

## Validating PET segmentation of thoracic lesions-is 4D PET necessary?

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*Published in:*  
Biomedical Physics & Engineering Express

*DOI (link to publication from Publisher):*  
[10.1088/2057-1976/aa5ba9](https://doi.org/10.1088/2057-1976/aa5ba9)

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*Publication date:*  
2017

*Document Version*  
Publisher's PDF, also known as Version of record

[Link to publication from Aalborg University](#)

*Citation for published version (APA):*  
Nielsen, M. S., & Carl, J. (2017). Validating PET segmentation of thoracic lesions-is 4D PET necessary? *Biomedical Physics & Engineering Express*, 3(1), Article 015028. <https://doi.org/10.1088/2057-1976/aa5ba9>

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To cite this article: Martin S Nielsen and Jesper Carl 2017 *Biomed. Phys. Eng. Express* **3** 015028

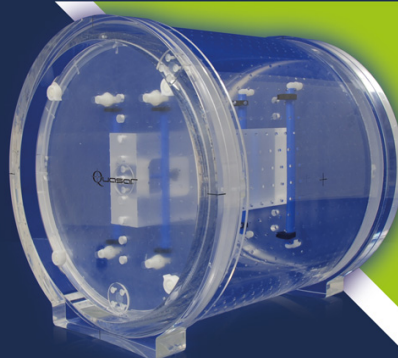
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# Validating PET segmentation of thoracic lesions—is 4D PET necessary?

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**Keywords:** FDG-PET, segmentation, lung cancer

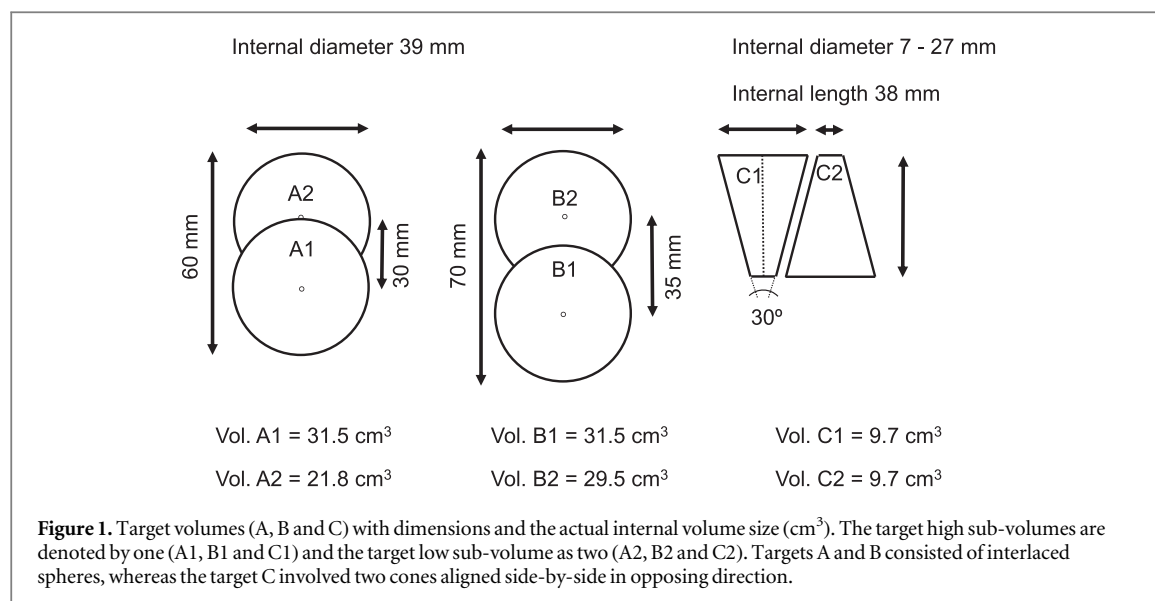
## Abstract

Respiratory-induced motions are prone to degrade the positron emission tomography (PET) signal with the consequent loss of image information and unreliable segmentations. This phantom study aims to assess the discrepancies relative to stationary PET segmentations, of widely used semi-automatic PET segmentation methods on heterogeneous target lesions influenced by motion during image acquisition. Three target lesions included dual F-18 Fluoro-deoxy-glucose (FDG) tracer concentrations as high- and low tracer activities relative to the background. Four different tracer concentration arrangements were segmented using three SUV threshold methods (Max40%, SUV40% and 2.5SUV) and a gradient based method (GradientSeg). Segmentations in static 3D-PET scans (PET<sub>sta</sub>) specified the reference conditions for the individual segmentation methods, target lesions and tracer concentrations. The motion included PET images followed a 4D-PET (PET<sub>4D</sub>) and a 3D-PET (PET<sub>mot</sub>) scan protocol. Moreover, motion-corrected PET images (PET<sub>deb</sub>) were derived from the PET<sub>mot</sub> images. Segmentations in PET<sub>4D</sub>, PET<sub>mot</sub> and PET<sub>deb</sub> were compared to the PET<sub>sta</sub> segmentations according to volume changes ( $\Delta\text{Vol}$ ) and an error estimate (lowUptake<sub>error</sub>) for the lesion part covering the low tracer concentration. In PET<sub>4D</sub> images, all segmentation methods provided lowUptake<sub>error</sub> estimates equivalent to PET<sub>sta</sub> segmentations and, except for the Max40% segmentations, a slight volume expansion. In the PET<sub>mot</sub> images, the GradientSeg method results in an average 0.43 increased volume and an overestimation of 0.33 for the lowUptake<sub>error</sub>. The most accurate segmentations in PET<sub>mot</sub> relative to PET<sub>sta</sub>, were accomplished by the 2.5SUV and SUV40% methods. In the PET<sub>deb</sub> images, the GradientSeg method solitary provided segmentations equivalent to segmentation in PET<sub>sta</sub> images. The use of FDG with various tracer concentrations revealed, according to PET<sub>sta</sub> images, that the most constant segmentations for motion-corrected PET images (PET<sub>4D</sub> or PET<sub>deb</sub>) were achieved using the GradientSeg method. In the absence of PET<sub>4D</sub> or PET<sub>deb</sub> images, the 2.5SUV and SUV40% methods are most consistent to PET<sub>sta</sub> segmentations.

