



Aalborg Universitet

AALBORG UNIVERSITY  
DENMARK

## Direct oral anticoagulants are associated with lower risk of dementia in patients with atrial fibrillation

Sagris, Dimitrios; Ntaios, George; Buckley, Benjamin J. R.; Harrison, Stephanie L.; Underhill, Paula; Lane, Deirdre A.; Lip, Gregory Y. H.

*Published in:*  
European Journal of Internal Medicine

*DOI (link to publication from Publisher):*  
[10.1016/j.ejim.2023.10.033](https://doi.org/10.1016/j.ejim.2023.10.033)

*Creative Commons License*  
CC BY 4.0

*Publication date:*  
2024

*Document Version*  
Publisher's PDF, also known as Version of record

[Link to publication from Aalborg University](#)

*Citation for published version (APA):*

Sagris, D., Ntaios, G., Buckley, B. J. R., Harrison, S. L., Underhill, P., Lane, D. A., & Lip, G. Y. H. (2024). Direct oral anticoagulants are associated with lower risk of dementia in patients with atrial fibrillation. *European Journal of Internal Medicine*, 121, 114-120. Advance online publication. <https://doi.org/10.1016/j.ejim.2023.10.033>

### General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal -

### Take down policy

If you believe that this document breaches copyright please contact us at [vbn@aub.aau.dk](mailto:vbn@aub.aau.dk) providing details, and we will remove access to the work immediately and investigate your claim.



## Original article

# Direct oral anticoagulants are associated with lower risk of dementia in patients with atrial fibrillation

Dimitrios Sagris<sup>a,b,1</sup>, George Ntaios<sup>b,1</sup>, Benjamin J.R Buckley<sup>a,c</sup>, Stephanie L Harrison<sup>a,c</sup>, Paula Underhill<sup>d</sup>, Deirdre A. Lane<sup>a,c,e</sup>, Gregory Y.H. Lip<sup>a,c,e,\*</sup>

<sup>a</sup> Liverpool Centre for Cardiovascular Science at University of Liverpool, Liverpool John Moores University and Liverpool Heart and Chest Hospital, Liverpool, UK

<sup>b</sup> Department of Internal Medicine, Faculty of Medicine, School of Health Sciences, University of Thessaly, Larissa, Greece

<sup>c</sup> Department of Cardiovascular and Metabolic Medicine, University of Liverpool, UK

<sup>d</sup> TriNetX LLC, London, UK

<sup>e</sup> Department of Clinical Medicine, Aalborg University, Aalborg, Denmark



## ARTICLE INFO

## Keywords:

Atrial fibrillation  
Oral anticoagulation  
Direct oral anticoagulation  
Vitamin K antagonists  
Dementia

## ABSTRACT

**Background and aim:** Atrial fibrillation (AF) is associated with increased risk of dementia. Whether direct oral anticoagulation (DOAC) reduce this risk compared to vitamin-K antagonist (VKA) is unclear. The aim of this study was to assess the risk of new all-cause dementia and vascular dementia in AF patients, treated with either DOAC or VKAs.

**Methods:** Anonymized electronic medical records from the TriNetX federated research network were used. AF patients treated with DOACs within 1 month of AF diagnosis, were 1:1 propensity score-matched with those treated with a VKA. The analysis included patients who completed 5 and 10 years of follow-up and were assessed for all-cause dementia and vascular dementia. Cox proportional hazard models were used to hazard ratios (HR), respectively with 95% confidence intervals (CIs).

**Results:** Among patients who completed 5 years of follow-up, after propensity score matching the final cohort consisted of 215,404 well-matched AF patients. All-cause dementia was diagnosed in 4,153 (3.9%) patients among those treated with DOACs and 4,150 (3.9%) among the VKA-treated patients (HR: 1.01, 95%CI: 0.96–1.05). Among patients 65–74 years old who were followed, DOAC treatment was associated with lower risk of dementia compared to VKAs (HR: 0.72; 95%CI: 0.59–0.86). Among patients who completed 10 years of follow-up, after propensity score matching the final cohort consisted of 19,208 well-matched AF patients. All-cause dementia was diagnosed in 314 (3.3%) patients among those treated with DOACs and 451 (4.7%) among the VKA-treated patients. DOAC treatment was associated with significantly lower risk of all-cause dementia during a follow-up period of 10 years compared to VKA treatment (HR: 0.72, 95%CI: 0.62–0.83), which remained consistent in patients  $\geq 65$  years old.

**Conclusion:** This propensity-score matched analysis showed that among AF patients, treatment with a DOACs for a period of 10 years was associated with lower risk of all-cause dementia and vascular dementia compared to VKA treatment, an effect which was not apparent in those treated for shorter duration. This finding requires confirmation in ongoing randomised controlled trials.

## 1. Introduction

Both atrial fibrillation (AF) and dementia have evolved into silent

epidemics which convey significant health-related, societal and financial burden. The number of patients with AF are expected to increase by 150% during the next four decades [1], and the number of patients with

**Abbreviations:** AF, atrial fibrillation; OAC, oral anticoagulation; direct oral anticoagulation, DOAC; vitamin-k antagonist, VKA; EMR, electronic; ICD-10CM, international classification of diseases; Ninth revision and tenth revision, clinical modification; HR, hazard ratios; CI, confidence interval.

\* Corresponding author at: Liverpool Center for Cardiovascular Science, William Henry Duncan Building, University of Liverpool, 6 West Derby Street, Liverpool L7 8TX, UK.

E-mail address: [gregory.lip@liverpool.ac.uk](mailto:gregory.lip@liverpool.ac.uk) (G.Y.H. Lip).

<sup>1</sup> Both authors contributed equally and considered as first authors.

