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# A SCALABLE GPU-BASED APPROACH TO SHADING AND SHADOWING FOR PHOTOREALISTIC REAL-TIME AUGMENTED REALITY

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Abstract: Visually realistic Augmented Reality (AR) entails addressing several difficult problems. The most difficult

problem is that of rendering the virtual objects with illumination which is consistent with the illumination of the real scene. The paper describes a complete AR rendering system centered around the use of High Dynamic Range environment maps for representing the real scene illumination. The main contribution lies in a novel, physically-based approach to rendering shadows cast by virtual objects without changing the shadows already present in the images of the real scene. The proposed approach effectively involves real-time estimation of the diffuse albedos of the real scene, and essentially relighting these areas to take virtual shadows into account. Another contribution lies in the fact that the proposed approach is designed to run on graphics hardware and

is scalable in the sense that it offers a simple way to balance performance with visual quality.

#### 1 INTRODUCTION

Augmented Reality (AR) is the process of rendering virtual objects into a video stream in real-time for interactive applications. AR poses a number of interesting rendering problems most important of which is the issue of achieving rendering of virtual objects with illumination which is consistent with the real scene illumination.

The present work proposes some novel solutions integrated into a complete AR rendering pipeline extensively based on GPU processing for ease of implementation and for performance.

#### 1.1 Related Work

Most AR research focuses primarily on AR as an interface and explores ways of using AR for various interactive applications. This paper only concerns graphics techniques to maximize the visual realism of AR. Figure 2 provides an example of our system being used to render a virtual sculpture into a natural scene.

Some related research also focuses on achieving realism with impressive results but at the cost of real-

time performance, (Debevec, 1998; Debevec, 2002; Sato et al., 1999a; Sato et al., 1999b; Loscos et al., 2000). Other research achieves real-time performance but with rather low generality and questionable physical accuracy, (Kanbara and Yokoya, 2004).

Recently research has been performed in techniques for real-time rendering of virtual objects with illumination from environment maps, (Havran et al., 2005; Barsi et al., 2005). Both these works offer a technique for scene-constistent virtual object illumination, but neither attack the problem of enabling virtual objects to cast shadows on the real environment. The present paper addresses this problem.

The most closely related research is presently by (Gibson et al., 2003). They demonstrate a powerfull approach for rendering animated virtual objects into still images with impressive results. Their approach to rendering shadows involves a very fast implementation of a radiosity algorithm (which can be difficult to implement for most people). Our work is focused on augmentation into a live video stream, is based on techniques (shadow maps) that are much easier to implement, and in particular we address the problem of "double shadows". This problem is described subsequently.

#### 1.2 Addressed Problem Areas

The present work addresses a number of problems relating to approaching photo-realism in real-time AR. First of all we wish to achieve scene consistent illumination/shading of virtual objects. We have chosen to employ a High Dynamic Range environment map based pipeline for this. Secondly, we wish to create a unified method to handling shadows to avoid special cases or loss of generality. In an augmented scene virtual objects should be able to cast shadows on themselves and other virtual objects, real objects should be able to cast shadows on virtual objects, and finally, virtual objects should be able to cast shadows on real objects. The latter case poses a severe problem in the sense that in some areas the image of the real scene already contains shadows, so in these regions it would be wrong to further reduce the intensity to encompass a shadow cast by a virtual object. This is the double shadow problem which is a very typical problem for AR, (Jacobs et al., 2005). Even the very complex technique presented in (Gibson et al., 2003) has slight problems with double shadows judging by the examples given.

#### 2 OVERVIEW OF APPROACH

This section first gives a description of the concrete system which has been constructed followed by a listing of the assumptions behind the proposed approach.

#### 2.1 Description of System Setup

The goal has been to construct a real-time video seethrough Augmented Reality system, where virtual objects are augmented seamlessly into a live video stream and displayed on a computer monitor. To ensure very high quality registration between the real and the virtual scene elements we have restricted the system to have two rotational degrees of freedom. This means that the monitor stands on a pole, the user can pan and tilt the monitor, and since the video camera is mounted behind the monitor the user can effectively point the camera in any direction. Figure 1 shows a 3D model of the proposed system.

The video camera approximately rotates around the optical center of the lens. High precision, high speed optical encoders (rotations measured with an accuracy of 1/200th degree) are used to continuously inform the system about the viewing direction of the camera).

