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# Stability Analysis of Primary Plug-and-Play and Secondary Leader-based Controllers for DC Microgrid Clusters

Renke Han, *Member, IEEE*, Michele Tucci, *Member, IEEE*, Andrea Martinelli, Josep M. Guerrero, *Fellow, IEEE*, Giancarlo Ferrari-Trecate, *Senior Member, IEEE*

**Abstract**—In this paper, we propose a new hierarchical control scheme for Microgrid (MG) clusters, given by the interconnection of atomic dc MGs with ZIP loads, each composed by both grid-forming and grid-feeding converters. In the primary level, we develop a new Plug-and-Play (PnP) voltage/current controller in order to achieve simultaneous voltage support and current feeding function with local references. The coefficients of each stabilizing controller are characterized by explicit inequalities, which are related only to local electrical parameters of the MG. Moreover, we provide a sufficient condition on the ZIP loads to guarantee passivity and asymptotic stability of electric system. The robustness of performance to system uncertainties is also demonstrated. In the secondary level, a leader-based voltage/current controller is proposed to achieve both voltage and current regulation for the MG cluster without specifying the individual setpoints for each MG. The proposed distributed controller requires a communication network where each regulator exchanges information with its communication neighbors only. With the proposed scheme, each MG can plug-in/out seamlessly, irrespectively of the power line parameters and models of other MGs. Closed-loop stability proof of MG clusters is formally proved independently of the cluster topology. Moreover, theoretical results are validated by extensive hardware-in-loop (HiL) tests showing robustness of the closed-loop cluster against perturbations in the loads, PnP operations and noises/delays in the communication network.

**Index Terms**—Plug-and-play, Voltage/Current stability, Grid-Forming/Feeding converters, Leader-based Controller, MG cluster.

## I. INTRODUCTION

WITH the increasing penetration of renewable energies into modern electric systems, the concept of MG is receiving increasing attention from both electric industry and academia. A MG is formed by interconnecting a number of renewable energy sources (RESes), energy storage systems (ESSes) and different types of loads [1], [2], [3]. Power converters are the key components applied in both ac and dc MGs to interface different sorts of energy resources and loads to the system. To be specific, in ac MG, power converters

can be classified into grid-forming, grid-feeding and grid-supporting converters [4], [5], and the same classification can also be applied for dc MGs. While remarkable progresses have been made in improving the performance of ac MGs during the past decade, dc MGs (which are studied in this paper) have been recognized more and more attractive due to higher efficiency, and more natural interfaces to many types of RESes and ESSes [6], [7].

Grid-forming converters are used as the interface between ESSes and the system to provide voltage support in dc MGs by using voltage-current double loop controller [8]. Further, to achieve simultaneous voltage support and communication-less current sharing among grid-supporting converters, voltage-current (V-I) droop control [1] is widely adopted by imposing virtual impedance for output voltages. However, voltage deviations and current sharing errors still exist due to different line impedances. Another key challenge is that the stability of connected ESSes is sensitive to the chosen virtual impedances which should be designed taking into account the specific MG topology and the line impedances [9], [10]. Recently, an alternative class of decentralized primary controllers, called PnP controller according to the terminology used in [11], has been proposed in [12], [13], [14] for grid-forming converters. In [13] and [14], to achieve PnP voltage control, information about line impedances should be known in order to design local controller. On the other hand, PnP controllers in [13] form a decentralized control architecture where each regulator can be synthesized using information about the corresponding ESSes only [13] or, at most particular parameters of the power lines connected to the ESSes [12]. To be specific, the latter pieces of information are not required in the design procedure of [13] which is therefore termed line-independent.

However, for the PnP methods mentioned above, the synthesis of a local controller requires to solve a convex optimization problem: if unfeasible, the plug-in/out of corresponding ESS must be denied. Moreover, they are only suited to grid-forming converters for providing voltage support in the system. However, one complete MG must be composed of RESes, ESSes and loads to comprise the power generation, storage and consumption. When RESes such as PV sources are included in dc MGs, grid-feeding converters should be used as the interface to achieve current feeding for the system according to the reference given by e.g. maximum power point tracking (MPPT) algorithm [15]. Furthermore current stabilization should be guaranteed [16]. In [17], a current-based PI primary

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droop control is proposed considering constant current loads. In practical applications, grid-feeding converters should be operated with grid-forming converters to compose a MG. While literature [18], [19] considered the problem of energy management operation between RESes and ESSes, the stability problem about MG with grid-forming/feeding converters and its clusters have always been ignored from system level. On the top of that, distributed secondary controllers, such as [10], [20], [21], mainly focus on the current sharing and balanced voltage regulation only among grid-supporting converters. The stability proof and control parameters design are dependent on the system topology.

In this paper, we define a MG as the combination of a grid-forming and a grid-feeding converters, and study MG clusters arising from the interconnection of MGs. We propose a PnP voltage/current primary controller to achieve grid-forming/feeding function simultaneously. The coefficients of local stabilized controllers are explicitly characterized through a set of inequalities which only depends on the local parameters. Hence, controller design is always feasible and does not require to solve an optimization problem. For MG clusters, a leader-based voltage/current distributed secondary controller is proposed to achieve both voltage and current regulation without specifying separate setpoints for each MG. In order to compute the secondary action, each MG only requires information from its neighbors, defined according to the communication network graph. Differently from [22] where the interface between primary and secondary control is provided by an integral controller, we use PI controller to improve performance during transients. Finally, we provide a proof of the closed-loop stability of MG clusters. The contents of the paper and the main results are summarized in Fig. 1.

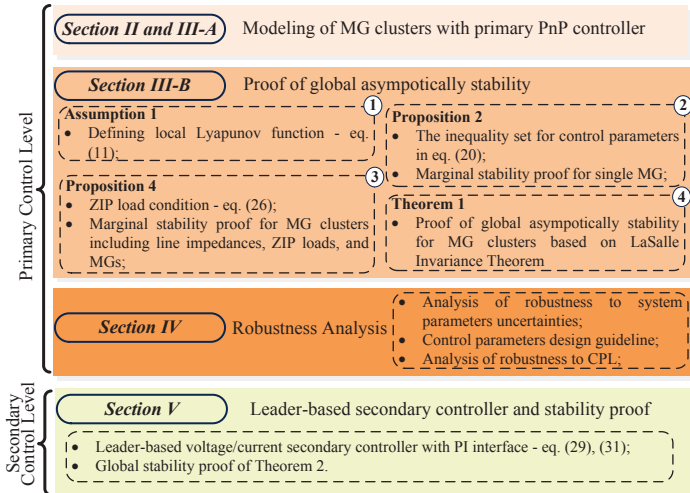


Fig. 1: Flow chart for the content structure.

The paper is structured as follows. In Section II and III-A, the model of MG and proposed voltage/current PnP controller are introduced. In Section III-B, the closed-loop stability for MG clusters with interconnected MGs is proven. In Section IV, robustness analyses to system and control uncertainties are studied and guidelines for designing control parameters are provided. The leader-based voltage/current distributed secondary controller and its stability proof are introduced in Section V. Finally, the HiL tests including system uncertainties

test, voltage/current tracking performance test, PnP function test, CPL capacity, and communication delay/noise situations are described in Section VI.

## II. DC MG WITH GRID-FORMING/FEEDING CONVERTERS

### A. Electrical model of MGs

We consider a MG composed of one grid-forming converter and one grid-feeding converter connected to the point of common coupling (PCC) bus.

A MG cluster is then obtained by interconnecting  $N$  MGs, induced by the set  $\mathcal{D} = \{1, \dots, N\}$ . Two MGs are neighbors if there is a power line connecting them and denote with  $\mathcal{N}_i \subset \mathcal{D}$  the subset of neighbors of MG  $i$ . The neighboring relation is symmetric which means  $j \in \mathcal{N}_i$  implies  $i \in \mathcal{N}_j$ . Furthermore, let  $\mathcal{E} = \{(i, j) : i \in \mathcal{D}, j \in \mathcal{N}_i\}$  collect unordered pairs of indices associated to lines. The topology of the MG cluster is then described by the undirected graph  $\mathcal{G}_{el}$  with nodes  $\mathcal{D}$  and edges  $\mathcal{E}$ .

At each PCC bus, a ZIP load including constant impedance load (CIL), constant current load (CCL), and constant power load (CPL), is considered. The ZIP model is illustrated in upper block of Fig. 2 where  $R_i$ ,  $I_{CCLi}$  and  $P_{CPLi}$  represents the CIL ( $Z$ ), CCL ( $I$ ), and CPL ( $P$ ) respectively for MG  $i$ . Since the goal of grid-forming converter in each MG is to keep the PCC voltage constant, it is reasonable that the CPL in ZIP load are linearized around a voltage operating point  $V_{op}$ . Then the equivalent model for CPL, shown in the lower block of Fig. 2, is

$$I_{CPLi} = - \underbrace{\frac{P_{CPLi}}{V_{op}^2}}_{(a)} V_i + 2 \underbrace{\frac{P_{CPLi}}{V_{op}}}_{(b)} \quad (1)$$

where  $V_i$  is  $i$ -th PCC voltage, and  $I_{CPLi}$  is the total current for CPL  $i$ .

In (1), the equivalent CPL consists of two parts including part (a), the negative impedance part which can undermine stability of the system and part (b), the constant current load which cannot affect stability of the system.

In total, the ZIP load can be represented by one equivalent impedance load  $R_{Li}$  given by the parallel interconnection of  $R_i$ , and the equivalent negative impedance ( $-\frac{V_{op}^2}{P_{CPLi}}$ ), and one equivalent current load given by the sum of CCL  $I_{CCLi}$  and the equivalent CCL ( $2\frac{P_{CPLi}}{V_{op}}$ ) due to CPL. In formulae, it can be written as

$$\begin{cases} R_{Li} = R_i // (-\frac{V_{op}^2}{P_{CPLi}}) \\ I_{Li} = I_{CCLi} + 2\frac{P_{CPLi}}{V_{op}} \end{cases} \quad (2)$$

The electrical scheme of the  $i$ -th MG is provided by left block of Fig. 3. The corresponding model is

$$MG \ i \ \begin{cases} \frac{dV_i}{dt} = \frac{1}{C_{ti}} I_{ti}^C + \frac{1}{C_{ti}} I_{ti}^V + \sum_{j \in \mathcal{N}_i} \left( \frac{V_j}{C_{ti} R_{ij}} - \frac{V_i}{C_{ti} R_{ij}} \right) \\ \quad - \frac{1}{C_{ti}} (I_{Li} + \frac{V_i}{R_{Li}}) \\ \frac{dI_{ti}^C}{dt} = -\frac{1}{L_{ti}^C} V_i - \frac{R_{ti}^C}{L_{ti}^C} I_{ti}^C + \frac{1}{L_{ti}^C} V_{ti}^C \\ \frac{dI_{ti}^V}{dt} = -\frac{1}{L_{ti}^V} V_i - \frac{R_{ti}^V}{L_{ti}^V} I_{ti}^V + \frac{1}{L_{ti}^V} V_{ti}^V \end{cases} \quad (3)$$



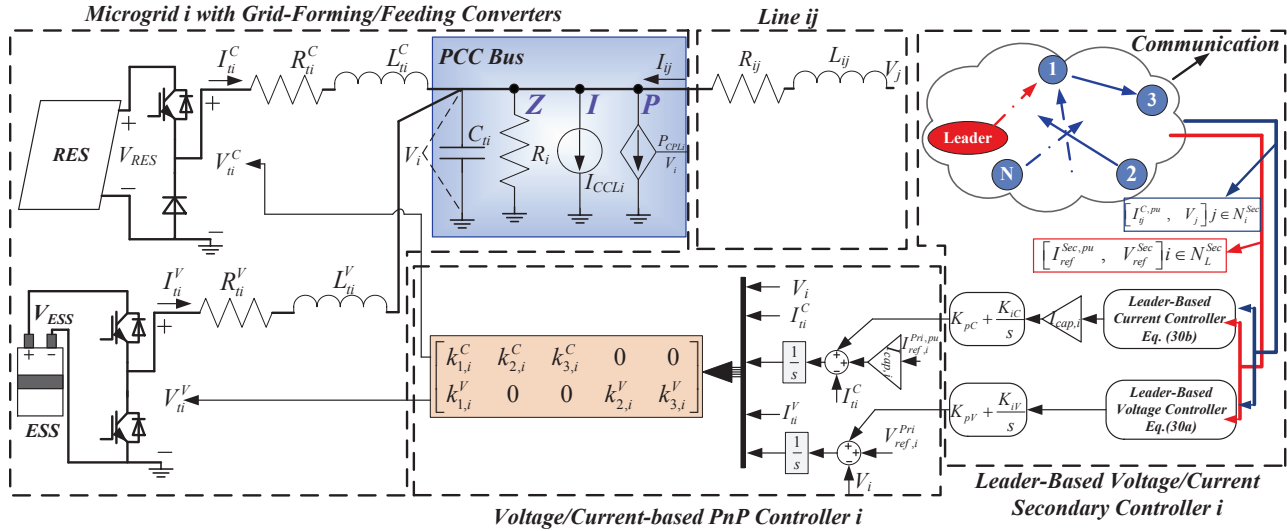


Fig. 3: Electrical scheme of MG  $i$  with hierarchical PnP voltage/current controller.

$$\mathbf{A} = \begin{bmatrix} A_{11} + A_{load,1} & A_{12} & A_{13} & \dots & A_{1N} \\ A_{21} & A_{22} + A_{load,2} & A_{23} & \dots & A_{2N} \\ A_{31} & A_{32} & A_{33} + A_{load,3} & \dots & A_{3N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ A_{N1} & A_{N2} & A_{N3} & \dots & A_{NN} + A_{load,N} \end{bmatrix}, \quad (5)$$

$$\mathbf{B} = \begin{bmatrix} B_1 & 0 & \dots & 0 \\ 0 & B_2 & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & B_N \end{bmatrix}, \quad \mathbf{M} = \begin{bmatrix} M_1 & 0 & \dots & 0 \\ 0 & M_2 & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & M_N \end{bmatrix}, \quad \mathbf{H} = \begin{bmatrix} H_1 & 0 & 0 & \dots & 0 \\ 0 & H_2 & 0 & \ddots & \vdots \\ 0 & 0 & H_3 & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & 0 & H_N \end{bmatrix}$$

for generating both  $V_{ti}^C$  and  $V_{ti}^V$ . In particular, the overall control architecture is decentralized since the computation of  $u_{[i]}$  requires the state of  $\hat{\Sigma}_{[i]}^{MG}$  only.

### B. Conditions for stability of the closed-loop MG Cluster

For showing stability, we will use local Lyapunov functions

$$V_i(\hat{x}_{[i]}) = [\hat{x}_{[i]}]^T P_i \hat{x}_{[i]}. \quad (10)$$

**Assumption 1.** The positive definite matrix  $P_i \in \mathbb{R}^{5 \times 5}$  in (10) fulfills

$$P_i = \begin{bmatrix} \eta_i & \mathbf{0}_{1 \times 2} & \mathbf{0}_{1 \times 2} \\ \mathbf{0}_{2 \times 1} & \mathcal{P}_{22,i}^C & \mathbf{0}_{2 \times 2} \\ \mathbf{0}_{2 \times 1} & \mathbf{0}_{2 \times 2} & \mathcal{P}_{44,i}^V \end{bmatrix}, \quad (11)$$

where

$$\mathcal{P}_{22,i}^C = \begin{bmatrix} p_{22,i}^C & p_{23,i}^C \\ p_{23,i}^C & p_{33,i}^C \end{bmatrix}, \quad \mathcal{P}_{44,i}^V = \begin{bmatrix} p_{44,i}^V & p_{45,i}^V \\ p_{54,i}^V & p_{55,i}^V \end{bmatrix}. \quad (12)$$

And  $\eta_i > 0$  is a local parameter satisfying  $\eta_i = \bar{\sigma} C_{ti}$ ,  $i \in \mathcal{D}$  where  $\bar{\sigma} > 0$  is a constant parameter, common to all MGs.

