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# **Mechanical Properties of Transparent Sodium Phosphosilicate Glass-Ceramics**

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## Abstract

Glass-ceramics feature excellent mechanical properties but tend to lack transparency due to the presence of large crystals with a different refractive index from the matrix glass. Here, we investigate the relationship between the heterogeneous microstructure, mechanical properties (hardness, crack resistance, and fracture toughness), and transparency in Na<sub>2</sub>O–P<sub>2</sub>O<sub>5</sub>–SiO<sub>2</sub> nano glass-ceramics. The mechanical properties are determined by the combination of crystal type, content, and size, as well as the remaining glass matrix structure. The crystal fraction and size increase upon heat-treatment, whereas the network connectivity of the residual glass matrix phase decreases. These observed changes have opposite effects on crack resistance and fracture toughness. Changes in crystallization behavior have a more significant effect on crack resistance relative to that on fracture toughness, while changes in crystal size have a more pronounced effect on fracture toughness. The glass-ceramic samples feature excellent transmittance and reach a maximum fracture toughness of 1.1 MPa m<sup>0.5</sup>.

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#### 1. Introduction

Given their diverse application fields, there is a high demand for new oxide glass materials with improved strength and resistance to damage, while maintaining their transparency. As such, the brittleness of glass is the most critical obstacle towards many applications [1,2]. Glasses with improved elastic moduli, crack initiation resistance or fracture toughness can be achieved through compositional design [3-5]. However, the homogenous microstructure of glass makes it challenging to significantly decrease its brittleness through only compositional design, especially as the fracture toughness ( $K_{Ic}$ ) typically plateaus around a maximum value slightly greater than 1 MPa m<sup>0.5</sup> for oxide glasses. As a result, various post-treatment methods have been attempted [6,7], for example relying on reinforcements to control the driving force at the crack tip. As an attractive alternative, partial crystallization of glasses to form so-called glass-ceramics has attracted extensive attention for their excellent mechanical properties compared to their parent glasses [8,9].

Glass-ceramics are produced through controlled formation of one or more crystalline phases embedded in a glassy matrix via nucleation and growth processes. The generation of crystal inclusions in the glass matrix can have an impact on the mechanical properties of the material. For example, previous work has found that glass-ceramics with higher crystal volume fraction tend to exhibit higher fracture toughness due to toughening mechanisms such as crack deflection, multiple cracking, crack bridging and crack branching operated at the crack tip [10]. Moreover, the crystal size and shape also affect fracture toughness [11-13]. However, the crystallization usually causes residual stresses due to the thermal expansion mismatch between the crystal and the glass matrix, which also influences properties such as crack initiation resistance [10,14]. Meanwhile, the mechanical properties of glass-ceramics are not only affected by the crystals (content, size, shape, etc.), but also by the properties of the residual glass matrix. Some studies show that glass phases with large free volume or with self-adaptive networks can feature high crack initiation resistance since energy dissipation can easily occur through the densification process, whereas glass structures with dense network connectivity and strong bonds can feature higher fracture toughness [4,15,16]. The composition and structure of the residual glass matrix changes upon crystallization (besides the case of isochemical crystallization) and these effect on the mechanical properties of glass-ceramics also need to be considered. As such, the mechanical properties of

glass-ceramics will be determined by both the crystal and residual glass matrix phases. In addition, the higher crystal content or large crystal size will generally decrease the transmittance of the glass-ceramics [17,18]. More work is therefore needed to improve our understanding of the link between the glass network structure, crystallization, and the mechanical properties of oxide glass-ceramics, and ultimately design tough, yet transparent glass-ceramics.

In this work, we focus on sodium phosphosilicate (Na<sub>2</sub>O–P<sub>2</sub>O<sub>5</sub>–SiO<sub>2</sub>) nano glass-ceramics. When P<sub>2</sub>O<sub>5</sub> is added to the Na<sub>2</sub>O–SiO<sub>2</sub> system, it tends to cause phase-separation in the glass and consume non-bridging oxygens from the silicate network to form 3Na<sup>+</sup> PO<sub>4</sub><sup>3-</sup>-like complexes, which in turn induces re-polymerization of the silicate glass structure [19-22]. Phase separation is believed to be a precursor of crystallization in many systems [23,24]. Li *et al.* discovered transparent Na<sub>2</sub>O–Al<sub>2</sub>O<sub>3</sub>–SiO<sub>2</sub>–P<sub>2</sub>O<sub>5</sub> glass-ceramics with the Na<sub>3</sub>PO<sub>4</sub> crystalline phase [25]. Hence, the Na<sub>2</sub>O–P<sub>2</sub>O<sub>5</sub>–SiO<sub>2</sub> ternary system glass may be a good model that could contain only the Na<sub>3</sub>PO<sub>4</sub> crystal with the possibility to make transparent glass-ceramics and tailor the mechanical properties through composition design and heat-treatment. The purpose of this work is thus to investigate the effect of residual glass matrix structure, crystal content and crystal size on the mechanical properties of Na<sub>2</sub>O–P<sub>2</sub>O<sub>5</sub>–SiO<sub>2</sub> glass-ceramics. To this end, we have prepared three transparent sodium phosphosilicate glasses with Na<sub>3</sub>PO<sub>4</sub> crystals. Here, we directly obtain crystallized glass-ceramics samples through the melt-quenching process, but also perform subsequent heat-treatment to further vary the crystal content and size. We then investigate the resulting changes in the network structure of the residual glass matrix as well as the mechanical properties, including hardness, crack initiation resistance and fracture toughness.

#### 2. Methods

# 2.1 Sample preparation

The sodium phosphosilicate samples were synthesized by melting a homogeneous mixture of reagent grade Na<sub>2</sub>CO<sub>3</sub>, SiO<sub>2</sub>, and NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub> in an alumina crucible in air at 1623 K for 2 h. To facilitate the <sup>29</sup>Si solid-state nuclear magnetic resonance (NMR) spectroscopy measurements by reducing the relaxation times, we also

doped the melts with 0.2 mol.% MnO. The nominal compositions of the samples (mol. %) are shown in Table 1 and are named NSP-1, NSP-2 and NSP-3, and the analyzed glass compositions as determined using X-ray fluorescence (XRF) are shown in Supplemental Material Table S1 [26]. Network formers form strong covalent bonds with oxygen to create the glass framework, while network modifiers occupy the voids in the glass network and modify them by forming weaker ionic bonds with oxygen. In this work, SiO<sub>2</sub> and P<sub>2</sub>O<sub>5</sub> are the network former oxides, and Na<sub>2</sub>O is the network modifier oxide. For NSP-1 and NSP-2, the ratio of SiO<sub>2</sub> to P<sub>2</sub>O<sub>5</sub> is kept at 4:1, while the ratio of modifier to former oxides is 1:1.22 for NSP-1 and 1:1 for NSP-2. For NSP-3, the ratio of modifier to former is 1:1, but the content of SiO<sub>2</sub> is increased to 45 mol%, and the content of P<sub>2</sub>O<sub>5</sub> is decreased to 5 mol%. These composition changes were made to directly synthesize glass-ceramics with only one type of crystal and investigate the mechanical properties of samples obtained upon different crystallization conditions.

**Table 1** Nominal/target chemical compositions (in mol.%) and properties of the as-prepared glass-ceramic samples, including Y parameter (see Section 3.2), glass transition temperature ( $T_g$ ), glass softening temperatures ( $T_d$ ), density ( $\rho$ ), Young's modulus (E), and Poisson's ratio ( $\nu$ ).  $T_g$  has been determined using both DSC and dilatometry. The errors in  $T_g$ ,  $\rho$ , E, and  $\nu$  do not exceed  $\pm 3$  K, 0.01 g/cm<sup>3</sup>, 2 GPa, and 0.01, respectively.