In the present setup the monitor is a 42 inch plasma TV. The video camera is a Point Grey Drag-

onfly2 1024x768 color camera connected to the computer with an IEEE 1394 FireWire connection. The camera gives 30 frames per second in Bayer color coding pattern (since it is not possible to transmit full resolution RGB images at 30 fps over FireWire). The computer is a single processor 2.2 GHz AMD athlon 64 machine with 3 GByte RAM. The computer is running 32 bit Windows XP (emulating 32 bit). The graphics card is an NVIDIA GeForce 6800 series card. The optical encoders are two Heidenhain ROD 480 connected to a Heidenhain IK220 PCI slot interface card in the computer.

The system is implemented in C++ using the Direct3D graphics API. All geometry is loaded into the system in .x format exported from 3DStudioMax. The system can handle animations in the form of procedural rigid body transformations (translation, rotation, scaling), which are configured with a simple scripting language.

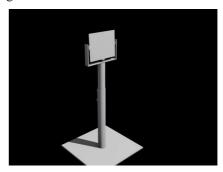


Figure 1: 3D model of the proposed system. The actual pole with mounting bracket for panning and tilting the monitor is not yet constructed. Presently, the monitor is static and only the camera is mounted in a pan-tilt rig.

#### 2.2 Assumptions

The developed techniques in the present system rest on a number of assumptions the validity of which is discussed further in section 5.

The present version of the system assumes the illumination conditions in the real scene to be static. In fact, a High Dynamic Range (HDR) omni-directional environment map of the real location is captured as part of an off-line process while setting up the system at a given location. This environment map is used for all rendering of virtual geometry in the scene, and therefore the system would not respond visually correct if drastic changes in the illumination conditions occurred. In locations with purely artificial light it is easy to ensure that the illumination conditions do not change. In locations with substantial outdoor illumination the passing of time and changes in cloud conditions affect illumination conditions, but our experience with demonstrating the system to hundreds

of people shows that people do not notice that the virtual illumination is slightly inconsistent with the real illumination, as long as it is merely qualitatively consistent.

The environment map approach additionally assumes that the real scene is distant relative to the virtual geometry, or at least that the environment map is acquired from a position which is quite close to where virtual objects will be placed. If the scene is not distant the environment map acquired at one position is not valid at other locations, for example 5 or 10 meters away for indoor scenes. In outdoor scenes the assumption that the real scene is distant is typically more valid.

It is furthermore assumed that the video camera is internally calibrated (focal length, image center and lens distortion). This calibration is also performed during system setup and therefore no changes can be made to lens zoom or aperture (iris opening) while the system is in operation.



Figure 2: System operating in abandoned chalk mine demonstrating virtual shadows cast on rough rock wall. The rock wall is modelled as high resolution mesh with positional noise added in the normal direction, such that the virtual shadow falls across the rock wall in a credible manner.

Our approach assumes that a 3D model of parts of the real scene is available. This 3D model is used for handling occlusion between real and virtual geometry, for casting virtual object shadows on the real scene, and vice versa. In many cases the real scene 3D geometry can be quite rough. Figure 2 shows a screen shot from the system operating in an abandoned chalk mine during a recent digital art festival. Finally, our approach to rendering virtual shadows cast on the real elements in the scene is only physically valid provided the shadow receiving real scene surfaces can be considered as diffuse reflectors.

#### 2.3 HDR Environment Map

To enable shading of virtual objects in manner which is consistent with the illumination conditions in the real scene an illumination model of the real scene is required. For this work an image-based model has been adopted similar to e.g., (Barsi et al., 2005; Havran et al., 2005; Gibson et al., 2003; Debevec, 2002; Debevec, 1998; Kanbara and Yokoya, 2004).

Typically a High Dynamic Range (HDR) omnidirectional image (environment map) of the real scene is acquired by fusing images taken at multiple exposures of a polished steel/chrome sphere, (Debevec and Malik, 1997). In the present work environment maps are acquired with a Canon EOS 1Ds Mark II 16 Mega pixel digital SLR camera fitted with a Sigma 8mm 180 degree field-of-view fish eye lens. This setup enables us to acquire a complete hemi-sphere in each exposure. Camera response curve calibration, HDR fusion, and hemisphere fusion is done with the HDR-Shop 2.0 software, (Debevec et al., 2006). Figure 3 shows such a finished environment map.