<https://doi.org/10.1016/j.ejim.2023.10.033>

Received 31 March 2023; Received in revised form 4 September 2023; Accepted 24 October 2023

Available online 31 October 2023

0953-6205/© 2023 The Author(s). Published by Elsevier B.V. on behalf of European Federation of Internal Medicine. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>).

dementia is expected to exceed 135 million during the next 30 years [2]. AF and dementia frequently coexist, however it remains unclear whether AF is causally associated with dementia, or a mediating factor explained by shared risk factors such as age, hypertension, diabetes mellitus, dyslipidemia, sleep apnea, ischaemic heart disease, heart failure, chronic kidney disease, obesity, physical inactivity, and excessive alcohol consumption [3]. Several pathophysiological mechanisms may explain a putative causal association, including cerebral infarcts, decreased brain volume due to hypoperfusion of gray matter, silent cerebral infarcts, micro-bleeds and inflammation [3]. Importantly, since the presence of AF is associated with higher risk of cortical cerebral infarcts, which in turn may increase the risk of dementia [4,5], the use of oral anticoagulation (OAC) may be protective against dementia among AF patients [6–8].

Towards the reduction of silent and non-silent cerebral infarcts which may represent an important pathophysiological mechanism of dementia [3], previous studies have shown that the use of vitamin-K antagonists (VKAs) among AF patients was associated with lower risk of dementia, compared to non-anticoagulated [9,10]. Nevertheless, VKA non-adherence may lead to suboptimal times in therapeutic range (TTR), which has been associated with higher risk of dementia compared to those with high TTRs [11,12]. On the other hand, among AF patients, the use of VKAs was associated with the development of microbleeds [13] while supratherapeutic ranges of VKA were associated with an increased risk of dementia [12]. Direct oral anticoagulants (DOACs) have important advantages over VKAs, such as lack of dietary interactions, few drug interactions, no requirement to monitor the international normalised ratio (INR), equal or superior efficacy, and superior safety, increasing adherence to treatment [14], and simultaneously were not found to be associated with higher risk of microbleeds [13]. If there is a causal association between AF and dementia, it is plausible to hypothesize that the superior profile of DOACs could be translated into more efficient prevention of dementia compared to VKAs [3], by reducing the incidence of silent infarction or microbleeds. Previous studies have identified an association between dementia and the quality of VKA treatment, assessed by the time in therapeutic range [15].

Recently, a real-world data analysis based on the TriNetX database, including patients  $\geq 80$  years, showed that DOAC treatment was associated with lower risk of cardiovascular events and all-cause dementia in patients with AF [16]. The aim of this study was to compare the risk of all-cause dementia and dementia types between patients with AF, treated with either DOAC or VKA, using a real-world, global federated health network.

## 2. Methods

### 2.1. Data availability statement & ethical approval

The data underlying this article are available in TriNetX at <https://live.trinetx.com>. To gain access to the data in the TriNetX research network, requests are directed to TriNetX and a data sharing agreement is required. As a federated research network, studies using the TriNetX health research network do not need ethical approval as no patient identifiable information is received.

### 2.2. Study design

This was a retrospective observational study conducted within TriNetX, which is a global federated health research network with access to electronic medical records (EMRs) from participating health care organizations including academic medical centers, specialty physician practices, and community hospitals covering approximately 69.8 million individuals, mainly in the United States. Within this network, available data include demographics, diagnoses using International Classification of Diseases, Ninth Revision and Tenth Revision, Clinical

Modification (ICD-10-CM) codes, and medications. Further information can be found online (<https://trinetx.com/company-overview/>). Further details related to TriNetX database can be found in Supplemental material.

### 2.3. Cohort

The searches on the TriNetX online research platform were performed on 29 June 2023 for individuals with newly diagnosed atrial fibrillation or flutter (ICD-10-CM code I48) aged  $\geq 18$  years, who were treated within one month of atrial fibrillation or flutter diagnosis with either DOACs (e.g. dabigatran or apixaban or rivaroxaban or edoxaban) or VKAs (e.g. warfarin or phenprocoumon). Patients with diagnosis of vascular dementia or dementia in other diseases classified elsewhere or unspecified dementia or Alzheimer's disease (ICD-10-CM codes F01, F02, F03, G30, respectively) in their electronic medical records prior to OAC initiation were excluded (Supplemental Table 1). At the time of the search, there were 67 participating health care organizations. The baseline index event date was the date that anticoagulation therapy with either DOACs or VKA was first recorded in the participant's electronic medical records within 1 month of AF diagnosis. Any diagnoses registered before this date were considered to be the individual's baseline characteristics.

The cohort was divided into two groups using electronic health records according to the type of oral anticoagulant recorded: i) AF patients treated with DOACs, (apixaban or rivaroxaban or dabigatran or edoxaban) during the study time-period excluding those treated with any VKA and those with dementia prior to DOAC initiation; and ii) AF patients treated with VKA during the study time-period, excluding those treated with any DOAC and those with dementia prior to VKA initiation. In order to have the same follow-up period for all patients, we performed two analyses. In the first analysis, we included AF patients who had data in their records for at least 5 years after AF diagnosis and initiation of OAC and in these patients we have investigated each outcome for a follow-up period of 5 years. In the second analysis we included AF patients who had data in their records for at least 10 years after AF diagnosis and initiation of OAC and in these patients we have investigated each outcome for a follow-up period of 10 years. Thus, in each analysis all patients were followed up for 5 and 10 years, respectively, or their death. The above periods were used to allow a long follow-up period in all included patients. Individuals with AF and no record of any OAC during the study time-period, and individuals who had a record of receiving both VKA and a DOAC during the study period, were excluded.