Sample ID	Na <sub>2</sub> O	SiO <sub>2</sub>	P <sub>2</sub> O <sub>5</sub>	Y parameter	DSC		Dilatometry		ρ	E		
					T <sub>g1</sub> (K)	T <sub>g2</sub> (K)		$T_{\rm g}({ m K})$	$T_{d}(K)$	(g/cm <sup>3</sup> )	(GPa)	ν
NSP-1	45	44	11	3.45	586	726		828	990	3.10	67	0.23
NSP-2	50	40	10	3.00	517	723		784	898	3.16	67	0.25
NSP-3	50	45	5	2.44	-	691		702	759	3.15	65	0.26

After homogenization, the melts were quenched on a stainless-steel plate to obtain bulk melt-quenched samples that were transferred to an annealing furnace at their estimated glass transition temperature ( $T_g$ ) (523 K for NSP-1, 482 K for NSP-2, and 623 K for NSP-3) and cooled down to room temperature at a rate of 5 K/min. Small specimens of each glass were cut to measure the actual  $T_g$  value of each sample (see Section 2.3). The

samples were re-annealed at their measured respective  $T_{\rm g}$  values. Using differential scanning calorimetry (DSC), we observed two glass transition temperatures for NSP-1 and NSP-2, which is indicative of phase separation (see discussion in Section 3.3). To prevent more crystal formation, we chose the annealing temperatures as  $T_{\rm g1}$  for NSP-1 and NSP-2, and  $T_{\rm g2}$  for NSP-3. Moreover, the as-prepared samples were heat-treated at 1.05 $T_{\rm g1}$  (scaled in Kelvin) for 1 h to explore the effect of varying crystal content and size.

#### 2.2 Structural characterization

The crystalline phases in the samples before and after heat treatment were identified by X-ray diffraction (XRD) analysis (Empyrean XRD, PANalytical) with a monochromator Cu K $\alpha$  radiation (1.5406 Å). XRD patterns were acquired in the range from 10° to 70° at 40 kV with a scanning speed of 8° min<sup>-1</sup>. To quantify the change of crystalline content upon the heat-treatment at 1.05 $T_g$ , we included corundum ( $\alpha$ -Al<sub>2</sub>O<sub>3</sub>) as a reference material during the XRD testing. That is, we ground and mixed 0.85 g glass-ceramic sample with 0.15 g corundum powder, which was used as the XRD test samples.

<sup>31</sup>P MAS NMR spectra were recorded on a BRUKER 400 MHz spectrometer (9.4 T) at 161.96 MHz with a spinning speed of 10 kHz. The acquisition was done using  $\pi/2$  pulses of 60 kHz, with a total number of 128 scans and a recycle delay (rd) of 40 s. (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> was used as a reference, at 0.81 ppm with respect to H<sub>3</sub>PO<sub>4</sub> (85%). <sup>29</sup>Si MAS NMR spectra were recorded at 79.52 MHz using a 4 mm probe at a spinning rate of 10 kHz and  $\pi/2$  pulses with a recycle delay time of 240 s. Kaolinite was employed as the chemical-shift reference, at -91.2 ppm with respect to standard TMS. NMR spectra were simulated using DMFIT software [27].

The phase morphology of the quenched and heat-treated glass-ceramic samples was investigated using a field emission scanning electron microscope (SEM) (Zeiss Cross Beam) at an acceleration voltage of 10 kV. All samples were gold coated before testing, but no etching was performed. The size distribution of the crystal phase was analyzed based on the SEM images using the ImageJ software.

# 2.3 Properties characterization

The glass transition temperature ( $T_g$ ) of the as-prepared and heat-treated samples was determined using DSC (STA 449 F1, Netzsch). We used samples polished down to a thickness of 1 mm. These were tested in alumina

crucibles under a flow of argon (gas flow 40 mL·min<sup>-1</sup>). The heating rate was  $10 \text{ K·min}^{-1}$ , and after reaching the final temperature, cooling down to room temperature with a cooling rate of  $20 \text{ K·min}^{-1}$ . The intercept between the tangent to the inflection point of the endothermic peak and the extrapolated heat flow of the glass was interpreted as the  $T_g$ . Based on the repeated measurements of  $T_g$  on other glasses in our laboratory using the same procedure, the error in the determined  $T_g$  value is estimated to be around  $\pm 3 \text{ K}$ . Glass transition and dilatometric softening temperatures were also estimated from dilation curves obtained in a Netzsch Gerätebau dilatometer, model 402 PC/1, at a heating rate of  $5 \text{ K min}^{-1}$ . Measurements were done on prismatic samples of  $\sim 10 \text{ mm}$  in length from as-prepared glasses, using  $\text{Al}_2\text{O}_3$  as the calibration standard.

The density  $(\rho)$  of the glass-ceramic samples was tested using Archimedes' principle of buoyancy at room temperature (~295 K). The samples (1.5 g) were tested 10 times in air and ethanol, and the density value was the average of the ten results. The elastic properties of the glasses were measured by ultrasonic echography using an ultrasonic thickness gauge (38DL Plus, Olympus) equipped with 20 MHz delay line transducers to determine the longitudinal  $(V_1)$  and transversal  $(V_2)$  wave velocities. The longitudinal modulus  $C_{11}$ , shear modulus G, bulk modulus B, and Young's modulus E, as well as the Poisson ratio v were calculated using the relationship formulas for isotropic materials [28]. The detailed results of the ultrasonic echography measurements are shown in Supplemental Material Table S2 [26].

Vickers hardness ( $H_V$ ) and crack initiation resistance (CR) of the samples were determined by using a Nanovea CB500 hardness tester. The glass specimens were polished in ethanol using SiC paper with decreasing abrasive particle size (up to grit 4000), followed by polishing in a water-free 1 µm diamond suspension. A Vickers indenter tip (four-sided pyramid-shaped diamond with an angle of 136°) was used to perform the indentations. All indentations were conducted at room temperature ( $\sim$ 295 K) and relative humidity of 29  $\pm$  4%. Twenty indents were performed for each specimen at a load of 0.49 N applied for 10 s to determine  $H_V$  values, which were calculated as  $H_V = 1.8544P/d^2$ , being P the contact load and d the average length of the residual indent diagonals. According to the method of Wada  $et\ al.$  [29], the probability of crack initiation is defined as the ratio between the number of corners with cracks and the total number of corners on all indents (i.e., four corners for Vickers indenter). CR was determined as the load at which the crack probability is 50%. To calculate CR,

each glass specimen was indented 30 times at different loads, increasing in steps from 0.1 N to 6 N with loading duration of 15 s and dwell time of 10 s. Then the number of corner cracks was counted at each load to determine *CR* from the crack probability vs. load curve.