## 1. Introduction

In general, lung cancer is classified into two main categories, defined as small cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC). The majority and minority of all lung cancers are classified as NSCLC (85%) and SCLC (15%) respectively [1]. For both SCLC and the NSCLC, radiotherapy is one treatment strategy depending of the extent of the cancer as indicated by lymph node involvement and potential metastases according to the TNM definition [2, 3]. The hybrid positron emission tomography

(PET) and computed tomography (CT) and recently introduced PET and magnetic resonance imaging scanners benefits a minimal misregistration between image acquisitions [4, 5]. The combined use of F-18 Fluoro-deoxy-glucose (FDG) PET and CT provide high accuracy for the clinical staging of lung cancers [6–8] and lower inter-observer variation for target definition [9]. A high FDG uptake was associated with the preferential site of local relapse of NSCLC in a recent study by Calais *et al* [10]. Respiratory motion may result in motion artefacts, thus requiring motion encompassing images in PET/CT scans (4D-PET/



CT). The 4D-PET/CT scan benefits by nearly motion free images by selecting an appropriate number of respiratory phase bins and thus, it may further improve cancer staging and target volume definition in radiotherapy [11]. The use of semi-automatic delineation (segmentation) methods may reduce the inter-observer variation in the clinical target volume (CTV) outline for radiotherapy [12, 13]. Some PET segmentation methods for outlining CTV are intensity-based, such as the standard uptake value (SUV) or the fixed/adaptive threshold activity value. Other more sophisticated methods may be established using activity statistics, resulting in segmented clusters or the use of spatial gradients in activity for segmenting boundaries [14, 15]. Currently, no consensus exists on methods for FDG-PET segmentation in lung cancer, but a threshold of 2.5 SUV or a percentage of the maximum SUV ( $SUV_{max}$ ) such as 40%–50% is widely used [7, 8, 16]. Using a fixed SUV threshold for target determination still result in variations in target definition due to partial volume effect, activity recovery and FDG uptake heterogeneities [17, 18]. For PET/CT imaging of lung cancer, the effect of respiratory motion may additionally result in image intensity changes or eroded intensity gradients. Consequently, respiratory motion could result in less accuracy in established segmentation methods and therefore in uncertainty of CTV definition.

The main purpose of this work was to construct a phantom enclosing various lesions with heterogeneous PET tracer activities and to assess the effect of motion on commonly used semi-automatic PET segmentation methods according to equivalent segmentations in stationary PET images. A secondary purpose was to study the effect of commonly used segmentation methods on post-processed motion corrected 3D-PET images created by deconvolution of motion involved 3D-PET images with the target position density derived from a 4D-CT scan.

## 2. Materials and methods

### 2.1. Equipment

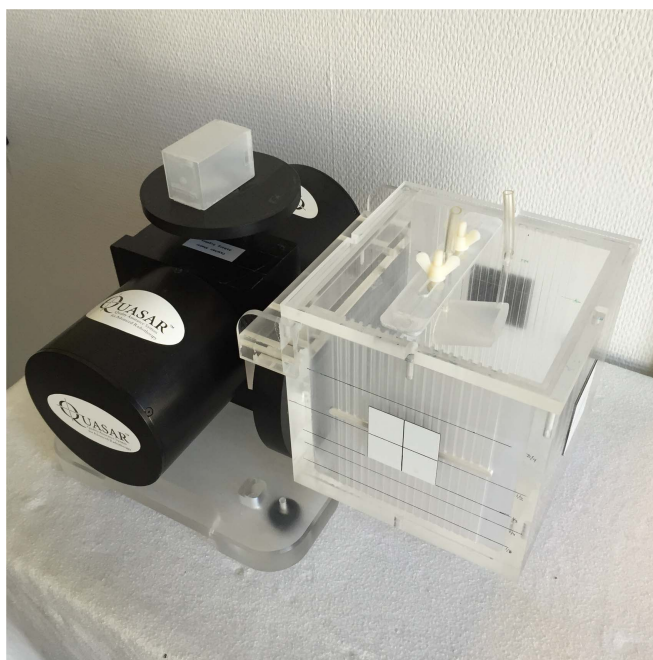
The target volumes consisted of three in-house manufactured interlaced double volumes, titled A, B and C submerged in a water-filled phantom of size 17 cm × 17 cm × 18 cm (figure 1). Each of the three target volumes involved high and low PET tracer uptake sub-volumes (highUptake = A1, B1 and C1 and lowUptake = A2, B2 and C2), allowing for different PET tracer uptake ratios relative to a uniform background activity. The target size of volume A and B correspond to a simulation of lung tumour up to stage T3, whereas the target C correspond to a simulation of lymph node [3]. The water-filled phantom with target volumes was connected to a QUASAR<sup>TM</sup> Respiratory Motion Phantom (ModusQA Medical Devices), as illustrated in figure 2. In static mode, no motion was applied to the phantom, whereas in motion mode, a 15 mm peak-to-peak amplitude (nearly sine profile), 15 cycles per minute, orientated in the scanner longitudinal z-direction was applied to the phantom.

