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## Article

# A Novel Voltage Injection Based Offline Parameters Identification for Current Controller Auto Tuning in SPMSM Drives

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**Abstract:** This paper presents a comprehensive study on a novel voltage injection based offline parameter identification method for surface mounted permanent magnet synchronous motors (SPMSMs). It gives solutions to obtain stator resistance,  $d$ - and  $q$ -axes inductances, and permanent magnet (PM) flux linkage that are totally independent of current and speed controllers, and it is able to track variations in  $q$ -axis inductance caused by magnetic saturation. With the proposed voltage amplitude selection strategies, a closed-loop-like current and speed control is achieved throughout the identification process. It provides a marked difference compared with the existing methods that are based on open-loop voltage injection and renders a more simplified and industry-friendly solution compared with methods that rely on controllers. Inverter nonlinearity effect compensation is not required because its voltage error is removed by enabling the motor to function at a designed routine. The proposed method is validated through two SPMSMs with different power rates. It shows that the required parameters can be accurately identified and the proportional-integral current controller auto-tuning is achieved only with very limited motor data such as rated current and number of pole pairs.

**Keywords:** PMSM drives; parameter identification; auto-tuning; current controller

## 1. Introduction

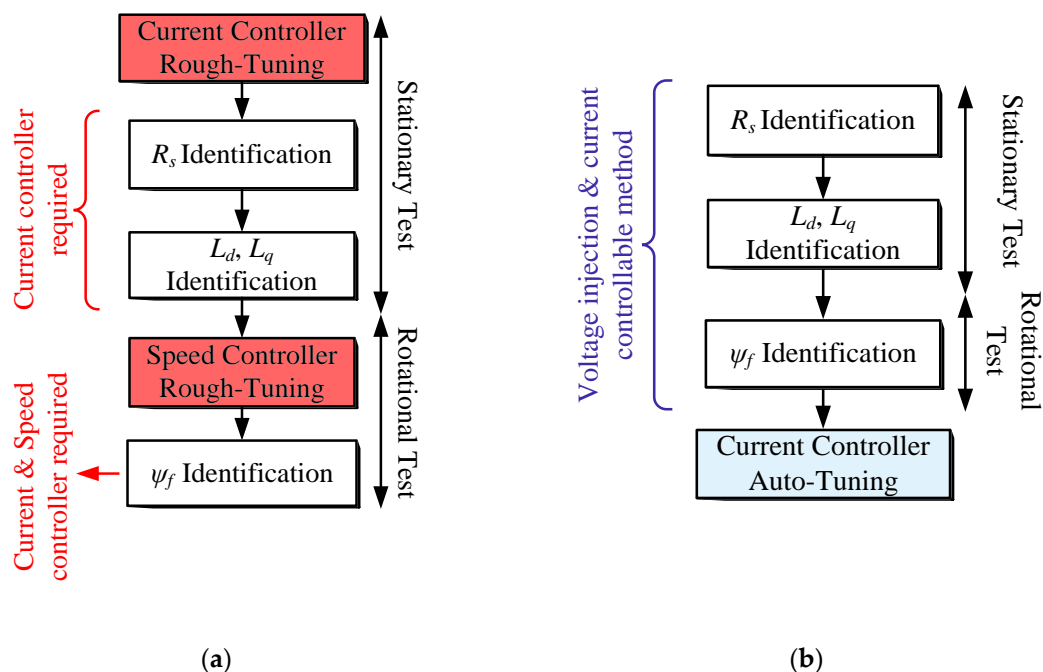
Surface mounted permanent magnet synchronous motors (SPMSMs) are widely used in servo drives and electric vehicles due to their high efficiency, high torque density and good transient performance [1–3]. In many control schemes of SPMSMs, such as current controller auto-tuning, model predictive control and electromotive force (EMF) model based sensorless control, accurate values of stator resistance ( $R_s$ ),  $d$ - and  $q$ -axes inductances ( $L_d$  and  $L_q$ ) and permanent magnet (PM) flux linkage ( $\psi_f$ ) are critical parameters that should be known to improve the control performance [4–6]. However, in many cases, the parameters are designed by the motor manufacturers and will not be accurately obtained prior to start up [7]. This has brought dilemmas in real applications and motivated the research on offline parameter identification.

Offline parameter identification has been widely studied in recent decades [7–16] due to its superior ability to provide motor parameters before the motor starts up [8,12]. Different signals are used in the control strategy to fulfill the parameter identification. Generally speaking, offline parameter identification can be divided into three categories: methods based on closed-loop controllers, methods that use open-loop signal injections and methods that combine both of them, respectively.

The already tuned current controller or speed controller is a must for parameter identifications that require closed-loop controllers [9,11,13–15]. A typical flow chart of this type of method is shown

in Figure 1a [12]. With an already tuned current controller,  $R_s$  is calculated as the slope of voltage and current curves by injecting an increasing current into the  $d$ -axis [9,11].  $L_d$  and  $L_q$  identifications are achieved by injecting high frequency (HF) sinusoidal current signals into  $d$ - and  $q$ -axes in [13,15], and the magnetic saturation effect caused by inductance variation is also considered. In [14,15], the  $\psi_f$  is identified with the already tuned speed controller and current controller, and issues that may cause identification inaccuracy, such as the influence from dead-time effects, are eliminated. However, it should be noted that the current and the speed controllers cannot be well-tuned without the information about the  $R_s$ ,  $L_d$ ,  $L_q$ ,  $\psi_f$ , rotor moment of inertia and viscous frictional coefficient [4,12]. Some papers cited above tuned their needed controllers by trial and error, but this is based on experience and is rather time-consuming. By contrast, the identification methods based on open-loop signal injection are much easier [4,10,12]. By injecting voltage signals into the  $d$ - and  $q$ -axes in an open-loop manner, the required parameters are calculated according to the information of the injected voltages and current feedback. However, due to the absence of closed-loop controllers, problems, such as overcurrent protection, may potentially be triggered in the case of low-impedance motors [8]. In addition, the inductance cannot be identified at a specific saturation (current) point without the help of the current controller. Furthermore, there are still no techniques to properly control the motor speed without using a speed controller, which brings practical obstacles to  $\psi_f$  identification when using open-loop voltage injection methods.

The influence from inverter nonlinearity effects must be considered in parameter identification [14,16]. A direct way is to introduce proper compensation into the identification strategy [14,17,18]. However, this increases the complexity of the algorithm, and sometimes the inverter nonlinearities are too complex to be well compensated. By comparison, offline parameter identification methods that do not need such compensation have shown their unique superiority [12,13,16]. Notably, an open-loop based inductance identification without need of inverter nonlinearity compensation is proposed in [12]. However, similar methods to obtain  $R_s$  and  $\psi_f$  offline are still needed.



**Figure 1.** Flow chart comparison of offline parameter identification for surface mounted permanent magnet synchronous motors (SPMSMs): (a) flow chart of a traditional offline parameter identification [12]; (b) flow chart of the proposed offline parameter identification.

To sum up, voltage injection based offline parameter identification with controllable current or speed feedback and without requirement of inverter nonlinearity compensation is in great need in

practical applications. Motivated by this, voltage signal injection based  $R_s$ ,  $L_d$ ,  $L_q$  and  $\psi_f$  identification for SPMSMs, which meets all the above-mentioned requirements, is proposed in this paper. The overall process can be achieved with very limited motor information such as the rated current (or maximum current) and number of pole pairs. A flow chart of the proposed method is shown in Figure 1b. More concretely:

(1) An increased voltage signal is injected through the  $d$ -axis, and the  $R_s$  is calculated using linear regression (LR) at standstill using the information from the voltages and currents.

(2) Two HF voltage signals (with different amplitudes but the same frequency) are injected through the  $d$ - and  $q$ -axes for  $L_d$  and  $L_q$  identification, and the  $L_q$  variation, which is caused by magnetic saturation, is also considered. In addition, with a predesigned voltage amplitude selection process, the desired HF voltage amplitudes are decided automatically, and current feedback is controlled properly.

(3) For  $\psi_f$  identification, a ramp voltage signal is given as the  $q$  axis voltage reference to excite the back EMF, and a proportional-integral (PI) type voltage controller is first proposed to control the motor speed. Influence from inverter nonlinearity is eliminated with the designed operation routine.

The rest of the paper is organized as follows: in Section 2, open-loop voltage injection based offline parameter identification with controllable current and speed is proposed. Section 3 gives the automatic tuning process of the PI current controller. In Section 4, the proposed method is verified on two SPMSMs with different rated powers, and Section 5 concludes the whole paper.

## 2. Offline Parameter Identification Methodologies

### 2.1. PMSM Mathematical Model

If neglecting iron core saturation, losses and considering stator current as a symmetrical three phase sinusoidal wave, the PMSM stator voltage Equations in a  $d$ - $q$  frame can be described as in Equation (1):

$$\begin{bmatrix} u_d \\ u_q \end{bmatrix} = \begin{bmatrix} R_s + pL_d & -\omega_e L_q \\ \omega_e L_d & R_s + pL_q \end{bmatrix} \begin{bmatrix} i_d \\ i_q \end{bmatrix} + \omega_e \begin{bmatrix} 0 \\ \psi_f \end{bmatrix} \quad (1)$$

where  $u_d$  and  $u_q$  are  $d$ - and  $q$ -axes voltages, respectively.  $R_s$  is the stator resistance.  $L_d$ ,  $L_q$  are the  $d$ - and  $q$ -axes inductances, respectively.  $\omega_e$  is the electrical angular velocity,  $i_d$  and  $i_q$  are the current feedback of the  $d$ - and  $q$ -axes, respectively.  $\psi_f$  is the PM flux linkage, and  $p$  is the  $d/dt$  operator in time domain. For surface-mounted PMSM (SPMSM) and neglecting the inductance variation caused by magnetic saturation,  $L_d = L_q = L$ .