### 2.4. Outcome

The primary outcome of interest was the diagnosis of the composite outcome of all-cause dementia, including vascular dementia or dementia in other diseases classified elsewhere or unspecified dementia or Alzheimer's disease (ICD-10-CM codes F01, F02, F03, G30, respectively) after OAC initiation. Secondary outcomes were the diagnosis of vascular dementia, ischemic stroke, myocardial infarction, intracerebral bleeding and death. Two pre-specified exploratory analyses were performed for the primary outcome. One analysis was performed based on the age of the included patients at the time of AF diagnosis, in which the cohort was divided in 3 groups: i)  $< 65$  years, ii) 65–74 years and iii)  $\geq 75$  years. A second exploratory analysis was performed based on the different types of DOACs in patients who were treated with the same DOAC during the study period.

### 2.5. Statistical analysis

All statistical analyses were performed on the TriNetX online research platform. Baseline characteristics were compared using chi-squared tests for categorical variables and independent-sample *t*-tests for continuous variables. We performed 1:1 propensity score matching

(PSM) to create balanced cohorts. We included the following variables in the propensity score matching: age, gender, ethnicity, co-morbidities (heart failure, arterial hypertension, ischaemic heart disease, cerebral infarction, dyslipidemia, diabetes mellitus, chronic kidney disease, non-traumatic intracerebral hemorrhage, extrapyramidal movement disorders and depression) and concomitant medication (lipid-lowering treatments, beta blockers, anti-arrhythmics, angiotensin converting enzyme [ACE] inhibitors, angiotensin II inhibitors) (Supplemental Table 1). After propensity score matching Chi square test and T-test were used to assess the balance between the two groups for each characteristic, while Cox-proportional hazard models were used to calculate hazard ratios (HRs) and 95% CIs to assess the associations between the type of OAC (i.e. DOAC or VKA) and the outcome of interest. Participants were censored when they experienced the outcome of interest or when they died. In this study all analyses were performed within the TriNetX platform, which utilizes the R's survival package v3.2–3, without any direct access to crude data.

### 3. Results

#### 3.1. Cohort characteristics before and after propensity score matching

The initial cohort including patients who completed 5 years follow-up consisted of 264,071 patients with atrial fibrillation or flutter. Of these, 117,960 (44.7%) were treated with DOACs and 146,111 (55.3%) were treated with VKAs (Supplemental Table 2). After propensity score matching on a 1:1 ratio, the final cohort consisted of 215,404 AF patients, mean (SD) age 70.2 (12.1); 90,216 (41.8%) females (Supplemental Fig. 1). The main characteristics of this cohort are summarized in Table 1.

The cohort which included patients with 10 years of follow-up consisted of 90,686 patients with atrial fibrillation or flutter. Of these, 9604 (10.5%) were treated with DOACs and 81,082 (89.5%) were treated with VKAs (Supplemental Table 3). After propensity score matching on a 1:1 ratio, the final cohort consisted of 19,208 well-matched AF patients, mean (SD) age 67 (12.1); 6797 (35.4%) female (Supplemental Fig. 1).

**Table 1**

Baseline characteristics of DOAC- and VKA-treated patients who completed 5 years of follow-up after propensity score matching.

	DOAC-treated patients n = 107,702	VKA-treated patients n = 107,702	p-value
<i>Demographics</i>			
Age, years (±SD)	70.3 (±11.9)	70 (±12.3)	<0.001
White, n (%)	86,524 (80.3)	86,092 (79.9)	<0.001
Black/African American, n (%)	7056 (8.9)	7170 (6.7)	<0.001
Asian, n (%)	1395 (1.3)	1475 (1.4)	0.133
Female, n (%)	45,026 (41.8)	45,190 (6.7)	<0.001
<i>Comorbidities</i>			
Heart failure, n (%)	18,479 (17.2)	19,020 (17.7)	0.002
Arterial hypertension, n (%)	42,950 (39.9)	44,176 (41)	<0.001
Ischemic heart disease, n (%)	25,233 (23.4)	26,090 (24.2)	<0.001
Cerebral infarction, n (%)	6113 (5.7)	6162 (5.7)	0.649
Dyslipidaemia, n (%)	33,536 (31.1)	34,412 (32)	<0.001
Diabetes mellitus, n (%)	18,936 (17.6)	19,395 (18)	0.010
Chronic kidney disease, n (%)	9218 (8.6)	9375 (8.7)	0.228
Intracerebral hemorrhage, n (%)	531 (0.5)	544 (0.5)	0.691
Extrapyramidal movement disorders, n (%)	2068 (1.9)	2048 (1.9)	0.753
Depression, n (%)	6748 (1.9)	6819 (1.9)	0.753
<i>Concomitant medications</i>			
Lipid-lowering treatment, n (%)	32,986 (30.6)	33,759 (31.3)	<0.001
Beta-blockers, n (%)	39,213 (36.4)	40,238 (37.4)	<0.001
Anti-arrhythmics, n (%)	24,626 (22.9)	25,237 (23.4)	0.002
ACE inhibitors, n (%)	20,933 (19.4)	21,433 (19.9)	0.007
Angiotensin II inhibitors, n (%)	10,570 (9.8)	10,730 (10)	0.248

DOAC: direct oral anticoagulants, VKA: vitamin K antagonists, ACE: angiotensin converting enzyme.

The main characteristics of this cohort are summarized in Table 2.

#### 3.2. DOAC vs. VKA and risk of all-cause dementia

After propensity-score matching, among patients who completed 5 years of follow-up, 8303 (3.9%) were diagnosed with all-cause dementia. All-cause dementia was diagnosed in 4153 (3.9%) patients among those treated with DOACs and 4150 (3.9%) among the VKA-treated patients [Table 3]. In the Cox-regression analysis, DOAC treatment was not associated with a lower risk of all-cause dementia during a follow-up period of 5 years compared to VKA treatment (HR: 1.01, 95% CI: 0.96–1.05).

After propensity-score matching, among 19,208 patients who completed 10 years of follow-up, 765 (4%) were diagnosed with all-cause dementia. All-cause dementia was diagnosed in 314 (3.3%) patients among those treated with DOACs and 451 (4.7%) among the VKA-treated patients. In the Cox-regression analysis, DOAC treatment was associated with significantly lower risk of all-cause dementia during a follow-up period of 10 years compared to VKA treatment (HR: 0.72, 95% CI: 0.62–0.83).

