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# Biofilms on Plastics Slow Photo-Oxidation while Promoting Surface Degradation

Isabel Goßmann,\* Hery Mitsutake, Julius Degenhardt, Morten E. Simonsen, and Fan Liu\*



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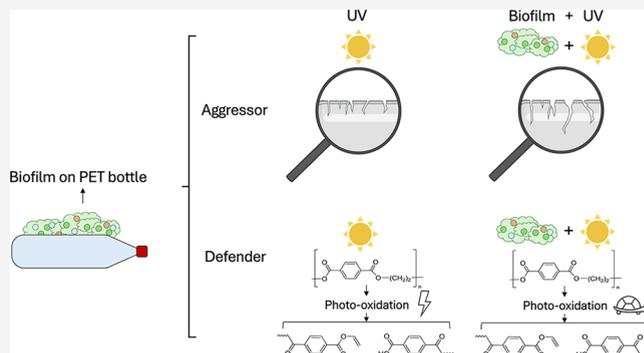
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**ABSTRACT:** Plastics in natural environments undergo physicochemical aging, yet the role of biofilms—microbial communities that colonize plastic surfaces—remains poorly understood. To evaluate this, polyethylene terephthalate (PET) drinking bottles were exposed to natural freshwater to facilitate biofilm formation, and subsequently subjected to ultraviolet (UV) irradiation to assess the influence of biofilms on weathering. Results showed that biofilms exert dual roles, as both protectors and aggressors. When combined with UV exposure, biofilms intensified surface morphological alterations, root-mean-square roughness increased from  $\sim 22$  nm for pristine PET to  $\sim 874$  nm, and altered polymer crystallinity, as indicated by a shift in the Raman spectrum. They increased brittleness and reduced impact strength, facilitating UV to penetrate deeper layers and form cavities, thereby enhancing specific surface area from  $2 \text{ g m}^{-2}$  (pristine PET) to  $22 \text{ g m}^{-2}$ . In contrast, UV exposure alone induced extensive photo-oxidation, reflected in higher surface hydrophilicity and increased polar surface energy components. Biofilms may attenuate UV penetration but concurrently promote plastic fragmentation, which might indirectly favor additive leaching through mechanical weakening and structural degradation. These findings underscore the complexity of plastic weathering under natural conditions, which surpasses dynamics observed in laboratory studies on pristine materials.

**KEYWORDS:** plastic weathering, biofilm, biofouling, UV degradation,  $\mu$ -Raman spectroscopy, atomic force microscopy, water contact angle, surface free energy, surface alteration



## 1. INTRODUCTION

Environmental weathering significantly influences the long-term stability and ecological impact of plastics. Among various environmental factors, ultraviolet (UV) radiation and the rapid colonization of biofilms are the key players.<sup>1</sup> It is well-known that UV radiation induces oxidative degradation, increasing surface roughness and promoting fragmentation through the formation of oxygen-containing functional groups.<sup>2–4</sup> The impact of biofilms on plastic weathering, however, is rarely studied.

Biofilms are structured microbial communities embedded in a self-produced extracellular matrix, primarily composed of polysaccharides, proteins, and nucleic acids.<sup>5</sup> They readily form on natural and synthetic surfaces across diverse ecosystems, from rocks and soils to biomedical and industrial settings (e.g., implants, pipelines, water treatment systems).<sup>6,7</sup> On plastics, biofilm may act as a protective layer against for instance, UV radiation, thus delaying plastic degradation. Also, they may influence the physicochemical properties of plastic surface and mediate the release of additives. Furthermore, biofilms could act as a potential driver, promoting microbial interactions that could alter the polymer's chemical structure.<sup>8,9</sup> Despite these potential effects, biofilms are rarely considered when assessing

the stability of plastics exposed to the environment, leaving a major gap in our understanding of plastic weathering.

Polyethylene terephthalate (PET) drinking bottles exemplify this problem. According to the United Nations Environment Programme, PET bottles are the second most prevalent single-use plastic waste worldwide, after cigarette butts.<sup>10</sup> Once released into the environment, PET provides extensive surface area for biofilm formation and is readily exposed to sunlight. Its transparency also makes it particularly amenable to high-resolution surface and chemical analyses. This study addresses the knowledge gap by testing how biofilm growth influences the UV-driven degradation of PET. We compare pristine and biofilm-colonized PET exposed to controlled UV aging and examine the resulting changes in surface morphology, surface energy and chemical structure. To capture these changes, we employed a combination of high-resolution Atomic Force

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Microscopy (AFM),  $\mu$ -Raman spectroscopy, and contact-angle measurements.