### 2.2. Stator Resistance Identification

#### 2.2.1. Voltage Injection Based Stator Resistance Identification Methodology

The  $R_s$  identification method solely based on voltage injection is proposed in the following. Figure 2 shows the block diagram of the  $R_s$  identification. A linear increasing voltage signal, as expressed in Equation (2), is injected through the  $d$ -axis, and the real position feedback from the encoder is used for *Park* and inverse *Park* transmissions. By imposing voltage signals on  $u_d^*$  only ( $u_q^* = 0$  V), the induced current will keep the rotor self-fixed at its original position.

$$\begin{bmatrix} u_d^*(k+1) & u_q^*(k+1) \end{bmatrix}^T = \begin{bmatrix} u_d^*(k) + \Delta u_d^{R_s} & 0 \end{bmatrix}^T \quad (\text{if } i_d(k) \leq I_{\max}) \quad (2)$$

where  $u_d^*$  and  $u_q^*$  refer to the  $d$ - and  $q$ -axes voltage references, respectively,  $\Delta u_d^{R_s}$  is the incremental voltage to be added at each step, and  $I_{\max}$  (RMS) is the maximum current of a given motor.

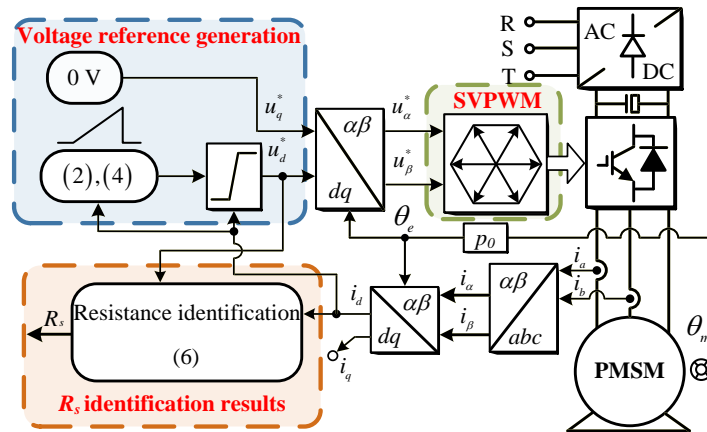


Figure 2. Block diagram of  $R_s$  identification.

When the motor shaft is at standstill, the values of elements with  $\omega_e$  in Equation (1) are 0. Considering the voltage reference in Equation (2), Equation (1) is expressed by Equation (3). If the current increasing speed is relatively slow by controlling the injected voltage, the value of  $L_d p i_d$  in Equation (3) can be as small as several millivolts. Therefore, it can be ignored. Thus, the  $R_s$  can be calculated as the gradient of the  $u_d$  and  $i_d$  curve during the voltage injection. Moreover, for the safety of a motor, a stop sign is added in Equation (4).

$$\begin{bmatrix} u_d^* & u_q^* \end{bmatrix}^T = \begin{bmatrix} R_s i_d + L_d p i_d & 0 \end{bmatrix}^T \quad (3)$$

$$\begin{bmatrix} u_d^*(k+m) & u_q^*(k+m) \end{bmatrix}^T = \begin{bmatrix} 0 & 0 \end{bmatrix}^T \text{ (if } i_d(k) = I_{\max}, m = 0, 1, 2 \dots) \quad (4)$$

When considering the voltage errors from the inverter nonlinearity, the relationship between  $u_d^*$  and  $i_d$  is described as  $u_d^* = f(i_d) = \hat{R}_s i_d + \Delta u_{\text{error}}$  during the voltage injection, where  $\Delta u_{\text{error}}$  refers to the lumped voltage errors caused by inverter nonlinearity effects and  $\hat{R}_s$  is the identified stator resistance. By using the LR method for  $R_s$  identification, the relationship of  $\hat{R}_s$  and  $\Delta u_{\text{error}}$  should satisfy  $\sum_{j=1}^n (\Delta u_{\text{error}} + \hat{R}_s i_{dj} - u_{dj}^*)^2 = \min$ . Then, Equation (5) should stand:

$$\begin{cases} \frac{\partial (\Delta u_{\text{error}}, \hat{R}_s)}{\partial \Delta u_{\text{error}}} = \sum_{j=1}^n 2(\Delta u_{\text{error}} + \hat{R}_s i_{dj} - u_{dj}^*) = 0 \\ \frac{\partial (\Delta u_{\text{error}}, \hat{R}_s)}{\partial \hat{R}_s} = \sum_{j=1}^n 2(\Delta u_{\text{error}} + \hat{R}_s i_{dj} - u_{dj}^*) i_{dj} = 0 \end{cases} \quad (5)$$

In addition, the solutions of  $\hat{R}_s$  and  $\Delta u_{\text{error}}$  are [11]

$$\begin{cases} \hat{R}_s = \frac{(\sum_{j=1}^n i_{dj} u_{dj}^*) - \frac{1}{n} (\sum_{j=1}^n i_{dj}) (\sum_{j=1}^n u_{dj}^*)}{(\sum_{j=1}^n i_{dj}^2) - \frac{1}{n} (\sum_{j=1}^n i_{dj})^2} \\ \Delta u_{\text{error}} = \frac{\frac{1}{n} (\sum_{j=1}^n u_{dj}^*) (\sum_{j=1}^n i_{dj}^2) - \frac{1}{n} (\sum_{j=1}^n i_{dj}) (\sum_{j=1}^n i_{dj} u_{dj}^*)}{(\sum_{j=1}^n i_{dj}^2) - \frac{1}{n} (\sum_{j=1}^n i_{dj})^2} \end{cases} \quad (6)$$

where  $u_{dj}^*$  and  $i_{dj}$  are the  $d$ -axis voltage reference and current feedback at the  $j$ -th moment, and  $n$  is the amount of sampling number. This is described as Equation (7):

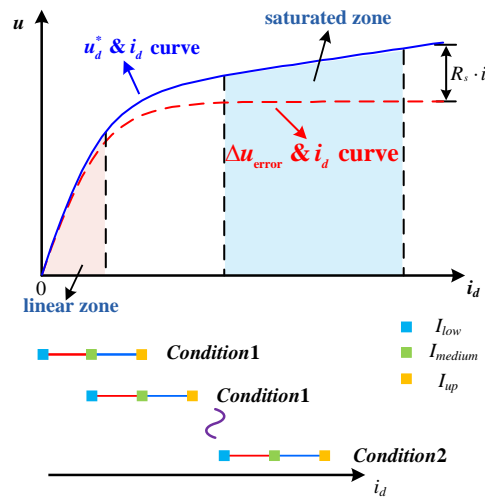
$$n = \frac{u_{d\_up}^{R_s} - u_{d\_low}^{R_s}}{\Delta u_d^{R_s}} + 1 \quad (7)$$

where  $u_{d\_up}^{R_s}$  and  $u_{d\_low}^{R_s}$  are voltages that induce the up current limit and the low current limit in the selected current range when using Equation (6), respectively. The selection of these two current limits will be further addressed in Section 2.2.2.

Moreover, since the voltage reference is used in Equation (6),  $\hat{R}_s$  should be the total values of stator resistance, resistance in the cables and IGBT on-state resistances. However, it is acceptable to use the identified  $R_s$  in algorithms such as current controller tuning and model predictive control, because they also use the voltage references in their models.

### 2.2.2. Valid Current Range Selection for Stator Resistance Identification

One prerequisite to use Equation (6) for  $R_s$  identification is that the  $\Delta u_{error}$  should be a constant; otherwise, the varied  $\Delta u_{error}$  may result in an ill convergence of the identified  $R_s$ . According to the relationship of  $\Delta u_{error}$ ,  $i_d$  and  $u_d^*$ , as briefly shown in Figure 3 [19], the  $\Delta u_{error}$  only becomes a constant when the current is relatively high. This is also the reason why  $R_s$  identification is always suggested to be conducted at a high current level [9,20].



**Figure 3.** The relationship between the  $d$ -axis voltage reference and current feedback in self-commissioning conditions ( $u$  in vertical coordinate can refer to  $u_d^*$  or  $\Delta u_{error}$ ).

