines are within 1 dB of each other. The observed variation is attributed to interference between the direct path and arrivals reflected/scattered from the floor, ceiling and machinery.

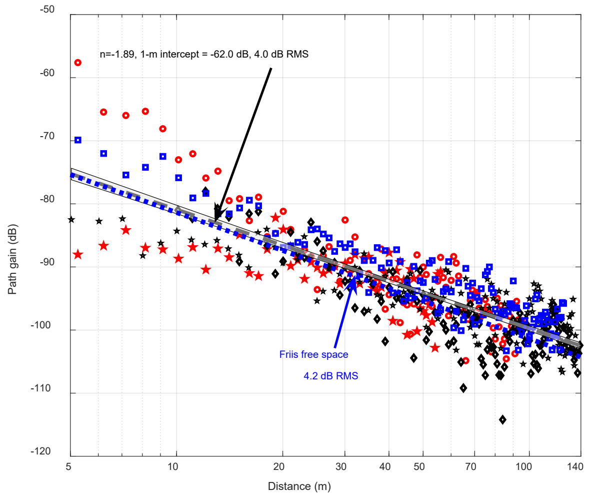

Fig. 2. LOS path gain of over 500 links in 5 factory aisles at 28 GHz. Data from different aisles are distinguished by different symbols.

IV. MEASURED PATH GAIN IN NLOS FACTORY LINKS

Path gain and azimuthal patterns were measured in over 2000 NLOS links in 4 factory buildings, with varying clutter and ceiling heights. In a typical arrangement, the omnidirectional transmitter was placed at a location while the spinning receiver was moved along aisles separated from the Tx by factory clutter, for example along the blue dashed lines in Fig. 1. About 10 different Tx locations and over 40 factory aisles were measured, at ranges varying from 10 to 130 m. The overall data set conditions are summarized in Table 1. “Heavy clutter” height is the average height of machinery in each factory.

Table 1. NLOS data set and environmental parameters in the 4 factories.

	Number of NLOS links	Ceiling height (m)	Heavy clutter height (m)
Factory 1	91	3.3	2.4
Factory 2	124	5.2	3.1
Factory 3	633	5.0	1.5
Factory 4	1255	8.0	3.5

The resulting path gain for each factory is plotted against Tx-Rx separation in Figures 3-6. “Theory” lines also plotted are described in Section V. The steep drop sections near 60 m distance in Fig. 4 correspond to NLOS data in the vicinity of an intersection of 2 factory aisles: received power drops steeply with distance as the terminal moves further away from the corner, at a rate consistent with corner diffraction, similar to an intersection of two indoor corridors [6][7].

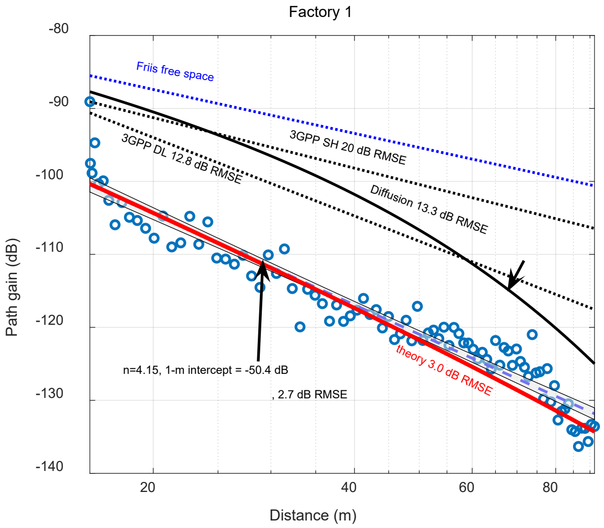

Fig. 3. Measured path gain of all NLOS links at 28 GHz in factory 1. “Theory” is (2).

Also shown in Figures 3-6 are two 3GPP factory (“inF”) models, 3GPP-SH (“Sparse High”) and 3GPP DL (“Dense Low”), referring to clutter density and AP or terminal height relative to clutter. These are, correspondingly, least lossy and most lossy of the four 3GPP factory models.

A generic slope-intercept path gain model has the form

$$P = A - 10n\log_{10} d + N(0,\sigma^2)\,. \quad (1)$$

The 1-m intercept A and distance-exponent n are determined through a LMS fit to data, with goodness of fit characterized by standard deviation σ. The results of such a fit to the NLOS path gain measurements in these 4 factories are summarized in Table 2 and plotted in Figs. 3-6. The gray regions surrounding the slope-intercept fit lines in Figs. 3-6 indicate 90% confidence region of the fit [11]. Since the receive and transmit antennas were placed at different heights, it is important to make sure the data used in analysis corresponds to locations within the elevation beamwidth of the antennas. To do that, only data collected at distances beyond 10 m was included in this work to make sure the locations fall within the 10º elevation beamwidth of the receive antenna.