In absence of coupling terms  $\hat{\xi}_{[i]}(t)$ , and load terms  $\hat{A}_{load,i} \hat{x}_{[i]}(t)$ , we would like to guarantee asymptotic stability of the nominal closed-loop MG

$$\dot{\hat{x}}_{[i]}(t) = \underbrace{(\hat{A}_{ii} + \hat{B}_i K_i)}_{F_i} \hat{x}_{[i]}(t) + \hat{M}_i \hat{d}_{[i]}(t). \quad (13)$$

By direct calculation, one can show that  $F_i$  has the following structure

$$\begin{aligned}
 F_i &= \begin{bmatrix} 0 & f_{12,i} & 0 & f_{14,i} & 0 \\ f_{21,i} & f_{22,i} & f_{23,i} & 0 & 0 \\ 0 & f_{32,i} & 0 & 0 & 0 \\ f_{41,i} & 0 & 0 & f_{44,i} & f_{45,i} \\ f_{51,i} & 0 & 0 & 0 & 0 \end{bmatrix} \\
 &= \begin{bmatrix} 0 & \frac{1}{C_{ti}} & 0 & \frac{1}{C_{ti}} & 0 \\ \frac{(k_{1,i}^C - 1)}{L_{ti}^C} & \frac{(k_{2,i}^C - R_{ti}^C)}{L_{ti}^C} & \frac{k_{3,i}^C}{L_{ti}^C} & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ \frac{(k_{1,i}^V - 1)}{L_{ti}^V} & 0 & 0 & \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} & \frac{k_{3,i}^V}{L_{ti}^V} \\ -1 & 0 & 0 & 0 & 0 \end{bmatrix} \\
 &= \begin{bmatrix} 0 & \mathcal{F}_{12,i}^C & \mathcal{F}_{14,i}^V \\ \mathcal{F}_{21,i}^C & \mathcal{F}_{22,i}^C & 0 \\ \mathcal{F}_{41,i}^V & 0 & \mathcal{F}_{44,i}^V \end{bmatrix}.
 \end{aligned} \quad (14)$$

From Lyapunov theory, asymptotic stability of (13) can be certified by the existence of a Lyapunov function  $\mathcal{V}_i(\hat{x}_{[i]}) =$

$[\hat{x}_{[i]}]^T P_i \hat{x}_{[i]}$  where  $P_i = P_i^T > 0$  and

$$Q_i = F_i^T P_i + P_i F_i \quad (15)$$

is negative definite. In presence of nonzero coupling terms, we will show that asymptotic stability can be achieved under Assumption 1.

Based on (11) and (14), (15) can be rewritten as (16) shown in the upper part of the next page.

Next, we provide a number of results, whose proof is shown in Appendix, enabling one to prove the main result about the controller design provided by Theorem 1.

**Proposition 1.** [13] *If  $Q = Q^T \leq 0$  and an element  $q_{ii}$  on the diagonal verifies  $q_{ii} = 0$ , then*

- (i) *The matrix  $Q$  cannot be negative definite.*
- (ii) *The  $i$ -th row and column of  $Q$  have zero entries.*

**Lemma 1.** *Under Assumption 1, if  $Q_i \leq 0$ ,  $Q_i$  has the following structure*

$$Q_i = \begin{bmatrix} 0 & \mathbf{0}_{1 \times 2} & \mathbf{0}_{1 \times 2} \\ \mathbf{0}_{2 \times 1} & Q_{22,i}^C & \mathbf{0}_{2 \times 2} \\ \mathbf{0}_{2 \times 1} & \mathbf{0}_{2 \times 2} & Q_{44,i}^V \end{bmatrix} \quad (17)$$

Furthermore, the blocks on the diagonal verify

$$\begin{cases} Q_{22,i}^C \leq 0 \\ Q_{44,i}^V \leq 0 \end{cases} \quad (18a)$$

$$(18b)$$

**Remark 1.** *The MG considered is not restricted to only two DGs in one MG: the proof can be extended to  $(\mathcal{N} + \mathcal{M}) \in \mathbb{R}$  DGs for each MG where  $\mathcal{N} \in \mathbb{R}$  represents the number of grid-feeding DGs and  $\mathcal{M} \in \mathbb{R}$  represents the number of grid-forming DGs. In terms of the proof, we can augment the proposed Lyapunov matrix by adding new diagonal blocks. The generalized Lyapunov matrix is defined as (19) shown on upper part of the next page. To be noticed, for each sub-matrix, the subscript does not represent the dimension of the sub-matrix, which is only used to distinguish the different matrices. Then the proof can just follow the step in this paper.*

**Remark 2.** *Since the blocks  $Q_{22,i}^C$  and  $Q_{44,i}^V$  belong to  $\mathbb{R}^{2 \times 2}$ , from (18), it has that the determinants of  $Q_{22,i}^C$  and  $Q_{44,i}^V$  are nonnegative.*

**Proposition 2.** *Under Assumption 1,  $P_i$  and  $Q_i$  have the (20) shown in the upper part of next page, where  $h_i = L_{ti}^V k_{3,i}^V - (k_{1,i}^V - 1)(k_{2,i}^V - R_{ti}^V)$ . Moreover, if  $P_i > 0$ ,  $Q_i \leq 0$  and  $Q_i \neq 0$ , one has*

$$\begin{cases} k_{1,i}^C < 1 \\ k_{2,i}^C < R_{ti}^C \\ k_{3,i}^C > 0 \end{cases}, \quad \begin{cases} k_{1,i}^V < 1 \\ k_{2,i}^V < R_{ti}^V \\ 0 < k_{3,i}^V < \frac{1}{L_{ti}^V} (k_{1,i}^V - 1)(k_{2,i}^V - R_{ti}^V) \end{cases} \quad (21)$$

**Lemma 2.** *Let Assumption 1 and Proposition 2 hold. Let us define  $h_i(v_i) = v_i^T Q_{44,i}^V v_i$ , with  $v_i \in \mathbb{R}^2$ . If  $Q_i \leq 0$ , and  $Q_i \neq 0$ , then*

$$h_i(\bar{v}_i) = 0 \iff \bar{v}_i \in \text{Ker}(\mathcal{F}_{44,i}^V).$$

**Proposition 3.** *Let  $g_i(w_i) = w_i^T Q_i w_i$ ,  $\forall i \in \mathcal{D}$  with  $w_i \in \mathbb{R}^5$ . Under Assumption 1, Proposition 2, and Lemma 2, only vectors  $\bar{w}_i$  in the form*

$$\bar{w}_i = [ \alpha_i \quad 0 \quad \gamma_i \quad \beta_i \quad \delta_i \beta_i ]^T$$

with  $\alpha_i, \gamma_i, \beta_i \in \mathbb{R}$ , and  $\delta_i = -\frac{k_{2,i}^V - R_{ti}^V}{k_{3,i}^V}$ , fulfill

$$g_i(\bar{w}_i) = \bar{w}_i^T Q_i \bar{w}_i = 0. \quad (22)$$

Consider the overall closed-loop MG cluster model

$$\begin{cases} \dot{\hat{\mathbf{x}}}(t) = (\hat{\mathbf{A}} + \hat{\mathbf{B}}\mathbf{K})\hat{\mathbf{x}}(t) + \hat{\mathbf{M}}\hat{\mathbf{d}}(t) \\ \mathbf{z}(t) = \hat{\mathbf{H}}\hat{\mathbf{x}}(t) \end{cases} \quad (23)$$

obtained by combining (8) and (9), with  $\mathbf{K} = \text{diag}(K_1, \dots, K_N)$ . Considering also the collective Lyapunov function

$$\mathcal{V}(\hat{\mathbf{x}}) = \sum_{i=1}^N \mathcal{V}_i(\hat{x}_{[i]}) = \hat{\mathbf{x}}^T \mathbf{P} \hat{\mathbf{x}} \quad (24)$$

where  $\mathbf{P} = \text{diag}(P_1, \dots, P_N)$ . One has  $\dot{\mathcal{V}}(\hat{\mathbf{x}}) = \hat{\mathbf{x}}^T \mathbf{Q} \hat{\mathbf{x}}$  where

$$\mathbf{Q} = (\hat{\mathbf{A}} + \hat{\mathbf{B}}\mathbf{K})^T \mathbf{P} + \mathbf{P}(\hat{\mathbf{A}} + \hat{\mathbf{B}}\mathbf{K}). \quad (25)$$

A consequence of Proposition 1 is that, under Assumption 1, the matrix  $\mathbf{Q}$  cannot be negative definite. At most, one has

$$\mathbf{Q} \leq 0. \quad (26)$$

Moreover, even if  $Q_i \leq 0$  holds for all  $i \in \mathcal{D}$ , the inequality (26) might be violated because of the nonzero coupling terms  $\hat{A}_{ij}$  and load terms  $\hat{A}_{load,i}$  in matrix  $\hat{\mathbf{A}}$ . The next proposition shows that this cannot happen.

**Proposition 4.** *If gains  $K_i$  are chosen according to (21), and the CIL  $R_i$  and CPL  $P_{CPLi}$  in the ZIP load for each MG verify*

$$P_{CPLi} \leq \frac{V_{op}^2}{R_i}, \quad (27)$$

then  $Q_i \leq 0$ . Moreover, if (27) holds  $\forall i \in \mathcal{D}$ , then (26) holds.

**Theorem 1.** *If Assumption 1 is fulfilled, the graph  $\mathcal{G}_{el}$  is connected, ZIP loads satisfy (27), and control coefficients are chosen according to (21), then the origin of (8) is asymptotically stable.*

The proofs of Lemma 1 and 2, Proposition 2, 3, and 4, Theorem 1 are provided in the Appendix.

**Remark 3.** *The proof in Appendix D for Proposition 4 shows that if CIL and CPL in ZIP load satisfy inequality (27), the system is stable. From the circuit theory viewpoint, if the inequality (27) is not verified, which means the negative impedance due to CPL is larger than the positive impedance of CIL, then the electrical network fails to be passive. If assuming that the controller effects are ignored and the electrical network is considered only under this serious condition, the system will become unstable due to non-passivity. However, the proposed controller for MGs can provide passivity features, which means extra damping are integrated in the system. Thus, if we connect MG equipped with the proposed controllers, even though inequality (27) is not verified, the system can also*

$$Q_i = \begin{bmatrix} 0 & [\mathcal{F}_{21,i}^C]^T \mathcal{P}_{22,i}^C + \eta_i \mathcal{F}_{12,i}^C & [\mathcal{F}_{41,i}^V]^T \mathcal{P}_{44,i}^V + \eta_i \mathcal{F}_{14,i}^V \\ [\mathcal{F}_{12,i}^C]^T \eta_i + \mathcal{P}_{22,i}^C \mathcal{F}_{21,i}^C & [\mathcal{F}_{22,i}^C]^T \mathcal{P}_{22,i}^C + \mathcal{P}_{22,i}^C \mathcal{F}_{22,i}^C & \mathbf{0}_{2 \times 2} \\ [\mathcal{F}_{14,i}^V]^T \eta_i + \mathcal{P}_{44,i}^V \mathcal{F}_{41,i}^V & \mathbf{0}_{2 \times 2} & [\mathcal{F}_{44,i}^V]^T \mathcal{P}_{44,i}^V + \mathcal{P}_{44,i}^V \mathcal{F}_{44,i}^V \end{bmatrix} = \begin{bmatrix} 0 & \mathcal{Q}_{12,i}^C & \mathcal{Q}_{14,i}^V \\ [\mathcal{Q}_{12,i}^C]^T & \mathcal{Q}_{22,i}^C & \mathbf{0}_{2 \times 2} \\ [\mathcal{Q}_{14,i}^V]^T & \mathbf{0}_{2 \times 2} & \mathcal{Q}_{44,i}^V \end{bmatrix} \quad (16)$$

$$P_i = \begin{bmatrix} \eta_i & \mathbf{0}_{1 \times 2} & \cdots & \mathbf{0}_{1 \times 2} & \cdots & \cdots & \cdots \\ \mathbf{0}_{2 \times 1} & \mathcal{P}_{22,i}^C & \mathbf{0}_{2 \times 2} & \cdots & \cdots & \cdots & \cdots \\ \vdots & \mathbf{0}_{2 \times 2} & \ddots & \mathbf{0}_{2 \times 2} & \cdots & \cdots & \cdots \\ \vdots & \vdots & \mathbf{0}_{2 \times 2} & \mathcal{P}_{2N+2N,i}^C & \mathbf{0}_{2 \times 2} & \cdots & \cdots \\ \vdots & \vdots & \vdots & \mathbf{0}_{2 \times 2} & \mathcal{P}_{(2N+2) \times (2N+2),i}^V & \mathbf{0}_{2 \times 2} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \mathbf{0}_{2 \times 2} & \ddots & \mathbf{0}_{2 \times 2} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \mathbf{0}_{2 \times 2} & \mathcal{P}_{2M+2M,i}^V \end{bmatrix}. \quad (19)$$

$$P_i = \begin{bmatrix} \eta_i & 0 & 0 & 0 & 0 \\ 0 & p_{22,i}^C & 0 & 0 & 0 \\ 0 & 0 & \frac{k_{3,i}^C}{L_{ti}^C} p_{22,i}^C & 0 & 0 \\ 0 & 0 & 0 & \frac{L_{ti}^V}{C_{ti}} \frac{(k_{2,i}^V - R_{ti}^V)}{h_i} & \frac{L_{ti}^V}{C_{ti}} \frac{k_{3,i}^V}{h_i} \\ 0 & 0 & 0 & \frac{L_{ti}^V}{C_{ti}} \frac{k_{3,i}^V}{h_i} & \frac{1}{C_{ti}} \frac{V}{h_i} (k_{1,i}^V - 1) \end{bmatrix}, \quad Q_i = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 2 \frac{(k_{2,i}^C - R_{ti}^C)}{L_{ti}^C} p_{22,i}^C & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \frac{(k_{2,i}^V - R_{ti}^V)^2}{C_{ti} h_i} & 2 \frac{(k_{2,i}^V - R_{ti}^V) k_{3,i}^V}{C_{ti} h_i} \\ 0 & 0 & 0 & 2 \frac{(k_{2,i}^V - R_{ti}^V) k_{3,i}^V}{C_{ti} h_i} & 2 \frac{(k_{3,i}^V)^2}{C_{ti} h_i} \end{bmatrix} \quad (20)$$

survive with tolerance to a certain degree. The intuitive robust analysis about ZIP load and a detailed passivity analysis of the system output impedance will be given in subsection IV-C by root locus analysis and bode diagram. The HiL test in section VI-D will also be given to verify the passive effectiveness of proposed controllers and the accuracy of theoretical results.