#### 3.3. DOAC vs. VKA and secondary outcomes

Among patients who completed 5 years of follow-up, DOAC treatment was associated with statistically significant reduction of the risk of intracerebral bleeding and death (HR:0.66; 95%CI: 0.61–0.71 and HR:0.69; 95%CI: 0.68–1.05, respectively) and a marginal reduction of the risk of ischemic stroke (HR:0.95; 95%CI: 0.93–0.99), whereas there was no association the risk of vascular dementia, and myocardial infarction compared to VKAs (HR:0.94; 95%CI: 0.86–1.04 and HR: 1.01;95%CI: 0.98–1.05, respectively) (Table 3).

Among patients who completed 10 years of follow-up, DOAC treatment was associated with statistically significant reduction of the risk of vascular dementia and death (HR:0.64; 95%CI: 0.47–0.86 and HR:0.68; 95%CI: 0.65–0.72, respectively), whereas there was no association with the risk of ischemic stroke, myocardial infarction and intracerebral

**Table 2**

Baseline characteristics of DOAC- and VKA-treated patients who completed 10 years of follow-up after propensity score matching.

	DOAC-treated patients n = 9604	VKA-treated patients n = 9604	p-value
<i>Demographics</i>			
Age, years (±SD)	67.1 (±11.9)	66.9 (±12.3)	0.132
White, n (%)	7992 (83.2)	8072 (84)	0.119
Black/African American, n (%)	438 (4.6)	405 (4.2)	0.245
Asian, n (%)	112 (1.2)	113 (1.2)	0.947
Female, n (%)	3441 (35.8)	3356 (34.9)	0.200
<i>Comorbidities</i>			
Heart failure, n (%)	910 (9.5)	923 (9.6)	0.750
Arterial hypertension, n (%)	3091 (32.2)	3025 (31.5)	0.307
Ischemic heart disease, n (%)	1699 (17.7)	1700 (17.7)	0.985
Cerebral infarction, n (%)	285 (3)	253 (2.6)	0.162
Dyslipidaemia, n (%)	2562 (26.7)	2510 (26.1)	0.395
Diabetes mellitus, n (%)	1193 (12.4)	1124 (11.7)	0.126
Chronic kidney disease, n (%)	309 (3.2)	314 (3.3)	0.839
Intracerebral hemorrhage, n (%)	12 (0.1)	17 (0.2)	0.353
Extrapyramidal movement disorders, n (%)	97 (1)	94 (1)	0.827
Depression, n (%)	303 (3.2)	253 (2.6)	0.031
<i>Concomitant medications</i>			
Lipid-lowering treatment, n (%)	2771 (28.9)	2626 (27.3)	0.020
Beta-blockers, n (%)	3138 (32.7)	3083 (32.1)	0.396
Anti-arrhythmics, n (%)	1412 (14.7)	1415 (14.7)	0.951
ACE inhibitors, n (%)	1723 (17.9)	1621 (16.9)	0.052
Angiotensin II inhibitors, n (%)	994 (10.3)	8.9 (851)	<0.001

DOAC: direct oral anticoagulants, VKA: vitamin K antagonists, ACE: angiotensin converting enzyme.

**Table 3**  
Outcomes among included patients in each cohort.

	DOAC n (%)	VKA n (%)	HR (95%CI)
5 years of follow-up	<b>108,119</b>	<b>108,119</b>	
All-cause dementia	4153 (3.8)	4166 (3.9)	1.01 (0.96–1.05)
Vascular dementia	793 (0.7)	848 (0.8)	0.94 (0.86–1.04)
Ischemic stroke	8651 (8.0)	8955 (8.3)	0.95 (0.93–0.99)
myocardial infarction	7498 (6.9)	7405 (6.8)	1.01(0.98–1.05)
Intracerebral bleeding	997 (0.9)	1515 (1.4)	0.66 (0.61–0.71)
Death	19,003 (17.6)	27,171 (25.1)	0.69 (0.68–0.70)
10 years of follow-up	<b>9683</b>	<b>9683</b>	
All-cause dementia	322 (3.3)	463 (4.8)	0.71 (0.61–0.81)
Vascular dementia	70 (0.7)	113 (1.2)	0.64 (0.47–0.86)
Ischemic stroke	716 (7.4)	787 (8.1)	0.92 (0.83–1.01)
myocardial infarction	93 (1.0)	124 (1.3)	0.76 (0.56–1.00)
Intracerebral bleeding	584 (6.0)	632 (6.5)	0.94 (0.84–1.05)
Death	2250 (23.2)	3307 (34.2)	0.68 (0.65–0.72)

DOAC, direct oral anticoagulants; VKA, vitamin K antagonists; HR, hazard ratio; CI, confidence intervals.

bleeding, compared to VKAs (HR:0.92; 95%CI: 0.83–1.01, HR: 0.76;95% CI: 0.56–1.00 and HR: 0.94;95%CI: 0.84–1.05 respectively) (Table 3).

### 3.4. Exploratory analyses based on patients age and type of DOAC on all-cause dementia

In the *exploratory analysis* based on the age of the included patients, among patients who have completed 5 years of follow-up, DOAC treatment was associated with lower risk of all-cause dementia among patients aged 65–74 years at the time of AF diagnosis (HR: 0.72; 95%CI: 0.59–0.86), while among those who completed 10 years of follow-up, DOAC treatment was associated with lower risk of all-cause dementia among patients 65–74 years and ≥75 years old at the time of AF diagnosis (HR: 0.38; 95%CI: 0.21–0.69, HR:0.76, 95% CI:0.65–0.88) (Table 3). In the *exploratory analysis* based on the type of DOAC, after propensity score matching, neither anti-Xa inhibitors (apixaban, rivaroxaban, edoxaban), nor dabigatran were associated with lower risk of all-cause dementia, among patients with 5 or 10years of follow-up. (Table 4).