A total FDG PET tracer activity of 80–120 MBq ( $Injected_{activity}$ ) was prepared for the phantom with four different FDG concentrations (ratios) relative to the background activity of  $SUV = 1$ . The SUV definition for this study was corrected for FDG activity decay at acquisition time ( $t$ ) as the following:

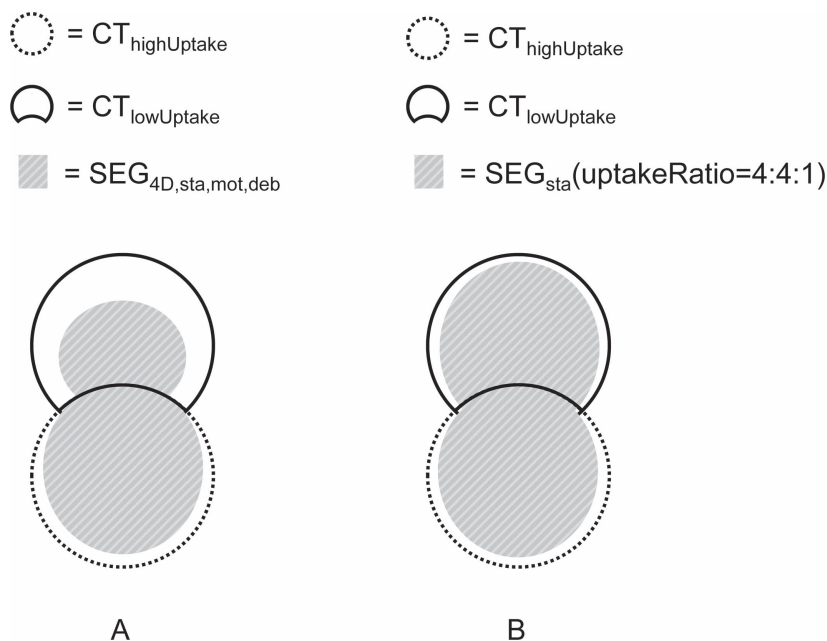
$$SUV = \frac{Phantom_{weight}[g] \cdot \frac{Voxel_{activity}(t)[Bq\ ml^{-1}]}{Injected_{activity}(t)[Bq]}}{(1)}$$

with  $Phantom_{weight}$  defined as background water and target volume materials.

The target volume uptake ratios (uptakeRatio) were organised as 4:4:1, 3:2:1, 4:2:1 and 8:3:1, where for instance, a ratio of 4:2:1 represented a highUptake  $SUV = 4$ , a lowUptake  $SUV = 2$  and a background  $SUV = 1$ . The ratio of 4:4:1 indicated equal uptake



**Figure 2.** Measurement setup with water phantom holding target lesions, mounted on a QUASAR™ Respiratory Motion Phantom, to simulate motion during image acquisitions. The target inserts were built-in to the top plate of the phantom and thereby changed between the different target setup.



**Figure 3.** Relationship between the PET segmented volume  $SEG_{4D,sta,mot,deb}$  and the lowUptake reference volume  $CT_{lowUptake}$  used to define the lowUptake<sub>error</sub> quantity. Sub-figure (A) illustrates a situation where the lowUptake sub-volume was partially segmented and in sub-figure (B), a homogeneous tracer uptakeRatio = 4:4:1 illustrated an optimal lowUptake segmentation.

(SUV = 4) for both high- and lowUptake sub-volumes. The highUptake sub-volumes (A1, B1 and C1) were included in every PET segmentations, whereas the lowUptake sub-volumes (A2, B2 and C2) were estimated to be segmented in approximately half of the uptake ratios as labelled in table 1.

## 2.2. Imaging protocols

For each instance of FDG uptake ratio, the individual target volumes were subjected to scan protocols in both static- and motion-modes using an integrated PET/CT scanner (Discovery STE, GE Healthcare).



**Table 1.** SUV threshold values.

UptakeRatio	4:4:1	3:2:1	4:2:1	8:3:1
Max40%	1.6	1.2	1.6	3.2
SUV40%	2.2	1.8	2.2	3.8
GradientSeg	NA	NA	NA	NA
2.5 SUV	2.5	2.5	2.5	2.5

SUV threshold values among the tracer uptakeRatios and segmentation methods. Grey cells indicate the predicted segmentation of the highUptake volume only, whereas white cells indicate predicted segmentation of both the high- and lowUptake volumes. The non-threshold method GradientSeg was marked not available. The GradientSeg low/high-Uptake separation were predicted assuming accurate PET<sub>static</sub> segmentation. For the uptakeRatio of 4:2:1 and 8:3:1, combined with GradientSeg in PET<sub>static</sub> images, only the highUptake volume were segmented.