However, the moment that  $\Delta u_{error}$  becomes a constant is decided by configuration of the inverter (rated current of the IGBTs and switching frequency) in the test but not by a specific current or voltage value [19]. Thus, it is not reasonable to judge when to conduct Equation (6) only using current feedback. Comparatively speaking, it is wiser to suggest when to conduct Equation (6) using the variation of  $\Delta u_{error}$ . Therefore, a method that takes the change of  $\Delta u_{error}$  into consideration is proposed. It guarantees that the current range in Equation (6) has fully entered the saturated zone in Figure 3, and it is summarized in the following:

- (1) The output voltage references are given according to Equation (2) from  $u_d^*(0) = 0$  V.
- (2) Equation (6) is conducted when  $i_d$  is within a relatively low current range, say ( $I_{low}$ ,  $I_{medium}$ ).  $\Delta u_{error}$  and  $\hat{R}_s$ , calculated using Equation (6), are defined as  $\Delta u_{error}^{(1)}$  and  $\hat{R}_s^{(1)}$ .
- (3) Equation (2) is continued and Equation (6) is repeated when  $i_d$  is between a specific current range, say ( $I_{medium}$ ,  $I_{up}$ ).  $\Delta u_{error}$  and  $\hat{R}_s$  are calculated using Equation (6), and are renamed as  $\Delta u_{error}^{(2)}$  and  $\hat{R}_s^{(2)}$ . The  $I_{low}$ ,  $I_{medium}$  and  $I_{up}$  are defined according to Equation (8), in which the  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  should satisfy Equation (9).

$$I_{low} = \sqrt{2} \cdot \alpha_1 \cdot I_{max}, I_{medium} = \sqrt{2} \cdot \alpha_2 \cdot I_{max}, I_{up} = \sqrt{2} \cdot \alpha_3 \cdot I_{max} \quad (8)$$

$$\alpha_1 < \alpha_2 < \alpha_3 < 1, \alpha_3 - \alpha_2 = \alpha_2 - \alpha_1 \quad (9)$$

(4) The values of  $\Delta u_{error}^{(1)}$  and  $\Delta u_{error}^{(2)}$  and the values of  $\hat{R}_s^{(1)}$  and  $\hat{R}_s^{(2)}$  are compared if:

**Condition1:**  $\Delta u_{error}^{(1)} \neq \Delta u_{error}^{(2)}$  or  $\hat{R}_s^{(1)} \neq \hat{R}_s^{(2)}$ ,

**Condition2:**  $\Delta u_{error}^{(1)} \approx \Delta u_{error}^{(2)}$  and  $\hat{R}_s^{(1)} \approx \hat{R}_s^{(2)}$  (say, the difference between  $\Delta u_{error}^{(1)}$  and  $\Delta u_{error}^{(2)}$  is less than 0.02 V and the difference between  $\hat{R}_s^{(1)}$  and  $\hat{R}_s^{(2)}$  is less than 0.02  $\Omega$ ).

If the result meets **Condition2**, then the correct  $R_s$  is identified and  $\hat{R}_s^{(1)}$  is regarded as the result. The current range  $I > I_{low}$  of **Condition2** is designated as the valid current range for  $R_s$  identification under the specific inverter configuration used for test.

If the result meets **Condition1**, then  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  are redefined in (10), but they should still satisfy Equation (9), and the processes in Equations (1)–(4) will be redone until the results meet **Condition2** and finish an  $R_s$  identification. The repetitive current increase process from a low current is shown by the diagram at the bottom of Figure 3.

$$\alpha_n = \alpha_n + \Delta\alpha \quad (\Delta\alpha < 1, n = 1, 2, 3) \quad (10)$$

For **Condition1**,  $\Delta u_{error}^{(1)} \neq \Delta u_{error}^{(2)}$  or  $\hat{R}_s^{(1)} \neq \hat{R}_s^{(2)}$  indicates that the current is still in the linear zone or straddles the linear zone and saturated zone, whereas for **Condition2**,  $\Delta u_{error}^{(1)} \approx \Delta u_{error}^{(2)}$  and  $\hat{R}_s^{(1)} \approx \hat{R}_s^{(2)}$  represent that the current is sufficiently high and has fully entered the saturated zone. The identified  $R_s$  is the desired value.

It should be noted, as stated above, the current value necessary for the  $\Delta u_{error}$  curve in Figure 3 to enter its saturated zone is decided by the configuration of the inverter. Therefore, the rated current of the power device can also be used as the baseline current to evaluate the valid current range for  $R_s$  identification. The reasons to use the  $I_{max}$  of a tested motor as the baseline in Equation (8) are as follows:

(1) The induced test current during the voltage injection should not exceed the  $I_{max}$  of a given motor; otherwise, safety issues such as overcurrent may occur in the motor.

(2) The rated current of the inverter is always higher than the  $I_{max}$  of the motor in a typical drive system, and if the induced current is smaller than  $I_{max}$ , then the safety of both the motor and the driver is guaranteed.

(3) The investigations in [9,20] show that the  $I_{max}$  of the tested motor is always beyond the current value corresponding to the knee point of  $\Delta u_{error}$  in Figure 3, so it is reasonable to use the  $I_{max}$  as a gauge to evaluate when  $\Delta u_{error}$  enters the saturated zone.

### 2.3. Voltage Injection Based $d$ - and $q$ -Axes Inductances Identification

The HF signal is one of the most commonly used methods for inductance identification. When an HF voltage in (11) is injected into the motor, the  $d$ -axis HF current response is in Equation (12):

$$\begin{bmatrix} u_d^* & u_q^* \end{bmatrix}^T = \begin{bmatrix} U_{d\_inj} \sin(\omega_h t) & 0 \end{bmatrix}^T \quad (11)$$

$$i_d = I_{dh} \sin(\omega_h t + \varphi) \quad (12)$$

where  $U_{d\_inj}$  is the magnitude of the injected HF voltage,  $\omega_h = 2 \times \pi \times f_h$  ( $\pi \approx 3.1416$  and  $f_h$  is the injected frequency),  $\varphi$  is the phase angle between the resultant stator terminal voltage and current, and  $I_{dh}$  is the amplitude of the induced HF current. From the Laplace transform and substituting  $s = j\omega_h$ , the expression of  $U_{d\_inj}$  is in Equation (13):

$$U_{d\_inj} = \sqrt{R_s^2 + L_d^2 \omega_h^2} \times I_{dh} \quad (13)$$



As seen from Equation (13), if the  $f_h$  is high enough, the  $\omega_h$  will be sufficiently high, then the voltage drop on inductive reactance is much higher than that on stator resistance. Thus, the solution of  $L_d$  is [9]:

$$\hat{L}_d = U_{d\_inj} / I_{dh} \omega_h \quad (14)$$

where  $\hat{L}_d$  is the identified  $d$ -axis inductance. In addition, it should be noted that the current distortion near the zero current clamping (ZCC) zone may affect the identification accuracy. In order to pull the induced current out of the ZCC zone, a small fixed dc voltage  $U_{d\_dc}$  is added to  $u_d^*$  in Equation (11) to guarantee a more precise  $L_d$  identification.

As stated in [8], the values of stator inductances are affected by the magnetic saturation level (current magnitude). Since the SPMSM is mostly operated under  $i_d^* = 0$  ( $i_d^*$  is the  $d$ -axis current reference), the influence of the saturated effect on  $L_d$  can be neglected. However, the variations in  $L_q$  caused by the saturated effect should be considered. In this paper, the “dc+ac” voltage injection is used to extract  $L_q$  at a random saturation level, where the dc signal determines the saturation point and the ac signal is used to identify the  $L_q$  at that saturation point. When an HF voltage in Equation (15) is injected into the motor, the  $q$ -axis HF current response is in Equation (16):

$$\begin{bmatrix} u_d^* & u_q^* \end{bmatrix}^T = \begin{bmatrix} 0 & U_{q\_dc} + U_{q\_inj} \sin(\omega_h t) \end{bmatrix}^T \quad (15)$$

$$i_q = I_{q\_dc} + I_{qh} \sin(\omega_h t + \varphi) \quad (16)$$

where  $U_{q\_inj}$  is the magnitude of the injected HF voltage,  $I_{qh}$  is the amplitude of the induced HF current,  $U_{q\_dc}$  is the dc voltage signal, and its induced dc current is  $I_{q\_dc}$ . The identified  $L_q$  is calculated in Equation (17) using a similar derivation of Equation (13) and Equation (14):

$$\hat{L}_q = U_{q\_inj} / I_{qh} \omega_h \quad (17)$$

where  $\hat{L}_q$  is the identified  $q$ -axis inductance.

However, when using the HF voltage signals for stator inductance identification, the following dilemmas are unavoidable:

(1) Due to the existence of inverter nonlinearity effects, the  $U_{d\_inj}$  in Equation (14) and  $U_{q\_inj}$  in Equation (16) are not the real voltages that impose on the motor. When considering the voltage errors caused by inverter nonlinearity effects, the real voltages that impose on the motor are rewritten using Equation (18):

$$U_{x\_inj\_r} = U_{x\_inj} - \Delta u_{error} \quad (x = d \text{ or } q) \quad (18)$$

where  $U_{d\_inj\_r}$  and  $U_{q\_inj\_r}$  refer to the real voltages that impose on a motor and they should be used to replace  $U_{d\_inj}$  and  $U_{q\_inj}$  in Equation (14) and Equation (17), respectively.

(2) Due to the absence of a current controller, it is not easy to precisely determine the dc current  $I_{q\_dc}$  in Equation (16). A strategy is proposed in [10] to approximate the  $I_{q\_dc}$  using calculation of “ $U_{q\_dc}/R_s$ ”, but it should be noted that due to the influence of inverter nonlinearity effects, the induced  $I_{q\_dc}$  is not a simple division of  $U_{q\_dc}$  by  $R_s$ , and an incorrect  $I_{q\_dc}$  will inevitably cause error to  $L_q$  identification.

(3) Due to the open-loop voltage injection based character, such as the method in [9,21], the amplitude of the excited HF current is not predictable. This may potentially trigger overcurrent protection, especially in case of low-impedance motors.

To solve the above-mentioned dilemma (1), two sets of HF voltage signals, with the same frequency ( $f_h$ ), same dc voltage component but different amplitudes  $U_{d\_inj1}$  and  $U_{d\_inj2}$  (or  $U_{q\_inj1}$  and  $U_{q\_inj2}$ ), are sequentially injected through the  $d$ -axis or  $q$ -axis voltage for  $L_d$  or  $L_q$  identification. According to Equation (14), if the detected current amplitude excited by  $U_{d\_inj1} \sin(\omega_h t)$  is  $I_{dh1}$  and that excited by  $U_{d\_inj2} \sin(\omega_h t)$  is  $I_{dh2}$ , then the identified  $L_d$  can be calculated in Equation (19), and the  $L_q$  can be calculated in Equation (20) using a similar derivation. There are two advantages for using this method.