## 4. Discussion

In this propensity-score matched analysis the risk of all-cause dementia and vascular dementia was lower among AF patients treated with a DOAC compared to those treated with a VKA, when they were followed up for 10 years from OAC initiation. Among patients who completed 5 years of follow up, DOAC treatment was associated with lower risk of dementia compared to VKAs, only among those aged 65–74 years on AF diagnosis.

Previous studies aiming to assess whether the risk of dementia differs between DOAC- and VKA-treated patients, have been inconclusive [8, 10,17,18]. A retrospective population-based propensity-score-matched analysis from Sweden with >440,000 AF patients without previous diagnosis of dementia, reported that dementia occurred more frequently in patients without OAC, but DOAC treatment did not significantly reduce the risk of dementia compared to VKA [10,18]. In a propensity-score matched analysis of a nationwide Danish

**Table 4**  
All-cause dementia based on age group and DOAC type.

	DOAC n (%)	VKA n (%)	HR (95%CI)
5 years of follow-up			
<65	46/ (0.4)	55/11,285 (0.5)	0.83 (0.56–1.23)
65–74	192/ (1.1)	254/17,280 (1.5)	0.72 (0.59–0.86)
>75	3806/ (5.4)	3790/ (5.4)	0.99 (0.95–1.04)
Anti-Xa*	3873/ (4.0)	3763/ (3.9)	1.04 (0.99–1.01)
Dabigatran	499/ (3.2)	485/15,444 (3.1)	0.99 (0.87–1.12)
10 years of follow-up			
<65	10/ (0.9)	10/1151 (0.9)	6.1 (0.73–50.8)
65–74	16/ (0.7)	33/2148 (1.5)	0.38 (0.21–0.69)
>75	296/ (4.7)	399/6325 (6.3)	0.76 (0.65–0.88)
Anti-Xa*	119/ (3.7)	156/3218 (4.8)	0.81 (0.64–1.04)
Dabigatran	244/ (3.8)	284/6458 (4.4)	0.86 (0.73–1.02)

DOAC, direct oral anticoagulants; VKA, vitamin K antagonists; HR, hazard ratio; CI, confidence intervals.

\* apixaban, rivaroxaban, edoxaban.

population-based study, which was limited by a relative short duration follow-up (mean 3.4 years), a direct comparison between DOACs and warfarin showed no difference regarding dementia risk, where patients ≥80 years old had a higher risk of dementia while being treated on DOACs [8]. A report from the randomized-control Cognitive Impairment Related to Atrial Fibrillation Prevention Trial (GIRAF trial), which assessed the effects of dabigatran compared with warfarin on cognitive outcomes in older adults with AF or atrial flutter, showed that two years after randomization dabigatran was not superior to warfarin in attenuating cognitive decline [19]. However, the conclusions were limited by the small sample size ( $n = 200$ ) and that only 149 patients were assessed for cognitive function at two years [19].

Several observational and real-world data show that there may be an association of DOAC treatment with lower risk of dementia. A nationwide population-based study with administrative data from Taiwan showed that patients treated with DOAC, and especially those with higher thromboembolic and bleeding risk, were benefit more from the use of DOAC compared to VKAs [20]. Another observational study suggested that the use of DOAC was associated with lower risk of cardiovascular events and dementia compared to VKAs [21]. A previous analysis from TrinetX database which focused on patients ≥80 years old, showed that DOAC treatment reduced cardiovascular events and all-cause dementia [16]. Our findings extend these results beyond this age restriction and show that DOAC treatment is associated with lower risk of all-cause dementia and vascular dementia for those patients with longer treatment periods with DOAC and this effect is even more profound in patients 65–74 years old at the time of OAC initiation.

The present analysis point towards the potential beneficial effect of DOACs on the future dementia risk of patients diagnosed with AF, compared to VKAs. This was universally evident among patients with 10 years of follow-up, pointing towards the potential benefit of DOACs among patients with AF, which may be evident after a long period on treatment, irrespective of the age at the time of OAC initiation. On the other hand, among patients with 5 years of follow-up, DOACs were found to be associated with lower risk of dementia compared to VKAs, only in those aged 65–74 years at the time of OAC initiation. This interesting finding may be associated with the higher risk of dementia among patients older than 65 years [22], who may be benefited by the initiation of DOACs, compared to younger patients with lower dementia

risk or older patients who due to the late initiation of OAC, may have not benefited from treatment. Nevertheless, in the present study patients >75 years old with 10 years of follow-up were benefited from DOAC treatment, underlying the importance of long-term treatment, which may be beneficial even among older patients with AF. This study increases the bulk of real-world evidence which supports that the use of DOAC in patients may exert a beneficial effect on the risk of future dementia compared to VKAs. The results of the present study are in agreement with the results of recent meta-analysis of observational data, which included 342,624 patients and showed that DOAC treatment was associated with lower risk of dementia compared to VKAs, especially among patients 65–75 years old [23]. In the present study DOAC treatment was associated with significantly lower risk of death compared to VKAs with high death rates in both groups, while the effect of DOACs on other competing events such as ischemic stroke or intracerebral hemorrhage was not consistent among those with 5 and 10 years of follow-up. In the analysis based on the different types of DOACs, neither Factor-Xa inhibitors nor dabigatran were associated with significantly lower risk of all-cause dementia, which can potentially be attributed to low statistical power due to the number of included patients rather than lack of true effect. Therefore, this observational retrospective analysis cannot prove a causal relationship. Definitive answers may be provided by ongoing trials of DOACs versus warfarin for the reduction of cognitive decline in AF patients. The ARISTA trial [Trial of Apixaban Versus Warfarin in Reducing Rate of Cognitive Decline, Silent Cerebral Ischemia and Cerebral Microbleeds in Patients With Atrial Fibrillation; NCT03839355] is a phase III trial testing the hypothesis that among AF patients, OAC with apixaban reduces the rate of decline in cognitive function compared to warfarin. The CAF trial (Cognitive Decline and Dementia in Atrial Fibrillation Patients; NCT03061006) is currently recruiting AF patients who will be randomized to dabigatran or warfarin and will assess the risk of incident dementia [24]. The ACCOG trial (AntiCoagulants and COGNition; NCT04073316) is comparing the change in global cognitive performance after 52 weeks of OAC treatment among participants with AF treated with either rivaroxaban or warfarin.