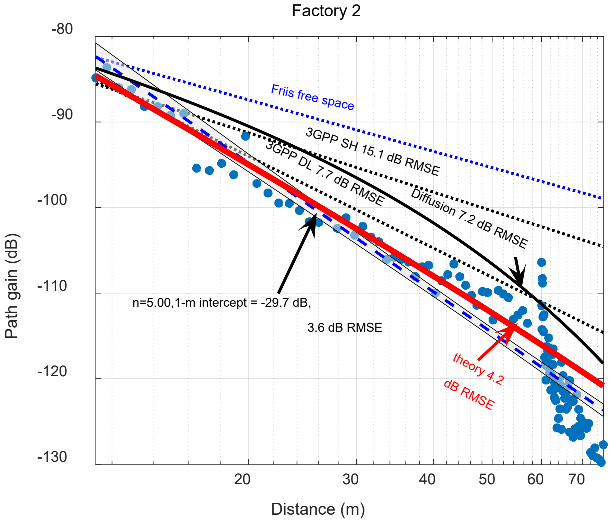

Fig. 4. Measured path gain of all NLOS links at 28 GHz in factory 2. “Theory” is (2)

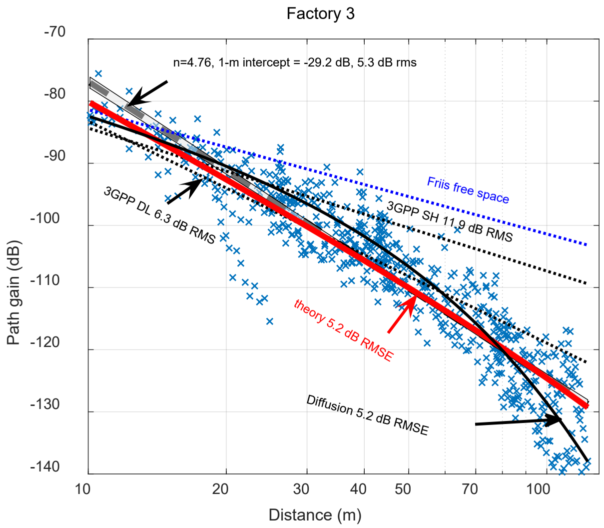

Figure 5. Measured path gain of all NLOS links at 28 GHz in factory 3.

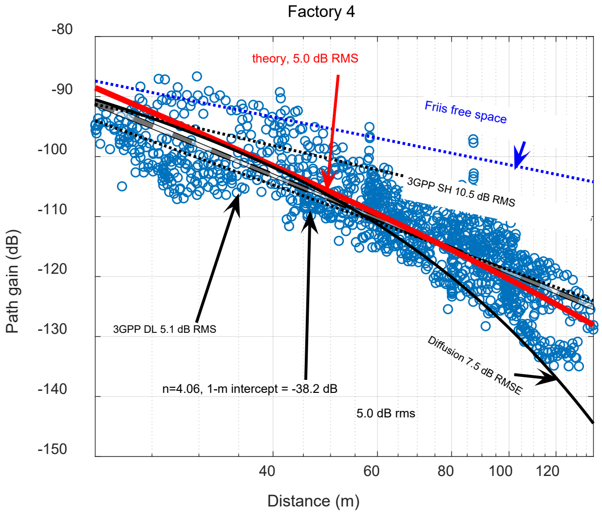

Fig. 6. Measured path gain of all NLOS links at 28 GHz in factory 4.

Table 2. LMS linear fit to 28 GHz path gain data in 4 factories.

	1-m intercept *A* (dB)	Distance exponent *n*	RMS fit σ (dB)
Factory 1	-50.4	4.15	2.7
Factory 2	-29.7	5.00	3.6
Factory 3	-29.2	4.76	5.3
Factory 4	-38.2	4.06	5.0
All 4 factories	-43.9	4.07	6.9

Path gain distance exponents in Table 2 are within the range 3.3 to 4.9 of path gain exponents found at 2.2 GHz and 5.4 GHz in [5]. It may be observed by comparing the fit lines in Figs 3-6 that the path gain is highly variable from factory to factory, with fit lines spanning 14 dB range at 50 m, as shown in Fig. 7. The data from all 4 factories is combined into a joint data set and then fitted with a slope-intercept to produce a simple joint model, whose parameters are on the last line in Table 2. Creation of a joint data set from an equal number of samples from each environment (here factory) would be a simple union of all measurements.

We note that expanding a data set by just accumulating multiple copies of it preserves its cumulative distribution. Since the data set sizes varied from 91 links to over 1200 links in the 4 factories measured, a joint data set was created by repeating each data set, as necessary, to get approximately the same number of samples from each of 4 factories. The resulting (repeated) data sets were then joined into a joint data set, now with equal representation of each environment.

The fit to data in Factories 1 and 2 (Figs. 3 and 4) is 7 to 10 dB below even the "high loss" 3GPP inF-DL (Dense clutter, Low AP/terminal) model [3], with RMS of 7.7 to 12.8 dB. The inF-DL model is a better representation of the data in Factories 3 and 4 (Figs. 5 and 6), with 5.1 to 6.3 dB RMS error. The joint set fit line in Fig. 7 has 6.9 dB RMS, while 3GPP-DL and 3GPP-SH have 8.5 dB and 14.9 dB, respectively. These two models are, respectively, the ones with the greatest and least loss of the four 3GPP factory models. The other two 3GPP models would thus have accuracies between these limits.