**Remark 4.** The design of stabilizing controller for each MG can be conducted according to Proposition 2. In particular, differently from the approach in [13], no optimization problem has to be solved for computing a local controller. Indeed, it is enough to choose control coefficient  $k_{1,i}^C$ ,  $k_{2,i}^C$ ,  $k_{3,i}^C$  and  $k_{1,i}^V$ ,  $k_{2,i}^V$ ,  $k_{3,i}^V$  fulfilling the inequalities in (21). Note that these inequalities are always feasible, implying that a stabilizing controller always exists. Moreover, the inequalities depend only on the parameters  $R_{ti}^C$  and  $R_{ti}^V$  of the MG  $i$ . Therefore, the control synthesis is independent of parameters of MGs and power lines, which means that controller design can be executed only once for each converter in a plug-and play fashion. From Theorem 1, local controllers also guarantee stability of the whole MG cluster. When new MGs are plugged in the MG cluster, if their controllers are designed as described above, the stability of the new MG cluster can be guaranteed by Theorem 1 (notice that the new graph  $\mathcal{G}_{el}$  is connected by construction). Instead, when a MG is plugged out, the electrical graph  $\mathcal{G}_{el}$  might be disconnected and split into two connected graphs. Yet, Theorem 1 can still be applied to show the stability of each sub-cluster.

#### IV. ROBUSTNESS ANALYSIS

In this section, we will analyze robustness of stability to system uncertainties, control parameters and ZIP load varia-

tions. Moreover, the passivity features induced by the proposed controllers are shown, hence demonstrating that they provide extra damping, hence extending the system stability margin for CPLs. For convenience, in the following analyses, an MG cluster consisting of two MGs is considered, and the system and controller parameters are same as those in Section VI, (See in Table I and II). The analyses below are based on closed-loop system model given in (8).

##### A. Robustness to system parameters uncertainties

The inequalities (21) depend only on the parameters  $R_{ti}^C$  and  $R_{ti}^V$  of the MG  $i$  which are the equivalent resistance of filter inductors. If they cannot be known exactly, one can just set a tolerance percentage  $\sigma_i \in [0, 1]$  in (21), which can be rewritten as

$$\begin{cases} k_{1,i}^C < 1 \\ k_{2,i}^C < (1 - \sigma_i) R_{ti}^C \\ k_{3,i}^C > 0 \end{cases}, \quad \begin{cases} k_{1,i}^V < 1 \\ k_{2,i}^V < (1 - \sigma_i) R_{ti}^V \\ 0 < k_{3,i}^V < \frac{1}{L_{ti}^V} (k_{1,i}^V - 1) (k_{2,i}^V - R_{ti}^V). \end{cases} \quad (28)$$

Moreover, since the equivalent resistance of inductors cannot be negative, we can just choose  $k_{2,i}^C$  and  $k_{2,i}^V$  to be less than zero to guarantee stability of the whole system, which works for all parameter uncertainties.

To further prove the robustness to uncertainties of system parameters, Fig. 4 shows the pole-zero locus obtained by changing the values of capacitors  $C_{ti}$ , inductors  $L_{ti}^C$  and the equivalent resistances  $R_{ti}^C$  for grid-feeding converters, inductors  $L_{ti}^V$  and the equivalent resistances  $R_{ti}^V$  for grid-forming converters respectively. The control parameters are kept invariant when the system parameters are changed. One can

notice that even though the system parameters are changed, the whole system can be kept stable by using the same control parameters.

### B. Robustness to control parameters

In this subsection, we discuss pole-zero locus in Fig. 5 which are obtained by changing the control parameters within the stable range. It is proved again that if the inequalities (21) are satisfied, all the poles of the closed-loop system are located in the right half plane (RHP) and the stability of the system can be guaranteed. Furthermore, the locus of poles can also provide guidelines for choosing the controller parameters so as to improve the dynamic performance of the system.

### C. Robustness to load parameters

This analysis is divided into two parts. Firstly, one MG is considered. The CIL in ZIP load is set as  $R_i = 20 \Omega$ . According to the results given in Proposition 4, the system might become unstable when  $P_{CPLi}$  becomes larger than  $48^2/20 = 115.2W$ . In this analysis, the power of CPL  $P_{CPLi}$  is changed from 0 W to 680 W. As shown in Fig. 6, the system can still be stable until  $P_{CPLi}$  become 610W, after which one pair of poles goes into the RHP. The result shows that except for the damping from CIL, the proposed controller can provide extra damping to compensate the negative impedance due to CPL. In particular, from the model given in (8), the bode diagram profile between  $V_i$  and  $I_{Li}$  called the closed-loop system output impedance [24], [25], [26] is shown in Fig. 7. Since the phase margin is between 90 and  $-90$  degree within the whole frequency range, the system output impedance is passive, which means it provides extra damping to the system.

To further illustrate effectiveness of the extra damping induced by the proposed controller, an MG cluster consisting of two MGs is considered. The test condition is same as before, but the power of CPL is changed from 0 W to 1000 W. The pole-zero locus in Fig. 8 shows that the system is stable within the larger power range than before. It is because more MGs are connected into the system, more extra damping is provided and then the whole system can supply more CPL than before. The analysis results will be further proved in the HiL test in Section VI-D.

### D. Region of Attraction

We would like to show that the PnP controller can provide a large region of attraction (ROA). Based on the original nonlinear model, we tested the stability and convergence of system with different initial states. Since the CPL is linearized at the voltage operating point, we considered the following two initial voltage conditions with 200W CPL power.

In the first scenario, the initial voltage is chosen as  $-1V$ . Normally, the voltage is started at 0V initial value and finally tracking 48V with no steady errors, thus negative initial voltage state is a challenge to be handled. Then the state trajectory is shown in Fig. 9. The  $x$ -axis represents the current tracking error  $e_{[i]}^C(t)$  and the  $y$ -axis represents the voltage tracking error  $e_{[i]}^V(t)$ . The red circle represents the equilibrium

point. It can be seen in Fig. 9 that even if the system starts from the negative initial voltage value, a stable behavior is obtained. In total, there are five states for each MG. Since the voltage and current tracking errors converge to zero, the integrated error states  $v_{[i]}^C(t)$  and  $v_{[i]}^V(t)$  converge to constant values. Further, the current from grid-forming converter is also stable due to the stable voltage performance.

In the second scenario, the initial voltage is chosen as 120V, i.e. an initial voltage value that is much higher than the nominal one. The simulation result is given in Fig. 10 which shows the tracking errors also converge to zero also in this case.

The test shows that the system has a large region of attraction which can make the system safe even under large disturbance or start-up stage.

## V. DESIGN OF STABILIZING LEADER-BASED SECONDARY CONTROLLERS FOR A MG CLUSTER

The proposed primary PnP controller can achieve both the voltage and current tracking with the local references for each MG. However, to achieve the coordination within MG clusters, references should be provided by the upper control layer to achieve voltage tracking and current sharing reasonably. Furthermore, to avoid using a centralized controller to send the reference value for each PnP controller, a leader-based distributed controller is proposed in the secondary layer including leader-based voltage and current controllers. In particular, references are provided only to the leader node and then they will be diffused through the MG thanks to the consensus scheme.

### A. Leader-based Voltage/Current Secondary Controller

The proposed secondary controller has the goal of sharing information about reference signals in a distributed way.

Based on (13) and (14), the transfer function from voltage reference  $z_{ref[i]}^{Pri,V}$  and current reference  $z_{ref[i]}^{Pri,C}$  to output voltage  $V_i$  and output current  $I_{ti}^C$  can be written as  $\hat{H}_i(sI - \hat{F}_i)\tilde{M}_i$  where  $\tilde{M}_i$  collects the second and third columns of  $\hat{M}_i$ . Setting  $s = 0$ , the identity matrix is obtained which means the primary PnP control loops can be approximated by unit-gain relations

$$\begin{cases} V_i = V_{ref,i}^{Pri} & (29a) \\ \frac{I_{ti}^C}{I_{cap,i}} = I_{ti}^{C,pu} = I_{ref,i}^{Pri,pu} & (29b) \end{cases}$$

The secondary control layer exploits a communication network linking MGs and fulfilling the following Assumption.

**Assumption 2.** *The communication graph  $\mathcal{G}^{Sec} = (\mathcal{D}, \mathcal{E}^{Sec})$  is connected and undirected implying that communication links within a MG cluster are bidirectional. Over each communication link  $(i, j) \in \mathcal{E}^{Sec}$ , the pairs of variables  $(I_{ti}^{C,pu}, V_i)$  and  $(I_{tj}^{C,pu}, V_j)$  are transmitted. Furthermore, the graph  $\mathcal{G}^{Sec}$  is endowed with an additional node termed the leader node, carrying the reference values  $(I_{ref}^{Sec,pu}, V_{ref}^{Sec})$  and connected to at least one node belongs to  $\mathcal{D}$ .*

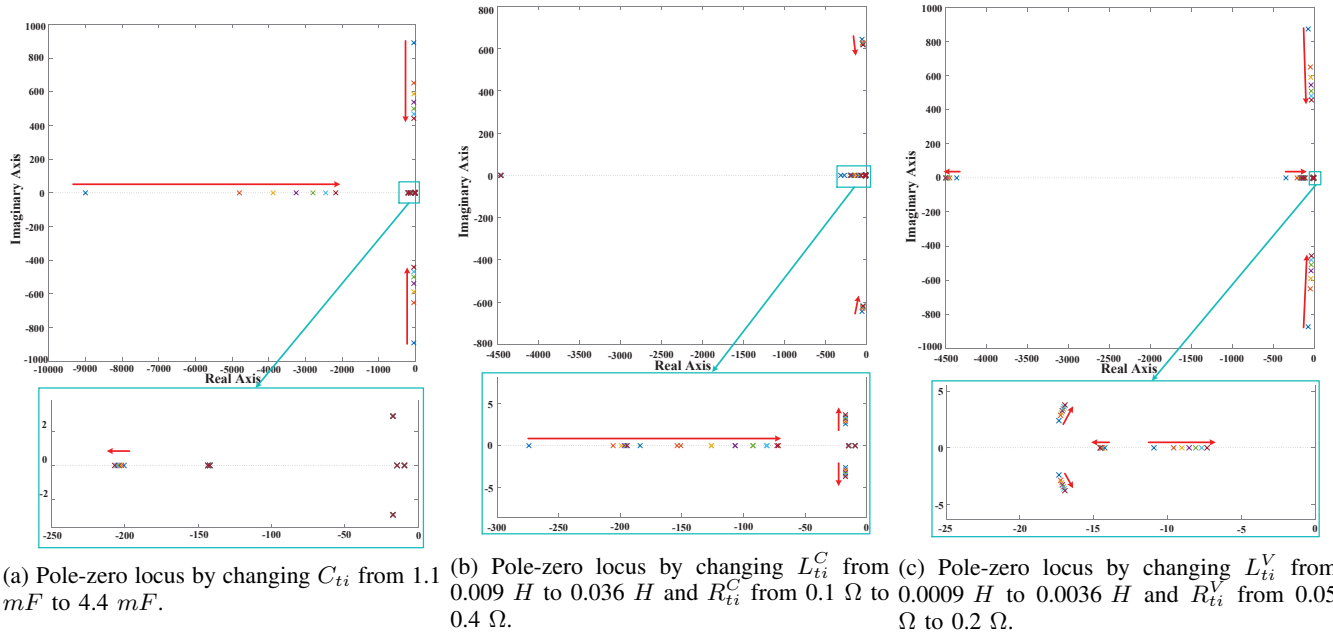


Fig. 4: Robustness analyses to system parameters.

The proposed leader-based voltage and current distributed secondary controller can be written as:

$$\begin{cases} e_{Vi} = \sum_{j \in \mathcal{N}_i^{Sec}} a_{ij} (V_i - V_j) + g_i (V_i - V_{ref}^{Sec}) \\ e_{Ci} = \sum_{j \in \mathcal{N}_i^{Sec}} a_{ij} (I_i^{C,pu} - I_j^{C,pu}) + g_i (I_i^{C,pu} - I_{ref}^{Sec,pu}) \end{cases} \quad (30a)$$

$$\begin{cases} e_{Vi} = \sum_{j \in \mathcal{N}_i^{Sec}} a_{ij} (V_i - V_j) + g_i (V_i - V_{ref}^{Sec}) \\ e_{Ci} = \sum_{j \in \mathcal{N}_i^{Sec}} a_{ij} (I_i^{C,pu} - I_j^{C,pu}) + g_i (I_i^{C,pu} - I_{ref}^{Sec,pu}) \end{cases} \quad (30b)$$

where  $\mathcal{N}_i^{Sec}$  is the set of communication neighbors of MG  $i$ ,  $a_{ij} = 1$  if the modules  $i$  and  $j$  can communicate with each other through a communication link,  $g_i = 1$  if MG  $i$  can receive the reference values about voltage and per-unit current which means  $i \in \mathcal{N}_L^{Sec}$ , and  $\mathcal{N}_L^{Sec}$  is the set for MGs who can receive the reference values.

The cascade of the above equations and the PI controllers are shown in the right part of Fig. 3 To be specific, the current reference value  $I_{ref}^{Sec,pu}$  is a per-unit value considering the total load requirement and the total system capacity. If the per-unit values of all the output currents are equals to the reference, it means that MGs share the loads properly according to their own capacities.

In matrix form, (30) is given by the equations:

$$\begin{cases} e_V = (L + G)(V - V_{ref}^{Sec} \mathbf{1}_N) \\ e_C = (L + G)(I_t^{C,pu} - I_{ref}^{Sec,pu} \mathbf{1}_N) \end{cases} \quad (31a)$$

$$\begin{cases} e_V = (L + G)(V - V_{ref}^{Sec} \mathbf{1}_N) \\ e_C = (L + G)(I_t^{C,pu} - I_{ref}^{Sec,pu} \mathbf{1}_N) \end{cases} \quad (31b)$$

where  $e_V = [e_{V1} \ e_{V2} \ \dots \ e_{VN}]^T$ ,  $V = [V_1 \ V_2 \ \dots \ V_N]^T$ ,  $I_t^{C,pu} = [I_{t1}^{C,pu} \ I_{t2}^{C,pu} \ \dots \ I_{tn}^{C,pu}]^T$ , and  $G$  is a diagonal matrix with diagonal entries equal to the gains  $g_i$ . Based on Assumption 2,  $L$  is symmetric Laplacian matrix.

Then, the error  $e_{Vi}$  and  $e_{Ci}$  are filtered by PI controllers respectively. The outputs  $\Delta V_i$  and  $\Delta I_{ti}^{C,pu}$  of the secondary controller layer can be written as

$$\begin{cases} \Delta V = -K_{pV} e_V - \int K_{iV} e_V \\ \Delta I_t^{C,pu} = -K_{pC} e_C - \int K_{iC} e_C \end{cases} \quad (32a)$$

$$\begin{cases} \Delta V = -K_{pV} e_V - \int K_{iV} e_V \\ \Delta I_t^{C,pu} = -K_{pC} e_C - \int K_{iC} e_C \end{cases} \quad (32b)$$

where  $\Delta V = [\Delta V_1 \ \Delta V_2 \ \dots \ \Delta V_N]^T$ ,  $\Delta I_t^{C,pu} = [\Delta I_{t1}^{C,pu} \ \Delta I_{t2}^{C,pu} \ \dots \ \Delta I_{tn}^{C,pu}]^T$ . In addition,  $K_{pV}$  and  $K_{iV}$  are proportional and integral coefficients of the leader-based voltage controllers and  $K_{pC}$  and  $K_{iC}$  are proportional and integral coefficients of the leader-based current controllers. All the coefficients are common to all MGs, thus these are scalar variables.