Fracture toughness  $(K_{Ic})$  was determined using the single-edge precrack beam (SEPB) method at room temperature ( $\sim$ 295 K) and relative humidity of 29  $\pm$  4%, following the well-established procedure [30,31]. Five polished glass beams with dimensions of about 1.5×2×10 mm<sup>3</sup> were prepared for each as-prepared and heat-treated sample. Eight Vickers indents with a load of 4.9 N for a dwell time of 5 s were placed on a line on the breadth side (B = 1.5 mm), and the indented samples were stored in absolute ethanol to exclude the air. As the next step, the indented specimen was positioned in a bridge-compressive fixture with a groove size of approximately 3 mm (1.5 times the specimen width, W = 2 mm) to produce a precrack with a cross-head speed of 0.02 mm·min<sup>-1</sup>. The indented side of the specimen (the lower part) experienced tensile stress, whereas the other side experienced compressive stress. A crack was initiated from the indent corners under the tensile stress, and then propagated until it reached the middle of the specimen width, where the compressive stress exists. Afterward, the precracked specimen was quickly positioned in a three-point bending fixture and the specimen was fractured with a cross-head speed of 10 μm·s<sup>-1</sup> to minimize humidity effects [32,33]. After the fracture, the precrack length (a) was obtained as the average of three precrack lengths measured at various fractions (25%, 50% and 75%) of the breadth side. The adapted three-point bending span (S) of about 8 mm was designed to fulfill the span-to-width ratio of, at least, about 4 to avoid the span-length dependence.  $K_{\rm Ic}$  was then calculated from the peak load  $(P_{\text{max}})$ ,

$$K_{Ic} = \frac{P_{\text{max}}}{B\sqrt{W}}Y^*$$
, where  $Y^* = \frac{3}{2}\frac{S}{W}\frac{\alpha^{1/2}}{(1-\alpha)^{3/2}}f(\alpha)$  (1)

where  $\alpha$  is the precrack-width ratio (a/W) and  $f(\alpha) = [1.99 - (\alpha - \alpha^2)(2.15 - 3.93\alpha + 2.7\alpha^2)]/(1 + 2\alpha)$ . The average  $K_{Ic}$  value was calculated from the results of five valid tests. More details on the methods can be found elsewhere [31,32].

Ultraviolet-visible (UV-VIS) spectroscopy (Cary 50 Bio, Varian) was used to determine the optical transparency of as-prepared and heat-treated samples. The wavelength range of the transmission spectrum was set to 200-800 nm. Around 1.5 mm thick polished samples were used for the tests, but we note that all the presented UV-VIS transmittance spectra were normalized to a thickness of 1 mm.

### 2.4 Simulation of the properties of Na<sub>3</sub>PO<sub>4</sub> crystal

Since no data on the properties of the Na<sub>3</sub>PO<sub>4</sub> crystal could be found in literature, we simulated its elastic properties with the LAMMPS software using the recently published BMP-harm interatomic potential [34]. The BMP-harm potential is based on the PMMCS potential [35] where two-body terms are handled using a long-range Coulombic interaction combined with a short-range Morse function and a repulsive interaction of the form  $B_{ij}/r^{12}$  as seen in Eq. (2).

$$U_{ij}(r_{ij}) = \frac{z_i z_j e^2}{r_{ij}} + D_{ij} \left( \left\{ 1 - \exp\left[ -a_{ij} \left( r_{ij} - r_{ij}^0 \right) \right] \right\}^2 - 1 \right) + \frac{B_{ij}}{r_{ij}^{12}}$$
 (2)

Here,  $z_i$  is the partial charge of atom i,  $D_{ij}$ ,  $a_{ij}$ , and  $r_{ij}^0$  are parameters of the Morse function, and  $B_{ij}$  is the parameter for the repulsive interaction for the pair of atoms i and j.

The BMP-harm potential further expands on this potential, handling the repulsive interactions between phosphorous atoms using a Buckingham function  $(A_{ij}e^{-r_{ij}/\rho_{ij}})$  and employing a three-body term for the P-O-P angle through a simple harmonic functional form as seen in Eq. (3).

$$U(\theta_{POP}) = \frac{k_{pop}}{2} (\theta_{POP} - \theta_{POP,0})^2$$
(3)

Here,  $k_{POP}$  and  $\theta_{POP,0}$  are the force constant and reference angle for the P-O-P angle. Values for the potential parameters can be found in Ref. [34]. The initial Na<sub>3</sub>PO<sub>4</sub> crystal structure was taken from the literature [36], where we note that  $\gamma$ -Na<sub>3</sub>PO<sub>4</sub> is a cubic crystal with the space group Fm-3m. The crystal structure was replicated four times in all directions to form a 4x4x4 supercell.

First, potential energy minimization of the initial structure was performed. Thereafter, elastic constants were obtained by subjecting the structures to stepwise elongations and compressions of  $\varepsilon = 0.0001 = 0.01\%$  in the tensile directions xx, yy, and zz as well as in the shear directions xy, xz, and yz. After each deformation step, the potential energy of the structure was minimized, and the measured stress in all directions was recorded. Ten elongations and compressions were performed in each direction. After recording the stress–strain curves, a linear regression was performed to obtain the elastic constants of the systems. Six repetitions of the simulation were performed to ensure proper statistics. Voigt-Reuss-Hill [37] averages were used to calculate the polycrystalline moduli of the crystal. The stress-strain curves are shown in Supplemental Material Figure S1 [26].

#### 3. Results

#### 3.1 Phase microstructure analysis

Figures 1(a) and (b) are the XRD patterns of as-prepared and heat-treated glass-ceramic samples, respectively. The XRD pattern of corundum ( $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, ICDD Card No. 78-2426) is also included for comparison. The patterns have been normalized by the intensity of the strongest peak. All as-prepared specimens have been crystallized during the melt-quenching and/or annealing procedures, as shown in Figure 1(a). Na<sub>3</sub>PO<sub>4</sub> (ICDD Card No. 31-1318) is the main crystal phase in both the as-prepared and heat-treated glass-ceramics. By comparing the diffraction intensity of Na<sub>3</sub>PO<sub>4</sub> ( $2\theta = 33.9^{\circ}$ , referred to as I<sub>1</sub>) and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> ( $2\theta = 35.1^{\circ}$ , referred to as I<sub>2</sub>), we find the I<sub>1</sub>/I<sub>2</sub> ratio in as-prepared samples to be 1.015, 1.474, and 0.394 for NSP-1, NSP-2, and NSP-3 compositions, respectively. After heat treatment at 1.05 $T_g$  for 1 h, the ratio increases to 1.087, 1.526, and 0.651, respectively. The crystallinity of the NSP-2 composition is the highest for both the as-prepared and heat-treated samples. Although the crystallinity of NSP-3 is the lowest for both the as-prepared and heat-treated samples, the I<sub>1</sub>/I<sub>2</sub> ratio of NSP-3 increases the most after heat treatment (by approximately 65%). The XRD results reveal that both NSP-1 and NSP-2 have a relatively high content of crystalls before and after the heat treatment, but the heat treatment has a smaller effect on the increase of crystallinity relative to that in NSP-3.

This effect is determined by the chemical composition and residual glass structure, as will be explained in detail in the Discussion section.

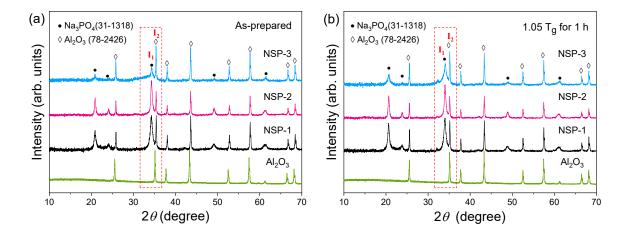
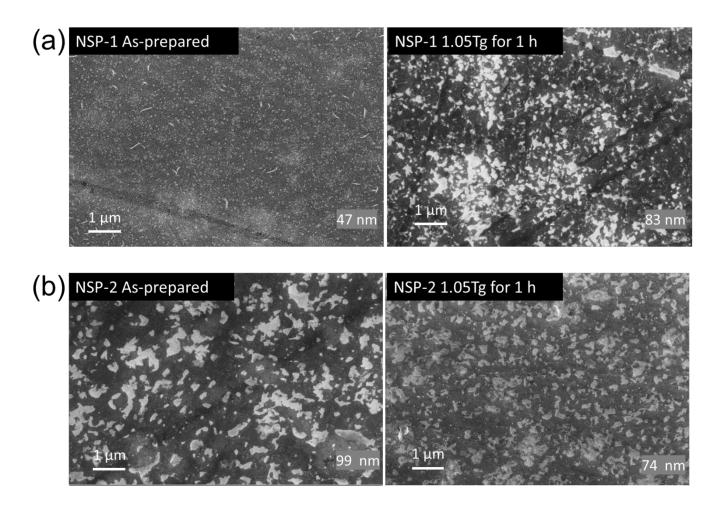
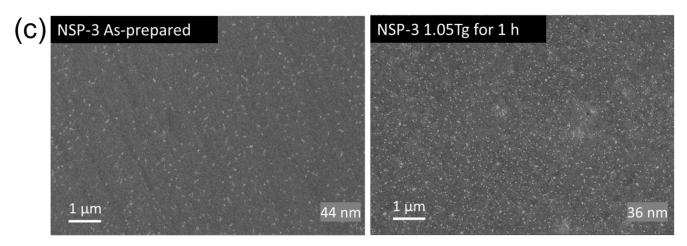