Figure 3: Longitude-latitude HDR environment map of chalk mine constructed from two hemispherical exposure sets. Due to slight lens vignetting the seams between the two hemispheres are visible as darkened vertical lines in the long-lat mapping. Tripod and photographer shadow artifacts are also visible. Such artifacts do not influence virtual object rendering noticeably. The dynamic range between the lamp and the floor is approximately 10000:1.

#### 2.4 Shading and Shadowing

All shading of virtual geometry is performed in HDR, i.e., in floating point values and the last step in rendering to the framebuffer is a tonemapping to 8 bit RGB values (Low Dynamic Range, LDR).

The rendering of virtual geometry in the proposed system is an additive mixture of diffuse reflection and reflection mapping. Figure 4 shows a closeup of an object with a such a mixture. The scripting language mentioned above also controls which virtual geometry is loaded and what material parameters to apply when rendering the objects. This way the diffuse reflection coefficient, the diffuse albedo and the specular reflection coefficient can be specified. At present texture mapping and glossy reflection is not supported.

Based on the environment map acquired in advance the irradiance map (total irradiance for all possible surface normals) is computed and used in conjunction with the diffuse reflection coefficient and the

diffuse albedo to give the diffuse reflection contribution. Basing the diffuse shading on the environment map allows the system to correctly reproduce global illumination effects such as color bleeding from the environment to the virtual objects.

The reflection component is achieved with standard reflection mapping, i.e., by computing the reflection direction and looking up in the environment map to fetch incoming radiance from that particular direction. The reflection component is scaled by the specular reflection coefficient.



Figure 4: Close-up of virtual sculpture rendered with our system. A mixture of diffuse reflection and reflection mapping, both based on the environment map is used for rendering of virtual objects. The reflection mapping contribution helps give objects a nice shiny appearance. In a post-processing step random image noise is added to the rendered virtual geometry to mimic the slight image noise apparent in the feed from the video camera used to film the real scene.

The handling of shadows represents the core of the contributions of this work. The HDR environment map is approximated by a number, N, of point light sources using techniques which will be described in section 3.2. These sources are sorted in descending order according to their intensity, and the strongest M sources will subsequently be allowed to cast shadows. The remaining N-M sources contribute to shading but are considered too weak to cast shadows. This is where the scalability of the proposed approach comes in: in principle all sources should cast shadows of varying depths according to their individual radiant power, but it is too costly for contemporary graphics hardware to render shadows from so many sources, so by only casting shadows from the strongest M sources we can to chose M so as to balance resulting framerate and visual quality.

Section 3.6 describes the proposed pixel shader which renders shadows into the final image on both real and virtual geometry. The shader essentially computes an overlay which is multiplied to the image on a per pixel basis, and which results in appropriate attenuation in shadowed areas. The shader handles the double shadow problem described in section 1.2.

#### 3 APPLIED TECHNIQUES

The previous section gave a broad view of the steps involved in the proposed Augmented Reality rendering process. Subsequently a more technical description is provided. First we describe the two main elements of the off-line phase: 1) calibration of the setup, and 2) the HDR environment map capture process.

## 3.1 Calibration of Video Camera and Pan-Tilt Rig

To render virtual objects which are embedded correctly in the real scene it is necessary to have complete knowledge of the mapping from world coordinates to image coordinates of the video signal. This requires knowledge of 1) the internal parameters of the video camera, 2) the transformation from world to pan-tilt unit coordinates, and 3) the transformation from pan-tilt unit coordinates to camera coordinates. The proposed system incorporates interactive calibration steps to acquire this information during a system setup phase. Figure 5 shows how the system can be calibrated to a world model to handle occlusion between real and virtual geometry.



Figure 5: Screen dump from system illustrating virtual sphere being occluded by real table. This requires calibration of the complete transformation from world to image coordinates and a 3D model of the occluder.