### 2.2.1. Static/motion 3D-PET/3D-CT

The 3D-PET scans were acquired using a 2 min acquisition time for a single bed position. In static mode the 3D-PET were labelled PET<sub>sta</sub> and with phantom motion enabled PET<sub>mot</sub>. The PET scans were acquired in 3D mode and reconstructed to matrix size of  $256 \times 256 \times 47$  pixels (voxel size  $2.73 \times 2.73 \times 3.27 \text{ mm}^3$ ) with a 3D-OSEM algorithm (2 iterations, 26 subsets) and a post-Gaussian filter of 3 mm full-width-half-maximum. The corresponding CT scans were acquired in helical mode at 120 kVp, 80 mAs. The CT scan length was marginally beyond the phantom volume of 17 cm plus the respiratory motion extent. The 3D-CT were labelled CT<sub>sta</sub> in static mode and with phantom motion enabled CT<sub>mot</sub>. The CT scans were reconstructed with a CT slice thickness of 1.25 mm and a matrix size of  $512 \times 512$  pixels (voxel size  $0.977 \times 0.977 \times 1.25 \text{ mm}^3$ ). The CT<sub>sta</sub>/CT<sub>mot</sub> were used for attenuation correction of the corresponding PET<sub>sta</sub>/PET<sub>mot</sub> images.

### 2.2.2. 4D-PET/4D-CT

The 4D-PET (PET<sub>4D</sub>) were acquired using a total PET acquisition time of 12 min, for 6 PET bins (2 min per phase bin). The number of phase bin was carefully chosen to obtain nearly motion free PET images. Given the motion amplitude and target size, Bettinardi *et al* proposed the number of bins to obtain motion-free images in 4D-PET according to motion-amplitude and target sizes [11]. The 4D-CT (CT<sub>4D</sub>) were acquired in cine axial mode at 120 kV, 80 mAs with a CT gantry rotation time of 0.5 s, cine time between images of 0.5 s and a total cine duration of 5 s. The 4D-CT was retrospectively re-sorted into 6 phase bins (maximum phase error 5%), using respiratory motion data obtained by the real-time position management system (RPM from Varian Medical System). Equivalent to 3D-CT, the CT images were reconstructed in 1.25 mm slice thickness ( $512 \times 512$  pixels). The

matching CT phase bin from CT<sub>4D</sub> were used for attenuation correction of the individual PET bins in PET<sub>4D</sub>.

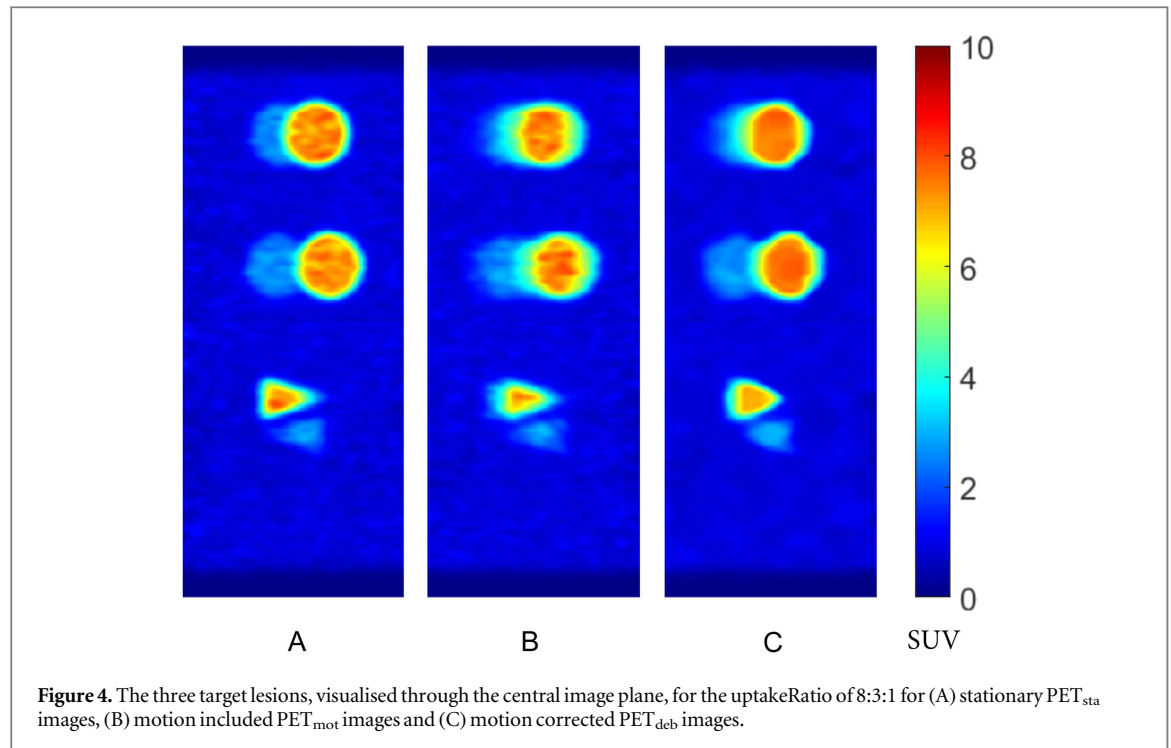
### 2.2.3. Motion-correction

In addition, a motion corrected post-processing procedure (motion deblurring) applied to the PET<sub>mot</sub> scans resulted in PET images labelled PET<sub>deb</sub>. The following section provides a more detailed description of the motion-correction procedure.