By integrating these complementary approaches, we evaluate whether biofilms primarily act as protective barriers that slow for example photo-oxidation or, conversely, as agents that accelerate polymer degradation under environmental stress. Our results provide new insight into the role of biofilms in plastic weathering and inform future assessments of the environmental fate and impacts of plastic debris.

## 2. METHODS

**2.1. Sample Preparation: Pristine and Biofilm-Colonized Material.** Pristine PET drinking water bottles, hereinafter referred to as PET, were cut into pieces ( $3 \times 3$  cm and  $8 \times 3$  cm), placed in Petri dishes, and covered with aluminum foil to prevent UV exposure, labeled as PET\_P. Additionally, PET was submerged approximately 15 cm below the water surface of a local stormwater retention basin in a custom-built cage at the end of July 2024. After two months of environmental exposure, the PET was retrieved, by which time a biofilm had formed on the exposed surfaces. The biofilm-colonized PET was then cut into pieces ( $3 \times 3$  cm and  $3 \times 8$  cm), stored in Petri dishes, and again covered with aluminum foil. These samples are labeled PET\_WB. Pictures of the custom-built cage, its deployment, and biofilm growth are displayed in the Supporting Information (SI, Figures S1 and S2).

**2.2. Accelerated Weathering.** For accelerated weathering, both PET\_P and PET\_WB were exposed to UV-C irradiation in a BS-02 chamber (Opsytech Dr. Gröbel GmbH, Germany), equipped with eight UV-C lamps (253 nm, 10 mW cm<sup>-2</sup>). The solar equivalence was calculated following Simon et al.,<sup>11</sup> by comparing the total photon output from the UV-C lamps with the estimated yearly average photon exposure in Denmark. For a more detailed calculation refer to the SI (Text Section S2). Based on the number of emitted photons, samples were exposed to UV-C irradiation for 7 days per side, equivalent to 25 days of natural sunlight exposure. The weathered PET pieces were stored in Petri dishes covered in aluminum foil. UV-treated samples are hereinafter labeled as PET\_P\_UV and PET\_WB\_UV, respectively. We note that the use of UV-C constitutes a limitation, as it does not reflect the environmentally relevant UV spectrum (UV-A/B). Furthermore, only a single exposure duration of 25 days was evaluated, as this experiment was designed as an exploratory study to determine whether biofilm-mediated weathering produces measurable effects.

**2.3. AFM Topography.** Surface topography of PET samples was characterized by AFM, which scans the surface with a nanoscale cantilever tip to quantify morphology at nanometer resolution.<sup>12,13</sup> AFM is well suited for detecting environmentally induced changes in plastic surfaces.<sup>3</sup>

Prior to AFM topography measurements, biofilms were carefully removed from PET\_WB and PET\_WB\_UV samples under running water. Gentle manual cleaning was applied when necessary to ensure complete removal. Measurements were conducted with a scanning probe microscope (SPM) (OmegaScope) integrated with a  $\mu$ -Raman system (Horiba Scientific). Data acquisition and processing were performed using AIST software (version 3.5.163). For AFM topography measurements, SPM probes from MikroMasch were used, specifically the HQ:NSC14/Al BS model, which consists of a standard AFM probe with a pyramidal silicon tip, a beam-type

silicon cantilever, and a rectangular silicon chip. Measurements were conducted in AC mode (semicontact AFM mode) using a QScan at a scanning rate of 1.0 Hz over an area of  $10 \times 10$   $\mu$ m, with a resolution of  $512 \times 512$  pixels. Each PET condition was measured in triplicate on distinct subareas selected along a diagonal across the sample surface to include both edge and central regions.