If the aforementioned ongoing randomized trials of DOACs versus warfarin for prevention of dementia in AF patients identify a beneficial effect of DOACs, it would add further support for the preference for DOACs over VKAs in patients with AF. The ongoing BRAIN-AF trial (Blinded Randomized Trial of Anticoagulation to Prevent Ischemic Stroke and Neurocognitive Impairment in AF; NCT02387229) is exploring the efficacy and safety of rivaroxaban compared to standard of care in reducing neurocognitive decline (among other outcomes) in subjects with AF and with low risk of stroke (CHA<sub>2</sub>DS<sub>2</sub>VASc score of 0 for men and 1 for women) [25]. In addition, the Neurocognitive Sub-study of the ongoing ARTESIA (Apixaban for the Reduction of Thrombo-Embolic in Patients With Device-Detected Sub-Clinical Atrial Fibrillation; NCT01938248) [26] and the NOAH (Non-vitamin K Antagonist Oral Anticoagulants in Patients With Atrial High Rate Episodes; NCT02618577) trial [27] are assessing the role of NOACs to prevent change in cognitive function, in addition to cardiovascular outcomes such as stroke, systemic embolism or cardiovascular death in patients with subclinical atrial fibrillation and high CHA<sub>2</sub>DS<sub>2</sub>VASc score.

Nevertheless, the prevention of cognitive decline and dementia among patients with AF is not only based on the anticoagulation therapy. Since dementia and cognitive impairment, is a heterogenous disease comprised by several pathophysiological mechanisms such as cerebral small vessels disease [28] and age-related vascular changes, the accumulation of cardiovascular risk factors as projected by the CHA<sub>2</sub>DS<sub>2</sub>-VASc score is associated with higher risk of dementia among AF patients [9]. Thus, a holistic approach in the treatment of patients with AF, including cardiovascular risk factors management, optimal blood pressure control and rhythm-control management, is essential for the reduction of cardiovascular and cognitive disease burden [29,30,

31]. Based on this during the recent years the management of AF has moved towards an integrated care management approach [32], that is now recommended in guidelines [33], given the better prognosis in such patients (including less dementia) by adherence to such a strategy [33, 34].

#### 4.1. Strengths and limitations

The main strengths of this study are the large number of individuals included in the analysis, the long follow-up, and the use of propensity score matching to control for clinically and prognostically relevant factors, to minimize the risk of bias from confounding. Nonetheless, several limitations of this analysis are noteworthy. Health care organization EMR data are subject to entry errors and data gaps, and some health conditions may be underreported. Especially for the diagnosis of dementia this may have been even more profound, since patients were not universally screened for dementia and there were no data on how dementia was diagnosed. This may have led in difficulties to discriminate between Alzheimer's and vascular forms, and it is possible that only the more severe cases of dementia were captured in the administrative database. The TriNetX platform does not provide data on CHA<sub>2</sub>DS<sub>2</sub>VASc, the TTR of the patients treated with VKAs or the severity of each of the concomitant diseases, such as the severity of heart failure. Although competing events such as ischemic stroke or death were reported in this analysis, it was not possible to perform competing risk analysis in the TriNetX platform, which may have led to an underestimation of unaccounted competing events, and could raise some uncertainty regarding the effect of OACs on the risk of all-cause dementia. Although in the present analysis we performed two analyses, with 5 and 10 years of follow-up, these were two PSM analyses including different individuals, the results are not directly comparable. Moreover, since the TriNetX platform does not provide direct access to crude data, it was not possible to perform further analyses in order to investigate if there was statistically significant interaction between age-stratified subgroups. Patients treated both with a VKA and a DOAC were excluded from this analysis, nevertheless, changes in their medications, switching from DOAC to VKA or whether the patients were adherent to treatment was not reported in the study and may have potentially affected our results. Additionally, there were no information on how the glomerular filtration rate was calculated and how patients were categorized in chronic kidney disease stages, which may have affected the balance of the cohort in the propensity score matching. Also, outcomes which occurred outside the TriNetX network may have not been well captured. Some prescription changes may have taken place outside of the healthcare organizations captured within the TriNetX health research network and therefore, these patients might have been erroneously included in the analysis. Moreover, residual confounding may have influenced our results, including socioeconomic status, risk factor control, quality of anticoagulation control, use of AF interventions and lifestyle factors [35–37], which are not available in EMR data. Also, the data originate predominantly from the USA and may not be representative of the wider global population, therefore the generalizability of these results needs to be confirmed beyond this cohort. Finally, the use of ICD codes to identify people living with dementia within the TriNetX research network has not been previously validated. In the present analysis we performed an exploratory analysis on the effect of each DOAC on dementia, but we could not further analyze whether there was a statistically significant difference between each subgroup, due to restrictions of the TriNetX platform.

## 5. Conclusion

In conclusion, this real-world propensity-score matched analysis showed that among AF patients, treatment with a DOAC for 10 years was associated with a lower risk of all-cause dementia and vascular dementia irrespective of patients age compared to treatment with a VKA, a

beneficial effect which was not apparent in those treated for shorter duration. This finding requires confirmation in ongoing randomised controlled trials.