The motion-corrected PET<sub>deb</sub> were iteratively reconstructed from the PET<sub>mot</sub>, comparable to the method described by [19]. The PET<sub>deb</sub> volumes were derived by deconvolving PET<sub>mot</sub> with a target position probability kernel ( $K_{\text{motion}}$ ), estimated from the 4D-CT. The  $K_{\text{motion}}$  was derived using a normalised position probability kernel estimated from the CT target centre-of-mass position within the 4D-CT. Using the phantom trajectory of motion aligned in the superior-inferior (z-axis), the main contribution to the position probability density was coupled to this direction. The 4D-CT acquired with the same FDG uptake ratio was used for the  $K_{\text{motion}}$  determination using a rigid target propagation from the CT phase bin 0% throughout the remaining five phases. A forward Van-Cittert iterative deconvolution method was performed as following:

$$\text{PET}_{\text{deb}}^{k+1} = \text{PET}_{\text{deb}}^k + [\text{PET}_{\text{mot}} - \text{PET}_{\text{deb}}^k \otimes K_{\text{motion}}] \quad (2)$$

with the initial condition  $\text{PET}_{\text{deb}}^{k=0} = \text{PET}_{\text{mot}}$ . For simplification, the deconvolution was applied in 2D space slice by slice, thus the PET<sub>mot</sub> was resliced within the primary 2D motion plane (x, z). Succeeding each iteration a post-processing image correction was applied, limit the  $\text{PET}_{\text{deb}}^{k+1}$  within the original PET<sub>mot</sub> minimum and maximum values within a 4 mm radius, thereby reducing Gibb's phenomenon. A visualisation of the PET<sub>sta</sub>, PET<sub>mot</sub> and PET<sub>deb</sub> is provided for the uptakeRatio 8:3:1 in figure 4.



### 2.3. Image registration

The PET and corresponding CT scans were co-registered using the common frame of reference (DICOM origin) from the PET/CT scan. For the individual uptakeRatio a sequence of PET registrations were organised between PET<sub>sta</sub>, PET<sub>4D</sub> and PET<sub>mot</sub>/PET<sub>deb</sub> as following: a registration from PET<sub>sta</sub> to the PET<sub>4D</sub> mid-ventilation (bin3) and the PET<sub>4D</sub> (bin3) to PET<sub>mot</sub>/PET<sub>deb</sub> using the complete PET intensity range for registration with a roughly 2 cm isotropic margin covering the lesions as a volume of interest.

### 2.4. Segmentation

Four different PET segmentation methods were applied to every PET images, PET<sub>4D</sub> (6 bins), PET<sub>sta</sub>, PET<sub>mot</sub> and PET<sub>deb</sub> for each of the three experimental phantom volumes A–C.

- I. Max40%: a fixed threshold at 40% of the maximum SUV value defined as the mean SUV over 1 cm<sup>2</sup> around the peak intensity pixel. Voxels above the threshold value were segmented as the target volume.
- II. SUV40%: threshold of 40% maximum SUV as described above and corrected for the background level (SUV<sub>bg</sub>). The segmentation included voxels beyond the threshold value defined as
 
$$\text{SUV40\%} = 0.40(\text{SUV}_{\text{max}} - \text{SUV}_{\text{bg}}) + \text{SUV}_{\text{bg}}. \quad (3)$$
- III. GradientSeg: gradient-based watershed segmentation. A segmentation process developed by Geets *et al* [15], named IMREviewer. Segmentation with IMREviewer presented robust accuracy

for lung cancer, in comparison with surgical specimens [7, 20]. The IMREviewer prepared the PET images by initially denoising and later deblurring with the PET scanner FWHM (5.4 mm) kernel, defined by a Gaussian approximation to a point spread function measured for the scanner central axis.

- IV. 2.5 SUV: threshold of 2.5 times the SUV. The segmentation included voxels with an uptake value above 2.5 SUV.

In the static CT (CT<sub>sta</sub>) images, the high- (CT<sub>highUptake</sub>) and lowUptake (CT<sub>lowUptake</sub>) sub-volumes were segmented individually using geometric 3D-structures aligned in the image according to the lesions walls. For target volumes A and B, a 3D-sphere of 39 mm (internal sphere diameter) was used for segmentations, followed by a Boolean operation to distinct the CT<sub>highUptake</sub> and CT<sub>lowUptake</sub> sub volumes. For the cone shaped target C, the first and last CT slice containing the target was segmented as 2D planar circles (diameter of 7 and 27 mm) connected by a linear interpolation. Through the image registrations, the CT<sub>lowUptake</sub> segmentations were transferred to PET<sub>4D</sub> (bin3), PET<sub>mot</sub> and PET<sub>deb</sub>. A rigid alignment of CT<sub>lowUptake</sub> throughout the PET<sub>4D</sub> were completed manually for the remaining bins.

### 2.5. Data analysis

The PET segmented volumes in the different scan protocols (SEG<sub>4D,mot,deb</sub>) were compared to the static segmentations (SEG<sub>sta</sub>) according to volume change ( $\Delta\text{Vol}$ ) and an error estimate of the lowUptake sub-volume (lowUptake<sub>error</sub>) segmentation.

**Table 2.**  $\Delta\text{Vol}$  of the PET segmentations.

Method	PET <sub>4D</sub>	PET <sub>mot</sub>	PET <sub>deb</sub>
Max40%	0.01 [−0.01; 0.03]	0.15 [0.11; 0.19]	0.10 [0.06; 0.14]
SUV40%	0.02 [0.01; 0.03]	0.06 [0.00; 0.12]	0.06 [0.01; 0.12]
GradientSeg	0.04 [0.00; 0.07]	0.43 [0.12; 0.74]	0.04 [−0.11; 0.20]
2.5 SUV	0.04 [0.02; 0.05]	−0.04 [−0.11; 0.02]	−0.04 [−0.08; 0.00]

Mean with 95% CI segmented volume differences of the PET<sub>4D</sub> (all 6 bins), PET<sub>mot</sub> and PET<sub>deb</sub> protocols separated into segmentation methods, including all tracer uptakeRatios and target volumes.