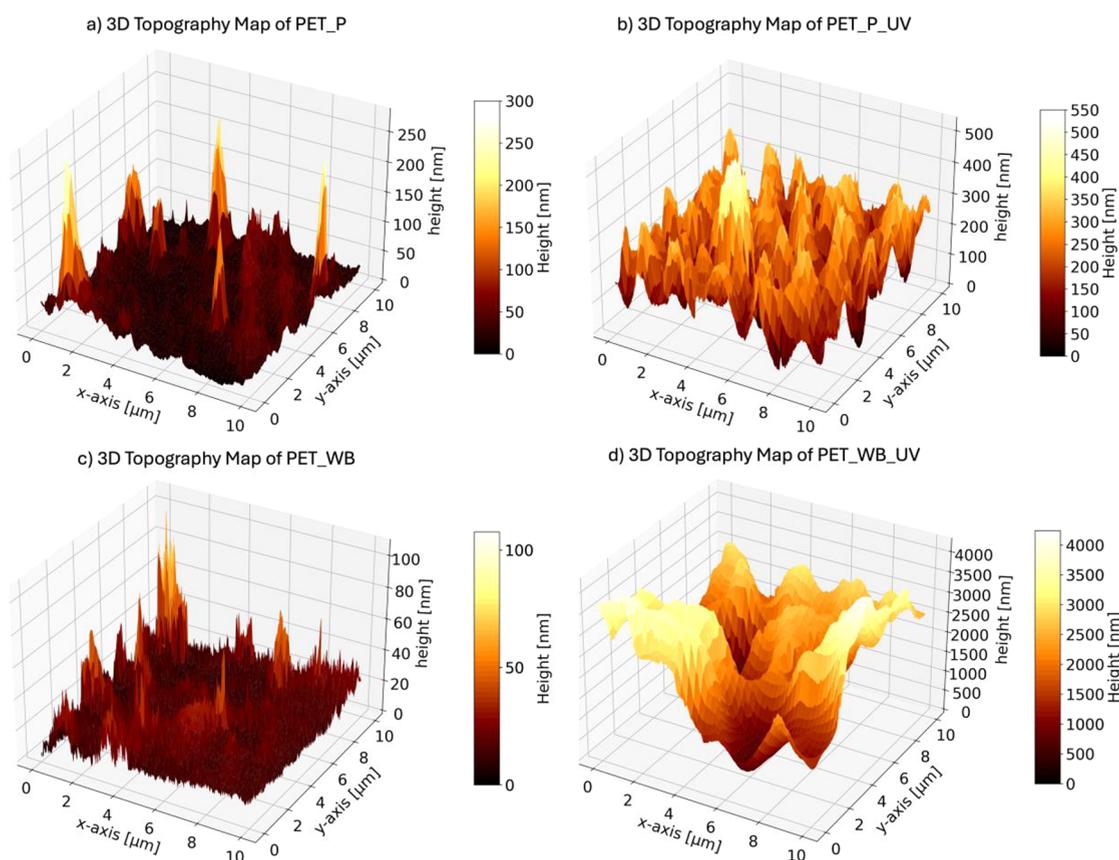
Initial data processing was performed using the IPro tool within the AIST software suite. A plane-level filter was applied, and the minimum  $z$ -value was normalized to zero nm. Subsequent data analysis was carried out using Python (Version 3.12) within the Spyder (IDE 5.5.1) integrated development environment. Statistical analysis of AFM data employed a one-way analysis of variance (ANOVA), followed by Tukey's honestly significant difference (Tukey's HSD) posthoc test to identify significant differences among the conditions, as described by Schefer et al.<sup>3</sup> The significance level was set as  $p = 0.05$ . Additionally, root-mean-square roughness (RMS) and specific surface area (SSA) were calculated following the method proposed by Schefer et al.<sup>3</sup>

**2.4. 3D Mapping with  $\mu$ -Raman Spectroscopy.** Chemical changes in the PET surface were analyzed using  $\mu$ -Raman spectroscopy, which provides spatially resolved vibrational fingerprints of polymer functional groups and enables micrometer-scale chemical imaging.<sup>14–17</sup>

To facilitate a comprehensive analysis of surface chemistry and in-depth heterogeneity, 3D mapping was conducted using  $\mu$ -Raman spectroscopy (XploRA Plus, Horiba Scientific), utilizing the LabSpec 6.7.2 software. PET samples from all experimental conditions (PET\_P, PET\_WB, PET\_P\_UV, and PET\_WB\_UV), with biofilm removed prior to analysis, were subjected to 3D mapping. The mapped area spanned  $40 \times 40$   $\mu$ m in the  $x$ - and  $y$ -plane. Along the  $z$ -axis, the motorized XYZ stage traversed 100  $\mu$ m vertically, resulting in a total analyzed volume of 160,000  $\mu$ m<sup>3</sup>. The lateral and vertical step sizes were 2.2 and 16.7  $\mu$ m, respectively. Measurements were conducted in triplicate by analyzing three distinct subareas per sample. Samples were exposed to a laser excitation of 785 nm (28 mW nominal laser power), dispersed by a 600 lines mm<sup>-1</sup> diffraction grating onto a charge-couple device (CCD) detector. A 50 $\times$  long-working-distance objective (N.A. = 0.50) was used, covering a spectral range of 775–2000 cm<sup>-1</sup>. This configuration gives a theoretical lateral spatial resolution of 958 nm and 6.3  $\mu$ m of depth of focus.<sup>18</sup> All spectral data acquired for the 3D maps were exported as ASCII format and subsequently processed in MATLAB v. 24.2 (The Mathworks Inc., Natick, MA). The baseline was corrected by rubber band correction.