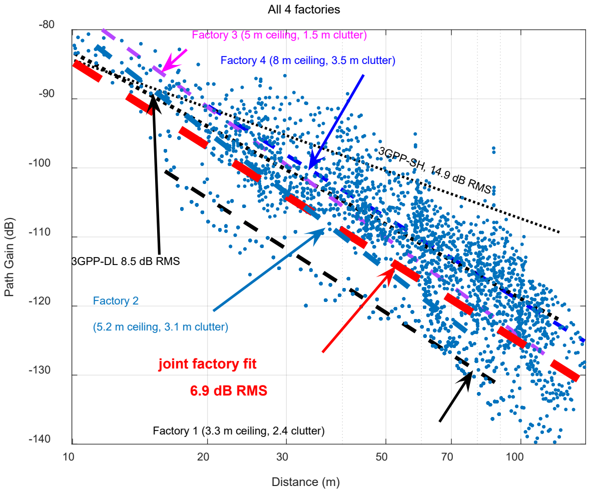

Fig. 7. Joint NLOS path gain data from all 4 factories, with individual factory line fits superimposed.

3GPP recommends choosing the appropriate model dependent on clutter "density". Clutter density is difficult to determine by observation. For instance, it was found here that Factory 4, which appeared (subjectively) to have the densest clutter, also produced the highest path gain (strongest signal) among all the factories, at odds with the corresponding 3GPP model.

V. THEORETICAL NLOS PATH GAIN MODEL FOR FACTORIES

Wide variation of path gains described in the previous section motivates development of a theoretical model that can represent such variations based on practically available environment description. Particularly striking observation was that Factory 4, containing tallest and densest heavy clutter was found to have lowest losses (strongest coverage) of the four factories. This is in sharp contrast to 3GPP recommendation [3] that placing a base station antenna below average clutter height, in dense clutter, (inF-DL in [3]), would produce the highest losses.

It is notable that observed signal levels were found to generally increase with ceiling height in the four factories. This suggested the possibility that the signal from the base is reflecting from the ceiling to reach the general area. The approach to model path gain for this case is here taken is an extension of a model for propagation between an antenna placed in an open space and an antenna placed in a cluttered half-space, developed for the case of a lamppost AP communicating with a terminal placed on an exterior wall of a house, behind foliage [8], itself an extension of [9].

The overall problem is illustrated in Fig. 8. The factory is viewed as being vertically separated into 2 regions: lower region, occupied by "heavy" clutter (machinery) of height h_{clut} and upper region above "heavy clutter" and below ceiling of height h_{ceil}.

The upper region is occupied by a sparse distribution of scatterers (lighting, wiring, air ducts, structural support), characterized empirically by intrinsic absorption κ.

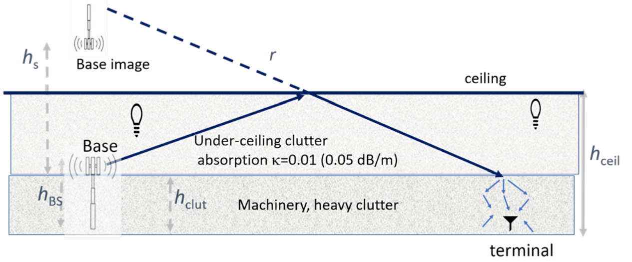

Fig. 8. Factory geometry for theoretical NLOS model.

The terminal, is placed at a height below h_{clut}, is therefore in the lower, "heavy clutter" region.

The propagation from the AP to the terminal is modeled as traversing the "light clutter" upper region, reflecting from the ceiling and penetrating the "heavy clutter" region, where it undergoes strong diffuse scatter from machinery until it reaches the terminal. The corresponding path gain formula, as a function of range r and wavelength λ, is an adaptation of the model for penetrating a cluttered half-space from free half-space [8], modified here to include ground reflection with power reflection coefficient $\left|\Gamma_{\text{g}}\right|^2 = 1$

$$P_{\text{G}} = \left(1 + \left|\Gamma_{\text{g}}\right|^2\right) \frac{h_{\text{s}}^2 \lambda^2}{8\pi^2 r^4} e^{-\kappa r} . \tag{2}$$

The effective source is the image of the AP antenna due to reflection in the ceiling, at effective "stand-off" height h_{s} from the heavy clutter region

$$h_{\text{s}} = 2h_{\text{ceil}} - h_{\text{clut}} - h_{\text{BS}} \tag{3}$$

The path directly illuminating near-terminal clutter can be included by adding to (2) a similar expression, but with the direct standoff distance $h_{\text{s,direct}} = h_{\text{BS}} - h_{\text{clut}}$. This contribution is weaker and is neglected here for simplicity.