The internal parameters (focal length, pixel aspect ratio, image center and lens distortion) are calibrated from multiple images of a checker board pattern using the OpenCV computer vision library, (Source-Forge.net, 2006). Then a cross hair sight is rendered at the image center and the user then rotates the camera so as to point the cross hair to a number (at least 4) of fixpoints manually identified in the geometric model of the real environment (real geometry). By recording encoder readings for each fixpoint sighting it is possible to calibrate the position and orientation of the pan-tilt unit relative to the world coordinate system. This calibration is performed by an iterative optimization process we developed for this particular purpose, but which is not described in this paper.

Finally, by freezing an image from the video stream and identifying (with the mouse cursor) a number of fixpoints (at least 4) we can calibrate the position and orientation of the camera relative to the world coordinate system for that particular image. This calibration step is also accomplished with the OpenCV library. Multiplying the pan-tilt unit to world transformation with the world to camera transformation then gives us the pan-tilt unit to camera transformation.

Once these calibration steps are completed the real-time mapping from world to image coordinates is completely controlled by the encoder readings.

## 3.2 Capturing the illumination conditions

As described previously a HDR environment map is the central representation of the real scene illumination conditions in the system. The environment map is captured as a part of setting up the system. First we formulate how the concept of irradiance relates to discrete environment maps.

The environment map is a spatially discrete measurement of the continuous function describing the incident radiance (measured in  $W/(m^2 \cdot Sr)$ ), which in turn is a function of the incident direction. Let  $\vec{n}$  be the normal of a differential area surface, and let  $\Omega_{\vec{n}}$  be the hemi-sphere defined by this normal. By integrating the incident radiance,  $L(\vec{\omega})$ , from the direction  $\vec{\omega}$  over the hemi-sphere the total irradiance,  $E(\vec{n})$ , can be computed.

In standard spherical coordinates a direction in space is written as  $\vec{\omega}(\theta, \phi) = [\sin(\theta)\cos(\phi), \sin(\theta)\sin(\phi), \cos(\theta)]$ , where  $\theta$  is the angle the direction vector makes with the coordinate system *z*-axis (latitude), and  $\phi$  is the angle the projection of the vector on the *xy*-plane makes with the *x*-axis, (longitude).

In this paper we will exclusively use the latitudelongitude mapping (LL mapping) of environment maps. Let the resolution of the LL environment map be W by H pixels, and let u and v represent pixel coordinates in an image coordinate system with origin in the top left corner of the LL map, and vaxis oriented downwards. Thus the top row corresponds to  $\theta = 0$  and the bottom row corresponds to  $\theta = \pi$ . Moreover  $\phi = 0$  corresponds to the *leftmost* column. Each environment map pixel, P(u,v), represents the radiance in  $W/(m^2 \cdot Sr)$  (if the map acquisition is radiometrically calibrated) from the direction given by  $\vec{\omega}(u,v) = \vec{\omega}(\theta(v),\phi(u))$ , where  $\theta(v) = v\Delta_{\theta}$ and  $\phi(u) = u\Delta_{\phi}$ , where  $\Delta_{\theta} = \pi/H$  and  $\Delta_{\phi} = 2\pi/W$ . The discrete version of the total irradiance,  $E(\vec{n})$ , for a given normal  $\vec{n}$  then becomes:

$$E(\vec{n}) \approx \sum_{u} \sum_{v} P(u, v) (\vec{n} \cdot \vec{\omega}(u, v)) \sin(\theta(v)) \Delta_{\theta} \Delta_{\phi}$$
 (1)

where summations are subject to the constraint that  $(\theta(v), \phi(u)) \in \Omega_{\vec{n}}$ , i.e., that the combinations of u and v represent pixels inside the region corresponding to the hemi-sphere defined by the surface normal  $\vec{n}$ .

From Eq. 1 it is evident that if every pixel, P(u,v), in the LL map is scaled with  $\Delta_{\theta} \cdot \Delta_{\phi} = 2\pi^2/(W \cdot H)$  and weighted by  $\sin(\theta(v))$ , we get a very simple summation. We therefore produce a new LL map, where each pixel  $Q(u,v) = 2\pi^2 P(u,v) \sin(\theta(v))/(W \cdot H)$ . The irradiance for a given normal is then simply computed as:

$$E(\vec{n}) \approx \sum_{u} \sum_{v} Q(u, v) (\vec{n} \cdot \vec{\omega}(u, v))$$
 (2)

where the summations again are subject to the constraint that  $(\theta(v), \phi(u)) \in \Omega_{\vec{n}}$ .