**Table 3.** The lowUptake<sub>error</sub> metric of the PET segmentations.

Method	PET <sub>4D</sub>	PET <sub>mot</sub>	PET <sub>deb</sub>
Max40%	0.00 [−0.05; 0.04]	0.09 [−0.02; 0.20]	0.10 [0.00; 0.20]
SUV40%	0.04 [−0.01; 0.08]	0.12 [0.00; 0.24]	0.13 [−0.01; 0.28]
GradientSeg	0.01 [−0.03; 0.06]	0.33 [0.08; 0.58]	0.08 [−0.04; 0.21]
2.5 SUV	0.00 [−0.03; 0.04]	0.03 [−0.06; 0.13]	0.07 [−0.03; 0.17]

Mean with 95% CI of the lowUptake<sub>error</sub> of the PET<sub>4D</sub> (all 6 bins), PET<sub>mot</sub> and PET<sub>deb</sub> protocols separated between segmentation methods, including all tracer uptakeRatios and target volumes (lowUptake sub volume only).

The segmented volume change was defined as the difference between PET segmentation relative to static segmentation for an equivalent segmentation method, target and tracer uptakeRatio.

$$\Delta\text{Vol} = \frac{\text{SEG}_{4D, \text{mot}, \text{deb}} [\text{cm}^3] - \text{SEG}_{\text{sta}} [\text{cm}^3]}{\text{SEG}_{\text{sta}} [\text{cm}^3]}. \quad (4)$$

The lowUptake<sub>error</sub> was defined by the volume similarity in terms of dice similarity coefficient (DSC) between PET segmentations and the CT<sub>lowUptake</sub> volumes labelled A2, B2 and C2 in figure 1.

I. DSC<sub>lowUptake</sub> as spatial volume similarity between the PET segmented volume and the CT<sub>lowUptake</sub> for the specific segmentation methods, target and uptakeRatio.

$$\text{DSC}_{\text{lowUptake}}^{\text{sta}, 4D, \text{mot}, \text{deb}} = \frac{2 | \text{SEG}_{\text{sta}, 4D, \text{mot}, \text{deb}} \cap \text{CT}_{\text{lowUptake}} |}{| \text{SEG}_{\text{sta}, 4D, \text{mot}, \text{deb}} | + | \text{CT}_{\text{lowUptake}} |}. \quad (5)$$

An illustration of the volume similarity between the PET segmentation and the lowUptake sub-volume is provided in figure 3. As the PET segmented volumes extent outside the lowUptake sub-volume, the DSC<sub>lowUptake</sub> never range to the maximum value of one, as  $0 \leq \text{DSC}_{\text{lowUptake}} < 1$ .

II. lowUptake<sub>error</sub>, a quantity metric quantifying the difference between the DSC<sub>lowUptake</sub> in equation (5) and the DSC<sub>lowUptake</sub> in the static PET<sub>sta</sub> image, relative to the DSC<sub>lowUptake</sub> measured with equal tracer uptake in both high- and lowUptake sub-volumes, for the matching segmentation method and target.

$$\text{lowUptake}_{\text{error}} = \frac{\text{DSC}_{\text{lowUptake}}^{4D, \text{mot}, \text{deb}} - \text{DSC}_{\text{lowUptake}}^{\text{sta}}}{\text{DSC}_{\text{lowUptake}}^{\text{sta}} (\text{uptakeRatio} = 4:4:1)}. \quad (6)$$

The lower and upper limits were  $\pm 1$ . A lower limit of −1 for the instance of

$\text{DSC}_{\text{lowUptake}}^{\text{sta}} = \text{DSC}_{\text{lowUptake}}^{\text{sta}} (\text{uptakeRatio} = 4:4:1)$  - and an absence of PET segmentation within the CT<sub>lowUptake</sub> sub-volume i.e.,  $\text{DSC}_{\text{lowUptake}}^{4D, \text{mot}, \text{deb}} = 0$ . The upper limit of +1 for the instance of absence lowUptake segmentation in PET<sub>sta</sub> images, i.e.  $\text{DSC}_{\text{lowUptake}}^{\text{sta}} = 0$ , and a PET segmentation matching a highest lowUptake segmentation i.e.  $\text{DSC}_{\text{lowUptake}}^{4D, \text{mot}, \text{deb}} = \text{DSC}_{\text{lowUptake}}^{\text{sta}} (\text{uptakeRatio} = 4:4:1)$ .

By definition,  $\Delta\text{Vol} = 0$  and the lowUptake<sub>error</sub> = 0 for every instance of segmentation in the PET<sub>sta</sub> scan protocol. The  $\Delta\text{Vol}$  and lowUptake<sub>error</sub> were compared to corresponding segmentations in the static PET<sub>sta</sub> images for identically target volumes and uptakeRatios with a 5% significance level for a one-sample t-test.