Note part of the propagation path through the upper, 'light' clutter, region clears the heavy machinery layer below, as the maximum radius of the first Fresnel zone at 28 GHz is 0.5 m at 100 m range, smaller than the ceiling-clutter separation in all 4 factories, as observed in Table 1. The ceiling-reflected signal path penetrating a cluttered half-space (metal machinery) is a non-trivial extension of the diffusion formula [14] and the direct illumination into vegetation model [8] which treated propagation into suburban vegetation layer, a distinctly different environment.

Loss due to scatter and absorption in the upper region is represented by the factor $e^{-\kappa r}$,with $\kappa = 0.01$, corresponding to 0.05 dB/m, found to provide the best fit to the entire data set across all 4 factories. This is the only parameter adjusted to fit the data, as opposed to two parameters needed in the slope-intercept fit. Taking ceiling and clutter height parameters for each of the factories, as tabulated in Table 1, to define stand-off height h_{s} in (3), as well as base height of 2.3 to 2.6 m, as used in measurements, allows evaluation of (2) for comparison against the 4 factory data sets, as illustrated by the solid black line in Figs 3-6. The RMS model error of (2) is found to vary from 3.0 to 5.2 dB across the 4 factories.

The accuracies of the slope-intercept fit, theoretical model (2), the diffusion model [14] and 3GPP factory (inF) models [3] are summarized in Table 3. The theoretical model (2) has the RMSE of 4.4 dB, as compared to 6.9 dB obtained with overall fit, as well as 8.5 dB from the best of the 3GPP factory models ("Dense-Low", inF-DL). The accuracy of (2) may be attributed to its dependence on ceiling and clutter heights. The diffusion model RMSE remained above 8 dB against the overall data set even after adjusting the absorption parameter κd to an optimal value against the 4-factory data set.

Remark: It might be expected theoretically that the diffusion model [14] might apply in such environments with a statistically uniform distribution of scatterers [12], where absorption losses increase with clutter size and density. Although the diffusion model does well (5.2 dB

RMSE) against the Factory 3 data, it is seen here not to generalize well to the other factories studied here (8.8 dB RMSE overall).

Table 3. Model accuracy (RMSE in dB) against data

data Set	Fit	**Theory (2)**	Diffusion [14]	3GPP-SH	3GPP-DL
Factory 1	2.7	3.0	13.3	20.0	12.8
Factory 2	3.6	4.2	7.2	15.1	7.7
Factory 3	5.3	5.2	5.2	11.9	6.3
Factory 4	5.0	5.0	7.5	10.5	5.1
All	6.9	**4.4**	8.8	14.9	8.5

Additional path gain measurements were collected at 3.5 GHz in Factory 2 using the same transmitter and receiver locations as the 28 GHz data in Fig. 4. The 3.5 GHz transmitter used a 10 dBm OFDM signal spread over 18 MHz effective bandwidth, from a 14 dBi (40° half-power beamwidth) vertically polarized antenna placed at 2.6m above the floor. The received signal was captured using an array of 4 vertical 'whip" 5 dBi antennas, placed pairwise at heights of 0.25 and 1.75m above the floor, with each pair separated horizontally by 0.25 m. Measured complex channel responses were coherently averaged over time, leading to 29.5 dB processing gain. Path gain was calculated after averaging over the 4 receivers and 18 MHz bandwidth. Path gains measured at 3.5 GHz and 28 GHz (same as in Fig. 4) are compared against theory (2) in Fig. 9. The corresponding accuracies are 3.3 dB RMSE at 3.5 GHz and 4.2 dB RMSE at 28 GHz. At 3.5 GHz, the diffusion formula [14] yields 5.1 dB RMSE, 3GPP-SH 14.9 dB and 3GPP-DL 6.1 dB RMSE. Theory (2) thus maintains its accuracy against available factory data at frequencies that differ by a factor of 8.

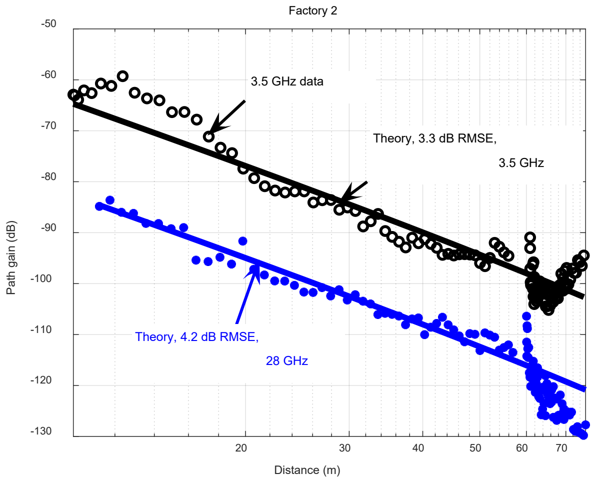

Fig. 9. Measured path gain of all NLOS links at 28 GHz and 3.5 GHz in factory 2. Theory is (2).

VI. EFFECTIVE AZIMUTH GAIN IN NLOS FACTORY LINKS

Scattering generally degrades the effective gain of a directional antenna. Examples of measured azimuthal patterns in LOS and NLOS conditions are illustrated in Figs. 10 and 11, respectively.