To recapitulate in a different way: Each pixel in the LL map acts as a small area light source subtending a solid angle of  $A_p = 2\pi^2/(W \cdot H)$  [Sr/pixel]. By weighting each pixel by  $sin(\theta(v))$  we achieve "permission" to treat all pixels equally in the sense that we cancel out the effect of the non-uniform sampling density of the LL mapping (poles are severely oversampled). By subsequently scaling by  $A_p$  we convert the solid angle domain from steradians to pixels. I.e., each  $Q(u, v) = 2\pi^2 P(u, v) \sin(\theta(v)) / (W \cdot H)$  measures the radiance in  $W/(m^2 \cdot pixel)$ , such that by performing a simple cosine weighted sum of pixels we directly get the irradiance contributed by the pixels involved in the sum (Eq. 2). Another way of putting it is: each pixel Q(u,v) is an area light source contributing  $Q(u,v)(\vec{n}\cdot\vec{\omega}(u,v))$  irradiance to the differential area surface with normal  $\vec{n}$ .

Several techniques exist for approximating an environment map with a limited number of light sources to reduce the computational cost associated with Image-Based Lighting, (Debevec, 2005; Barsi et al., 2005; Havran et al., 2005; Cohen and Debevec, 2001; Madsen et al., 2003). For this work the Median Cut technique described in (Debevec, 2005) has been chosen. The technique recursively splits the environment map along the longest dimension into regions of approximately equal summed radiance. The techniques splits all regions K times, resulting in either 2, 4, 8, 16, 32 etc. regions. Each resulting region is finally replaced with a single light source at the centroid, and the radiance of that source is set to the sum of all the pixel values in the region. Figure 6 shows the result of applying the Median Cut technique to an environment map.

We apply the Median Cut algorithm to the Q(u, v) map to produce N sources (on the order of 16 to 128),

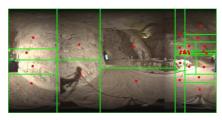


Figure 6: Regions and sources resulting from running the Median Cut approximation algorithm to a depth of 5, i.e., to 32 regions.

then we sort them according to power and pick the M strongest, which in the real-time steps of the system will be treated as separate point sources contributing with irradiance and will be involved in casting shadows using the shadow map technique. The remaining N-M sources are integrated with cosine weighting into a combined irradiance for each of all possible normal directions in an LL irradiance map. The intention with this irradiance map is to capture the "ambient" illumination in the scene, but it still depends on normal directions and is thus much more realistic than a standard Phong ambient term. During subsequent rendering the "ambient" irradiance for a given normal direction is then found by a simple look-up into the irradiance map.

Let  $\Re_i$  be the ith region, and let  $(u_i, v_i)$  be the centroid of the ith region. Furthermore, let  $L_i$  be the summed radiance within region  $\Re_i$ :

$$L_i = \sum_{\Re_i} Q(u, v) \tag{3}$$

The "ambient" irradiance map,  $E_a(u,v)$ , is then computed in some resolution, e.g., W by H, by integrating cosine weighted contributions from each of the N-M weakest sources:

$$E_a(u,v) = \sum_{i=1}^{N-M} L_i \cdot (\vec{n}(u,v) \cdot \vec{\omega}(u_i,v_i)) \quad (4)$$

For a given normal,  $\vec{n}$ , the ambient irradiance,  $E_a(\vec{n})$ , is then found by look-up in the  $E_a(u,v)$  map.

#### 3.3 The On-Line Rendering Process

Having thus described the steps involved in preparing for running the system we now turn toward describing the actual real-time rendering process. In pseudo-code the process may be described as follows: while(1)

- 1. grab video image and get optical encoder readings
- 2. demosaic Bayer video image to full RGB, rectify image and expand to HDR texture
- 3. render real geometry to depth buffer (for subsequent occlusion handling between real and virtual geometry)

- 4. render virtual geometry to the HDR texture from step 2 applying depth check with depth buffer from step 3, and using a shader which computes diffuse and specular reflection
- 5. render real geometry to R channel of each of the M spotlight depth textures
- 6. render virtual geometry to G channel of each of the M spotlight depth textures
- render virtual and real geometry to the HDR texture, this time with a shader which computes how much each fragment must be attenuated to take shadows from the M sources into account
- render post-processing effects (e.g., additive image noise)
- 9. render quad to framebuffer with a shader that tonemaps the HDR texture to LDR

do

We subsequently provide further explanation on each of these steps.