The relationship between the primary PnP controller and the leader-based secondary controller are shown in the right block of Fig. 3. Exploiting the unit gain approximation of primary loops, one obtains that (29) is replaced by

$$\begin{cases} V = V_{ref}^{Pri} + \Delta V \\ I_t^{C,pu} = I_{ref}^{Pri,pu} + \Delta I_t^{C,pu} \end{cases} \quad (33a)$$

$$\begin{cases} V = V_{ref}^{Pri} + \Delta V \\ I_t^{C,pu} = I_{ref}^{Pri,pu} + \Delta I_t^{C,pu} \end{cases} \quad (33b)$$

where  $V_{ref}^{Pri} = [V_{ref,1}^{Pri} \ V_{ref,2}^{Pri} \ \dots \ V_{ref,n}^{Pri}]^T$ ,  $I_{ref}^{Pri,pu} = [I_{ref,1}^{Pri,pu} \ I_{ref,2}^{Pri,pu} \ \dots \ I_{ref,n}^{Pri,pu}]^T$ .

Focusing on the time derivative of (33), we get

$$\begin{cases} \dot{V} = -K_{iV} [I + K_{pV} (L + G)]^{-1} e_V \\ \dot{I}_t^{C,pu} = -K_{iC} [I + K_{pC} (L + G)]^{-1} e_C \end{cases} \quad (34a)$$

$$\begin{cases} \dot{V} = -K_{iV} [I + K_{pV} (L + G)]^{-1} e_V \\ \dot{I}_t^{C,pu} = -K_{iC} [I + K_{pC} (L + G)]^{-1} e_C \end{cases} \quad (34b)$$

### B. Stability Analysis

The aim is to show that under the effect of secondary control layer, all PCC voltage converge to the leader value  $V_{ref}^{Sec}$  and all the output current converge to the same per-unit value  $I_{ref}^{Sec,pu}$ . We start by introducing preliminary lemmas.

**Lemma 3.** Under Assumption 2,  $L$  is symmetric Laplacian matrix. Since  $G = \text{diag}[g_1, g_2, \dots, g_n] \geq 0$  is a diagonal matrix with at least one strictly positive entry, matrix  $L + G$  is positive definite.

**Corollary 1.** Under the assumption of Lemma 3, for any scalar  $\alpha > 0$ , matrix  $[I + \alpha(L + G)]^{-1}$  is positive definite.

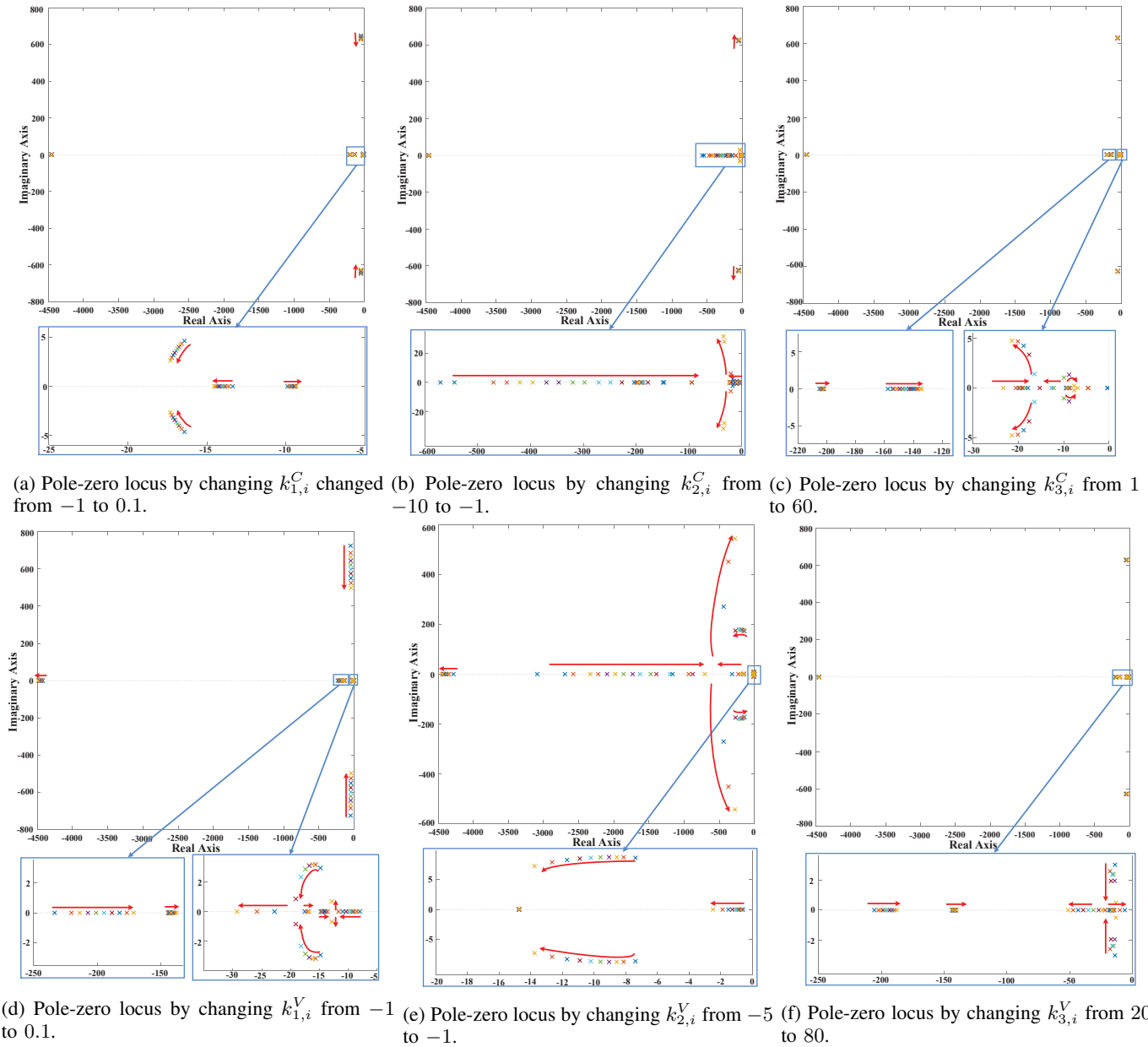


Fig. 5: Pole-zero locus analyses by changing control parameters.

**Lemma 4.** [27] Let  $A, B \in \mathbb{R}^{n \times n}$  be positive definite matrices. If  $AB = BA$  is satisfied, then  $AB$  is positive definite.

**Lemma 5.** Under Lemma 4 and Corollary 1, for any scalar  $K_{pV} > 0$ , the matrix  $(L + G)[I + K_{pV}(L + G)]^{-1}$  is positive definite.

The proofs of Lemma 3, Corollary 1, and Lemma 5 are provided in the Appendix.

We are now in a position to introduce the theorem.

**Theorem 2.** Based on Lemma 5, with the controller (32), the tracking errors in (31) converge to zero.

*Proof.* Note that the schemes (30a)-(32a) and (30b)-(32b) have the same structure. Then, in the following, we show convergence to the leader reference value only for voltages.

We consider the following candidate Lyapunov function

$$Z = \frac{1}{2} e_V^T P^{Sec} e_V, \text{ where } P^{Sec} > 0 \quad (35)$$

The time derivative of (35) is

$$\begin{aligned} \dot{Z} &= e_V^T P^{Sec} (L + G) \dot{V} \\ &= -K_{iV} e_V^T P^{Sec} (L + G) [I + K_{pV} (L + G)]^{-1} e_V \\ &= \frac{-K_{iV}}{2} e_V^T [P^{Sec} O + O^T P^{Sec}] e_V \end{aligned} \quad (36)$$

where  $O = (L + G)[I + K_{pV}(L + G)]^{-1}$ .

Based on Lemma 5, matrix  $O$  is positive definite. Based on Lyapunov theory [28], there exists positive definite matrix  $P^{Sec}$  which makes  $P^{Sec} O + O^T P^{Sec}$  positive definite. (For such the linear time-invariant system  $\dot{X} = -OX$ , if the matrix

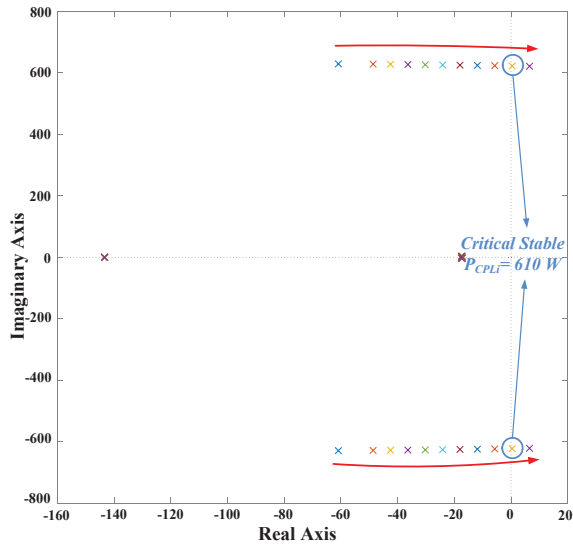


Fig. 6: Pole-zero locus by changing power of CPL from 0 W to 680 W for one MG.

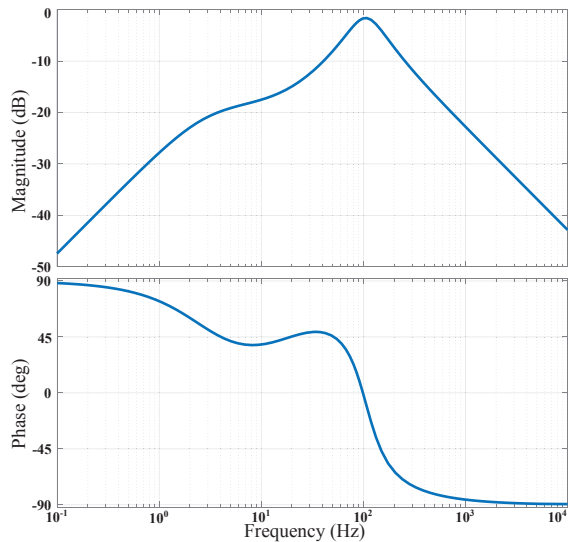


Fig. 7: Closed-loop system impedance profile.

$O$  is positive definite, the system is asymptotically stable). Therefore

$$\begin{aligned} \dot{Z} &= \frac{-K_{iV}}{2} e_V^T [P^{Sec} O + O^T P^{Sec}] e_V \\ &< \frac{-K_{iV}}{2} \sigma_{\min}(P^{Sec} O + O^T P^{Sec}) \|e_V\|^2 < 0 \end{aligned} \quad (37)$$

where  $\sigma_{\min}(P^{Sec} O + O^T P^{Sec})$  denotes the minimal eigenvalues of the symmetric matrix  $P^{Sec} O + O^T P^{Sec}$ . From (37), one has that the tracking error  $e_V$  goes to zero, and that all PCC voltages converge to the reference value provided by the leader. The convergence of output currents to the reference value can be shown in the same way.  $\square$

## VI. HARDWARE-IN-LOOP TEST

In order to verify the correctness of theoretical results, real-time HiL tests are carried out using dSPACE 1006 platform.

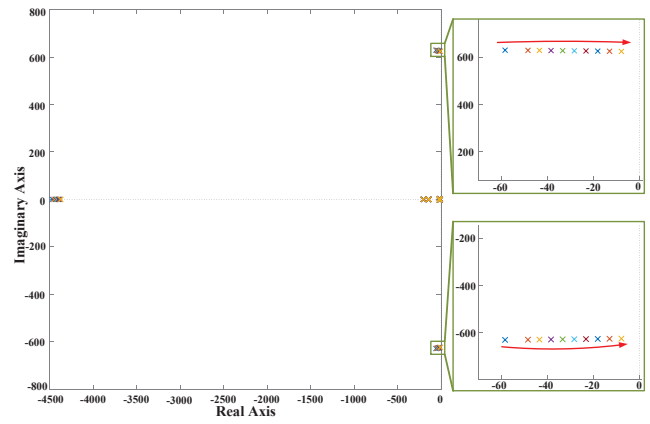


Fig. 8: Pole-zero locus by changing power of CPL from 0 W to 1000 W for a MG cluster.

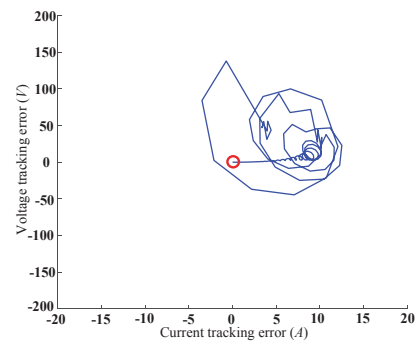


Fig. 9: Voltage/current tracking errors trajectory with  $-1V$  initial voltage.

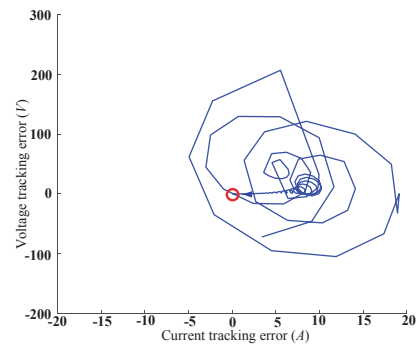


Fig. 10: Voltage/current tracking errors trajectory with 120V initial voltage.

The real-time test model comprises four MGs with meshed electrical topology shown in Fig. 11. The communication network is shown by the orange lines in the same figure. Moreover, MG 1 is the only MG receiving the leader information from energy management system (EMS). In each sampling period, each MG only communicate with their neighbors which means that the communication network is distributed. Since the communication topology is connected, the leader information can reach each follower in the system indirectly. The nominal voltage for the MG clusters is 48V. The electrical setup information are shown in Table I. The control parameters

are shown in Table II.

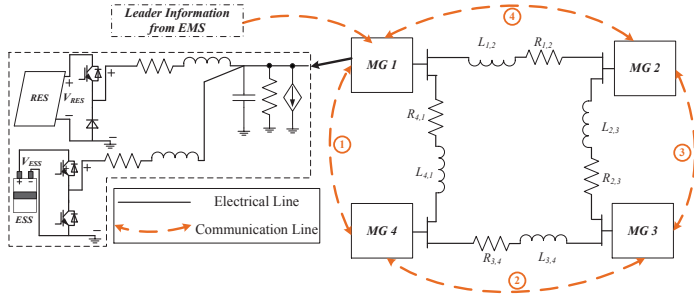


Fig. 11: System configuration of Hardware-in-Loop test.

TABLE I: Electrical setup parameters

Parameter	Symbol	Value
Output capacitance	$C_{t*}$	2.2 mF
Inductance for RES	$L_{t*}^C$	0.018 H
Inductor + switch loss resistance for RES	$R_{t*}^C$	0.2 $\Omega$
Inductance for ESS	$L_{t*}^V$	0.0018 H
Inductor + switch loss resistance for ESS	$R_{t*}^V$	0.1 $\Omega$
Switching frequency	$f_{sw}$	10 kHz

TABLE II: Control Parameters

Control Parameters	Symbol	Value
Primary Control Level for Single MG	$k_{1,*}^C$	-0.01
	$k_{2,*}^C$	-2.7015
	$k_{3,*}^C$	40.4018
	$k_{1,*}^V$	-0.480
	$k_{2,*}^V$	-0.108
	$k_{3,*}^V$	30.673
Secondary Control Level for MG Cluster	$k_{pV}$	4
	$k_{iV}$	22
	$k_{pC}$	3
	$k_{iC}$	20

### A. Case 1: Instability Test

From (21), according to the system information reported above, the controller coefficient must verify

$$\begin{cases} k_{1,i}^C < 1 \\ k_{2,i}^C < 0.2, \\ k_{3,i}^C > 0 \end{cases} \quad \begin{cases} k_{1,i}^V < 1 \\ k_{2,i}^V < 0.1 \\ 0 < k_{3,i}^V < \frac{1}{L_{ti}^V} (k_{1,i}^V - 1)(k_{2,i}^V - R_{ti}^V) \end{cases} \quad (38)$$

At the beginning, MGs are operated separately and at  $t = 0.5s$  MGs are connected together to form the MG cluster. Then at  $t = 1s$ , the control coefficients are changed in order to violate (38). Fig. 12 including six sub-figures illustrates the system performance when each control coefficient is changed from the stable region to the instable region. Fig. 12a to 12c show that when control coefficients for the grid-forming converter in MG 2 go slightly out of the stable region, the system becomes unstable. Fig. 12d to 12f show that the same happens when control coefficients for the grid-feeding converter in MG 2 slightly violate the inequalities. The results show that

the control parameter set (21) can be tight for specific MG clusters.