In LOS, the strongest arrival is, unsurprisingly, from the direction of the direct path from the Tx, 180° in Fig. 10, with occasional scattered arrivals from the sides and end of the aisle 5 to 10 dB weaker than the direct path.

Measured azimuthal power spectra $p(\phi)$ were used to calculate the effective azimuthal gain, defined as

$$G_{\text{azim}} = \frac{\max_{\phi} p(\phi)}{\left(1/2\pi\right)\int_{0}^{2\pi} d\phi\, p(\phi)} \qquad (4)$$

Cumulative distribution of azimuthal gains in LOS conditions are shown in Fig. 12. It may be observed that 90% of measured azimuth gains are within 3 dB from the 14.5 dB nominal azimuthal gain of the 10° horn, as quantified through (4) from measurements in the anechoic chamber.

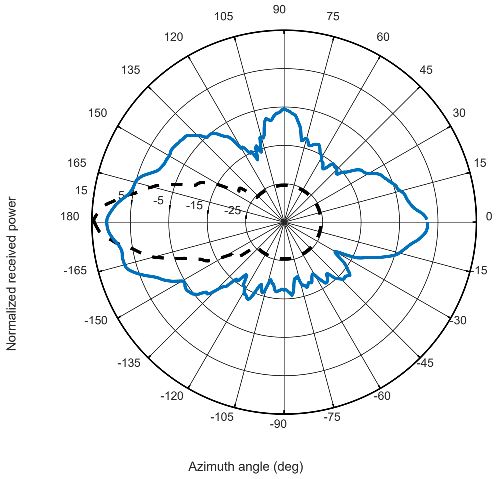

Fig. 10. Sample received azimuthal power profile in LOS factory aisle (solid) and in anechoic chamber (dashed).

The cumulative distributions of azimuthal gains in NLOS for 4 factories are shown in Fig. 13. As compared to the 14.5 dB nominal, azimuth gain was degraded by up to 7.3 dB for 90% of links across all 4 factories explored. The empirical distribution of observed directional gain in all 4 factories in Fig. 13 is within 0.6 dB of a normal distribution with a mean of 9.65 dB and std. deviation of 1.9 dB. High directional antennas are less effective in fully scattering channels where power versus angle is constant on average, although the angular spectrum instantiation is subject to direction-dependent fading. As a result, modest diversity gains are achievable by selecting the direction with the highest power instantiation, as shown in Fig. 13 where the simulated arrivals from different directions follow the i.i.d. complex Gaussian distribution, as appropriate in full scattering. The amplitude of the complex sum is then Rayleigh distributed. The complex channel spectrum is convolved with the complex antenna pattern [6] measured in an anechoic chamber to generate instantiations of the pattern, whose effective gain is computed using (4) and plotted as the "simulated full scatter" distribution in Fig. 13.

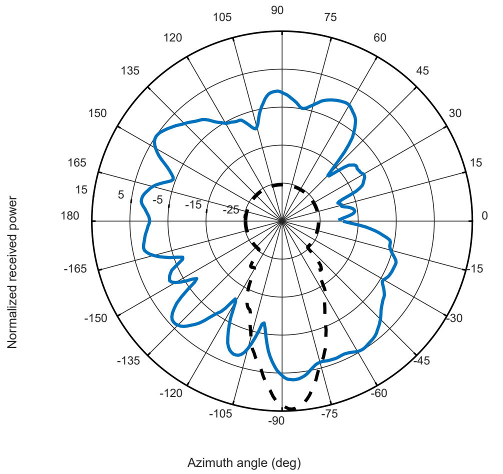

Fig. 11. Observed power azimuth spectrum in NLOS in Factory 1 (solid) and in anechoic chamber (dashed).

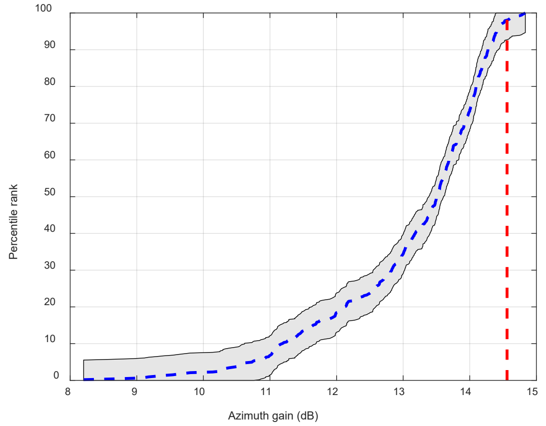

Fig. 12. Distribution of measured azimuth gain across all LOS links. The grey area indicates 90% confidence interval [10] and the vertical dashed red line is the nominal azimuth gain as obtained in anechoic chamber.