(i) Both the dc voltage bias and current bias are removed by simple subtraction in denominators and numerators in Equation (19) and Equation (20). (ii) The voltage errors caused by inverter nonlinearity effects are eliminated; the detailed reasons for this will be further explained at the end of this Subsection.

$$\begin{aligned} U_{d\_inj2} &= \hat{L}_d I_{dh2} \omega_h, \quad U_{d\_inj1} = \hat{L}_d I_{dh1} \omega_h \\ \Rightarrow \hat{L}_d \omega_h (I_{dh2} - I_{dh1}) &= (U_{d\_inj2} - U_{d\_inj1}) \\ \Rightarrow \hat{L}_d &= \frac{U_{d\_inj2} - U_{d\_inj1}}{(I_{dh2} - I_{dh1}) \omega_h} \end{aligned} \quad (19)$$

$$\hat{L}_q = \frac{U_{q\_inj2} - U_{q\_inj1}}{(I_{qh2} - I_{qh1}) \omega_h} \quad (20)$$

To solve the aforementioned dilemma (2) and dilemma (3), a general approach is proposed here which preserves the character of voltage injection and achieves a controllable current feedback during the stator inductance identification. First, a voltage signal, which is defined in Equation (21), is given as  $u_q^*$  to detect every  $U_{q\_dc}$  that should exert on the motor for each desired saturation point ( $I_{q\_dc}$ ), while the  $u_d^*$  is kept as 0 V. The duration between every  $k$  to  $k+1$  period in Equation (21) should be enough to make sure the induced  $I_{q\_dc}$  has been fully stabilized at every dc voltage step. When the induced current achieves at a steady state, the excited  $I_{q\_dc}(k)$  and its corresponding  $U_{q\_dc}(k)$  are recorded accordingly. Second, in order to make sure the excited  $I_{dh}$  and  $I_{qh}$  are in the controllable range, a voltage amplitude selection strategy to determine the values of  $U_{x\_inj1}$  and  $U_{x\_inj2}$  ( $x = d$  or  $q$ ) is designed in the following:

After knowing the desired dc voltage at a specific saturation point (current level), two current thresholds are subjectively decided (defined as  $I_{low}^L$  and  $I_{up}^L$ ). They are bigger than the dc current but close to each other. Then, a fixed frequency HF voltage signal is superposed upon the predetermined  $U_{d\_dc}$  (for  $L_d$  identification) or  $U_{q\_dc}$  (for  $L_q$  identification). The amplitude of the HF voltage signal is increased from 0 V. Voltage references during this process are shown by Equation (22), and the voltages that induce  $I_{low}^L$  and  $I_{up}^L$  are set as  $U_{x\_inj1}$  and  $U_{x\_inj2}$  ( $x = d$  or  $q$ ), respectively. The incremental voltage at each step can be relatively small for more accurate  $U_{x\_inj1}$  and  $U_{x\_inj2}$  ( $x = d$  or  $q$ ) detection.

$$u_q^*(k+1) = u_q^*(k) + \Delta u_q^L \Big|_{k \in \mathbb{N}^+} \quad (21)$$

$$\begin{aligned} u_x^* &= U_{x\_dc} + U_{x\_inj}(k) \sin(\omega_h t) \Big|_{k \in \mathbb{N}^+} \\ U_{x\_inj}(k) &= U_{x\_inj}(k-1) + \Delta u^L, \quad (x = d \text{ or } q) \\ U_{x\_inj}(0) &= 0 \end{aligned} \quad (22)$$

where  $\Delta u_q^L$  in Equation (21) and  $\Delta u^L$  in Equation (22) are incremental voltage values to be added at each step.

Figure 4 is the block diagram of the proposed  $d$ - and  $q$ -axes inductances identification. Position information from the encoder is used to give the real position. For  $L_d$  identification, the signal injected in the  $d$ -axis enables the rotor to be self-fixed and the identification is achieved at standstill. For  $L_q$  identification, the  $q$ -axis current produces electromagnetic torque, which may rotate the rotor and affect the identification results, so the rotor shaft should be locked using a proper torque for  $L_q$  identification.

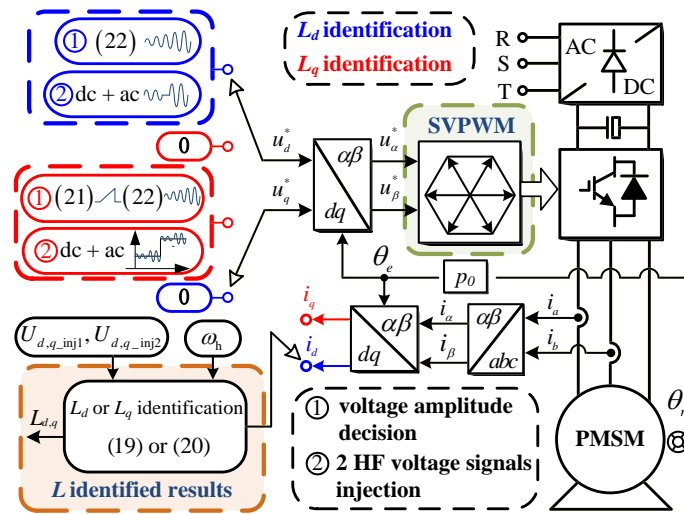


Figure 4. Block diagram of  $d$ - and  $q$ -axes inductances identification.

The reason that Equation (19) and Equation (20) can eliminate the voltage errors caused by inverter nonlinearity effects is as follows:

The numerators of Equation (19) and Equation (20) can be expressed by Equation (23). According to Equation (18), Equation (23) is rewritten as Equation (24).

$$U_{x\_inj2} - U_{x\_inj1} \quad (x = d \text{ or } q) \quad (23)$$

$$U_{x\_inj2\_r} + \Delta u_{error2} - U_{x\_inj1\_r} - \Delta u_{error1} \quad (x = d \text{ or } q) \quad (24)$$

The inductance is identified under standstill, so the position feedback is a constant during the identification. As seen from Figure 3, when the current is high enough, the  $\Delta u_{error}$  is in the saturated zone, then  $\Delta u_{error2} = \Delta u_{error1}$  stands. When the current is in the linear zone,  $\Delta u_{error2} \neq \Delta u_{error1}$ , but with the proposed method to control the induced current, Equation (22) is able to decide  $U_{x\_inj2}$  and  $U_{x\_inj1}$  ( $x = d$  or  $q$ ) and make their excitation current amplitudes  $I_{xh2}$  and  $I_{xh1}$  ( $x = d$  or  $q$ ) quite close. Then,  $\Delta u_{error2} \approx \Delta u_{error1}$  stands. That is to say that  $\Delta u_{error}$  is eliminated at both high current levels and low current levels by the two HF voltage injection method.

#### 2.4. Voltage Injection Based PM Flux Linkage Identification

The  $q$ -axis voltage Equation is expressed in Equation (25). It can be seen that the  $\psi_f$  is associated with electrical angular velocity  $\omega_e$ , so the rotor movement is needed to excite the back-emf and compute the  $\psi_f$  accordingly. In this paper, the  $\psi_f$  identification is conducted under no load condition, and motor shaft free rotation is allowed.

$$u_q^* = R_s i_q + p L_q i_q + L_d \omega_e i_d + \omega_e \psi_f \quad (25)$$

As seen from Equation (25), when the motor is at standstill, the existence of  $u_q^*$  will excite  $i_q$ , and the  $i_q$  will generate shaft torque, which enable the movement of the rotor. The induced back-emf will lessen the voltage drop on  $R_s$ , so the  $i_q$  (shaft torque) is decreased. If the  $u_q^*$  is controlled properly, balanced voltage drops on  $R_s i_q$  and  $\omega_e \psi_f$  can be achieved, then the motor can be regulated at a speed steady state by controlling the  $u_q^*$  only. The reason for the existence of  $i_q$  under no load is to generate proper torque to overcome the friction on the motor shaft. In addition, since the  $i_d$  of the SPMSM is always controlled to be 0 A and the  $L_d$  is very small (just several milli-henry), the value of  $L_d \omega_e i_d$  in Equation (25) can be ignored compared with the value of  $\omega_e \psi_f$  when the speed is not too low. An example can be given using parameters of motor #1 in Table 1. Supposing the speed is 300 r/min

(which is 125.6 rad/s for  $\omega_e$ ) and the variation on  $i_d$  is about 0.2 A, the maximum variation of  $L_d\omega_e i_d$  is only 0.06, whereas the value of  $\omega_e\psi_f$  is 13.95 V.

**Table 1.** Main parameters of SPMSMs.

Parameter	Symbol	Motor #1	Motor #2
Rated power	$P_0$	1.0 kW	2.5 kW
Rated torque	$T_0$	4 N·m	10 N·m
Rated speed	$n_0$	2500 r/min	2500 r/min
Rated current (RMS) <sup>1</sup>	$I_0$	4.5 A	10.0 A
Maximum current (RMS)	$I_{\max}$	13.5 A	30.0 A
dc bus voltage	$U_{dc}$	300 V	300 V
Number of pole pairs	$p_0$	4	4
Stator resistance <sup>2</sup>	$R_s$	1.05 $\Omega$	0.35 $\Omega$
$d$ - and $q$ -axes inductances <sup>2</sup> (unsaturated)	$L$	2.58 mH	1.04 mH
Rotor PM flux linkage <sup>2</sup>	$\psi_f$	0.111 Wb	0.122 Wb

<sup>1</sup> RMS is short for Root Mean Square. <sup>2</sup> For reference, the parameters are measured offline in advance.