### B. Case 2: Voltage/Current Tracking Test

Voltage/current offset-free tracking is verified considering both the primary and secondary control level. At the beginning, four MGs are operated separately, using different voltage/current references. The results are shown in Figs. 13 and 14. At  $T1$ , four MGs are connected together simultaneously. As shown in Fig. 13a, apart from small oscillations on PCC voltages, the system operation is stable. Moreover, the output currents track the local reference provided by primary controllers as shown in Fig. 14. At  $T2$ , the proposed leader-based voltage controller is enabled and the leader value is set as 48V. It is illustrated in Fig. 13a that after  $T2$ , the PCC voltages converge to the leader reference under 0.3s. Then, at  $T3$ , the proposed leader-based current controller is enabled and leader value is set as 0.3p.u. Fig. 14 illustrates that the per-unit current values can converge to the leader value within 1s. In addition, Fig. 13b illustrates that only 0.04V oscillations exist in the output voltages when enabling the leader-based current controller. Furthermore, when the reference for leader-based voltage controller is changed from 48V to 49V at  $T4$ , the PCC voltages still track the leader reference, as shown in Fig. 13a. Similarly, when the reference for leader-based current controller is changed from 0.3p.u. to 0.4p.u. at  $T5$ , the output currents can track the new value as shown in Fig. 14. Fig. 13c illustrates that when the reference for leader-based current is changed, the output voltages are not affected.

### C. Case 3: PnP Function Test

The PnP function for both primary and secondary controllers is tested. At  $T1$ , four MGs are connected together simultaneously. At  $T2$  and  $T3$ , the proposed leader-based voltage/current controllers are enabled, respectively. At  $T4$ , MG 2 is plugged out of the MG cluster, which means the communication links and electrical lines are all disconnected with the cluster. As shown in Figs. 15a and 15b, the other three MGs still operate in a stable way and then keep tracking the leader reference from the secondary control level. Meanwhile, MG 2 can still use its own primary controller following the reference from the primary control level which are 47.8V for voltage and 0.25p.u. for current. At  $T5$ , MG 2 is plugged into the cluster and the communication links of MG 2 are also enabled. As shown in Fig. 15a and 15b after  $T5$ , both the output voltage and current of MG 2 start to track the reference value of the leader node. Overall, the results show that even in presence of plug-in/out events, the MG cluster can behave in a stable way. And both output voltage and current tracking performance can be guaranteed. Furthermore, during the whole test, the control coefficients for all MGs are not changed.

### D. Case 4: CPL Capacity Test

In accordance with the analysis condition in subsection IV-C, one MG with ZIP load is considered first. The power of CPL in the system is increased from 0 W to 600 W with 100

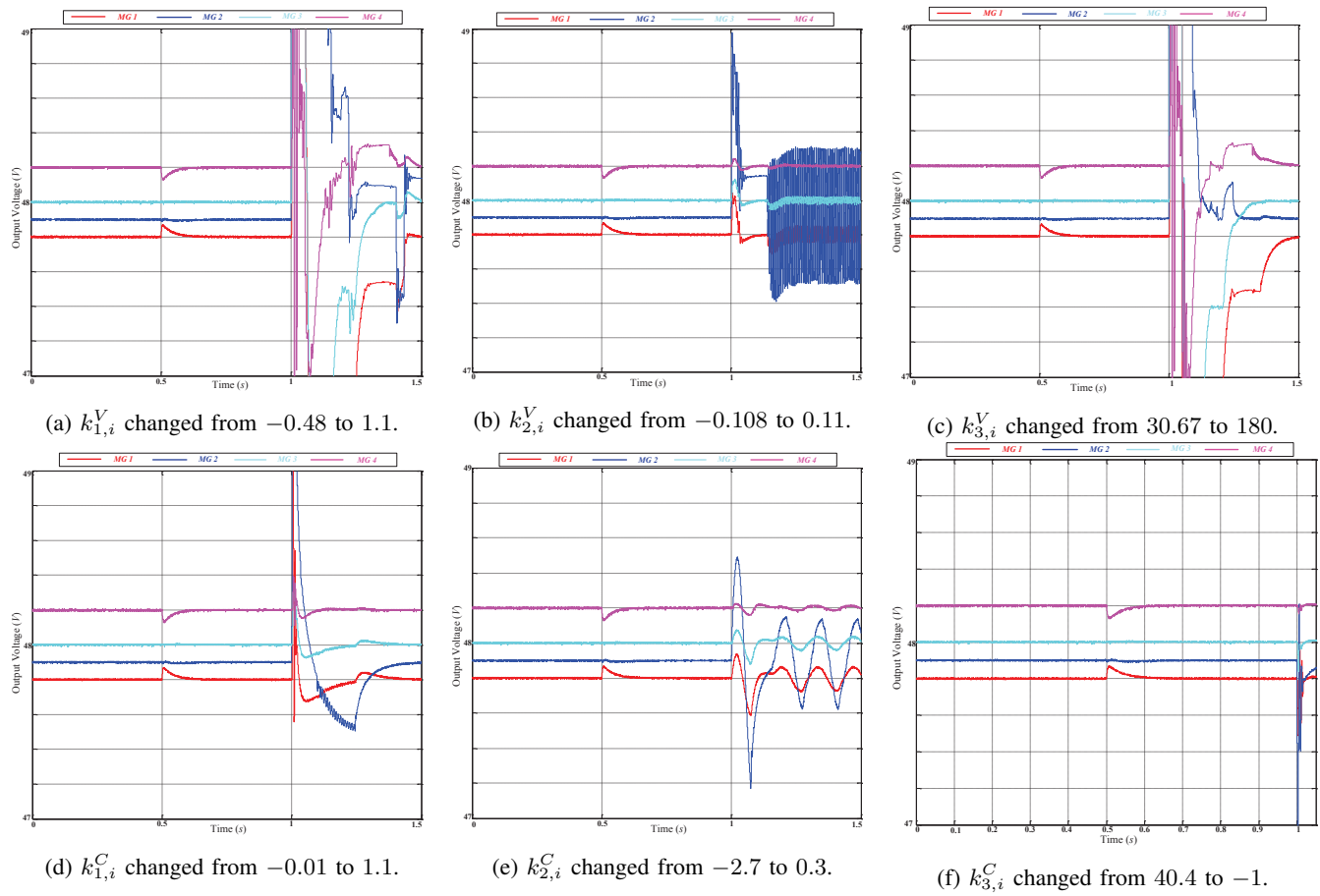


Fig. 12: Voltage profile of instability test for Case 1

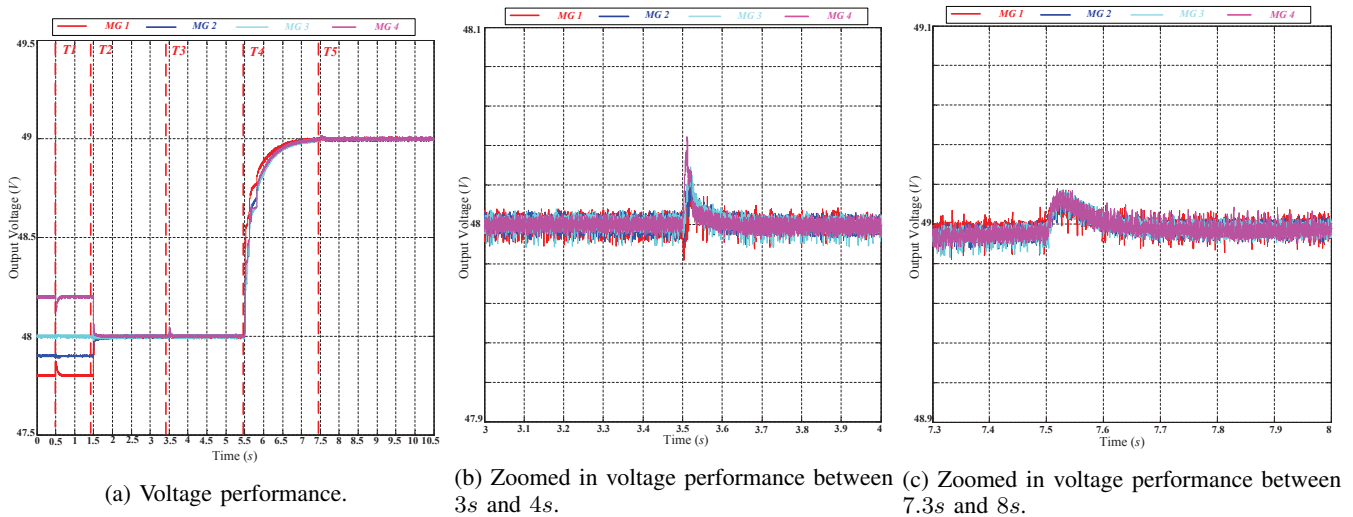


Fig. 13: Voltage performance for voltage/current tracking test for Case 2.

$W$  step. During the test, the current reference for RES is set at  $5 A$  and the rest amount of power is absorbed or provided by ESS. The result are shown in Fig.16.

As shown in Fig.16a, the voltage can be stable for each CPL increasing step until the power of CPL reach  $600 W$ . Meanwhile, during the same period, the current from RES shown in Fig. 16b is tracking its reference  $5 A$ . The current

performance from ESS is shown in Fig. 16c. At the beginning of the test, the current from RES is larger than the total load consumption, thus the current from ESS is negative which means it is charged. With the increasing power of CPL, the ESS start to provide the power into the system. When the system is subjected to the CPL power step from  $500 W$  to  $600 W$ , the system become unstable. The result is identical to

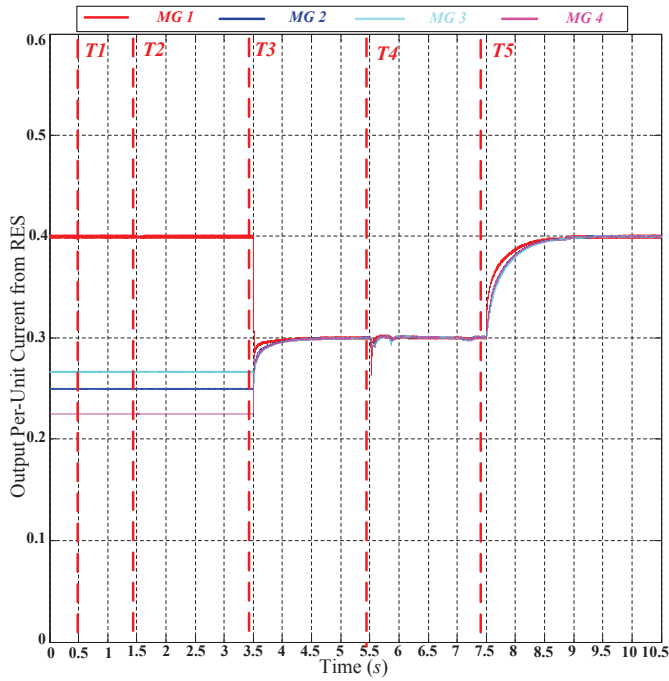


Fig. 14: Current performance for voltage/current tracking test for Case 2.

the analysis results shown in Fig. 6 which shows that when the CPL is increased to 600 W, a pair of pole appeared in the RHP make the system unstable.

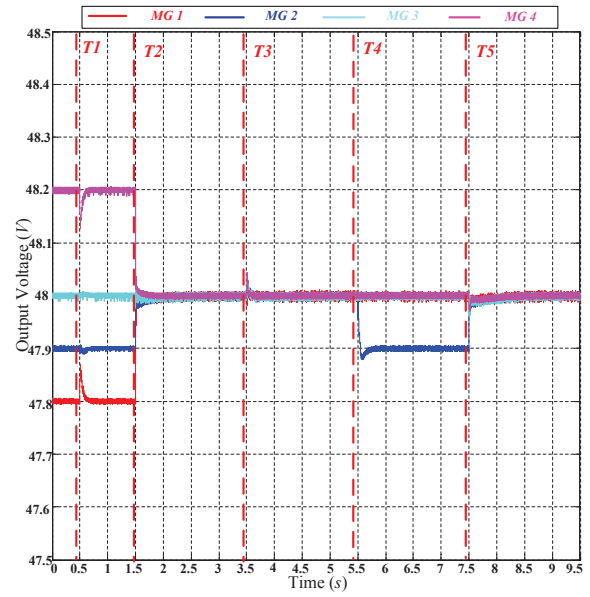
Then a MG cluster consisting of MG 1 and MG 3 with ZIP loads is considered. The results are shown in Fig. 17. The power of CPL is increased from 0 W to 1100 W step by step during the whole test. Moreover, the different voltage references are set for two MGs: 48 V for MG 1 and 48.2 V for MG 3 to make the figure clear. The current references for two RESEs are same and set at 5 A. During the whole test, the system can be kept stable. Compared with the test result with one MG, the CPL capacity of the MG cluster is more than twice larger than that of one MG. It means a new connected MG can provide more damping to the system. The analysis results in Fig. 8 also show that no pole goes into the RHP when the power of CPL reach 1000 W. And the accuracy of the analysis results is proven again.

### E. Case 5: Communication Delay Test

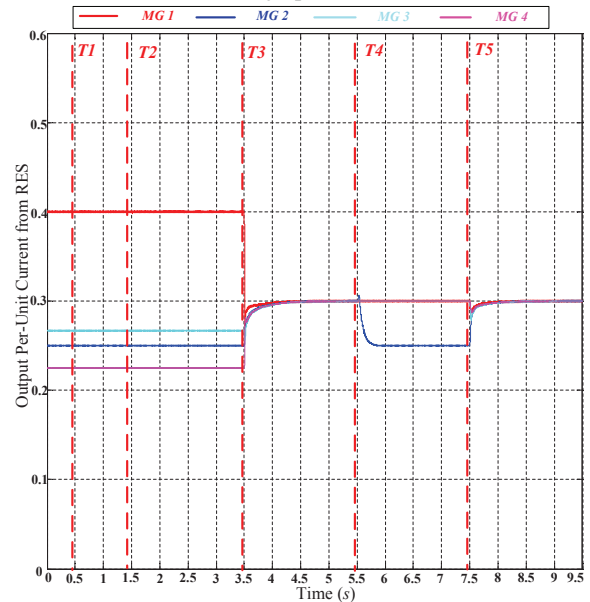
In this case, the effects of communication delays on the proposed secondary controller is studied.