### 3.4 Processing Video Images

The implemented application runs in two threads: one for rendering and one for getting encoder readings. Time stamps from the video image grabbing process are used to synchronize encoder readings to images.

As explained in section 2.1 images are grabbed in Bayer pattern format and the uploaded to the graphics card as a texture. A full screen quad with this texture is the rendered to another texture using a pixel shader which demosaics Bayer pattern to full RGB and rectifies the image by correcting for the lens distortion using the distortion parameters from the internal calibration. Finally a full screen quad textured with the demosaiced and rectified video image is rendered to a High Dynamic Range (HDR) texture in order to expand the 8 bit per channel video image to floating point values, because the rest of the rendering process is carried out in HDR.

#### 3.5 Rendering virtual geometry

The next step is to render the virtual (augmented) geometry into the HDR texture containing the processed video image. As described in section 2.4 virtual geometry is rendered with a mixture of diffuse shading and reflection mapping. The reflected radiance from a fragment, L(f), of the virtual geometry is controlled by the diffuse  $(K_d)$  and specular  $(K_s)$  reflection coefficients, respectively. Let  $\vec{n}(f)$  and  $\vec{p}(f)$  be the normal and the position of the fragment, and let  $\vec{l}_i$  be the direction vector from the fragment to the ith

light source. The fragment's radiance can then be expressed as:

$$L(f) = K_d \left( E_a(\vec{n}(f)) + \sum_{i=N-M+1}^{N} L_i \cdot (\vec{n}(f) \cdot \vec{l}_i) \right) + K_s \cdot Q(u(\vec{r}(f)), v(\vec{r}(f)))$$
(5)

expressing the fact that total irradiance at the fragment is the sum of the ambient irradiance (from a look-up in the  $E_a$  map) and the combined irradiance contribution from the M most powerfull sources. The reflection vector  $\vec{r}(f)$  for the fragment is used for a look-up into the environment map to compute the reflection mapping radiance contribution.

The virtual geometry is rendered into the HDR texture using a pixel shader which implements the shading function in eq. 5. As described in step 3 in section 3.3 the real geometry has previously been rendered into the z-buffer so that when rendering virtual geometry occlusions can be handled correctly (as illustrated in figure 5).

#### 3.6 Handling shadows

The HDR texture now contains the processed video image and the rendered virtual geometry. Naturally the video image contains shadows from real geometry onto real geometry, but no shadows involving virtual geometry, neither as occluder nor as receiver.

In this work the shadow map algorithm has been used as the basic technique in detecting shadows, (Watt and Policarpo, 2001). Basically the algorithm involves first rendering the depth values of the scene from the viewpoint of the light source into a shadow map. Then the scene is rendered from the normal viewpoint and the for each fragment the fragment position is transformed to the light source coordinate system and the distance to the transformed point is checked against the stored depth value in the shadow map. If the depth of the fragment is larger than what is stored in the shadow map the fragment is in shadow.

The proposed system operates with M shadow casting sources. These sources were found from the environment map and therefore only have direction vectors. We place the sources at a distance which is suitable given the size of the scene. Alternatively, source directions can be intersected with a coarse 3D model of the environment to get a more accurate source placement. We then render the real geometry to the R channel of each of the M shadow maps, and the virtual geometry to the G channels.

Using the R and G channels for real and virtual geometry respectively allows the shadow shader program to distinguish between shadows cast by real and virtual geometry. Subsequently we will use a notation

where  $RS_i(f)$  is a Boolean which is true if no *real* geometry casts shadow on the fragment f given the ith source. Similarly  $VS_i(f)$  is true if no virtual geometry occludes the fragment's "view" of the ith source.