Figure 1 XRD patterns of glass-ceramic samples with a mixture of corundum powders as reference. (a) asprepared glass-ceramic samples, (b) glass-ceramic samples after heat treatment at  $1.05T_g$  for 1 h. The XRD pattern of corundum (α-Al<sub>2</sub>O<sub>3</sub>, ICDD Card No. 78-2426) is also included for comparison.

Figure 2 shows the InLens morphology and size distribution of the crystal phase in the as-prepared and heat-treated samples. The crystal size distributions in the different samples are shown in Supplemental Material Figure S2 [26]. Considering that only one type of crystal (Na<sub>3</sub>PO<sub>4</sub>) is presented in all the samples according to the XRD results, the white regions can be assigned to this crystal phase, whereas the dark regions represent the residual glass matrix phase. For the as-prepared NSP-1 sample, the average size of the crystal phase is around 47 nm, and the crystals are distributed homogeneously throughout the glass matrix (Fig. 2(a)). After heat treatment, more white regions appear, and the average size of the crystal increases up to 83 nm, although we note that there are still some crystals present with sizes in the range <50 nm. Figure 2(b) shows the morphology of NSP-2 samples, with the average crystal size decreasing from around 99 nm to 74 nm upon heat-treatment. However, the amount of crystals increases and the crystal distribution appears more homogeneous in the heat-treated NSP-2 sample. For the NSP-3 as-prepared sample (Fig. 2(c)), the glass matrix is dominant, and the average size of the crystal is around 44 nm. Upon heat-treatment, the amount of the crystals increases significantly and the average size decreases slightly to around 36 nm. By combining the

SEM and XRD results analysis, we find an interesting phenomenon. Namely, although the crystal content of NSP-1 changes only to a small extent after heat treatment, the heat treatment effectively promotes the growth of the crystal size. In contrast, for NSP-3, although the crystal content increases markedly after heat treatment, the crystal size features no significant change. For NSP-2, the effect of heat treatment on both crystallinity and crystal size is not obvious.

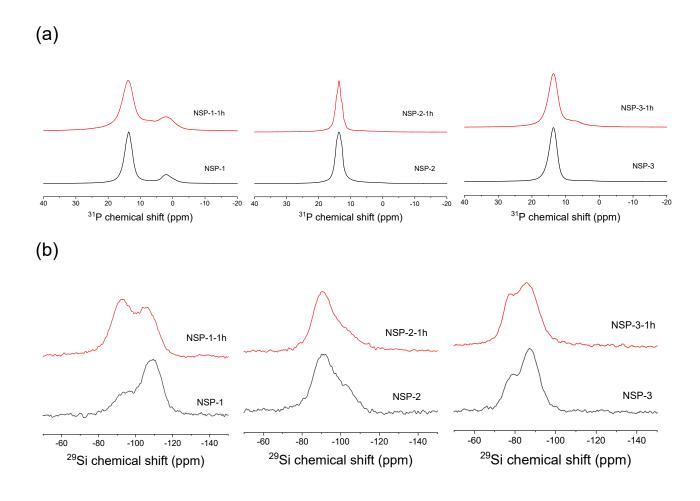




**Figure 2** SEM morphology and average size of the crystal phase in as-prepared and heat-treated samples: (a) NSP-1, (b) NSP-2, and (c) NSP-3.

#### 3.2 Glass-ceramics network structure

Figure 3(a) shows the <sup>31</sup>P MAS NMR spectra of the as-prepared and heat-treated samples. In the spectrum of glass sample NSP-1, two main resonances can be seen at ca. 13 and 2 ppm that are assigned to monometric (PO<sub>4</sub><sup>3-</sup>) and dimeric (P<sub>2</sub>O<sub>7</sub><sup>4</sup>) units, respectively [38,39]. Both signals were simulated using Gaussian/Lorentzian curves, being mainly Lorentzian in shape. The lower field signal appears at 13.7 ppm, width 2.87 ppm in width, and it therefore corresponds to the ordered arrangement of phosphorus in sodium orthophosphate crystalline phase [40,41]. Similarly, very minor amounts of phosphorus nuclei in a different environment and relatively disordered in nature could be detected by NMR from the small and broad resonance that can be seen centered at 1.84 ppm. <sup>31</sup>P NMR of crystalline sodium pyrophosphate (Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>) would produce a doublet at between 2 and 3 ppm, values which are close to the one of the observed minor resonance in NSP-1 spectra [42]. It is thought that some phosphorus may have condensed into (P<sub>2</sub>O<sub>7</sub>)<sup>4</sup>- dimers although remaining in a higher amorphous character than the one producing Na<sub>3</sub>PO<sub>4</sub> crystals as confirmed by XRD, Thermal treatment of sample NSP-1 gives rise to a similar NMR spectrum in sample NSP-1-1h although a small third component appearing at about 7.5 ppm should be taken into account and that is thought to arise from some sort of hydrated Na<sub>3</sub>PO<sub>4</sub> phase [42]. Based on the <sup>31</sup>P NMR and XRD results, P is found to mainly present as Na<sub>3</sub>PO<sub>4</sub> phase in all the glass-ceramic samples. NSP-2 and NSP-3 samples show mainly the resonance attributed to phosphorus in Na<sub>3</sub>PO<sub>4</sub> and thermal treatment does not produce important modifications of the phosphorous environment, except some small contribution at about 7 ppm for NSP-3-1h which is not anyway reflected in the XRD patterns.



**Figure 3** MAS NMR spectra of the studied glass-ceramics before and after 1 h heat-treatment: (a) <sup>31</sup>P and (b) <sup>29</sup>Si.

The main network-former in the present samples is  $SiO_2$ , i.e., the connectivity of the silicate network plays a critical role for the mechanical properties of the glass-ceramics. Stevels *et al.* have proposed to evaluate the network connectivity of glasses through the so-called Y parameter [43]. The degree of polymerization of glasses is determined by the concentration of network modifiers, and the Y(Si) parameter describes the number of bridging oxygen (BO) atoms per silicate tetrahedron. It can be calculated from the molar composition of the glasses as Y=2Z-2R, where Z is the average number of all types of oxygen per polyhedron (Z=4 for Si tetrahedron), and R is the ratio of the total number of oxygen atoms to the total number of glass-forming cations [44]. The  $^{31}P$  NMR results show that  $P_2O_5$  is mainly present as orthophosphate species with  $Na^+$  cations

required to maintain charge balance. Therefore, the silicate connectivity (Y parameter) is calculated assuming all  $P_2O_5$  in glass is present as orthophosphate ( $Na_3PO_4$ ), i.e., we deduct the oxygen atoms used to form  $Na_3PO_4$  from the total number of oxygen atoms,

$$Y = 2 \times 4 - 2 \times \frac{\left(Na_2O + 2 \times SiO_2 + 5 \times P_2O_5 - 4 \times 2 \times P_2O_5\right)}{SiO_2} \tag{4}$$

The *Y* parameter for each composition is shown in Table 1, with values of 3.45, 3.00 and 2.44 for NSP-1, NSP-2 and NSP-3, respectively. This indicates a decrease in the connectivity of the glass matrix in glass-ceramics from NSP-1 to NSP-3.