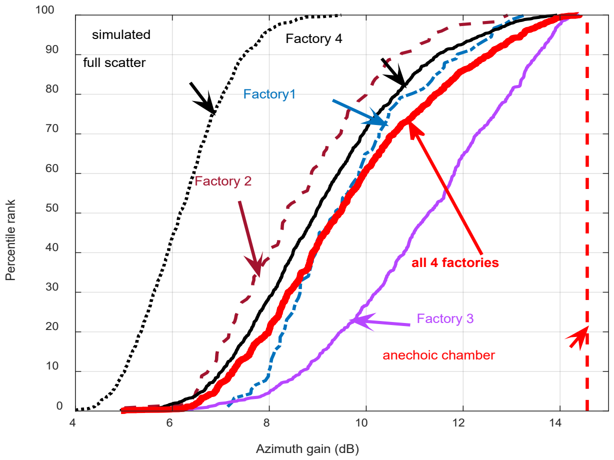

Fig. 13 Observed distributions of effective azimuth gain in NLOS factory links at 2.3 to 2.6 m height.

Additional data was collected in factory 4 at the same set of locations, but with a spinning horn at 1.05 m and 2.6 m heights above the floor, to quantify the effect of height on effective azimuth gain. The results in Fig. 14 show median azimuth gain about 0.7 dB higher at 2.6 m height than at 1.05 m height. Both heights are below the 3.5 m heavy clutter height, perhaps explaining the small change.

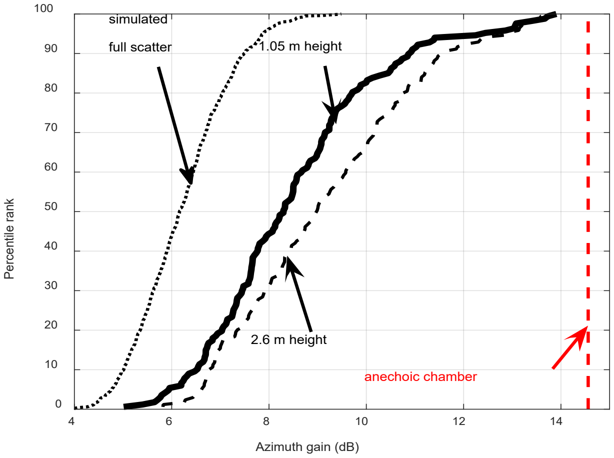

Fig. 14. Azimuth gain distribution measured in factory 4 at same set of (x,y) locations, at 1.05 m and 2.6 m heights.

VII. LOS AISLE BLOCKAGE IN A FACTORY

Even in nominally LOS conditions, when both Tx and Rx are in the same aisle, the direct signal path may be blocked by an obstacle, such as a person or a forklift. Here we assess experimentally the impact of LOS blockage in a factory aisle. To do so, a large metal box 1.7 m high × 1 m wide × 0.5 m deep was placed between the Tx and Rx in a factory aisle, at 2 m, 5 m and 10 m from the Tx. As elsewhere in this work, the Tx was an omnidirectional antenna placed 1 m above the floor, while the spinning horn Rx was mounted under the ceiling at 2.3 m above the floor and moved away from the Tx in 1 m increments, illustrated in Fig. 15.

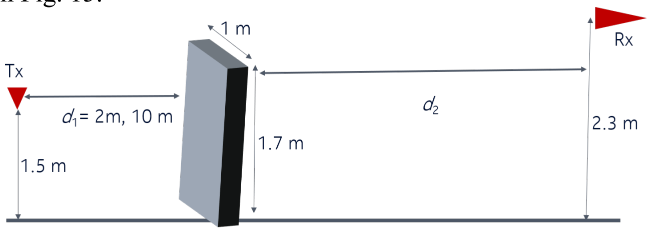

Fig. 15. Blocked geometry in a factory aisle.

Measured path gain when the blocking plate is 2 m away from the Tx is compared against classical diffraction prediction [13] around a rectangular plate in Fig. 16.

It may be observed by comparing fit to measurements to free space that the excess loss due to blockage is about 7 dB at 35 meters.

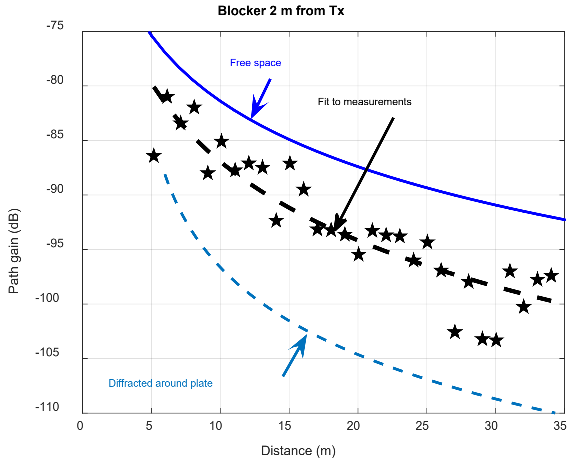

Fig. 16. Path gain measured in a factory aisle with LOS blocked by a 1.7 m ×1 m metal plate, placed 2 m from the Tx.