Fig. 18 shows the system performance with 1000  $\mu$ s communication delay. At  $t=T1$ , four MGs are connected together. At  $t=T2$  and  $T3$ , the secondary voltage and current controllers are enabled respectively. At  $t=T4$ ,  $T5$ ,  $T6$ , and  $T7$ , 1000  $\mu$ s communication delay is added in the communication links 1, 2, 3 and 4 respectively. It is shown that the voltage and current performance is not much deteriorated for delays up to 1000  $\mu$ s.

Then, Fig. 19 shows the performance with 2000  $\mu$ s delays. The start procedure is same as before. Then, at  $t=T4$ ,  $T5$ ,  $T6$ ,



(a) Voltage performance.



(b) Current performance.

Fig. 15: Voltage and current performance for PnP test considering both the primary and secondary level for Case 3.

and  $T7$ , the communication delay is added in the communication links 1, 2, 3 and 4 respectively. After adding delay for MG 4, oscillation around  $\pm 0.5V$  exist in the output voltage in Fig. 19a, meanwhile small oscillation exist in the current in zoom in part of Fig. 19b. Even though oscillations exist in the system, it can still be operated stable under this condition. For counteracting the effect of delays, an option is to stop the secondary controller and keep the primary regulator only. To verify this operation, at  $t=T8$ , the secondary controller is disabled, after which, the oscillations disappear and both the voltage and current are stable operated.

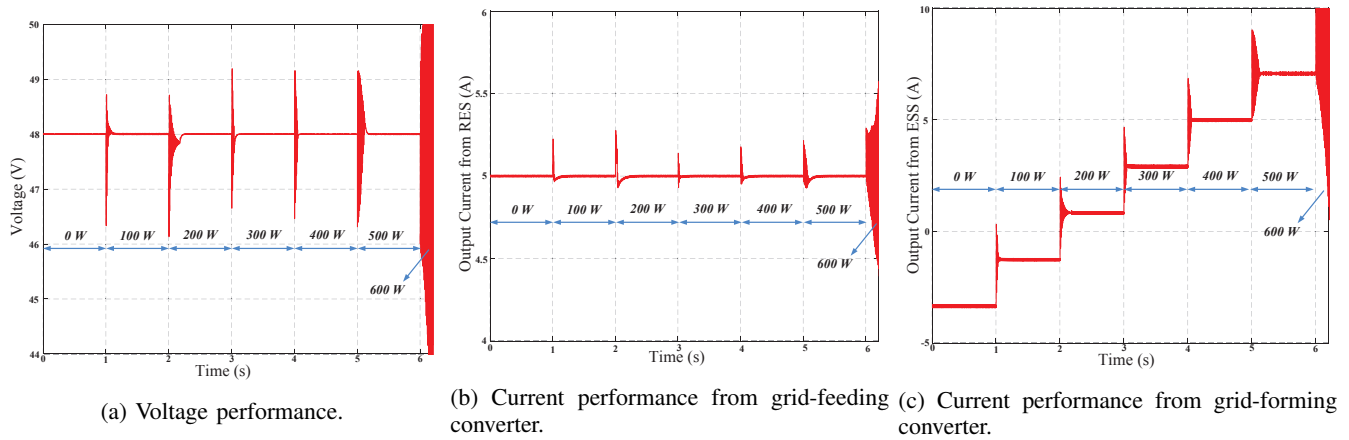


Fig. 16: Single MG performance under CPL change for Case 4.

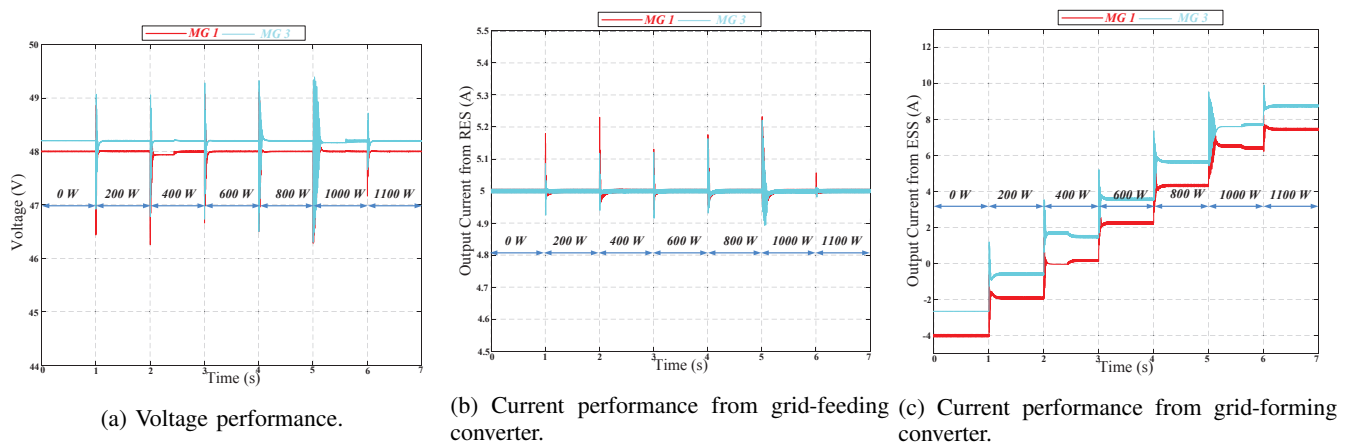


Fig. 17: MG cluster performance under CPL change for Case 4.

#### F. Case 6: Measurement Noise Test

The resilience to measurement noise is studied. Results are shown in Fig. 20 and 21. At  $t=T_1$ , four MGs are connected together. At  $t=T_2$  and  $T_3$ , the secondary voltage and current controllers are enabled respectively. At  $t=T_4$ , the Gaussian white noise with 24 dB signal-noise-ratio (SNR) is added to each measured voltage and current. Notably, 24 dB SNR means the signal is quite poor according to the standard in [29]. It is shown in Fig. 20a and 21a that after  $t=T_4$ , the noise is added in measured voltage and current which are used as control inputs for proposed controllers. The good performance of output voltage and current shown in 20b and 21b prove that the noise can be canceled by the controller and the performance cannot be affected under this poor measurement circumstance. In other words, the closed-loop system can performance as a low-pass filter to cancel the measurement noise to a certain degree.

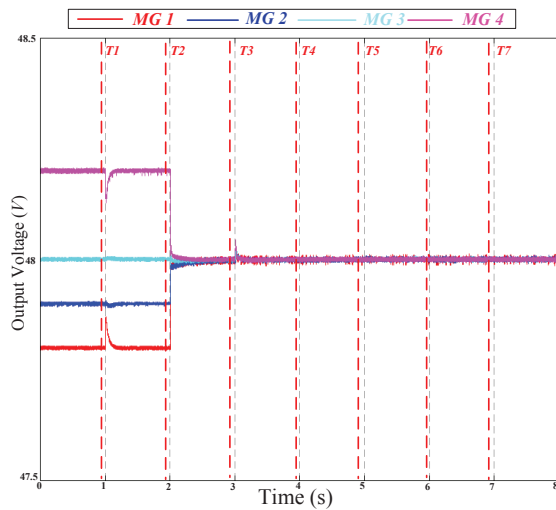
## VII. CONCLUSION

In this paper, a hierarchical PnP Voltage/Current controller for DC microgrid clusters with ZIP loads is proposed including primary control level and secondary control level. In the primary PnP controller, by choosing the control coefficients

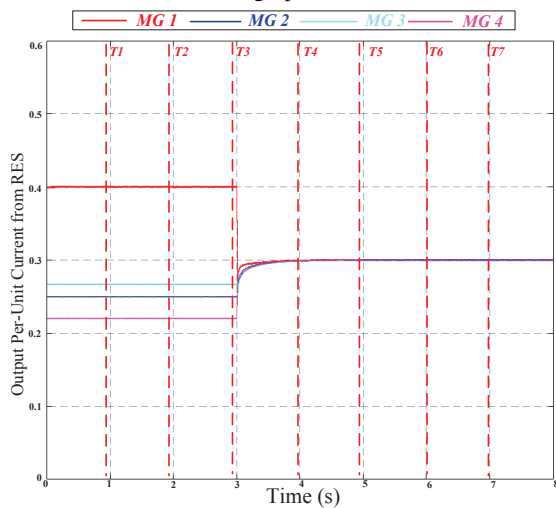
characterized by a set of inequalities which is only related to local parameters, the closed-loop stability can be guaranteed for the MG clusters. In the leader-based distributed secondary controller, both the voltage and current can track with the information from the higher control level by distributed communication strategy. Under the proposed hierarchical control structure, each MG can achieve plug-in/out operation without changing the control coefficients and knowing the electrical topology of MG clusters. As in [13], the proofs of closed-loop asymptotic stability of using the proposed controller for MG clusters exploit structured Lyapunov functions, the LaSalle invariance theorem and properties of graph Laplacians which shows that these tools offer a feasible theoretical framework for analyzing different kinds of MGs equipped with various types PnP decentralized control architectures. For more technical details, readers can refer to our original technical report [30].

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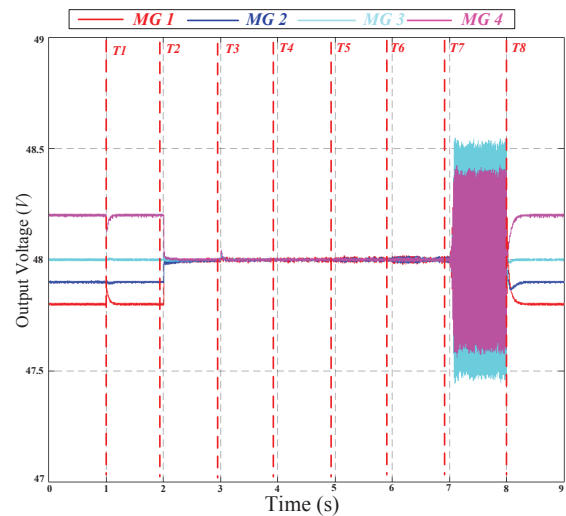


(a) Voltage performance.

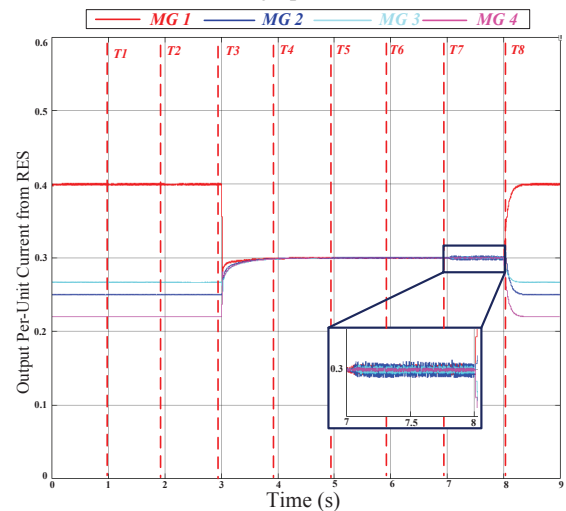


(b) Current performance.

Fig. 18: Control performance for 1000  $\mu\text{s}$  communication delay test for Case 5.



(a) Voltage performance.



(b) Current performance.

Fig. 19: Control performance for 2000  $\mu\text{s}$  communication delay test for Case 5.

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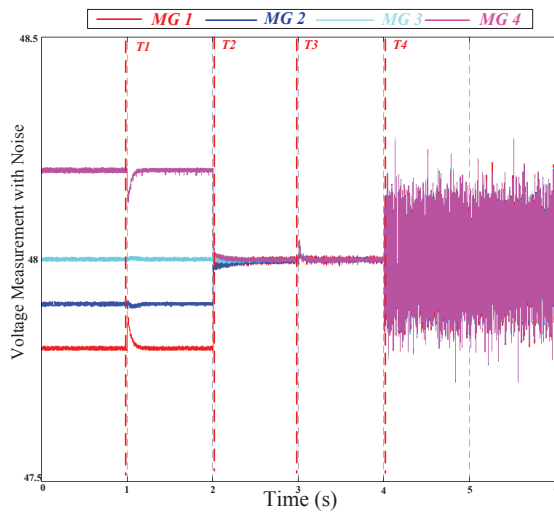
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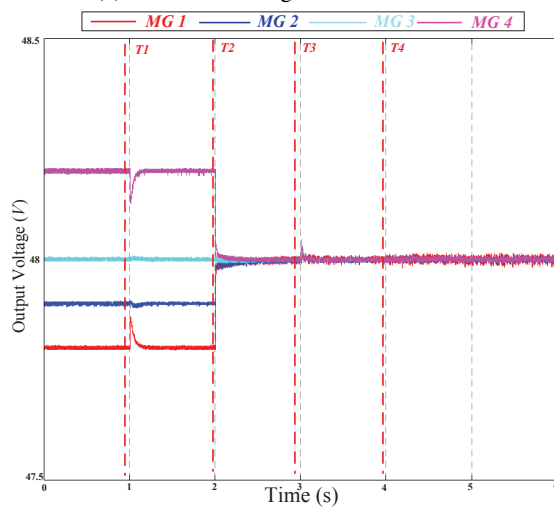
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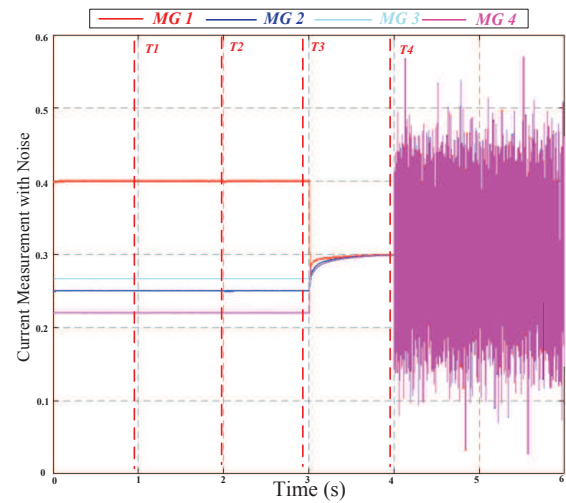


(a) Measured voltage with added noise.

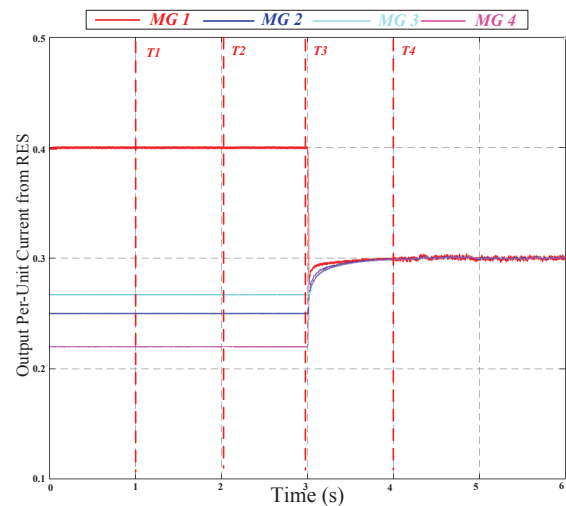


(b) Output voltage performance.

Fig. 20: Voltage for noise test for Case 6.



(a) Measured current with added noise.



(b) Output current performance.

Fig. 21: Current performance for noise test for Case 6.