Figure 3(b) shows the <sup>29</sup>Si MAS NMR spectra of the as-prepared and heat-treated samples. The silicate glass structure units can be classified by the  $Q^n$  (n = 0,1,2,3,or 4) notation, where n refers to the number of bridging oxygen (BO) per structure unit tetrahedra [45]. The <sup>29</sup>Si MAS NMR spectra of binary sodium silicate glasses consist of the four peaks at around -69, -77, -90 and -100 ppm, which are assigned to the Si ( $Q^1$ ), Si ( $Q^2$ ), Si ( $Q^3$ ) and Si ( $Q^4$ ) units, respectively [46]. However, the chemical shifts of Si ( $Q^n$ ) in P<sub>2</sub>O<sub>5</sub>-rich glasses are more negative than those observed in binary alkali silicate glasses [46,47]. In a sodium phosphosilicate glass (34.5Na<sub>2</sub>O–55.5P<sub>2</sub>O<sub>5</sub>–10SiO<sub>2</sub>), a very faint peak at ~-120 ppm has previously been assigned to Si ( $Q^4$ ) [48]. Thus, in this work, the <sup>29</sup>Si NMR spectra consist of the three peaks at around -75, -85 to -95 and -100 to -110 ppm, which can be assigned to Si ( $Q^2$ ), Si ( $Q^3$ ) and Si ( $Q^4$ ) units, respectively. From the <sup>29</sup>Si MAS NMR spectra of samples, the relative intensity of the signals at more positive chemical shifts for heat-treated samples increases. That is, in the Si-O network, the content of Si ( $Q^2$ ) and Si ( $Q^3$ ) units increases compared to the content of Si ( $Q^4$ ) unit. In turn, this indicates that the content of bridging oxygen in the Si-O network decreases and the densification degree of [SiO<sub>4</sub>] tetrahedral decreases upon heat-treatment. Table 2 summarizes the deconvolution results of silicate speciation and the calculated number of non-bridging oxygen (NBO) per SiO<sub>4</sub> tetrahedron (T).

**Table 2.** <sup>29</sup>Si isotropic chemical shift, relative abundance of  $Q^n$  entities, and NBO/T values calculated from Eq. (5). The uncertainties for the isotropic chemical shift and area fraction are  $\pm$  1 ppm and 1%, respectively, while the uncertainty in NBO/T is on the order of  $\pm$  0.05.

Sample ID	δ isotropic (ppm)				% Relative abundance				NIDO/T
	$Q^1$	$Q^2$	$Q^3$	$Q^4$	$Q^1$	$Q^2$	$Q^3$	$Q^4$	NBO/T
NSP-1	-	-	-94	-109	0	0	32	68	0.32
NSP-1-1h	-	-	-92	-107	0	0	58	42	0.58
NSP-2	-	-77	-91	-102	0	5	64	31	0.74
NSP-2-1h	-	-	-90	-103	0	0	71	29	0.71
NSP-3	-	-77	-87	-	0	25	75	0	1.25
NSP-3-1h	-	-76	-86	-	0	33	67	0	1.33

NBO/T has been calculated from the  $Si(Q^n)$  percentages determined by <sup>29</sup>Si MAS-NMR [40],

NBO / 
$$T_{(^{29}\text{Si NMR})} = \left[ \sum (\% Q_{\text{Si}}^{\text{n}})_{\text{NMR}} (\text{NBO / T})_{\text{n}} \right] / 100$$
 (5)

where (NBO/T) = 4 for  $Q^0$ , (NBO/T) = 3 for  $Q^1$ , (NBO/T) = 2 for  $Q^2$ , (NBO/T) = 1 for  $Q^3$ , and (NBO/T) = 0 for  $Q^4$ . The NBO/T values for the as-prepared samples are found to be 0.32, 0.74 and 1.25 for NSP-1, NSP-2 and NSP-3, respectively, indicating reduced connectivity from NSP-1 to NSP-3. The experimental connectivity derived from the NMR data (NBO/T value) is consistent with the calculated results from the glass compositions (Y parameter), i.e., the Si–O network connectivity of as-prepared samples is determined by the composition and the ratio of O/Si. After heat treatment at  $1.05T_g$  for 1 h, the NBO/T of NSP-1 and NSP-3 significant increases to 0.58 and 1.33 respectively, but NBO/T for NSP-2 not showing to have clear change due to the broadness and low resolution of the spectra. Considering the changes in spectra and NBO/T, the polymerization degree of the glass matrix decreases upon heat-treatment due to the decrease in NBO fraction, and the heat treatment has the most pronounced effect on the Si-O network of NSP-1, followed by NSP-3. However, NSP-1 maintains the highest polymerization degree of Si-O network before and after heat treatment, while NSP-3 has the lowest polymerization degree.

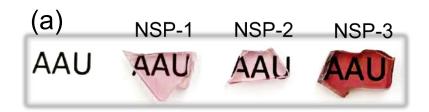
#### 3.3 Glass characteristic temperature, density and optical properties.

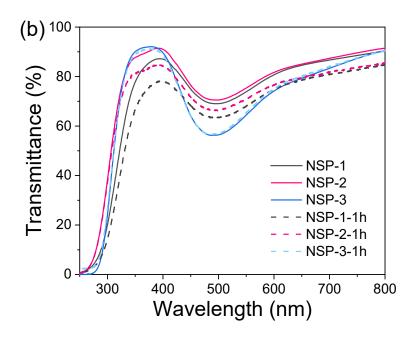
The glass transition and glass softening temperatures of the as-prepared glass-ceramic samples as well as the density results are summarized in Table 1. The density of the samples, determined using Archimedes principle of buoyancy, is relatively constant at around 3.1 g/cm<sup>3</sup>. We also found no significant change in density upon heat-treatment. The DSC heating curves are shown in Supplemental Material Figure S3 [26]. Based on these DSC results, the NSP-1 and NSP-2 samples exhibit two distinct glass transition temperatures, while NSP-3 only exhibits one glass transition temperature. The  $T_{\rm g1}$  of NSP-1 and NSP-2 are 586 and 517 K, respectively, while the  $T_{\rm g2}$  of NSP-1 to NSP-3 are 726, 723, and 691 K, respectively. A previous study has reported that liquid-liquid phase separate is promoted in silicate glass as the  $P_2O_5$  content increases [25]. In such silicate compositions, phosphorus is mainly present as orthophosphate segregated in the glass network, acting to promote phase separation and crystallization. Hence, considering the XRD and NMR results, the samples with higher  $P_2O_5$  content (NSP-1 and NSP-2) likely contain some P-rich domains in the matrix. Since the bond energy of Si-O (799.6 kJ/mol) is much larger than that of the P-O bond (599.1 kJ/mol) [44] and the connectivity of SiO<sub>2</sub> is higher than that of  $P_2O_5$ , the lower  $T_{\rm g}$  ( $T_{\rm g1}$ ) should belong to the P-rich phase, while the higher one ( $T_{\rm g2}$ ) should belong to the Si-rich phase.