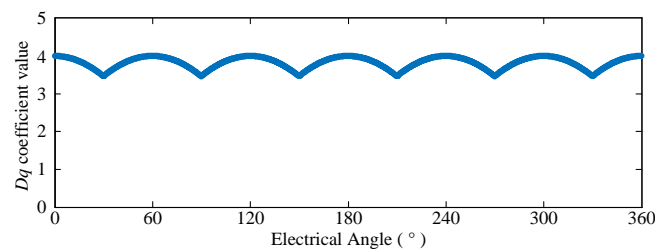
As stated in [14], the influence from inverter nonlinearities is a key factor influencing the identification accuracy of the  $\psi_f$ . Under the steady state condition and considering the influence from inverter nonlinearity effects, Equation (25) is rewritten as [16]:

$$u_q^* = R_s i_q + \omega_e \psi_f - Dq V_{dead} \quad (26)$$

where  $DqV_{dead}$  is the lumped voltage errors caused by inverter nonlinearity effects,  $V_{dead}$  is a constant that is related to the parameters of power devices, dc bus voltage and load condition, and  $Dq$  is a function of electrical angle  $\theta_e$  and directions of the three phase currents [14]. The expression of  $Dq$  is in Equation (27), and the simulated waveform of  $Dq$  when using  $i_d^* = 0$  control is shown in Figure 5.

$$Dq = 2 \cos(\theta_e) \times \text{sign}(i_a) + 2 \cos(\theta_e - 2/3 \times \pi) \times \text{sign}(i_b) - 2 \cos(\theta_e - 1/3 \times \pi) \times \text{sign}(i_c) \quad (27)$$

where  $i_a$ ,  $i_b$  and  $i_c$  are A, B and C phase currents,  $\pi \approx 3.1416$ , and  $\text{sign}(i) = \begin{cases} 1, & i > 0 \\ -1, & i < 0 \end{cases}$ .



**Figure 5.** Waveform of the  $Dq$ .

As seen from Figure 5, the voltage distortion caused by inverter nonlinearity effects on  $u_q^*$  is a combination of a dc component and a sixth-order distortion. It will deteriorate  $\psi_f$  identification accuracy, especially when the speed is relatively low. In order to get rid of the influence from  $DqV_{dead}$ , different compensation methods are adopted in [14,22]. However, the methods also have some practical limitations. First, the polarity of phase currents cannot be accurately detected due to the zero current clamping effect. Second, the electrical angle detection error is inevitable, so the accuracy of  $Dq$  is affected, which consequently will affect  $\psi_f$  identification.

In this paper,  $\psi_f$  identification which does not need inverter nonlinearity compensation and the establishment of a speed controller and current controller is proposed. The overall process is achieved by controlling the  $u_q^*$ , and its block diagram is shown in Figure 6. It is described as follows:

While the motor is at standstill under no load, the  $u_q^*$  is gradually increased, while the  $u_d^*$  is held as constant at 0 V. The torque excited by  $u_q^*$  will enable the speed to accelerate from 0 r/min. When the speed feedback arrives at  $\omega_{m1}$ , the speed is maintained to be  $\omega_{m1}$  as much as possible by controlling  $u_q^*$  in Equation (28), and the speed steady state is kept at  $\omega_{m1}$  for at least time period  $T_1$ . The averages of the accumulated  $u_q^*$ ,  $i_q$  and  $\omega_e$  within  $T_1$  are calculated using Equation (29). Next, the  $u_q^*$  continues to increase in the ramp manner until the speed arrives at  $\omega_{m2}$ , similar to the process when the speed is at  $\omega_{m1}$ . The speed is maintained at  $\omega_{m2}$  as much as possible by controlling  $u_q^*$  in Equation (28) for the duration of  $T_2$ . Then, the mean values of the accumulated  $u_q^*$ ,  $i_q$  and  $\omega_e$  within  $T_2$  are calculated using Equation (30). Finally, the  $u_q^*$  is decreased gradually to 0 V and the  $\psi_f$  identification is finished. In addition, in order to guarantee that all the information is acquired under the speed steady state, the accumulation processes in  $T_1$  and  $T_2$  are started only when the speed has arrived at  $\omega_{m1}$  and  $\omega_{m2}$  after a little while.

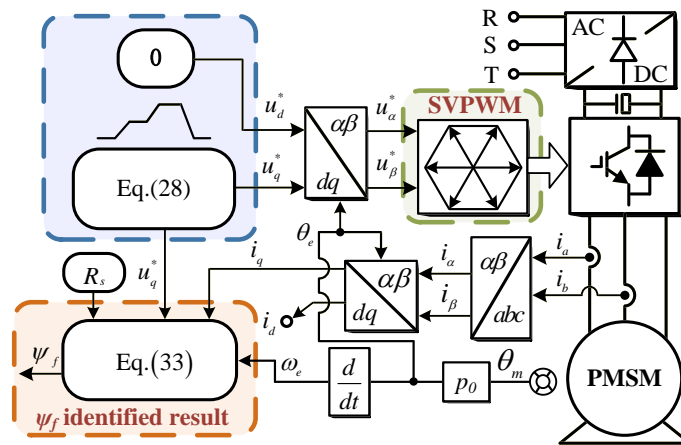


Figure 6. Block diagram of  $\psi_f$  identification.

$$\begin{aligned} u_q^*(k) &= u_q^*(k-1) + \Delta u_q^{\psi_f}, \text{ if } \omega_m(k-1) < \omega_{mn}|_{n=1 \text{ or } 2} \\ u_q^*(k) &= u_q^*(k-1), \text{ if } \omega_m(k-1) \geq \omega_{mn}|_{n=1 \text{ or } 2} \end{aligned} \quad (28)$$

where  $\Delta u_q^{\psi_f}$  is the adjustment voltage on  $u_q^*$  to control the speed feedback and is designed to be relatively small so that the speeds in  $T_1$  and  $T_2$  will not suffer drastic variation and a speed steady state is achieved.

$$\bar{u}_{q-1}^* = 1/N_1 \sum_{k=1}^{N_1} u_q^*(k), \bar{i}_{q-1} = 1/N_1 \sum_{k=1}^{N_1} i_q(k), \bar{\omega}_{e-1} = 1/N_1 \sum_{k=1}^{N_1} \omega_e(k) \quad (29)$$

$$\bar{u}_{q-2}^* = 1/N_2 \sum_{k=1}^{N_2} u_q^*(k), \bar{i}_{q-2} = 1/N_2 \sum_{k=1}^{N_2} i_q(k), \bar{\omega}_{e-2} = 1/N_2 \sum_{k=1}^{N_2} \omega_e(k) \quad (30)$$

where  $\bar{u}_{q-1}^*$ ,  $\bar{i}_{q-1}$  and  $\bar{\omega}_{e-1}$  are the average values of the  $q$ -axis voltage,  $q$ -axis current and electrical angular velocity within  $T_1$ , and  $\bar{u}_{q-2}^*$ ,  $\bar{i}_{q-2}$  and  $\bar{\omega}_{e-2}$  are those within  $T_2$ . Besides,  $N_1 = T_1/T_s$  and  $N_2 = T_2/T_s$ ,  $T_s$  is the sampling period. In this paper the  $T_s$  is equal to the pulse width modulation (PWM) switching period.

With Equation (29), Equation (30) and the already identified  $\hat{R}_s$ , expressions of  $\bar{u}_{q-1}^*$  and  $\bar{u}_{q-2}^*$  can be expressed as follows:

$$\bar{u}_{q-1}^* = \hat{R}_s \bar{i}_{q-1} + \bar{\omega}_{e-1} \psi_f - 1/N_1 \sum_{k=1}^{N_1} DqV_{dead}(k) \quad (31)$$

$$\bar{u}_{q-2}^* = \hat{R}_s \bar{i}_{q-2} + \bar{\omega}_{e-2} \psi_f - 1/N_2 \sum_{k=1}^{N_2} DqV_{dead}(k) \quad (32)$$

In this way the sixth-order distortion on  $DqV_{dead}$  becomes a constant. Subtracting Equation (31) from Equation (32), the  $\psi_f$  is calculated as:

$$\hat{\psi}_f = (\bar{u}_{q-2} - \hat{R}_s \bar{i}_{q-2} - \bar{u}_{q-1} + \hat{R}_s \bar{i}_{q-1}) / \Delta \bar{\omega}_e \quad (33)$$

where  $\hat{\psi}_f$  is the identified PM flux linkage, and  $\Delta \bar{\omega}_e = \bar{\omega}_{e-2} - \bar{\omega}_{e-1}$ . The value of  $DqV_{dead}$  is affected by load condition, since the  $\psi_f$  is identified under no load and the motor torque is mainly used to overcome the shaft friction. Thus,  $1/N_1 \sum_{k=1}^{N_1} DqV_{dead}(k)$  and  $1/N_2 \sum_{k=1}^{N_2} DqV_{dead}(k)$  in Equation (31) and Equation (32) can be regarded as having the same values [16,23], and they are eliminated by the subtraction in Equation (33). Hence, the voltage error caused by inverter nonlinearity is removed.