### 3. Results

The CT<sub>highUptake</sub> and CT<sub>lowUptake</sub> volumes (mean  $\pm$  1 sd) deviations from the actual cavity volumes were  $2\% \pm 4\%$  for targets A and B, while the target C deviation was  $-5\% \pm 5\%$ . The background measured SUV PET tracer activity (mean  $\pm$  1 sd) was  $1.00 \pm 0.06$ , including all FDG-PET tracer uptakeRatios. Summary tables of the  $\Delta\text{Vol}$  and lowUptake<sub>error</sub> metric are listed in tables 2 and 3, respectively. Apart from the Max40% segmentation method the PET<sub>4D</sub> scans produced enlarged segmented volumes compared to the PET<sub>sta</sub> scans with an increased  $\Delta\text{Vol}$  for the SUV40% of 2% ( $p = 0.001$ ), gradientSeg of 4% ( $p = 0.03$ ) and the 2.5SUV of 4% ( $p < 0.001$ ), including all six PET bins. The lowUptake<sub>error</sub> of the PET<sub>4D</sub> was not significantly different from lowUptake<sub>error</sub> of the PET<sub>sta</sub> for any segmentation method. For the PET<sub>mot</sub> scan protocol, the  $\Delta\text{Vol}$  significantly increased for the Max40% of 15% ( $p < 0.001$ ) and GradientSeg of 43% ( $p < 0.001$ ) methods compared



to the PET<sub>sta</sub> images. No significant changes in the  $\Delta\text{Vol}$  were observed for the SUV40% and 2.5SUV methods in the PET<sub>mot</sub> scan protocol. A significant increase in the lowUptake<sub>error</sub> quantity of 0.33 was detected for the PET<sub>mot</sub> scan protocol using the GradientSeg method ( $p < 0.001$ ). None of the three methods Max40%, SUV40% or 2.5SUV provided lowUptake<sub>error</sub> in the PET<sub>mot</sub> protocol significantly different from the lowUptake<sub>error</sub> in the PET<sub>sta</sub> protocol. In the motion corrected PET<sub>deb</sub> scan protocol the Max40% ( $p < 0.001$ ) and SUV40% ( $p = 0.03$ ) methods both presented increased segmented volumes of 10% and 6% respectively, compared to the PET<sub>sta</sub> scan protocol. The 2.5SUV method presented a lower segmented volume of  $-4\%$  in PET<sub>deb</sub>, in which marginally significant difference was found compared to the PET<sub>sta</sub> scan protocol ( $p = 0.048$ ). In the PET<sub>deb</sub> scan the GradientSeg segmentations was not significantly different from the PET<sub>sta</sub> scan protocol according to both the  $\Delta\text{Vol}$  and lowUptake<sub>error</sub> quantity. As the only segmentation method the Max40% presented a lowUptake<sub>error</sub> metric that was significantly different in the PET<sub>deb</sub> scan protocol compared to the PET<sub>sta</sub> ( $p = 0.04$ ).

## 4. Discussions

This study aimed for a method to evaluate PET segmentation methods accuracies relative to static PET segmentations, for target lesions combined with motion and tracer uptake heterogeneity. The study design allocated the highUptake volume within every instance of segmentation. When motion was applied, the activity in the highUptake volume (as well as the lowUptake sub-volume) was partially displaced in the direction of the lowUptake location, simulating an overflow effect into the lowUptake location. For the instance of the cone shaped volume (target C), the overflow effect would be from the cone wide-end highUptake sub-volume into the neighbouring lowUptake cone. This study design using a phantom with plastic inserts with zero tracer uptake and motion restricted to a regular one dimension (superior-inferior) are limitations that may not be directly equivalent to the clinical conditions. Additionally, using the static segmentation as a reference condition for each segmentation method and uptakeRatio, revealed inconsistency using the GradientSeg method for target containing SUV of 3. For the instance with uptakeRatio of 3:2:1 the highUptake volume were segmented using GradientSeg method, whereas with uptakeRatio of 8:3:1 the lowUptake sub-volume was absent in the segmentation. The non-appearance of lowUptake SUV = 3 for this instance could be explained by the highUptake SUV of 8, which add high intensity gradients and thus underestimate the nearby lowUptake sub-volume. The acquisition time was retained equal between 3D and the individual 4D PET

bins. This would lead to a scan time, in 4D-PET, not suitable for all patient intended for lung cancer radiotherapy. In contrast to clinical conditions, the injected activity for this study with a background of roughly  $10 \text{ kBq ml}^{-1}$ , lead to improved count statistics and hence reduced noise. The influence of a reduction of injected activity and hence reduced count statistics, in combination with motion and inhomogeneous target activity, have not been addressed in this study. The applied tracer concentrations and the uniform background activity, are likely to improve segmentation on images effected by motion. Thus further investigation of both reduced activity and increased target activity variations are needed to associate the phantom study to clinically condition. The effect of cold wall in combination with threshold PET segmentation has previously been addressed by [21] as potentially leading to overestimation of wall-less targets. The effect of irregular respiration patterns on different segmentation methods have been addressed by [22]. Despite Carles *et al* reported no substantial segmenting differences; irregular respiration during PET imaging might eventually lead to degraded PET images between the individually 4D-PET bins due to reduced count statistics.

Excluding the Max40% method, the segmented volumes in PET<sub>4D</sub> were slightly increased compared to the PET<sub>sta</sub> protocol. The residual motion in the PET bins connecting the inhale and exhale respiratory phases could explain the increasing volumes in PET<sub>4D</sub>. However, the narrow confidence interval of the  $\Delta\text{Vol}$  in PET<sub>4D</sub> points to a scan protocol that was consistent with segmentations in PET<sub>sta</sub>. The lowUptake<sub>error</sub> quantities in PET<sub>4D</sub> supported segmentations consistent with the PET<sub>sta</sub> scan protocol as no significant deviation was detected. This finding was consistent to the result obtained by Bettinardi *et al* [11]; thus for these investigated segmentation methods, a six bin 4D-PET is recommended to be sufficient for motion-free image acquisition with an amplitude of 15 mm.