Our approach to shadows is based on thinking in terms of shadows being the *absence* of irradiance. Let  $E_R(f)$  denote the irradiance on a fragment (real as well as virtual) which only takes into account shadows cast by real objects:

$$E_{R}(f) = E_{a}(\vec{n}(f)) + \sum_{i=N-M+1}^{N} RS_{i}(f) \cdot L_{i} \cdot (\vec{n}(f) \cdot \vec{l}_{i})$$
 (6)

Now, at this point in the rendering chain everything in the HDR texture is only subjected to shadows from real geometry, namely shadows in the real scene as captured by the video camera. So if the HDR texture is divided by the  $E_R(f)$  irradiance for every pixel the result would be the diffuse albedo of the fragment, since radiance equals the product of albedo and irradiance for diffuse surfaces. This in effect corresponds to taking the shadows away from the original image.

If we then multiply the albedos with a per fragment irradiance,  $E_{R+V}(f)$ , which takes into account both real and virtual geometry for casting shadows, we would get the correct radiances where virtual geometry also casts shadows.

$$E_{R+V}(f) = E_{a}(\vec{n}(f)) + \sum_{i=N-M+1}^{N} RS_{i}(f) \cdot VS_{i}(f) \cdot L_{i} \cdot (\vec{n}(f) \cdot \vec{l}_{i})$$
(7)

In practice we do the following. We set alpha blending mode to modulation (multiplication), and then render real and virtual geometry into the HDR texture with a pixel shader which returns the irradiance  $fraction\ E_{R+V}(f)/E_R(f)$ . Due to the modulation blending this ratio is multiplied with the pixel values (radiances) in the HDR texture. In this way we in one step compute the diffuse albedos of the entire scene and then re-light the whole scene with illumination taking shadows from both real and virtual geometry into account.

For most real scenarios it will be impossible to accurately model all real geometry, and in most of our examples will only have a few essential objects and a ground plane modelled. The irradiance fraction multiplication will degenerate to a multiplication with 1 in all image areas (all fragments) where virtual objects do not cast shadows. Therefore it is not necessary to 3D model real geometry apart from potential virtual geometry shadow receiving surfaces.

The presented AR shadow pixel shader is physically correct when shadow receiving surfaces can be

characterized as diffuse reflectors. This is naturally not generally the case in real scenes, but in our experience the error made is rarely actually noticeable.

The next step in the rendering pipeline is to render post-processing effects such as simulated camera noise on virtual geometry. A fixed noise texture has been generated off-line and is mapped on a full-screen quad. This quad is then rendered to the HDR texture in additive blending mode. To avoid the noise overlay appearing completely static a random offset is added to the texture coordinates per cycle, and texture addressing is set to wrap. Rendering of the noise quad is masked by a stencilbuffer set up by a render pass of virtual geometry so only virtual geometry receives simulated camera noise.

Finally a full-screen quad mapped with the HDR texture is rendered to the framebuffer with a pixel shader which transforms HDR fragment radiance, L(f), to an LDR brightness value as brightness =  $1 - exp(-\gamma \cdot L(f))$ , where  $\gamma$  is an adjustable exposure value. There are may more advanced tone mapping operators, but we have not made an important issue of this since the available video stream of the real scene is inherently LDR.

#### 4 EXPERIMENTAL RESULTS

Figure 7 demonstrates shadows cast by virtual geometry on to real geometry, and also demonstrates that shadows cast by virtual objects do not interfere with real shadows in areas were real geometry has already created shadows in the video image, i.e., no double shadow artifacts are present. The illumination in the scene is dominated by two lamps (500 W light bulb with 40 cm aluminium reflector) which at this distance subtend sufficient solid angle to create clear soft shadows.

There are several important topics to discuss in relation to the figure: 1) the scalability of the approach, 2) the shadow mixing performance, and 3) the real-time albedo computation performed to render the depth of virtual shadows correctly.

As seen in figure 7 the proposed approach is in fact scalable in the sense that it offers a direct way of balancing computational load and visual accuracy. In the case of rendering with 4 sources for shadow casting (M=4) the resulting virtual shadows are a little crude, with hard shadow edges clearly showing, especially for the shadow near the bottom of the image. Furthermore, the depth of the shadows are not correct. This problem is due to the fact that when M is very small some directional sources which are actually placed on the lamp reflector are not included in









Figure 7: Screenshots demonstrating ability to mix multiple shadows and handle the double shadow problem. The top image is the scene without any augmentation. The next three images downwards show rendering with 4, 10, and 15 shadow casting sources, respectively. The 10 sources case is running at 15 frames per second when shadow maps are rendered at 1024x1024 resolution.

the M most powerfull, and therefore some lamp irradiance is not accounted for, resulting in shadows not being dark enough. In our implementation the maximum value for M is 15 (limited by the number of texture samplers for shadow maps that can be associated with a fragment shader). For M=15 the visual quality of virtual shadows in terms of depth and edge softness is quite close to the real shadows.