The observed signal power when blocked is still over 10 dB stronger than predicted by diffraction around the obstacle in free space. Scattering from objects in the factory forms a natural alternative path for the signal. Measured peak azimuth angles are shown in Fig. 17 for unobstructed and obstructed (metal plate at 2m from the transmitter) cases in the factory aisle. The symbols for each arrival are color-coded to indicate its power in dB relative to peak arrival, as per color bar on the right. It is found that in 65% of the locations the peak azimuth in the obstructed case (marker x) was within the 10° beam width of the peak azimuth in the unobstructed case, implying that even if the direct LOS is blocked, no beam adaptation is needed in 65% of the cases measured. In a few locations around the 20 m range in Fig. 17 scattered arrivals (around 45°) exceeded the power of the LOS arrival (around 180°) by a fraction of a dB. Measurement of azimuthal spectra allows estimates of performance of antennas wider than the 10° antenna used in data collection. When the aisle is thus blocked, using a fixed 90° sector antenna aimed "down the aisle" would lead to under 2 dB misalignment loss for 90% of links as compared to adaptive 90° beam tracking peak directions.

Similar measurements for blocking object 10 m in front of the Tx, are shown in Fig. 18, where we observe much weaker effect of blockage, within 2 dB of free space loss.

In summary, a 1.7 m × 1 m obstacle as close as 2 m from the terminal produces up to 7 dB reduction in received signal strength and does not require beam adaptation in 65% of the cases. Blockage at larger distances creates even smaller losses.

Average loss caused by blockage for NLOS links was observed to be around 1 dB, much smaller than the spread of the measurement data itself. This is likely because the obstruction does not block a substantial part of the multiple paths for NLOS links.

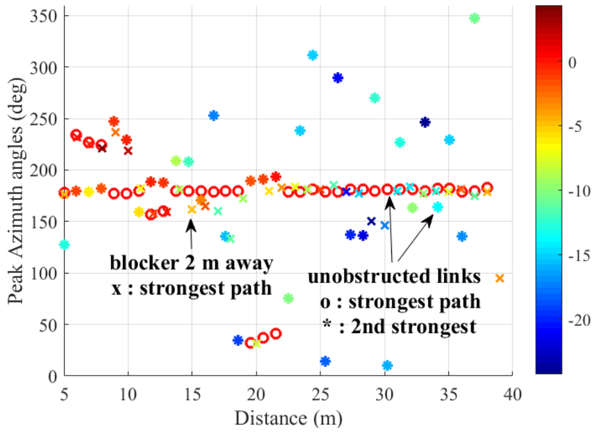

Fig. 17. Measured azimuth directions for strongest obstructed (x), strongest unobstructed (o), and 2nd strongest unobstructed (*) arrivals. "Obstructed" refers to a metal plate 2m from the transmitter.

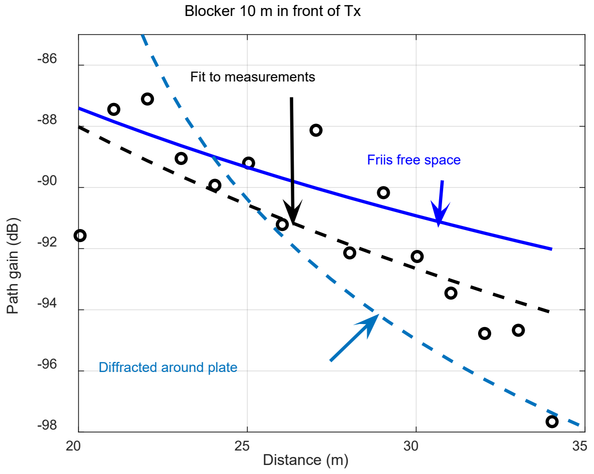

Fig. 18. Measured path gain with 1.7 m × 1 m object blocking the LOS in a factory aisle, 10 m from the Tx.

VIII. COVERAGE ASSESSMENT AND DEPLOYMENT RECOMMENDATIONS

We provide coverage estimates and deployment recommendations for factories at 28 GHz. We consider a private 5G network in a 100 m×100 m factory with a 28 GHz AP attached to the ceiling at 3 m height in the middle of the factory, at the intersection of two central aisles. The AP has 25 dBm transmit power per polarization, supporting 2×2 MIMO via dual polarization. Bandwidth of 400 MHz contains many coherence bandwidths[2] thus providing frequency diversity against fast fading. Terminals in the central aisles, such as delivery robots, are in LOS to the AP, while terminals in the rest of the factory, such as machines and assembly robots, are in NLOS.

Path gain models and gain degradation models are derived from our extensive measurement campaigns. More specifically, LOS path gain model is from Fig. 2, while NLOS links are modelled by the joint NLOS path gain model from Fig. 7 as broadly representative of diverse factory environments. Azimuth gain degradation is derived from Fig. 13. For coverage prediction we assume that the angular spread model for elevation is the same as for azimuth. This likely reduces the available effective gain if the elevation spread is less than azimuth spread, as recommended by 3GPP in other environments [3].

We evaluate downlink (DL) rates with terminal noise figure of 10 dB. Time-division duplexing with 80% DL ratio is assumed, with cell throughput evaluated as truncated Shannon rates with 3 dB implementation penalty and SNR cutoff threshold of -10 dB, a standard practice for 3GPP system level simulation to eliminate links with spectral efficiency below the corresponding threshold [12]. It is assumed that a single AP is serving the entire factory, one terminal at a time.