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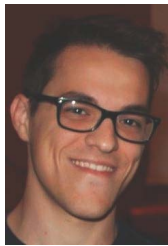
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APPENDIX

A. Proof of Lemma 1

*Proof.* If  $Q_i \leq 0$  is satisfied, from Proposition 1, the first block-row and block-column in (17) are null. Then  $x^T Q_i x \leq 0, \forall x \in \mathbb{R}^5$ . Partitioning  $x$  as

$$x = \begin{bmatrix} x_{11} \\ \tilde{x}_2 \\ \tilde{x}_4 \end{bmatrix}$$

where  $\tilde{x}_{11} \in \mathbb{R}, \tilde{x}_2 \in \mathbb{R}^2, \tilde{x}_4 \in \mathbb{R}^2$ . We obtain

$$x^T Q_i x = \tilde{x}_2^T Q_{22,i}^C \tilde{x}_2 + \tilde{x}_4^T Q_{44,i}^V \tilde{x}_4.$$

For  $\tilde{x}_2 = 0$  and  $\tilde{x}_4 \neq 0$ , one has

$$x^T Q_i x = \tilde{x}_4^T Q_{44,i}^V \tilde{x}_4 \leq 0, \forall \tilde{x}_4 \in \mathbb{R}^2$$

which means

$$Q_{44,i}^V \leq 0$$

Setting  $\tilde{x}_4 = 0$  and  $\tilde{x}_2 \neq 0$ , one has

$$x^T Q_i x = \tilde{x}_2^T Q_{22,i}^C \tilde{x}_2 \leq 0, \forall \tilde{x}_2 \in \mathbb{R}^2$$

which means

$$Q_{22,i}^C \leq 0$$

B. Proof of Proposition 2

*Proof.* Based on (11) and (14), the upper middle block of (16)  $Q_{12,i}^C$  can be written as

$$\begin{aligned} & [\mathcal{F}_{21,i}^C]^T \mathcal{P}_{22,i}^C + \eta_i \mathcal{F}_{12,i}^C \\ &= \left[ \frac{(k_{1,i}^C - 1)}{L_{ti}^C} p_{22,i}^C + \frac{1}{C_{ti}} \eta_i \mid \frac{(k_{1,i}^C - 1)}{L_{ti}^C} p_{23,i}^C \right] \end{aligned} \quad (39)$$

From Proposition 1,  $Q_{12,i}^C$  should be equal to zero vector which means

$$\begin{cases} \frac{(k_{1,i}^C - 1)}{L_{ti}^C} p_{22,i}^C = -\frac{1}{C_{ti}} \eta_i \\ \frac{(k_{1,i}^C - 1)}{L_{ti}^C} p_{23,i}^C = 0 \end{cases} \quad (40a)$$

$$(40b)$$

Because  $\eta_i$  is positive, thus it derives that

$$\begin{cases} k_{1,i}^C < 1 \\ p_{23,i}^C = 0 \end{cases} \quad (41a)$$

$$(41b)$$

With the results (41), the diagonal item of (16)  $Q_{22,i}^C$  can be direct recalculated as

$$\begin{aligned} & [\mathcal{F}_{22,i}^C]^T \mathcal{P}_{22,i}^C + \mathcal{P}_{22,i}^C \mathcal{F}_{22,i}^C \\ &= \left[ \begin{array}{c|c} 2 \frac{(k_{2,i}^C - R_{ti}^C)}{L_{ti}^C} p_{22,i}^C & -p_{33,i}^C + \frac{k_{3,i}^C}{L_{ti}^C} p_{22,i}^C \\ \hline -p_{33,i}^C + \frac{k_{3,i}^C}{L_{ti}^C} p_{22,i}^C & 0 \end{array} \right] \end{aligned} \quad (42)$$

Again from Proposition 1, the off diagonal item of (42) should be equal to zero which means

$$\frac{k_{3,i}^C}{L_{ti}^C} p_{22,i}^C = p_{33,i}^C \quad (43)$$

Thus, based on (43) and  $P_i > 0$

$$k_{3,i}^C > 0 \quad (44)$$

From Proposition 1,  $Q_i$  should be at least negative semidefinite, thus

$$k_{2,i}^C < R_{ti}^C \quad (45)$$

Because the upper left corner  $3 \times 3$  matrix of  $P_i$  is diagonal matrix and the matrix  $P_i$  is positive definite, one has

$$p_{44,i}^V > 0 \quad (46)$$

Based on (11) and (14), the off diagonal of (16)  $Q_{14,i}^V$  can be written as

$$\begin{aligned} & [\mathcal{F}_{41,i}^V]^T \mathcal{P}_{44,i}^V + \eta_i \mathcal{F}_{14,i}^V = \\ & \left[ \frac{(k_{1,i}^V - 1)}{L_{ti}^V} p_{44,i}^V - p_{45,i}^V + \frac{1}{C_{ti}} \eta_i \mid \frac{(k_{1,i}^V - 1)}{L_{ti}^V} p_{45,i}^V - p_{55,i}^V \right] \end{aligned} \quad (47)$$

From Proposition 1,  $Q_{14,i}^V$  is a zero vector which means

$$\begin{cases} p_{45,i}^V = \frac{(k_{1,i}^V - 1)}{L_{ti}^V} p_{44,i}^V + \frac{1}{C_{ti}} \eta_i \\ p_{55,i}^V = \frac{(k_{1,i}^V - 1)}{L_{ti}^V} p_{45,i}^V \end{cases} \quad (48a)$$

$$(48b)$$

□ Then by explicitly computation of  $Q_{44,i}^V$ , we can derive that

$$\begin{aligned} & [\mathcal{F}_{44,i}^V]^T \mathcal{P}_{44,i}^V + \mathcal{P}_{44,i}^V \mathcal{F}_{44,i}^V = \\ & \left[ \begin{array}{c|c} 2 \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{44,i}^V & \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{45,i}^V + \frac{k_{3,i}^V}{L_{ti}^V} p_{44,i}^V \\ \hline \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{45,i}^V + \frac{k_{3,i}^V}{L_{ti}^V} p_{44,i}^V & 2 \frac{k_{3,i}^V}{L_{ti}^V} p_{45,i}^V \end{array} \right] \end{aligned} \quad (49)$$

Based on the Lemma 1 and eq. (46)

$$2 \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{44,i}^V \leq 0 \implies k_{2,i}^V \leq R_{ti}^V \quad (50)$$

Computing the determinant of  $Q_{44,i}^V$ , one obtains

$$\det(Q_{44,i}^V) = - \left[ \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{45,i}^V - \frac{k_{3,i}^V}{L_{ti}^V} p_{44,i}^V \right]^2 \quad (51)$$

Based on the Lemma 1, the second principal minor of  $Q_{44,i}^V$  which is also the determinant  $Q_{44,i}^V$  is nonnegative. From (51), the maximum value is zero, thus the determinant of  $Q_{44,i}^V$  should be equal to zero. It follows that

$$\frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} p_{45,i}^V = \frac{k_{3,i}^V}{L_{ti}^V} p_{44,i}^V \implies p_{44,i}^V = \frac{(k_{2,i}^V - R_{ti}^V)}{k_{3,i}^V} p_{45,i}^V \quad (52)$$

By solving the system of equation given by (48) and (52), it follows that

$$p_{44,i}^V = \frac{L_{ti}^V (k_{2,i}^V - R_{ti}^V)}{C_{ti}^V h_i} \quad (53a)$$

$$p_{45,i}^V = \frac{L_{ti}^V k_{3,i}^V}{C_{ti} h_i} \quad (53b)$$

$$p_{55,i}^V = \frac{1}{C_{ti}} \frac{k_{3,i}^V (k_{1,i}^V - 1)}{h_i} \quad (53c)$$

where  $h_i = L_{ti}^V k_3^V - (k_1^V - 1)(k_2^V - R_{ti}^V)$ .

Because  $P_{44,i}^V$  is positive definite, all its principal minor should be positive definite. Then

- $\det\left(\frac{L_{ti}^V (k_2^V - R_{ti}^V)}{C_{ti}^V h_i}\right) > 0$ , combining this result with (50), the feasible parameters  $k_{2,i}^V$  and  $h_i$  set should be  $Z_1 = \{k_2^V < R_{ti}^V\} \cap \{h < 0\}$
- $\det\left(\begin{array}{c|c} \frac{L_{ti}^V (k_2^V - R_{ti}^V)}{C_{ti}^V h_i} & \frac{L_{ti}^V k_{3,i}^V}{C_{ti}^V h_i} \\ \hline \frac{L_{ti}^V k_{3,i}^V}{C_{ti}^V h_i} & \frac{1}{C_{ti}^V} \frac{k_{3,i}^V (k_1^V - 1)}{h_i} \end{array}\right) = -\frac{L_{ti}^V k_3^V}{C_{ti}^V h_i} > 0$ , considering this result, the feasible parameters  $k_{3,i}^V$  and  $h_i$  set should be  $Z_2 = \{\{k_3^V < 0\} \cap \{h > 0\}\} \cup \{\{k_3^V > 0\} \cap \{h < 0\}\}$

By combing the  $Z_1$  and  $Z_2$  together, one has

$$\mathbf{Z} = \{Z_1\} \cap \{Z_2\} = \{k_2^V < R_{ti}^V\} \cap \{k_3^V > 0\} \cap \{h < 0\} \quad (54)$$

Because  $k_3^V > 0$ , the set  $\{h < 0\}$  can be further split. Then, combining the set with (54), it can derive that

$$\mathbf{Z} = \{k_1^V < 1\} \cap \{k_2^V < R_{ti}^V\} \cap \{0 < k_3^V < \frac{1}{L_{ti}^V} (k_1^V - 1)(k_2^V - R_{ti}^V)\} \quad (55)$$

Thus, (20) can be derived by combining the result in (41b), (43) and (53). Then, combining the results in (41a), (44), (45) and (55), the set for control coefficients (21) is derived.  $\square$

### C. Proof of Proposition 3

*Proof.* In the sequel, the subscript  $i$  is omitted for convenience. From (20),  $g(w)$  is equal to

$$\left[ \begin{array}{c|c|c} w_1 & w_2^T & w_3^T \end{array} \right] \left[ \begin{array}{ccc|cc} 0 & 0 & 0 & 0 & 0 \\ 0 & 2 \frac{(k_2^C - R_{ti}^C)}{L_{ti}^C} p_{22} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & q_{44}^V & q_{45}^V \\ 0 & 0 & 0 & q_{45}^V & q_{55}^V \end{array} \right] \left[ \begin{array}{c} \frac{w_1}{w_3} \\ \frac{w_2}{w_3} \end{array} \right] \quad (56)$$

where  $w_2, w_3 \in \mathbb{R}^2$ . Since  $Q$  is negative semidefinite, the vectors  $\bar{w}$  satisfying (22) also maximize  $g(\cdot)$ . Hence, it must hold  $\frac{dg}{dw}(\bar{w}) = Q\bar{w} = 0$ , i.e.

$$\left[ \begin{array}{ccc|cc} 0 & 0 & 0 & 0 & 0 \\ 0 & 2 \frac{(k_2^C - R_{ti}^C)}{L_{ti}^C} p_{22} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & q_{44}^V & q_{45}^V \\ 0 & 0 & 0 & q_{45}^V & q_{55}^V \end{array} \right] \left[ \begin{array}{c} \frac{\bar{w}_1}{\bar{w}_3} \\ \frac{\bar{w}_2}{\bar{w}_3} \end{array} \right] = 0. \quad (57)$$

Based on the results in Proposition 2, it is easy to show that, by direct calculation, a set of solutions to (22) and (57) is composed of vectors in the form

$$\bar{w} = [\alpha \ 0 \ \gamma \ 0 \ 0]^T, \quad \alpha, \gamma \in \mathbb{R}. \quad (58)$$

Moreover, from (56), we have that (22) is also verified if there exist vectors

$$\tilde{w} = [w_1 \ | \ w_2^T \ | \ w_3^T]^T, \quad \underline{w}_3 \neq [0 \ 0]^T, \quad (59)$$

such that  $w_1 \in \mathbb{R}$ ,  $w_2 \in \mathbb{R}^2$  and

$$\underline{w}_3^T Q_{44}^V \underline{w}_3 = 0. \quad (60)$$

By exploiting the result of Lemma 2, we know that vectors  $\underline{w}_3$  fulfilling (60) belong to  $\text{Ker}(F_{44}^V)$ , which, recalling (14), can be explicitly computed as follows

$$\begin{aligned} \text{Ker}(F_{44}^V) &= \left\{ x \in \mathbb{R}^2 : \begin{bmatrix} f_{44}^V & f_{45}^V \\ 0 & 0 \end{bmatrix} x = 0 \right\} = \\ &= \left\{ x \in \mathbb{R}^2 : x = [\beta \ \delta \beta]^T, \beta \in \mathbb{R}, \delta = -\frac{k_2^V - R_{ti}^V}{k_3^V} \right\}. \end{aligned} \quad (61)$$

The proof ends by merging (58) and (59), with  $\underline{w}_3$  as in (61).  $\square$

### D. Proof of Proposition 4

*Proof.* Consider the following decomposition of matrix  $\hat{\mathbf{A}}$

$$\hat{\mathbf{A}} = \hat{\mathbf{A}}_{\mathbf{D}} + \hat{\mathbf{A}}_{\mathbf{\Xi}} + \hat{\mathbf{A}}_{\mathbf{L}} + \hat{\mathbf{A}}_{\mathbf{C}}, \quad (62)$$

where  $\hat{\mathbf{A}}_{\mathbf{D}} = \text{diag}(\hat{A}_{ii}, \dots, \hat{A}_{NN})$  collects the local dynamics only,  $\hat{\mathbf{A}}_{\mathbf{C}}$  collects the coupling dynamic representing the off-diagonal items of matrix  $\hat{\mathbf{A}}$ . Meanwhile,  $\hat{\mathbf{A}}_{\mathbf{\Xi}} = \text{diag}(\hat{A}_{\xi 1}, \dots, \hat{A}_{\xi N})$  and  $\hat{\mathbf{A}}_{\mathbf{L}} = \text{diag}(\hat{A}_{load,1}, \dots, \hat{A}_{load,N})$  with

$$\hat{A}_{\xi i} = \begin{bmatrix} -\sum_{j \in \mathcal{N}_i} \frac{1}{R_{ij} C_{ti}} & \mathbf{0}_{1 \times 4} \\ \mathbf{0}_{4 \times 1} & \mathbf{0}_{4 \times 4} \end{bmatrix}, \quad \hat{A}_{load,i} = \begin{bmatrix} -\frac{1}{R_{Li} C_{ti}} & \mathbf{0}_{1 \times 4} \\ \mathbf{0}_{4 \times 1} & \mathbf{0}_{4 \times 4} \end{bmatrix}.$$

takes into account the dependence of each local state on the neighboring MGs and the local resistive load. According to the decomposition (62), the inequality (26) is equivalent to show that

$$\underbrace{(\hat{\mathbf{A}}_{\mathbf{D}} + \hat{\mathbf{B}}\mathbf{K})^T \mathbf{P} + \mathbf{P}(\hat{\mathbf{A}}_{\mathbf{D}} + \hat{\mathbf{B}}\mathbf{K})}_{(a)} + \underbrace{2(\hat{\mathbf{A}}_{\mathbf{\Xi}} + \hat{\mathbf{A}}_{\mathbf{L}})\mathbf{P}}_{(b)} + \underbrace{\hat{\mathbf{A}}_{\mathbf{C}}^T \mathbf{P} + \mathbf{P}\hat{\mathbf{A}}_{\mathbf{C}}}_{(c)} \leq 0 \quad (63)$$