We have also used dilatometry to analyze the glass characteristic temperatures. For this method, we are only able to detect one glass transition temperature for each sample, with the  $T_g$  values decreasing from 828 K of NSP-1 to 784 K of NSP-2, and finally to 702 K of NSP-3. Since the main glass former composition is SiO<sub>2</sub>, this  $T_g$  can be assigned to Si-rich glass matrix. Meanwhile, the glass softening temperature also decreases from 990 K of NSP-1 to 898 K of NSP-2, and finally to 759 K of NSP-3. The decrease of  $T_g$  and  $T_d$  can be ascribed to the decrease of the Si-O network connectivity (Y parameter) [49].

Figure 4(a) shows photographs of the polished glass-ceramic samples after heat-treatment, revealing good optical transparency. The purple color is due to the Mn-doping, specifically to Mn<sup>3+</sup> ions [50]. That is, the absorption band centered at ~490 nm can be seen in the UV-VIS transmittance spectra in all samples due to the absorption of Mn<sup>3+</sup> ions [50]. To quantify the differences in transparency, Figure 4(b) shows the measured UV-VIS transmittance spectra of the glass-ceramics. The transmittance of three glass-ceramics in the wavelength region of visible light exceeds 80%. There is almost no change in transmittance upon heat-

treatment, with very high transmittance above 90% for all as-prepared samples at 800 nm wavelength. After heat-treatment, the transmittance decreases slightly to 85% for NSP-1 and NSP-2 at 800 nm wavelength. The transmittance decreases more significantly at a shorter wavelength (~400 nm) for NSP-1 and NSP-2 after heat treatment, which could be due to the large size crystal formation.





**Figure 4** (a) Photographs of polished glass-ceramics samples after heat treatment. (b) UV-VIS transmittance spectra of the as-prepared and heat-treated glass-ceramics.

## 3.4. Indentation and fracture toughness

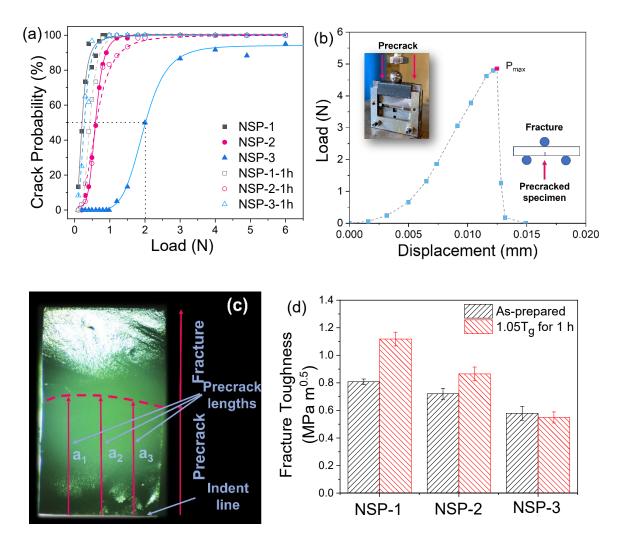
The mechanical properties of the as-prepared and heat-treated glass-ceramics are summarized in Table 3, including Vickers hardness, crack initiation resistance, and fracture toughness. For the as-prepared samples, the hardness at 0.49 N load is about 4.2 GPa for NSP-1, 4.5 GPa for NSP-2 and 4.6 GPa for NSP-3, respectively.

After heat-treatment at  $1.05T_g$ , the hardness changes to 3.9 GPa for NSP-1, 4.8 GPa for NSP-2 and 4.4 GPa for NSP-3. As such, hardness is not significantly affected by the increased crystallinity upon heat-treatment.

**Table 3** Crystal average size, Vickers hardness at 0.49 N ( $H_V$ ), crack resistance (CR), and fracture toughness ( $K_{Ic}$ ) measured using the SEPB technique of the as-prepared and heat-treated glasses.

Sample ID	Crystal Average Size [nm]	H <sub>V</sub> [GPa]	CR [N]	$K_{\text{Ic}} [\text{MPa} \cdot \text{m}^{0.5}]$
NSP-1	47	$4.2 \pm 0.2$	$0.1\pm0.2$	$0.81 \pm 0.02$
NSP-1-1 h	83	$3.9 \pm 0.1$	$0.4 \pm 0.1$	$1.12\pm0.05$
NSP-2	99	$4.5\pm0.3$	$0.5\pm0.1$	$0.72 \pm 0.04$
NSP-2-1 h	74	$4.8 \pm 0.2$	$0.6 \pm 0.1$	$0.87 \pm 0.05$
NSP-3	44	$4.6 \pm 0.2$	$2.1\pm0.1$	$0.58 \pm 0.05$
NSP-3-1 h	36	$4.4 \pm 0.2$	$0.3 \pm 0.1$	$0.55\pm0.04$

Crack resistance (*CR*) refers to the ability of the material to resist crack initiation under the impact of a sharp object. Based on the Vickers indentation method, *CR* corresponds to the load when the probability of corner cracking reaches 50%. Figure 5(a) shows the curves of crack initiation probability as a function of applied indentation load for the as-prepared and heat-treated glass-ceramics, while the determined values of *CR* are given in Table 3. For the as-prepared samples, the crack resistance increases from 0.1 N for NSP-1 to 0.5 N for NSP-2 and 2.1 N for NSP-3. After heat-treatment, the crack resistance increases to 0.4 N for NSP-1 and 0.6 N for NSP-2, respectively. However, the crack resistance of NSP-3 decreases from 2.1 N to 0.3 N after heat-treatment. Considering the standard deviation, heat treatment has no significant effect on the crack resistance of the NSP-1 and NSP-2 samples, whereas a decrease in the crack resistance of NSP-3 is observed. In addition, the *CR* results also indicate that increasing crystallinity in glass-ceramics and those containing high content crystals tend to exhibit smaller *CR*.



**Figure 5** (a) Crack probability as a function of applied indentation load for the same samples. (b) Load-displacement curve of three-point bending of the precracked SEPB specimen. The inset shows the bridge-compression fixture (precrack) and the three-point bending fixture with a precracked specimen (fracture). (c) Post-fractured SEPB specimen. (d) Fracture toughness ( $K_{lc}$ ) for all glass-ceramics compositions and heat-treatments.

Figures 5(b)-(c) show the principle of the SEPB method to obtain fracture toughness. An indented specimen was first placed into the bridge compressive fixture (precrack model) and it was ensured that the indentation line was in the middle of the groove. The length of the produced precrack was around 50% of the specimen width. Then the precracked specimen was placed into the three-point bending fixture (fracture model) to undergo fracture. The peak load value ( $P_{max}$ ) can be obtained from the load-displacement curve (shown in

Figure 5(b)), and the precrack lengths a can be calculated by the average of  $a_1$ ,  $a_2$  and  $a_3$  (shown in Figure 5(c)). As shown in Figure 5(d), the fracture toughness ( $K_{le}$ ) of NSP-1 increases significantly from 0.81 to 1.12 MPa·m<sup>0.5</sup> upon heat-treatment. Notably, the  $K_{le}$  of NSP-1-1h reaches a higher value among all the phosphosilicate samples. Similarly, the  $K_{le}$  of NSP-2 also increases from 0.72 to 0.87 MPa·m<sup>0.5</sup>, whereas the heat-treatment has only a relatively small effect on the  $K_{le}$  of NSP-3, which is around 0.55 MPa·m<sup>0.5</sup>. In addition, based on the indentation results, we have found that the corner crack lengths generally decrease upon heat-treatment (especially for NSP-1 and NSP-2), as consistent with the general increase in the SEPB-based fracture toughness (see Supplemental Material Figure S4 [26]). Overall, the above results suggest that the increase in crystal size (for NSP-1) and the formation of large-sized crystals (for NSP-2) improve the fracture toughness of glass-ceramics. For NSP-3, increased crystal content appears to have a smaller effect on fracture toughness.