Either omni or directional antennas can be used at the AP and the terminal. One example is to equip the AP with four phased array antenna panels, each covering a 90° sector, either using a fixed wide beam (90° in azimuth, 30° in elevation, 11 dBi), or electronically steered narrow beams (23 dBi, 12°) within the sector. The coverage estimates, in terms of CDFs of DL cell throughput are presented in Fig. 19, and the DL coverage range (achieving at least -10 dB SNR) as well as cell edge (10%) throughput are summarized in Table 4.

The simplest approach, deploying omni antennas at both ends has over 10% of users in outage. Using a fixed beam antenna (11 dBi) at the AP improves the DL coverage range to 45 m with 70 Mbps DL cell edge throughput, assuming uniform spatial distribution of terminals. This simple solution does not require beam pointing towards a terminal. Using a 23 dBi (12°) AP antenna capable of pointing beams towards a terminal improves the DL coverage range to 64 m, and DL cell edge throughput to 130 Mbps. Further improvement can be obtained by using a directional antenna at the terminal. For example, with a 10 dBi (55°) terminal antenna, 600 Mbps DL edge throughput can be delivered.

Impact of blockage is only significant for LOS links at short distance to terminals: up to 7 dB loss was observed when a 1.7 m × 1m metal plate placed at 2 m from terminal, and the loss for NLOS links are negligible. Since the LOS links have very high SNR, and they only account for about 8% of all links (assuming the aisles are 3 to 4 m wide), such extra 7 dB blockage margin for LOS links does not change the cell edge throughput.

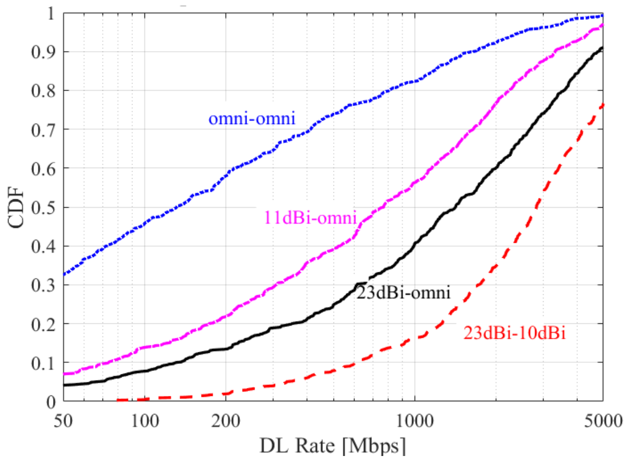

Fig. 19. CDF of DL cell throughput under three different AP-terminal antenna settings.

Table 4. DL coverage range and cell edge throughput.

AP antenna	Terminal antenna	DL coverage range (-10 dB SNR)	DL edge (10%) throughput
Omni	Omni	28 m	Outage
11 dBi	Omni	45 m	70 Mbps
23 dBi	Omni	64 m	130 Mbps
23 dBi	10 dBi	> 70 m	600 Mbps

IX. CONCLUSIONS

Directional measurements of over 2000 links in 4 factory environments were collected at 28 GHz, and, partially, at 3.5 GHz, to characterize coverage at ranges exceeding 100 m. Key results obtained from these measurements are:

- Path gain and azimuth gain models derived from extensive and diverse measurements allow reliable estimates of 90% coverage in factories. Corresponding 3GPP path gain models are found to predict 6 to 10 dB less loss than measured here.

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[2] The median delay spread in a 100 m x 100 m factory is estimated to be 35 ns as per [3], corresponding to coherent bandwidth of about 30 MHz.

- Azimuth spreads up to 26° for 90% of links corresponds to azimuth gain degradation of 7.3 dB suffered by the 10° horn antenna used.
- A simple, theoretically derived expression for path gain, dependent on ceiling and clutter heights is found to represent path gain with RMSE of 4.5 dB, contrasted with 6.9 dB RMSE from the overall linear fit and 8.7 to 15.0 dB RMSE from the 3GPP inF models. The new theoretical model maintains its accuracy against available 3.5 GHz factory path gain data, with 3.3 dB RMSE.
- LOS blockage by a 1.7 m ×1 m obstacle in a factory aisle as close as 2 m from the terminal produces up to 7 dB reduction in received signal strength and does not require beam adaptation in 65% of the cases. Blockage at larger distances creates even smaller losses.
- Estimation of coverage using antennas with practical gains in realistic conditions provides a way to assess the value of using directional antennas in a scattering environment. It is found that an AP using 25 dBm transmit power per polarization, with 23 dBi nominal gain and omnidirectional terminals, supporting 2×2 MIMO in 400 MHz bandwidth, can provide 130 Mbps for 90% of factory locations within 50 m at 28 GHz.
- The overall coverage planning can be made specific to a particular factory using its clutter and ceiling heights, making use of the accurate theoretical path gain formula presented here.

Measured factory areas did not have wall separations, which, if present, are expected to alter the propagation characteristics, becoming both obstacles as well as sources of reflection.

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