By means of Proposition 1, matrix (a) =  $\text{diag}(Q_1, \dots, Q_N)$  is negative semidefinite. Then, the contribution of (b) + (c) in (63) is studied as follows. Matrix (b), by construction, is block diagonal and collects on its diagonal blocks in the form

$$\begin{aligned} 2(\hat{A}_{\xi i} + \hat{A}_{load,i}^C) P_i &= \\ &= \begin{bmatrix} -2 \frac{1}{R_{Li} C_{ti}} - 2 \sum_{j \in \mathcal{N}_i} \frac{1}{R_{ij} C_{ti}} & \mathbf{0}_{1 \times 4} \\ \mathbf{0}_{4 \times 1} & \mathbf{0}_{4 \times 4} \end{bmatrix} \begin{bmatrix} \eta_i & \mathbf{0}_{1 \times 2} & \mathbf{0}_{1 \times 2} \\ \mathbf{0}_{2 \times 1} & \mathcal{P}_{22,i}^C & \mathbf{0}_{2 \times 2} \\ \mathbf{0}_{2 \times 1} & \mathbf{0}_{2 \times 2} & \mathcal{P}_{44,i}^V \end{bmatrix} \\ &= \begin{bmatrix} -2\tilde{\eta}_i - 2 \sum_{j \in \mathcal{N}_i} \tilde{\eta}_{ij} & \mathbf{0}_{1 \times 4} \\ \mathbf{0}_{4 \times 1} & \mathbf{0}_{4 \times 4} \end{bmatrix} \end{aligned} \quad (64)$$

where

$$\tilde{\eta}_{ij} = \frac{\eta_i}{R_{ij} C_{ti}}, \quad \tilde{\eta}_{Li} = \frac{\eta_i}{R_{Li} C_{ti}} \quad (65)$$

Considering matrix (c), each the block in position  $(i, j)$  is equal to

$$\begin{cases} (\hat{A}_{ji})^T P_j + P_i \hat{A}_{ij} & \text{if } j \in \mathcal{N}_i \\ 0 & \text{otherwise} \end{cases}$$

where

$$P_i \hat{A}_{ij} + \hat{A}_{ji}^T P_j = \begin{bmatrix} \tilde{\eta}_{ij} + \tilde{\eta}_{ji} & \mathbf{0}_{1 \times 4} \\ \mathbf{0}_{4 \times 1} & \mathbf{0}_{4 \times 4} \end{bmatrix}. \quad (66)$$

From (64) and (66), we notice that only the elements in position (1, 1) of each  $5 \times 5$  block of (b) + (c) can be different

$$\mathcal{L} = \begin{bmatrix} (-2\tilde{\eta}_{11} - 2 \sum_{j \in \mathcal{N}_1} \tilde{\eta}_{1j}) & \tilde{\eta}_{12} & \dots & \tilde{\eta}_{1N} \\ \tilde{\eta}_{21} & \ddots & \ddots & \vdots \\ \vdots & \ddots & (-2\tilde{\eta}_{N-1} - 2 \sum_{j \in \mathcal{N}_{N-1}} \tilde{\eta}_{N-1j}) & \tilde{\eta}_{N-1N} \\ \tilde{\eta}_{N1} & \dots & \tilde{\eta}_{NN-1} & (-2\tilde{\eta}_N - 2 \sum_{j \in \mathcal{N}_N} \tilde{\eta}_{Nj}) \end{bmatrix} \quad (67)$$

from zero. Hence, in order to evaluate the positive/negative definiteness of the  $5N \times 5N$  matrix  $(b) + (c)$ , we can equivalently consider the  $N \times N$  matrix as (67) obtained by deleting the second to fifth rows and columns in each block of  $(b) + (c)$ . One has  $\mathcal{L} = \mathcal{M} + \mathcal{U} + \mathcal{G}$ , where

$$\mathcal{M} = \begin{bmatrix} -2 \sum_{j \in \mathcal{N}_1} \tilde{\eta}_{1j} & 0 & \dots & 0 \\ 0 & -2 \sum_{j \in \mathcal{N}_2} \tilde{\eta}_{2j} & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & -2 \sum_{j \in \mathcal{N}_N} \tilde{\eta}_{Nj} \end{bmatrix},$$

$$\mathcal{U} = \begin{bmatrix} -2\tilde{\eta}_{L1} & 0 & \dots & 0 \\ 0 & -2\tilde{\eta}_{L2} & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & -2\tilde{\eta}_{LN} \end{bmatrix}$$

and

$$\mathcal{G} = \begin{bmatrix} 0 & \tilde{\eta}_{12} & \dots & \tilde{\eta}_{1N} \\ \tilde{\eta}_{21} & 0 & \ddots & \vdots \\ \vdots & \ddots & \ddots & \tilde{\eta}_{N-1N} \\ \tilde{\eta}_{N1} & \dots & \tilde{\eta}_{NN-1} & 0 \end{bmatrix}. \quad (68)$$

Notice that each off-diagonal element  $\tilde{\eta}_{ij}$  of  $\mathcal{G}$  in (68) is equal to

$$\tilde{\eta}_{ij} = \begin{cases} (\tilde{\eta}_{ij} + \tilde{\eta}_{ji}) & \text{if } j \in \mathcal{N}_i \\ 0 & \text{otherwise} \end{cases} \quad (69)$$

At this point, from Assumption 1, one obtains that  $\tilde{\eta}_{ij} = \tilde{\eta}_{ji}$  (see (65)) and, consequently,  $\tilde{\eta}_{ij} = \tilde{\eta}_{ji} = 2\tilde{\eta}_{ij}$  (see (69)). Hence,  $-(\mathcal{M} + \mathcal{G})$  is symmetric and has non negative off-diagonal elements which means it is a Laplacian matrix [31], [32] which is semi positive definite. Then, the properties of matrix  $-\mathcal{U}$  should be considered. Since the negative impedance from CPL is considered, the definite property of  $-\mathcal{U}$  depends on the each diagonal items  $\tilde{\eta}_{Li}$  which is directly related to the equivalent resistance  $R_{Li}$  shown in eq. (2). To calculate in details as

$$\tilde{\eta}_{Li} = \frac{\eta_i}{C_{ti}} \left( \frac{1}{R_i} - \frac{P_{CPLi}}{V_{op}^2} \right). \quad (70)$$

For each diagonal item in matrix  $\mathcal{U}$ , since  $\eta_i > 0$  and  $C_{ti} > 0$ , if the condition  $P_{CPLi} \leq \frac{V_{op}^2}{R_i}$  is satisfied, then all the diagonal items satisfy  $\tilde{\eta}_{Li} \geq 0$ . It means matrix  $-\mathcal{U}$  is a zero matrix under the worst condition or a positive-definite matrix under the best condition, further, the matrix  $-\mathcal{U}$  is a semi-positive

definite matrix between the two extreme conditions. It follows that  $-\mathcal{L}$  is equals to a Laplacian matrix plus a zero matrix, or a semi-positive/positive definite diagonal matrix. As such, it verifies  $\mathcal{L} \leq 0$  by construction. By adding the deleted second to fifth rows and columns in each block of  $(b) + (c)$ , we have shown that (63) holds.  $\square$

### E. Proof of Theorem 1

*Proof.* From Proposition 4,  $\dot{\mathcal{V}}(\hat{\mathbf{x}})$  is negative semidefinite ((26) holds). We show that the origin of the MG cluster is also attractive by using the LaSalle invariance Theorem [33]. For this purpose, the set  $R = \{\mathbf{x} \in \mathbb{R}^{5N} : \mathbf{x}^T \mathbf{Q} \mathbf{x} = 0\}$  is first computed by means of the decomposition in (63), which coincides with

$$\begin{aligned} R &= \{\mathbf{x} : (\mathbf{x})^T ((a) + (b) + (c)) \mathbf{x} = 0\} \\ &= \{\mathbf{x} : (\mathbf{x})^T (a) \mathbf{x} + (\mathbf{x})^T (b) \mathbf{x} + (\mathbf{x})^T (c) \mathbf{x} = 0\} \\ &= \underbrace{\{\mathbf{x} : (\mathbf{x})^T (a) \mathbf{x} = 0\}}_{X_1} \cap \underbrace{\{\mathbf{x} : (\mathbf{x})^T [(b) + (c)] \mathbf{x} = 0\}}_{X_2}. \end{aligned} \quad (71)$$

In particular, the last equality follows from the fact that matrix  $(a)$  and  $(b) + (c)$  are negative semidefinite matrices based on the proof of Propositions 2 and 4.

First, we characterize the set  $X_1$ . By exploiting Proposition 3, it follows that

$$X_1 = \{\mathbf{x} : \mathbf{x} = [\alpha_1 \ 0 \ \gamma_1 \ \beta_1 \ \delta_1 \beta_1 | \dots | \alpha_N \ 0 \ \gamma_N \ \beta_N \ \delta_N \beta_N]^T, \alpha_i, \gamma_i, \beta_i \in \mathbb{R}\}, \quad (72)$$

Then, the elements of set  $X_2$  can be characterized using Proposition 4. Since matrix  $(b) + (c)$  can be seen as an 'expansion' of a matrix which is negative definite with zero entries on the second to fifth rows and columns of each  $5 \times 5$  block. By construction, the vectors is in the form as

$$X_2 = \{\mathbf{x} : \mathbf{x} = [0 \ \tilde{x}_{12} \ \tilde{x}_{13} \ \tilde{x}_{14} \ \tilde{x}_{15} | \dots | 0 \ \tilde{x}_{N2} \ \tilde{x}_{N3} \ \tilde{x}_{N4} \ \tilde{x}_{N5}]^T, \tilde{x}_{i2}, \tilde{x}_{i3}, \tilde{x}_{i4}, \tilde{x}_{i5} \in \mathbb{R}\}, \quad (73)$$

Hence, by merging (72) and (73), it derives that

$$R = \{\mathbf{x} : \mathbf{x} = [0 \ 0 \ \gamma_1 \ \beta_1 \ \delta_1 \beta_1 | \dots | 0 \ 0 \ \gamma_N \ \beta_N \ \delta_N \beta_N]^T, \gamma_i, \delta_i, \beta_i \in \mathbb{R}\}. \quad (74)$$

To conclude the proof, it should be shown that the largest invariant set  $M \subseteq R$  is the origin. To this purpose, we consider (13), include coupling terms  $\hat{\xi}_{[i]}$ , resistive load term  $\hat{A}_{load,i} \hat{x}_i(0)$ ; set  $\hat{d}_{[i]} = 0$  and choose  $\hat{\mathbf{x}}(0) = [\hat{x}_1(0) | \dots | \hat{x}_N(0)]^T \in R$  as initial state. We aim to find

conditions on the elements of  $\hat{\mathbf{x}}(0)$  that must hold for having  $\hat{\mathbf{x}} \in R$ . One has

$$\begin{aligned} \hat{x}_i(0) &= F_i \hat{x}_i(0) + \hat{A}_{load,i} \hat{x}_i(0) + \sum_{j \in \mathcal{N}_i} \underbrace{\hat{A}_{ij} (\hat{x}_j(0) - \hat{x}_i(0))}_{=0} \\ &= \begin{bmatrix} -\frac{1}{R_{Li} C_{ti}} & \frac{1}{C_{ti}} & 0 & \frac{1}{C_{ti}} & 0 \\ \frac{(k_{1,i}^C - 1)}{L_{ti}^C} & \frac{(k_{2,i}^C - R_{ti}^C)}{L_{ti}^C} & \frac{k_{3,i}^C}{L_{ti}^C} & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ \frac{(k_{1,i}^V - 1)}{L_{ti}^V} & 0 & 0 & \frac{(k_{2,i}^V - R_{ti}^V)}{L_{ti}^V} & \frac{k_{3,i}^V}{L_{ti}^V} \\ -1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ \gamma_i \\ \beta_i \\ \delta_i \beta_i \end{bmatrix} \\ &= \begin{bmatrix} \frac{\beta_i}{C_{ti}} \\ \frac{k_{3,i}^C}{L_{ti}^C} \gamma_i \\ 0 \\ \underbrace{\frac{k_{2,i}^V - R_{ti}^V}{L_{ti}^V} \beta_i + \frac{k_{3,i}^V}{L_{ti}^V} \delta_i \beta_i}_{=0} \\ 0 \end{bmatrix} = \begin{bmatrix} \frac{\beta_i}{C_{ti}} \\ \frac{k_{3,i}^C}{L_{ti}^C} \gamma_i \\ 0 \\ 0 \\ 0 \end{bmatrix} \end{aligned}$$

for all  $i \in \mathcal{D}$ . It follows that  $\hat{\mathbf{x}}(0) \in R$  only if  $\beta_i = 0$  and  $\gamma_i = 0$ . Since  $M \subseteq R$ , from (74) one has  $M = \{0\}$ .  $\square$

### F. Proof of Lemma 3

*Proof.* Each vector  $x \in \mathbb{R}^n$  can always be written in a unique way as [22]

$$x = \hat{x} + \bar{x} \text{ with } \hat{x} \in H^1 \text{ and } \bar{x} \in H^1_{\perp} \quad (75)$$

Then, one has

$$x^T(L + G)x = \hat{x}^T L \hat{x} + x^T G x \quad (76)$$

(76) is equivalent to the two following cases

$$\begin{cases} \text{If } \hat{x} \neq 0, \underbrace{\hat{x}^T L \hat{x}}_{>0} + \underbrace{x^T G x}_{\geq 0} > 0 & (77a) \\ \text{If } \hat{x} = 0, \underbrace{\bar{x}^T L \bar{x}}_{=0} + \underbrace{\bar{x}^T G \bar{x}}_{>0} > 0 & (77b) \end{cases}$$

Thus, matrix  $L + G$  is positive definite matrix.  $\square$

### G. Proof of Corollary 1

*Proof.* We recall that if  $\alpha$  is a scalar,  $A$  is positive definite matrix and  $I$  is unit matrix which is also positive definite matrix, from Woodbury matrix identity theory [34], one has

$$\begin{aligned} [I + \alpha A]^{-1} &= \alpha^{-1} A^{-1} - \alpha^{-1} A^{-1} (\alpha^{-1} A^{-1} + I)^{-1} \alpha^{-1} A^{-1} \quad (78) \end{aligned}$$

$\square$

### H. Proof of Lemma 5

*Proof.* From Corollary 1, matrix  $[I + K_{pV}(L + G)]^{-1}$  is positive. Then, from (78), one has

$$\begin{aligned} (L + G)[I + K_{pV}(L + G)]^{-1} &= K_{pV}^{-1} I - \left(K_{pV}^{-1}\right)^2 \left[K_{pV}^{-1}(L + G)^{-1} + I\right]^{-1} (L + G)^{-1} \\ &= K_{pV}^{-1} I - \left(K_{pV}^{-1}\right)^2 \left[K_{pV}^{-1} + (L + G)\right]^{-1} \quad (79) \end{aligned}$$

Then

$$\begin{aligned} [I + K_{pV}(L + G)]^{-1}(L + G) &= K_{pV}^{-1} I - \left(K_{pV}^{-1}\right)^2 (L + G)^{-1} \left[K_{pV}^{-1}(L + G)^{-1} + I\right]^{-1} \\ &= K_{pV}^{-1} I - \left(K_{pV}^{-1}\right)^2 \left[K_{pV}^{-1} + (L + G)\right]^{-1} \quad (80) \end{aligned}$$

Comparing (79) with (80), we have

$$\begin{aligned} (L + G)[I + K_{pV}(L + G)]^{-1} &= [I + K_{pV}(L + G)]^{-1}(L + G) \quad (81) \end{aligned}$$

To conclude, from Lemma 4, since both matrices  $(L + G)$  and  $[I + K_{pV}(L + G)]^{-1}$  are positive definite, combined with (81), the matrix  $(L + G)[I + K_{pV}(L + G)]^{-1}$  is positive definite.  $\square$