## Disclosures

Dr Sagris reports receiving research support by the ESC council on Stroke.

Dr Ntaios reported receiving speaker honoraria from Abbott, Amgen, Boehringer Ingelheim, Elpen, and Sanofi paid to the University of Thessaly.

Dr Buckley has received investigator-initiated research funding from BMS/Pfizer.

Dr Harrison has received a grant from Bristol-Myers Squibb (BMS) outside of the submitted work.

Dr Underhill is an employee of TriNetX LLC.

Prof Lane has received investigator-initiated educational grants from Bristol-Myers Squibb (BMS), has been a speaker for Bayer, Boehringer Ingelheim, and BMS/Pfizer and has consulted for BMS, and Boehringer Ingelheim.

Prof Lip reports being a consultant and speaker for BMS/Pfizer, Boehringer Ingelheim, Anthos and Daiichi-Sankyo. No fees are received personally.

## Funding

TriNetX LLC funded the acquisition of the data used through use of the network database. Dr Sagris' research fellowship at the Liverpool center of Cardiovascular Science, is supported by the European Society of Cardiology Council on Stroke.

## Acknowledgments

Dr Sagris contributed to study design, statistical analysis and interpretation, preparation of article and critical revision of the final draft. Dr Ntaios contributed to study concept, study design, statistical analysis and interpretation, preparation of article and study supervision. Dr Buckley contributed to statistical analysis and interpretation, critical revision of article. Dr Harrison contributed to critical revision of article. Dr Underhill contributed to critical revision of article. Prof Lane contributed to critical revision of article. Prof Lip contributed to critical revision of article.

## Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.ejim.2023.10.033](https://doi.org/10.1016/j.ejim.2023.10.033).