### 3. Current Controller Parameters Configuration

The tuning process of the PI current controller with the identified motor parameters has been well explained in [4], the schematic of the  $d$ - and  $q$ -axes current controller is shown in Figure 7, where  $i_d^*$  and  $i_q^*$  are the  $d$ - and  $q$ -axes current references. The decoupling voltages  $u_{d0}$  and  $u_{q0}$  are in Equation (34). According to [4], for  $q$ -axis, if the transfer function of the current controller is  $G_{ACR} = K_{p-iq}(1 + K_{i-iq}/s)$ , then the  $K_{i-iq} = R_s/L_q$  according to  $R_s - L_q$  pole cancellation, and the  $q$ -axis current closed-loop transfer function is in Equation (35).

$$\begin{aligned} u_{d0} &= -L_q \omega_e i_q \\ u_{q0} &= L_d \omega_e i_d + \omega_e \psi_f \end{aligned} \quad (34)$$

$$\frac{i_q}{i_q^*} = \frac{\frac{K_{p-iq}}{L_q}}{s + \frac{K_{p-iq}}{L_q}} = \frac{\omega_{iq}}{s + \omega_{iq}} \quad (35)$$

where  $s$  is the Laplace operator.  $\omega_{iq}$  is the cutoff frequency of  $q$ -axis current controller, and it can be set by the users. According to Equation (35),  $K_{p-iq} = L_q \omega_{iq}$ . The gains for the  $d$ -axis current controller can be configured accordingly.

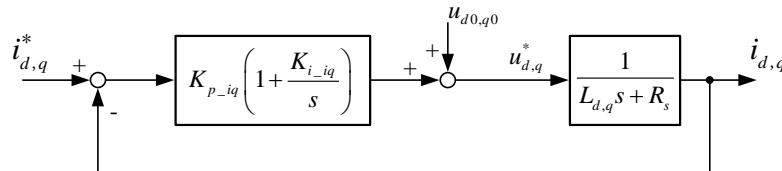


Figure 7. Schematic of  $d$ - and  $q$ -axes current controller.

### 4. Experiment Results

The proposed parameter identification scheme is verified on two different SPMSMs: motor #1 is 110SJT-M040D with rated power as 1 kW, and motor #2 is 130SJT-M100D with rated power as 2.5 kW (GSK CNC EQUIPMENT CO., LTD, Guanzhou, China). Their available parameters on the datasheet are listed in Table 1. Both motors are controlled by a servo driver (GE2030T-LA1) (GSK CNC

EQUIPMENT CO., LTD, Guanzhou, China) with digital signal processor (DSP) TMS320F28377s (Texas Instruments, Dallas, TX, USA) as the control chip. The current sampling frequency and the voltage reference update frequency are both 8 kHz, the speed sampling frequency is 4 kHz and the dead-time is 1.6  $\mu$ s. A Magtrol dynamometer (Model: HD-815-8NA from Magtrol, Buffalo, NY, USA) is used to provide torque to lock the motor shaft under  $L_q$  identification. The experiment platform is shown in Figure 8.

All experiment data are sampled using the DSP and transmitted to the upper monitor software in the computer: the transmission frequency is 8 kHz.

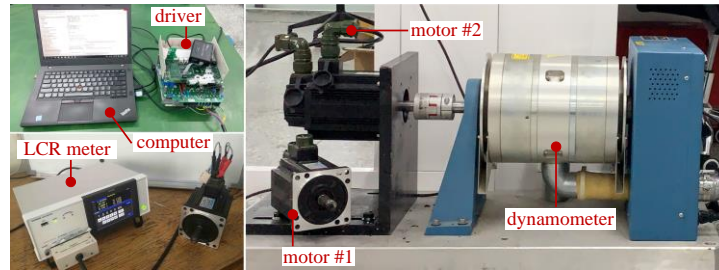


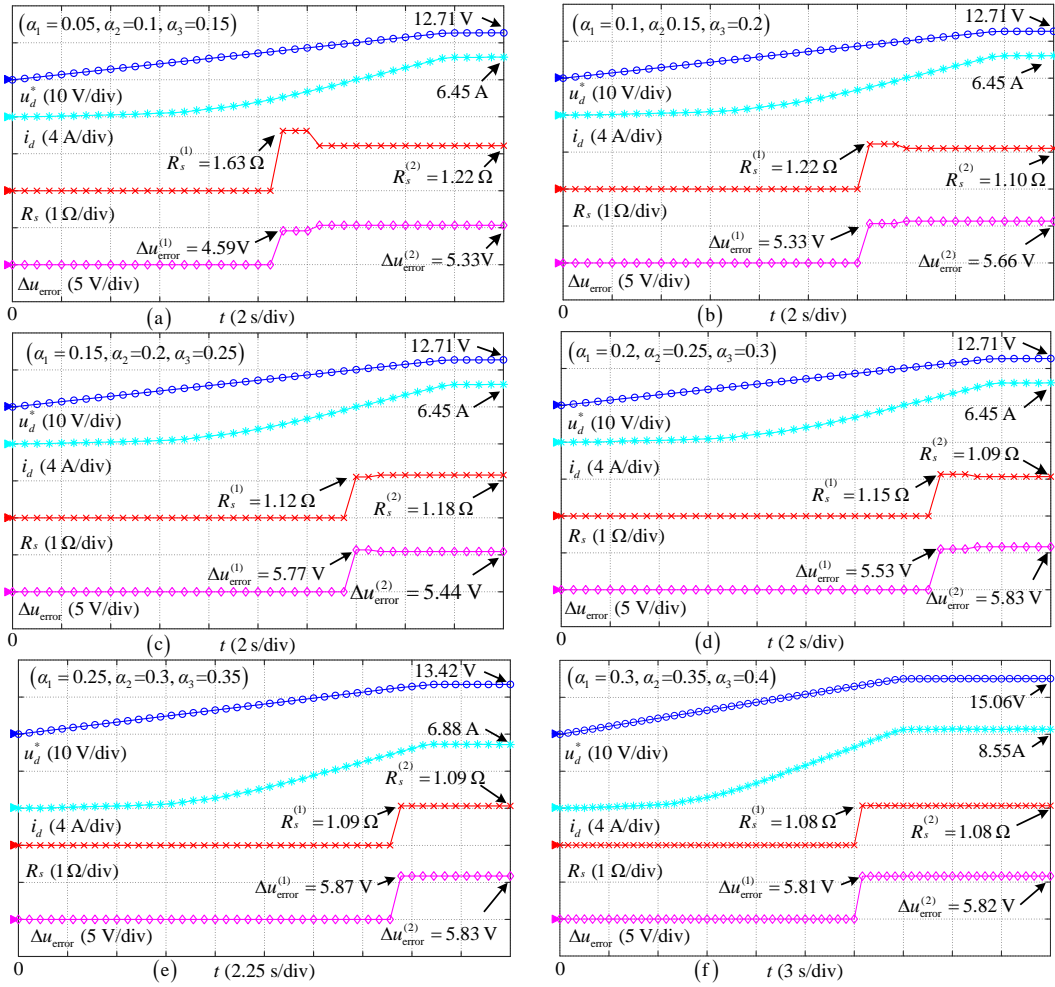
Figure 8. Experiment platform.

#### 4.1. Voltage Injection Based Stator Resistance Identification

For  $R_s$  identification, motor #1 is used for valid current range selection first.  $\Delta u_d^{R_s}$  is designed small enough as  $7.5 \times 10^{-5}$  V, the initial values of  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  in Equation (10) are set as 0.05, 0.1 and 0.15, respectively, and  $\Delta\alpha$  is set as 0.05. It should be noted that the values of  $\alpha_n$  ( $n = 1, 2, 3$ ) and  $\Delta\alpha$  can also be set as other values, the settings detailed in this section are just to offer verification that the proposed method in Section 2.2.2. has the ability to find the valid current range for  $R_s$  identification. Related waveforms obtained by  $R_s$  identification using the LR method are shown in Figure 9; the shaft is aligned to  $\theta_e = 0^\circ$  during  $R_s$  identification. For better presentation, we divided the results into dots. The duration between two dots is 500 ms. The identified  $R_s$  of motor #2 is 0.37  $\Omega$  and the related waveforms are similar to those of motor #1 in Figure 9. Furthermore, it is noteworthy that the proposed method is able to determine the  $R_s$  but without the need to consider the current delay induced by inductance on the motor phase.

As seen from Figure 9, the results in Figure 9f meet **Condition2**:  $\Delta u_{error}^{(1)} \approx \Delta u_{error}^{(2)}$  and  $\hat{R}_s^{(1)} \approx \hat{R}_s^{(2)}$  in Section 2.2.2., whereas the results of Figure 9a–e belong to **Condition1**:  $\Delta u_{error}^{(1)} \neq \Delta u_{error}^{(2)}$  or  $\hat{R}_s^{(1)} \neq \hat{R}_s^{(2)}$  in Section 2.2.2, and it is apparent that the  $\hat{R}_s$  in Figure 9a–e has bigger deviation compared with the  $\hat{R}_s$  in Figure 9f. It approves the effectiveness of the method in Section 2.2.2, which selects a valid current range for LR to obtain an accurate  $R_s$  identification. Moreover, according to the results in Figure 9f, it should be noted that the selected valid current range ( $I_{valid} > 5.73$  A) for  $R_s$  identification is already beyond the 1 p.u. of the rated current of motor #1. This further shows that the concept “the  $R_s$  should be identified beyond 80% of the rated current” in [20] may not be suitable in all conditions, especially for motors with relatively low rated currents. Thus, the current range selection method for  $R_s$  identification proposed in this paper is more reasonable.





**Figure 9.** Experiment  $R_s$  identification results (Motor #1,  $\sqrt{2}I_{max} = 19.1$ A): (a)  $I_{low} = 0.96$  A,  $I_{medium} = 1.91$  A,  $I_{up} = 2.87$  A; (b)  $I_{low} = 1.91$  A,  $I_{medium} = 2.87$  A,  $I_{up} = 3.82$  A; (c)  $I_{low} = 2.87$  A,  $I_{medium} = 3.82$  A,  $I_{up} = 4.78$  A; (d)  $I_{low} = 3.82$  A,  $I_{medium} = 4.78$  A,  $I_{up} = 5.73$  A; (e)  $I_{low} = 4.78$  A,  $I_{medium} = 5.73$  A,  $I_{up} = 6.69$  A; (f)  $I_{low} = 5.73$  A,  $I_{medium} = 6.69$  A,  $I_{up} = 7.64$  A.