For the motion involved PET<sub>mot</sub> scan protocol the segmented volumes were enlarged, except for the 2.5SUV segmentation method. In both PET<sub>mot</sub> and PET<sub>deb</sub> the 2.5SUV method provided segmentations that were equivalent to the PET<sub>sta</sub> acquisition. In contrast to the other two threshold based segmentation methods (Max40% and SUV40%), the 2.5SUV method did not involve the maximum intensity, which degrades in motion-disturbed image acquisitions [18]. Eroded SUV values may not necessarily correspond to different volume of segmentations, as long as the SUV remains above the threshold value. Changed constellations of the FDG activities in the high- and lowUptake volumes are likely to affect the results in PET<sub>mot</sub> images, particularly for threshold values close to the target SUV. The Max40% method exclusively relies on the maximum SUV, whereas the SUV40% method also facilitated background SUV correction. Due to the degraded SUV of PET<sub>mot</sub>

images, a lower absolute threshold SUV value was used for Max40% segmentation compared to the SUV40%, thus segmenting slightly enlarged volumes relative to the SUV40%.

In specific the PET<sub>mot</sub> protocol, the GradientSeg method presented an enlarged segmented volume and an overestimation of the lowUptake sub-volume. Since the GradientSeg method makes use of intensity gradients as segmentation boundaries the enlarged volume, including the lowUptake sub-volume, was expected as a consequence of motion blur. This finding was supported by the PET<sub>deb</sub> scan protocol, which revealed that the segmentations were equivalent to PET<sub>sta</sub> scan protocol due to the motion correction with a re-establishment of the image intensity gradients.

Motion corrections through PET image deblurring with a position density estimate rely on accurate 4D-CT target detection. Due to the centre-off-mass uncertainties within 4D-CT phases, the position density estimate may potentially result in an inaccurate processing of the deblurred PET image. An increased number of bins for 4D-CT may improve the temporal centre-of-mass detection and high contrast fiducials could clarify detection within each phases and limit uncertainties within  $K_{\text{motion}}$  determination. Detecting fiducial centre-of-masses within a 4D-CT scan is still limited by other factors such as the velocity during CT phase bin acquisition, orientations and fiducial style [23]. Other methods have been suggested to identify and reduce motion blur in PET images without 4D-PET, using either deformation vector field for correction or deblurring methods [24, 25]. However, segmentation in these motion corrected images were performed using a fixed threshold of the maximum intensity, close related to the Max40% method. Furthermore, local deblurring must be performed near distinct lesions due to the various respiratory motion trajectories inside the lungs [26].

In radiotherapy, including tissue with low PET activity to the planning target volume (PTV) due to non-corrected images (PET<sub>mot</sub>) and a high accurate segmentation method (GradientSeg) in stationary images (PET<sub>sta</sub>), could potentially lead to enlarged PTV and consequently increased normal tissue complication [27]. On the other hand, PET images which encompass the all possible target positions throughout a full respiration cycle for internal target volume (ITV) definition could be used for segmentation. In contrast to the motion blur of PET<sub>mot</sub> in the current study, which encompassed all target locations, motion incorporation using super resolution-corrected PET images showed improved accuracy according to the 'true' ITV [28].

The main restrictions of the current study are the limited number of different tracer heterogeneity values and target geometries. In particular, heterogeneous PET lesions have been reported to be challenging to segment for threshold based methods and

thereby suggesting sophisticated methods instead [29]. This current study exposed the similarity of the segmentation methods relative to a motionless PET acquisition. With segmentation evaluation relative to static PET segmentations, reliable ground-truth tumour volume description in static PET images is fundamental. A study by Wanet *et al* revealed high accuracy for the GradientSeg method in 4D-PET compared to the surgical specimens of lung cancers [20]. A closely related study by Yu *et al* published an optimal SUV threshold close to 3.0 with a threshold of 2.5 SUV that was not significantly different from the surgical specimens [8]. These related studies assessing the accuracies of PET segmentations according to pathological findings indicate a general recommendation for the use of GradientSeg or 2.5 SUV segmentation methods. The findings in the present study identifying the discrepancies in PET segmentation, with and without motion-correction, combined with heterogeneous tracer uptake indicates that caution should be used with maximum intensities for threshold segmentation. In addition, severe overestimation potentially exists for the GradientSeg method in non-corrected motion influenced PET images. For the instance of motion affected target lesions and the absence of 4D-PET or 3D-PET motion correction, the 2.5SUV segmentation method is recommended.

## 5. Conclusions

This experimental study of target lesions with heterogeneous tracer (FDG) uptake and respiratory motion demonstrated that motion-corrected PET imaging (PET<sub>4D</sub> or PET<sub>deb</sub>) in combination with GradientSeg method provided the most consistent and accurate PET segmentation amongst the tested segmentation methods. The GradientSeg method should be excluded in the absence of motion correction.

## Acknowledgments

The work is supported by DAKFO—Danish Graduate School in Clinical Oncology and CIRRO—The Lundbeck Foundation Center for Interventional Research in Radiation Oncology and The Danish Council for Strategic Research.

## Conflicts of interest

None to declare. The authors alone are responsible for the content and writing of the paper.

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