#### 3.5 Elastic properties

Elastic moduli are listed in Table 1. The Young's modulus of the glass-ceramic samples has been measured using ultrasonic thickness gauge based on the density data. For the as-prepared samples, we find the Young's modulus to be 67.2 GPa for NSP-1 and NSP-2, and 64.5 for NSP-3. The Poisson's ratio is 0.23 for NSP-1, and increases to 0.25 for NSP-2 and 0.26 for NSP-3. To investigate the effect of the crystal on the glass-ceramic mechanical properties, we have simulated the elastic moduli of Na<sub>3</sub>PO<sub>4</sub> crystals. The simulated Young's modulus ( $E_{VRH}$ ) of crystalline Na<sub>3</sub>PO<sub>4</sub> is 37.8 GPa, which is much lower than that of glass-ceramics. That is, the Young's modulus of crystalline Na<sub>3</sub>PO<sub>4</sub> is significantly lower than that of the residual glass matrix. The simulated bulk modulus  $K_{VRH}$  is 40.0 GPa, shear modulus is  $G_{VRH}$  is 14.1 GPa and Poisson's ratio  $\nu$  is 0.34.

# 4. Discussion

# 4.1 Microstructure analysis

The mechanical properties of glass-ceramics are determined by their structure (glass matrix and crystals). Therefore, we first discuss the microstructure changes due to differences in chemical composition and heat treatment. Some previous work has found that when P<sub>2</sub>O<sub>5</sub> is added to the Na<sub>2</sub>O-SiO<sub>2</sub> system, the NBO-forming sodium ions from the silicate network can combine with PO<sub>4</sub><sup>3-</sup> to form 3Na<sup>+</sup>PO<sub>4</sub><sup>3-</sup> like complexes and induce re-polymerization in the silicate glass structure [19,20,25]. This structure facilitates the formation of Na<sub>3</sub>PO<sub>4</sub> crystals, explaining why the present <sup>31</sup>P NMR results show that the Na<sub>3</sub>PO<sub>4</sub> phase (i.e., 3Na<sup>+</sup>PO<sub>4</sub><sup>3-</sup> structure) is the main phosphate structure component. More Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub> phases form after heat-treatment of the NSP-1 and NSP-3 compositions according to the <sup>31</sup>P NMR spectra. Moreover, after heat-treatment, the content of the Na<sub>3</sub>PO<sub>4</sub> phase increases according to the XRD result, indicating that there is still some fraction of PO<sub>4</sub><sup>3-</sup> structures in the glass matrix. This allows two PO<sub>4</sub><sup>3-</sup> units (together with their three charge-compensating Na<sup>+</sup> cations) to combine and form a Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub> phase or similar dimer structure, and release Na<sup>+</sup> ions into the silicate structure [22,40]. For the NSP-2 composition, the Na<sub>3</sub>PO<sub>4</sub> peak becomes sharper, which might be due to the more ordered arrangement of the sodium orthophosphate crystal phase that is formed upon heat treatment.

Aboud *et al.* have reported that in a phosphosilicate glass (SiO<sub>2</sub>–P<sub>2</sub>O<sub>5</sub>–Al<sub>2</sub>O<sub>3</sub>–Na<sub>2</sub>O–MgO), the polymeric network of the glass will be disrupted upon high-temperature or long-time heat treatment. This makes the atoms such as P or Al free to change their positions and form a common crystalline compound in the glass [51]. Other studies have also found structural depolymerization after heat-treatment [52,53]. As a result, based on <sup>29</sup>Si NMR results, the  $Q^{n+1}$  can transform to  $Q^n$  in the silicate structure in glass-ceramics after heat-treatment, leading to the silicate structure depolymerization for heat-treated glass-ceramics. Both effects could lead to the observed depolymerization of the glass matrix for the heat-treated glass-ceramics.

In addition, the XRD results show that the content of Na<sub>3</sub>PO<sub>4</sub> crystal increases for the three heat-treated glass-ceramics, with the increase of crystallinity in NSP-3 being the most significant. However, the effect of heat-treatment on the crystal size is not significant in NSP-3 (Figure 2(c)). Furthermore, the crystal size increases

significantly from 47 nm to 83 nm in heat-treated NSP-1, while the effect on the crystal size in NSP-2 by heat treatment is not pronounced, decreasing from 99 nm to 74 nm.

### 4.2 Effect of microstructure on transparency and mechanical properties

According to the scattering theory, the coefficient of scattering ( $\sigma$ ) is governed by the size of crystals (R) dispersed in the glassy phase  $[\sigma \propto R^3]$ , and the refractive index difference between crystal (n) and glass matrix  $(n_0)$ ,  $[\sigma \propto \{(n^2 - n_0^2)/(n^2 + n_0^2)\}^2]$  [54]. Therefore, smaller size crystals and smaller refractive index differences provide lower scattering, which means that the glass-ceramics would have better transmittance. Most transparent glass—ceramics are achieved by the formation of crystals of small size [55]. In this work, the crystal size for glass-ceramics is above 30 nm, especially heat-treated NSP-1 and NSP-2, where the crystal size is above 50 nm. Combined with the XRD results and the observed change in crystal size, we conclude that the increases in crystal size and crystal content cause the decrease in transmittance of the glass-ceramics, especially at short wavelengths. However, although the crystal size is above 50 nm, the as-prepared and heattreat glass-ceramics still exhibit good transmittance, which might be influenced by the similar refractive index of glass matrix and crystal. Yudar et al. have reported the refractive index value of Li<sub>3</sub>PO<sub>4</sub> to be approximately 1.59 [56], suggesting the refractive index value of the Na<sub>3</sub>PO<sub>4</sub> is likely around 1.5. Meanwhile, the refractive index value of a phosphosilicate glass is also around 1.5 [54,57]. Therefore, the difference in refractive index between residual glass and Na<sub>3</sub>PO<sub>4</sub> crystal is likely relatively small, which explains the excellent transmittance of the present glass-ceramics together with the size of the crystals below 100 nm. Similarly, Li et al. have reported good transmittance in phosphosilicate glass-ceramics with Na<sub>3</sub>PO<sub>4</sub> crystals [25].

The residual glass network and crystals affect the glass-ceramic mechanical properties. Here, the simulated Young's modulus of Na<sub>3</sub>PO<sub>4</sub> is around 37.8 GPa, which is much lower than that of glass-ceramics (above 60 GPa). Considering that the connectivity of the main glass matrix (silicate network) decreases after heat-treatment and the low Young's modulus of Na<sub>3</sub>PO<sub>4</sub> crystals, this explains why the hardness of the glass-ceramics is found to decrease after heat-treatment.