In the demonstrated case the real and virtual shadows mix well, in the sense that the transition from a real shadow to a virtual one is quite seemless. An example is the virtual shadow cast by the statue extending (to left) past the real shadow of the table from first one lamp (deep shadow since no lamp shines directly here), and then the other lamp (lighter shadows since one lamp shines here). This clearly demonstrates the ability of our approach to handle the double shadow problem. Yet, such performance is only possible with accurate 3D models of the relevant elements in the real scene (in this case the table). In section 5 provides a little more discussion on this issue.

As described in section 3.6 virtual shadows are actually rendered with a re-lighting approach, where we in one step on a per-pixel basis compute the diffuse albedo of the surface and re-light it with the irradiance taking into account the flux being occluded by the virtual object. Since the approach rests on a number of assumptions the computed albedos can be incorrect to some degree. To name some sources of error: the surface may not be perfectly diffuse, the environment map approach forces all sources to be directional so varying surface to source distance is not handled correctly, and some of the irradiance (represented by the N-M weakest sources) is not subject to visibility/shadow computations. The reason these arifact do not show more clearly is that the re-lighting is performed with the same errors, so the irradiance fraction is unity for all areas which are not influenced by a virtual object shadow. Nevertheless, the long shadow cast in the bottom of figure 7 for M = 15shows that depth and color tone are very consistent with real shadows in the scene, indicating that the albedo computation is accurate enough for this purpose, though perhaps not accurate enough for inverse rendering systems.

#### **5 FUTURE WORK**

Section 2.2 stated some assumptions that the presented techniques are based on. Several of these assumptions are worth further discussion. Here only the ones where we have ideas for future work will be considered.

First of all the present system is heavily based on having a HDR environment map acquired in advance leading to an assumption that illumination in the scene is static. The work presented in (Havran et al., 2005) is centered around a HDR video camera enabling live capture of environment maps in HDR. Our approach would work straight forward with such a camera for continuously capturing the illumination environment. Alternatively, for outdoor scenes, recent work has demonstrated a way to alleviate static illumination assumption, (Jensen et al., 2006b; Jensen et al., 2006a). This work present an approach to real-time estimation of outdoor illumination conditions from LDR video by continuously estimating the amount of sun and sky illumination based on reflected radiances from surfaces in the scene, i.e., using the scene as its own light probe. Furthermore, for indoor scenes, we are investigating ways of continuously updating the environment map with images from the video camera as it is being pointed in various directions by the user. To enable run-time HDR acquisition it will be necessary to adjust video camera exposure settings, e.g., by letting every 10th frame by acquired with very low light sensitivity (and not showing this frame to the user).

Using environment maps force an assumption that the real scene is distant, but given a rough 3D model of the scene light source directions can be intersected with the real scene geometry to get proper 3D placement for point sources. In this case illumination could be based on an irradiance volume, (Greger et al., 1998), in order to make illumination dependent on position in space.

The double shadow problem has in this work been solved by assuming that the relevant real geometry has been accurately modelled. In a general scenario this will never be possible, e.g., when there are people and vegetation in the scene. In a not too distant future high speed high resolution laser range finder cameras will enable real-time scene depth capture, but we are currently investigating techniques for classifying pixels as shadow based entirely on the video image information. (Jacobs et al., 2005) have presented promising results in this direction and shadow segmentation methods are currently the focus of much research.

#### 6 CONCLUSION

We have presented a complete AR system capable of shading virtual objects and render shadows in a way which is consistent with the illumination in the real scene.

Our approach allows for scaling the visual quality

with the increasing performance of graphics hardware in the sense that as hardware improves more and more light sources can be included in the set of sources which are allowed to cast shadows.

Another important element is the proposed technique to handling the actual shadowing by essentially computing albedos and relighting the scene with irradiance which takes both virtual and real geometry into account when shading shadow areas. In this a physically-based approach is obtained which will provide correct intensities and color balances in shadow areas

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