## References

- Miyasaka Y, Barnes ME, Gersh BJ, Cha SS, Bailey KR, Abhayaratna WP, et al. Secular trends in incidence of atrial fibrillation in olmsted county, minnesota, 1980 to 2000, and implications on the projections for future prevalence. *Circulation* 2006;114:119–25.
- Prince M, Bryce R, Albanese E, Wimo A, Ribeiro W, Ferri CP. The global prevalence of dementia: a systematic review and metaanalysis. *Alzheimer's Dement*. J. Alzheimer's Assoc. 2013;9(63–75):e62.
- Rivard L, Friberg L, Conen D, Healey JS, Berge T, Boriani G, et al. Atrial fibrillation and dementia: a report from the af-screen international collaboration. *Circulation* 2022;145:392–409.
- Wang Z, SJv V, Wong A, Liu W, Shi L, Yang J, et al. Risk factors and cognitive relevance of cortical cerebral microinfarcts in patients with ischemic stroke or transient ischemic attack. *Stroke* 2016;47:2450–5.
- Diener HC, Hart RG, Koudstaal PJ, Lane DA, Lip GYH. Atrial fibrillation and cognitive function: JACC review topic of the week. *J Am Coll Cardiol* 2019;73:612–9.
- Papanastasiou CA, Theochari CA, Zareifopoulos N, Arfaras-Melainis A, Giannakoulas G, Karamitsos TD, et al. Atrial fibrillation is associated with cognitive impairment, all-cause dementia, vascular dementia, and alzheimer's disease: a systematic review and meta-analysis. *J Gen Intern Med* 2021;36:3122–35.
- Hsu JY, Liu PPS, Liu AB, Lin SM, Huang HK, Loh CH. Lower risk of dementia in patients with atrial fibrillation taking non-vitamin k antagonist oral anticoagulants: a nationwide population-based cohort study. *J Am Heart Assoc* 2021;10:e016437.
- Sogaard M, Skjøth F, Jensen M, Kjeldgaard JN, Lip GYH, Larsen TB, et al. Nonvitamin k antagonist oral anticoagulants versus warfarin in atrial fibrillation patients and risk of dementia: a nationwide propensity-weighted cohort study. *J Am Heart Assoc* 2019;8:e011358. e011358.
- Kim D, Yang PS, Yu HT, Kim TH, Jang E, Sung JH, et al. Risk of dementia in stroke-free patients diagnosed with atrial fibrillation: data from a population-based cohort. *Eur Heart J* 2019;40:2313–23.
- Friberg L, Andersson T, Rosenqvist M. Less dementia and stroke in low-risk patients with atrial fibrillation taking oral anticoagulation. *Eur Heart J* 2019;40:2327–35.
- Mongkhon P, Naser AY, Fanning L, Tse G, Lau WCY, Wong ICK, et al. Oral anticoagulants and risk of dementia: a systematic review and meta-analysis of observational studies and randomized controlled trials. *Neurosci Biobehav Rev* 2019;96:1–9.
- Madhavan M, Hu TY, Gersh BJ, Roger VL, Killian J, Weston SA, et al. Efficacy of warfarin anticoagulation and incident dementia in a community-based cohort of atrial fibrillation. *Mayo Clin Proc* 2018;93:145–54.
- Saito T, Kawamura Y, Sato N, Kano K, Takahashi K, Asanome A, et al. Non-vitamin k antagonist oral anticoagulants do not increase cerebral microbleeds. *J Stroke Cerebrovasc Dis* 2015;24:1373–7.
- Diener HC, Ntaios G, O'Donnell M, Easton JD. Non-vitamin-k oral anticoagulants (noacs) for the prevention of secondary stroke. *Expert Opin Pharmacother* 2018;19:1597–602.
- Jacobs V, Woller SC, Stevens S, May HT, Bair TL, Anderson JL, et al. Time outside of therapeutic range in atrial fibrillation patients is associated with long-term risk of dementia. *Heart Rhythm* 2014;11:2206–13.
- Harrison SL, Buckley BJR, Ritchie LA, Proietti R, Underhill P, Lane DA, et al. Oral anticoagulants and outcomes in adults >=80 years with atrial fibrillation: a global federated health network analysis. *J Am Geriatr Soc* 2022;70:2386–92.
- Jacobs V, May HT, Bair TL, Crandall BG, Cutler MJ, Day JD, et al. Long-term population-based cerebral ischemic event and cognitive outcomes of direct oral anticoagulants compared with warfarin among long-term anticoagulated patients for atrial fibrillation. *Am J Cardiol* 2016;118:210–4.
- Friberg L, Rosenqvist M. Less dementia with oral anticoagulation in atrial fibrillation. *Eur Heart J* 2018;39:453–60.
- PCY BC, Cardozo FA, Magalhães IR, Feitosa RR, Spera R, Amado D, Rojas MCE, Gualandro DM, Calderaro D, Tavares CAMA, Borges-Junior FA, Pastana AF, Matheus MGG, Brucki SM, Rodrigues AC, Nitrini RM, Caramelli P. 17058: dabigatran versus warfarin on cognitive outcomes in nonvalvular atrial fibrillation: results of the giraf trial; late-breaking science abstracts and featured science abstracts from the american heart association's scientific sessions 2021 and late-breaking abstracts in resuscitation science from the resuscitation science symposium 2021. *Circulation* 2021;144:e564–93.
- Hsu JY, Liu PPS, Liu AB, Lin SM, Huang HK, Loh CH. Lower risk of dementia in patients with atrial fibrillation taking non-vitamin k antagonist oral anticoagulants: a nationwide population-based cohort study. *J Am Heart Assoc* 2021;10:e016437.
- Jacobs V, May HT, Bair TL, Crandall BG, Cutler MJ, Day JD, et al. Long-term population-based cerebral ischemic event and cognitive outcomes of direct oral anticoagulants compared with warfarin among long-term anticoagulated patients for atrial fibrillation. *Am J Cardiol* 2016;118:210–4.
- Wolters FJ, Chibnik LB, Waziry R, Anderson R, Berr C, Beiser A, et al. Twenty-seven-year time trends in dementia incidence in europe and the united states. *Alzheimer Cohorts Consort* 2020;95:e519–31.
- Fong Khi Y, Wang Y, Yeo C, Tan Vern Hsen H. Dementia risk of direct oral anticoagulants versus warfarin for atrial fibrillation: a systematic review and meta-analysis. *J Am Coll Cardiol* 2023;81:73. 73.
- Bunch TJ, Jacobs V, May H, Stevens SM, Crandall B, Cutler M, et al. Rationale and design of the impact of anticoagulation therapy on the cognitive decline and dementia in patients with nonvalvular atrial fibrillation (caf) trial: a vanguard study. *Clin Cardiol* 2019;42:506–12.
- Rivard L, Khairy P, Talajic M, Tardif JC, Nattel S, Bherer L, et al. Blinded randomized trial of anticoagulation to prevent ischemic stroke and neurocognitive impairment in atrial fibrillation (brain-af): methods and design. *Can J Cardiol* 2019;35:1069–77.
- Lopes RD, Alings M, Connolly SJ, Beresh H, Granger CB, Mazuecos JB, et al. Rationale and design of the apixaban for the reduction of thrombo-embolism in patients with device-detected sub-clinical atrial fibrillation (artesia) trial. *Am Heart J* 2017;189:137–45.
- Kirchhof P, Blank BF, Calvert M, Camm AJ, Chlouverakis G, Diener HC, et al. Probing oral anticoagulation in patients with atrial high rate episodes: rationale and design of the non-vitamin k antagonist oral anticoagulants in patients with atrial high rate episodes (noah-afnet 6) trial. *Am Heart J* 2017;190:12–8.
- Kalaria RN. Cerebrovascular disease and mechanisms of cognitive impairment. *Stroke* 2012;43:2526–34.
- Jung H, Yang PS, Kim D, Jang E, Yu HT, Kim TH, et al. Associations of hypertension burden on subsequent dementia: a population-based cohort study. *Sci Rep* 2021;11:12291.
- Jin MN, Kim TH, Kang KW, Yu HT, Uhm JS, Jung B, et al. Atrial fibrillation catheter ablation improves 1-year follow-up cognitive function, especially in patients with impaired cognitive function. *Circ Arrhythm Electrophysiol* 2019;12:e007197.
- Kim D, Yang PS, Sung JH, Jang E, Yu HT, Kim TH, et al. Less dementia after catheter ablation for atrial fibrillation: a nationwide cohort study. *Eur Heart J* 2020;41:4483–93.

- [32] Lip GYH. The abc pathway: an integrated approach to improve af management. *Nat Rev Cardiol* 2017;14:627–8.
- [33] Chao TF, Joung B, Takahashi Y, Lim TW, Choi EK, Chan YH, et al. 2021 focused update consensus guidelines of the asia pacific heart rhythm society on stroke prevention in atrial fibrillation: executive summary. *Thromb Haemost* 2022;122:20–47.
- [34] Yang PS, Sung JH, Jang E, Yu HT, Kim TH, Uhm JS, et al. The effect of integrated care management on dementia in atrial fibrillation. *J Clin Med* 2020;9:1696.
- [35] Lim J, Lee SR, Choi EK, Han KD, Jung JH, Ahn HJ, et al. Exercise and the risk of dementia in patients with newly diagnosed atrial fibrillation: a nationwide population-based study. *J Clin Med* 2021;10:3126.
- [36] Park SH, Lee SR, Choi EK, Lee H, Chung J, Choi J, et al. Low risk of dementia in patients with newly diagnosed atrial fibrillation and a clustering of healthy lifestyle behaviors: a nationwide population-based cohort study. *J Am Heart Assoc.* 2022; 11:e023739.
- [37] Kim D, Yang PS, You SC, Sung JH, Jang E, Yu HT, et al. Association of rhythm control with incident dementia among patients with atrial fibrillation: a nationwide population-based cohort study. *Age Ageing* 2022;51.