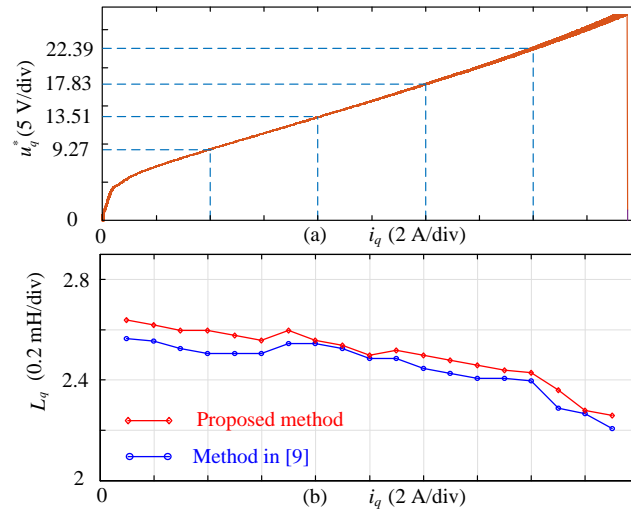
#### 4.2. Voltage Injection Based $d$ - and $q$ -Axes Inductances Identification

As stated above, due to the fact that  $i_d^* = 0$  for SPMSMs,  $L_d$  only needs to be identified under unsaturated condition, whereas the  $L_q$  variation caused by the magnetic saturation effect should be considered. The selection of the injected frequency  $f_h$  is also a tricky task for HF based inductance identification. On the one hand, the  $f_h$  should be as high as possible to increase the inductive impedance. On the other hand, a too high  $f_h$  will result in very limited sampling points in a HF current period, which will influence the detection of  $I_{dh}$  and  $I_{qh}$ . The  $f_h$  is commonly set as or below one tenth of the sampling frequency (8 kHz in this paper). For tradeoff between identification accuracy and sampling rate, the  $f_h$  is set as 500 Hz.

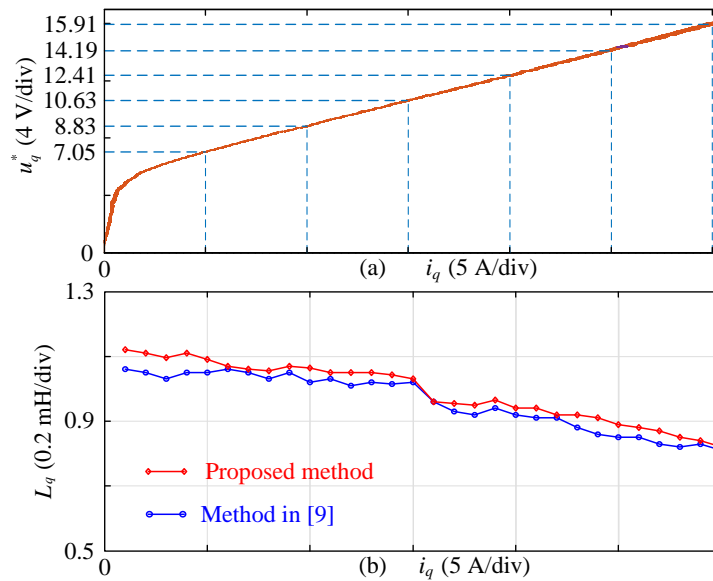
By injecting a stepped increase dc voltage in the  $q$ -axis in Equation (21), the relationship between  $i_q$  and  $u_q^*$  of motor #1 at standstill is shown in Figure 10a; similar results for motor #2 at standstill are shown in Figure 11a. Values of  $u_q^*$  and  $i_q$  in Figures 10a and 11a can be regarded as  $U_{q\_dc}$  and  $I_{q\_dc}$  in Equation (15) and Equation (16) in  $L_q$  identification. In this way, the  $L_q$  can be identified at a random saturated point by voltage injection only. The identified  $L_q$  of motor #1 and motor #2 using the proposed method under different current levels are shown by red curves in Figures 10b and 11b, respectively. Moreover, the identified  $L_q$  of motor #1 and motor #2 using methods in [9] are shown by blue curves in Figures 10b and 11b, respectively. Good consistency of the results using two methods



verifies the correctness of the proposed method. In order to avoid undesired shaft rotation, the motor shaft should be locked by the dynamometer with proper torque during the whole  $L_q$  identification in Figures 10 and 11.



**Figure 10.**  $L_q$  identification results of motor #1: (a) relationship of  $u_q^*$  and  $i_q$ ; (b)  $L_q$  identification results under different saturated conditions.



**Figure 11.**  $L_q$  identification results of motor #2: (a) relationship of  $u_q^*$  and  $i_q$ ; (b)  $L_q$  identification results under different saturated conditions.

It should be noted that the inductance identification in [9] requires inverter nonlinearity compensation, and it is achieved using the look up table based compensation method in [24]. The voltage  $\Delta u_{\text{error}}$  that is used for inverter nonlinearity compensation is expressed in Equation (36), and the relationship of  $\Delta u_{\text{error}}$  and  $i_d$  at  $\theta_e = 0^\circ$  is shown in Figure 12.

$$\Delta u_{\text{error}}(k) = u_d^*(k) - \hat{R}_s i_d(k) \quad (36)$$

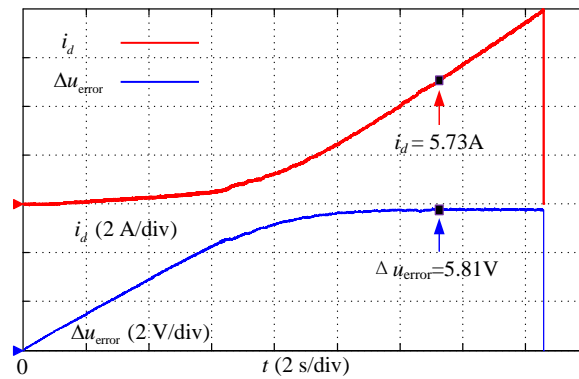


Figure 12. Waveforms of  $i_d$  and  $\Delta u_{\text{error}}$  of motor #1 under  $\theta_e = 0^\circ$ .

As seen from Figure 12, the  $\Delta u_{\text{error}}$  increases with the increase of  $i_d$  at the beginning and becomes a constant eventually. Moreover, the  $\Delta u_{\text{error}}$  has fully entered the saturated zone (with a value of 5.81 V approximately) when  $i_d = 5.73$  A. This further verifies the valid current range selection for  $R_s$  identification in Figure 9.

The  $L_q$  identification process of motor #1 at  $x$  A ( $x = 8, 9$  and  $10$ ) is shown in Figure 13.

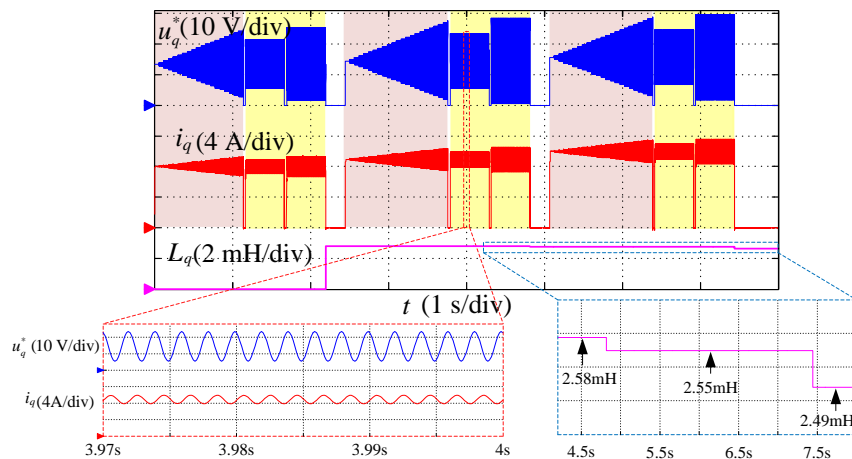
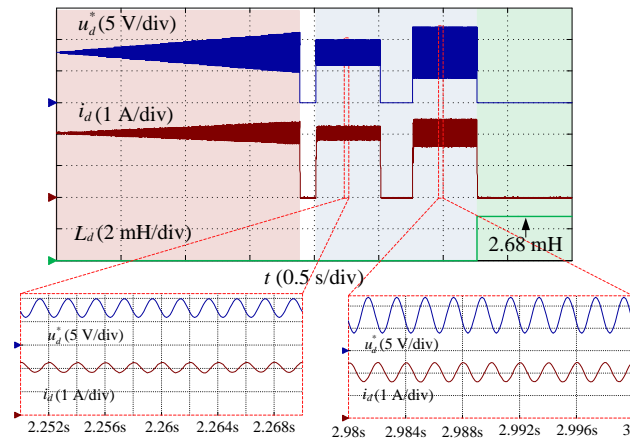


Figure 13.  $L_q$  identification results of motor #1 at 8 A, 9 A and 10 A.

In Figure 13, the dc voltages at these current levels are obtained from the results in Figure 10a and are set as 13.51 V, 14.61 V and 15.73 V, respectively. Voltage thresholds  $U_{q\_inj1}$  and  $U_{q\_inj2}$  in Equation (20) are chosen as ac voltage amplitudes that induce  $[x \times (1 + 0.05)]$  A and  $[x \times (1 + 0.1)]$  A ( $x = 8, 9, 10$ ), respectively. Figure 13 shows the injected voltage amplitude selection process (waveforms in pink background), the 2 HF voltage injection process (waveforms in yellow background), and the identified  $L_q$  under different saturation levels. A decrease  $L_q$  can be seen with the increase of dc current, and this is caused by magnetic saturation effect.