Next, we consider the effects of the residual glass structure and crystal on the crack resistance. For homogeneous glasses, CR depends on the indentation deformation mechanism. For example, glass compositions that are prone to densification have been reported to be more crack resistant, as it reduces the driving force (residual stresses) for cracking [28,58]. Typically, a glass with a more open structure is more prone to densification, or one with a more self-adaptive composition (such as B<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>), exhibits a higher CR [4]. In addition, some reports also proposed that silicate glasses with highly cross-linked rigid networks tend to exhibit lower CR [59,60]. In the present samples, there are no self-adaptive components, while the glass-ceramic with higher NBOs content decreases the rigidity of the network, which could increase the crack resistance. That would be one reason to explain why the NSP-3 exhibits the highest CR. On the other hand, upon heat-treatment, the high residual stresses will be generated inside the glass-ceramics due to the mismatch of the coefficient of thermal expansion (CTE) between the crystalline and glassy phases, which could decrease the crack resistance [10], as residual stress is the driving force for cracking in oxide glass [4]. Some papers have reported that the CTE of sodium phosphosilicate glass is around  $13 \times 10^{-6}$  °C<sup>-1</sup> and the CTE of glassceramics with Na<sub>3</sub>PO<sub>4</sub> crystal increases to 16 × 10<sup>-6</sup> °C<sup>-1</sup> [11,25]. Residual stresses arise in glass-ceramics by cooling the sample from high temperatures due to CTE differences between the precipitates and the glass matrix [11,61]. Following Eq. (6), higher residual stress is generated in glass-ceramics with higher volume fractions of crystals or large differences in CTE [11],

$$\sigma_{p} = \frac{\Delta \alpha \cdot \Delta T}{\frac{1}{3K_{p}} + \frac{1}{4(1 - f)G_{m}} + \frac{1}{3(1 - f)K_{m}}}$$
(6)

Here  $\sigma$  is the residual stress, G is the shear modulus, K is the bulk modulus and f is the volume fraction of precipitates. The subscripts m and p refer to matrix and particle, respectively.  $\Delta \alpha$  is the difference between the linear thermal expansion of the precipitate and the matrix and  $\Delta T$  is the difference between  $T_g$  (when the glass stops flowing on cooling) and room temperature. When the thermal expansion of the crystalline phase is higher than that of glass matrix, homogeneous tensile stress developed. Hence, in this work, the higher the crystal content, the more residual stress is present in the glass-ceramics, which could lead to easier crack initiation.

Considering the lowest crystallinity and highest NBOs content in NSP-3, the NSP-3 exhibits the best crack resistance among the three as-prepared glass-ceramics.

After heat-treatment, the crystallinity of all samples increases, especially in the case of the NSP-3 composition. The residual stress in NSP-3-1h is much greater than that in the as-prepared NSP-3, and therefore the *CR* is significantly reduced. However, the NBO/T of heat-treated samples is higher compared to the as-prepared samples, indicating that the connectivity of the silicate network decreases, which could lead to an increase in crack resistance of heat-treated glass-ceramics [59,60]. Thus, the crack resistance of NSP-2 and NSP-3 samples increases slightly upon heat-treatment. In conclusion, crystallinity plays a major role in affecting crack resistance for glass-ceramics with an obvious increase in crystallinity. For samples with little change in crystallinity, the glass network plays a more important role. Finally, although the crystal size in NSP-1 increases significantly after heat treatment, there is no apparent effect on the crack resistance.

For homogeneous glasses,  $K_{Ic}$  is sensitive to the network connectivity, since less cross-linked networks display less resistance to crack propagation on account of their lower cohesion [16,62]. For glass-ceramics, the heterogeneous microstructure can effectively dissipate the high local stresses through crack deflection, multiple cracking, crack bridging, and crack branching operated at the crack tip, which can lead to improved fracture toughness [63-65]. Peitl *et al.* have reported that glass-ceramics with a highly crystallized volume fraction or relatively large crystal size usually exhibit better fracture toughness [11,63]. In this work, the  $K_{Ic}$  of as-prepared glass-ceramic decreases from NSP-1 to NSP-3, since the NBO/T of the residual glass phase decreases, i.e., the lower connectivity of the silicate network reduces the resistance to crack propagation. From the crystal impact perspective, the crystallinity of NSP-1 and NSP-2 is higher than that of NSP-3, thus the effect of crack deflection or crack pinning in NSP-1 and NSP-2 is more obvious than in NSP-3. As a result, the  $K_{Ic}$  of NSP-1 is higher than that of NSP-2, and the  $K_{Ic}$  of NSP-3 is the lowest.

After heat-treatment, NBO/T is increased compared to the as-prepared glass-ceramics. The depolymerization of the glass network means the residual glass in the heat-treated glass-ceramics is less resistant to crack propagation. However, the crystallinity of heat-treated glass-ceramics increases compared to the as-prepared samples, which would improve the fracture toughness. Therefore, the residual glass and crystallization

compete in the influence on  $K_{Ic}$ . Furthermore, the residual stress would also play a role since the mismatch in CTE puts in the glass matrix in a local state of tension. Although the increase in crystallinity of NSP-1 is relatively smaller compared to that of NSP-3 after heat treatment, the crystal size in NSP-1 increases significantly from 47 to 83 nm. Therefore, the  $K_{\rm Ic}$  is greatly improved from 0.81 to 1.12 MPa·m<sup>0.5</sup> (i.e., a relative increase of 38%). This indicates a more important effect of crystals on fracture toughness relative to the effect of the residual glass network structure. However, although the increase in crystallinity of heat-treated NSP-3 is more significant than that of the other two heat-treated samples, the crystal size and  $K_{\rm Ic}$  did not increase. This indicates that the improved fracture toughness caused by the increased crystallinity is offset by the weakening of the residual glass network depolymerization. For NSP-2, the increase of crystallinity in NSP-2-1h is the smallest among the compositions, but the crystal size is the largest. Therefore, the increase in  $K_{\rm Ic}$ of NSP-2-1h is still significant compared to NSP-3-1h, with an increase from 0.72 to 0.87 MPa·m<sup>0.5</sup> (i.e., a relative increase of 21%). Unfortunately, it is challenging to conclude on crack path directly based on the SEM images, i.e., whether the cracks are passing through or circumventing the particles, due to the small crystal size. However, the overall analysis of the results demonstrates that the increase in crystallinity and crystal size improves the fracture toughness of the glass-ceramics, with the crystal size (or the increase of large-sized crystals content) having the most prominent effect. Notably, the maximum fracture toughness of 1.1 MPa m<sup>0.5</sup> is significantly higher than that of both soda-lime silica as well as Na<sub>2</sub>O-CaO-SiO<sub>2</sub>-P<sub>2</sub>O<sub>5</sub> glasses (both around 0.7 MPa m<sup>0.5</sup>) [6,11].

# 5. Conclusion

In this work, we have prepared transparent sodium phosphosilicate glass-ceramics with nanocrystals and investigated the relationship between structure (crystal and residual glass structure) and mechanical properties. After heat treatment, the fraction of non-bridging oxygens increases, indicating that the network connectivity of the residual glass matrix decreases, but it also induces the formation of Na<sub>3</sub>PO<sub>4</sub> crystals. Specifically, the crystallinity of the NSP-3 sample increases significantly after the heat treatment, but the crystal size does not

significantly change. On the other hand, heat treatment induces a significant increase in the crystal size of the NSP-1 sample (from 47 to 83 nm), but a minor increase in crystallinity. For NSP-2, the crystallinity and crystal size are not significantly influenced by the heat treatment.

The structure of the residual glass phase and the content and size of the crystals all have different effects on mechanical properties of the glass-ceramics. The depolymerization of the residual glass network increases the resistance to crack initiation but reduces the resistance to crack propagation. One interesting finding is that the increase in crystal content leads to crack initiation, i.e., the glass-ceramics with higher crystallinity tend to exhibit lower crack resistance, whereas changes in the crystal size do not appear to have an effect on crack resistance. Although the fracture toughness of glass-ceramic with higher crystallinity is larger, the increase in crystal size or the formation of larger size crystals is more effective in improving the fracture toughness of glass-ceramics. All the investigated glass-ceramic samples feature excellent transmittance, reaching a maximum fracture toughness of 1.1 MPa m<sup>0.5</sup>. This study thus provides guidelines for developing high-toughness, yet transparent glass-ceramics. For example, such nano-glass-ceramics could potentially be used as rare-earth doped materials for photonic applications with good mechanical properties.

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