The  $L_d$  only needs to be identified under unsaturated condition for SPMSMs, and the waveforms for motor #1 and motor #2 are quite similar, so only the  $L_d$  identification results of motor #1 are shown in Figure 14. In order to pull the excited  $i_d$  out of the zero current clamping zone, a small dc offset (2 A) that will not cause a severe magnetic saturation effect is added to the HF voltage signal.

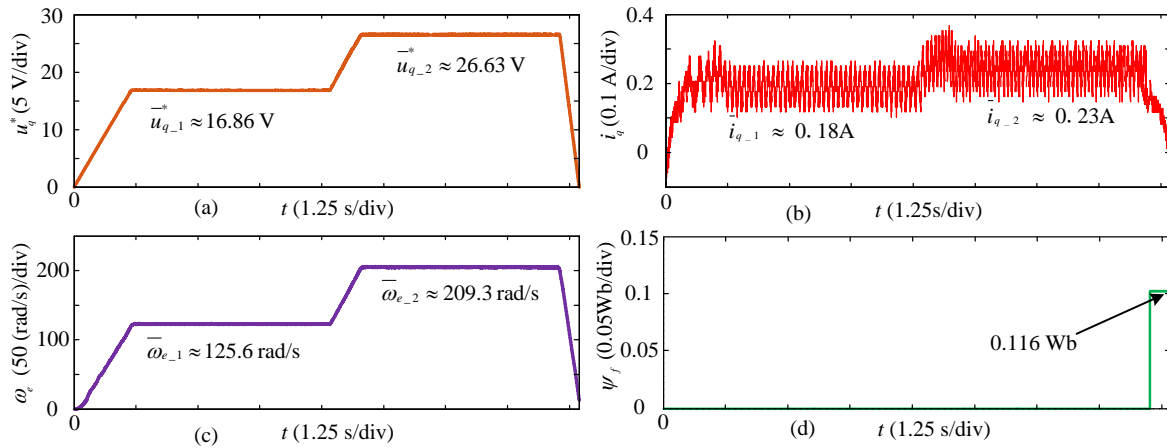


**Figure 14.**  $L_d$  identification results of motor #1 at 2 A.

The waveforms in pink, grey and green background in Figure 14 represent the injected voltage amplitude selection, two HF voltage injection and  $L_d$  calculation processes. The identified  $L_d$  for motor #2 using similar methods under 2 A dc offset is 1.07 mH.

#### 4.3. Voltage Injection Based PM Flux Linkage Identification

The waveforms of  $\psi_f$  identification in motor #1 are shown in Figure 15, in which the  $\omega_{m1}$  is set as 300 r/min and  $\omega_{m2}$  is set as 500 r/min.



**Figure 15.**  $\psi_f$  identification results of motor #1: (a) waveform of  $q$ -axis voltage reference; (b) waveform of  $q$ -axis current; (c) waveform of electrical angular velocity; (d) identified permanent magnet (PM) flux linkage.

As seen from Figure 15a,c, the speed steady state can be easily achieved by controlling the  $u_q^*$ . It is noteworthy that big current oscillation happens on  $i_q$ , and the oscillation frequency changes with the speed variation. This might be caused by the current measurement offset error according to analysis in [25]. The average calculation in Equation (31) and Equation (32) can be used to extract the average values of  $i_q$  under the conditions of  $\omega_{m1}$  and  $\omega_{m2}$ . Moreover, it should be noted that in Figure 15b a short time is needed for  $i_q$  to reach the steady state after the speed arrives at  $\omega_{m1}$  or  $\omega_{m2}$ . Therefore, the calculations in Equation (31) and Equation (32) should also be started after the speed has reached the preset reference for a while. The identified  $\psi_f$  of motor #2 using the same method is 0.127 Wb, and the waveforms are similar to those in Figure 15.

To sum up, the identified  $R_s$ ,  $L_d$ ,  $L_q$  and  $\psi_f$  of motor #1 and motor #2 are shown in Table 2. For both motors, the deviations between the identification results and the offline measured values are less than

7%. The accuracy of the methods in this paper is adequate to be potentially applied for the purposes of current controller tuning (PI parameter configuration) (the work in [4] allows a deviation less than 11%), current predictive control (where a 10% parameter deviation is allowed in model predictive control without robust algorithms [26]) or sensorless control (the work in [10] allows a deviation less than 7%).

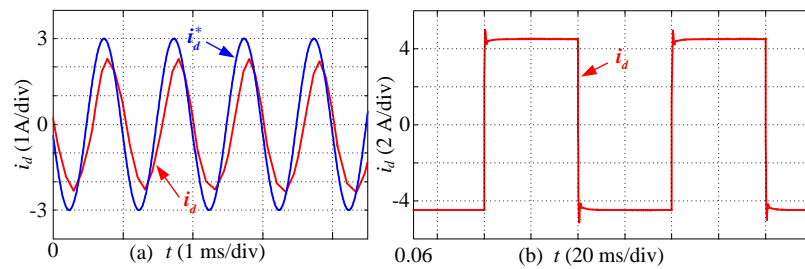
**Table 2.** Identification results summary.

	Motor #1				Motor #2			
Parameter	$R_s$ ( $\Omega$ )	$L_d$ <sup>1</sup> (mH)	$L_q$ <sup>1</sup> (mH)	$\psi_f$ (Wb)	$R_s$ ( $\Omega$ )	$L_d$ <sup>1</sup> (mH)	$L_q$ <sup>1</sup> (mH)	$\psi_f$ (Wb)
Reference	1.05	2.58	2.58	0.111	0.35	1.04	1.04	0.122
Identified	1.08	2.68	2.67	0.116	0.37	1.07	1.11	0.127
Deviation	2.9%	3.9%	3.5%	4.5%	5.7%	2.9%	6.7%	4.1%

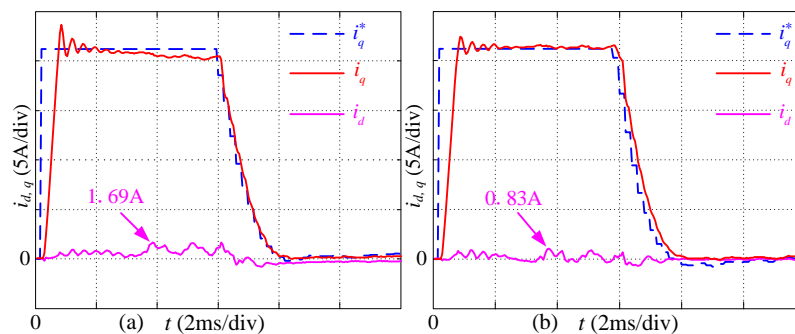
<sup>1</sup> The identified stator inductances are compared under unsaturated condition, and  $L_q$  identification results under saturated condition are compared in Figures 10 and 11.

#### 4.4. Current Controller Auto-Tuning

With the identified parameters, the PI current controller of motor #1 is configured according to the contents of Section 3. The expected cutoff frequencies of the current loop of the  $d$ - and  $q$ -axes are both set as 1 kHz (namely,  $\omega_{id} = \omega_{iq} = 2 \times \pi \times 1000$ ). The sinusoidal (1kHz) and step reference tracking tests are given through the  $d$ -axis and the results are shown in Figure 16. The  $d$ - and  $q$ -axes current waveforms during the speed step (0–1000 r/min) process are given in Figure 17.



**Figure 16.** Performance of auto-tuned current controller in motor #1: (a) 1 kHz sinusoidal waveform tracking test; (b) step reference test.



**Figure 17.** Speed step test of motor #1: (a) without the decoupling voltages ( $u_{d0}$  and  $u_{q0}$ ); (b) with decoupling voltages ( $u_{d0}$  and  $u_{q0}$ ).

It can be seen from Figure 16a,b that good current tracking ability can be obtained when the current controller is automatically tuned. In Figure 16a, the amplitude attenuation ratio between the feedback current and reference current is about 0.71, and the phase delay between the sinusoidal reference and feedback currents is about 32°. In Figure 17, the  $q$ -axis current tracking performance is

deteriorated at the q-axis current saturation zone when decoupling voltages are not added, and this can be well enhanced when the decoupling voltages are added.

## 5. Conclusions

In this paper, a novel voltage injection based offline parameter identification is proposed to obtain  $R_s$ ,  $L_d$ ,  $L_q$  and  $\psi_f$  in a given SPMSM and achieve an auto-tuned current controller, and the main contributions are:

(1) The overall identification strategies are totally independent from the current controller and speed controller. Moreover, they can be completed automatically with very limited data that are accessible from the nameplate of an SPMSM, such as rated current and number of pole pairs. Thus, they can be easily adopted in industrial applications.

(2) Simple voltage amplitudes selection processes are designed in this paper. Together with the open-loop voltage injection strategies, the proposed methods are able to detect the inductance variation at a random saturation (current) level for a given motor. Meanwhile, it achieves a controllable current and speed by automatically deciding the voltage reference during the entire identification process. These are not achievable in the conventional voltage injection based methods due to the open-loop character.

(3) Practical issues that may influence the identification accuracy, such as valid current range selection for  $R_s$  identification in a specific inverter configuration and identification errors that are caused by inverter nonlinearity effects, are carefully addressed in this paper. This further improves the accuracy of parameter identification.

The proposed method is experimentally validated through two SPMSMs with different power rates. The results show that the identification errors are less than 7%, which is sufficient for high dynamic current controller auto-tuning for SPMSM drive systems.

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**Conflicts of Interest:** The authors declare no conflicts of interest.

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