**2.5. Contact Angle Measurements and Surface Free Energy ( $\gamma_s$ ) Calculation.** Wettability and surface free energy ( $\gamma_s$ ) were determined from water and diiodomethane contact angles to calculate polar ( $\gamma_s^p$ ) and dispersive ( $\gamma_s^d$ ) components.<sup>19–21</sup> These measurements indicate surface chemical modifications relevant to polymer–environment interactions.<sup>22</sup>

Static contact angle measurements were performed using a Krüss DSA100 with an automated dispensing unit. Droplets of ultrapure water (5  $\mu$ L) and DIM (2  $\mu$ L) were deposited onto the surface under ambient laboratory conditions. To ensure reproducibility and statistical validity, five independent replicates were conducted per sample, with each replicate comprising five individual contact angle measurements.  $\gamma_s$  was calculated using combination of Good's and Young's equation derived by Owens and Wendt and Fowkes,<sup>19,21</sup> which involves



**Figure 1.** 3D Topography maps of PET surface under four conditions: (a) pristine PET (PET\_P), (b) UV-aged pristine PET (PET\_P\_UV), (c) biofilm-colonized PET (PET\_WB), and (d) UV-aged PET after biofilm colonization (PET\_WB\_UV). The *x*- and *y*-axes represent lateral dimensions in  $\mu\text{m}$ , and the *z*-axis indicates surface height in nm. Each map corresponds to the first of three replicate measurements.

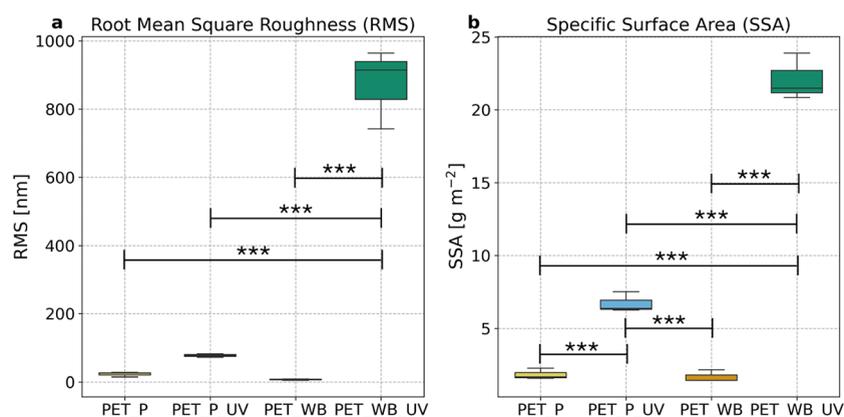
contact angle data from both a polar and a nonpolar liquid in conjunction with their known surface tension components. Surface tension values for water and DIM were obtained from the instrument manufacturer's application note (AN213/CR). For water, a total surface tension of  $72.8 \text{ mN m}^{-1}$  was used, with  $\gamma_s^D$  and  $\gamma_s^P$  components of  $26.4$  and  $46.4 \text{ mN m}^{-1}$ , respectively. For DIM, a total surface tension of  $50.8 \text{ mN m}^{-1}$  was assumed, consisting entirely of  $\gamma_s^D$ . The  $\gamma_s^P$  and  $\gamma_s^D$  contributions to  $\gamma_s$  of each sample were determined for each replicate by solving the Owens-Wendt equations, and the results were averaged. Detailed calculations are given in the SI (Text Section S3).

### 3. RESULTS AND DISCUSSION

**3.1. General Observations.** Initially transparent, PET\_P became opaque upon UV exposure. After two months in the pond, a thin layer of biofilm ( $\sim 1 \text{ mm}$ ) covered the entire PET surface. Following gentle removal of the biofilm, most of PET\_WB remained transparent, but opaque spots persisted, likely indicating areas of pronounced biofilm-induced surface alteration. Similar to PET\_P, PET\_WB became completely opaque after UV aging. Pictures of the different PET conditions and the biofilm growth are shown in the SI (Figures S2 and S4). Details of the biofilm's microbial community composition, obtained by DNA extraction, 16S rRNA gene amplification, sequencing and subsequent sequence-data processing following the pipeline described by Degenhardt et al.,<sup>23</sup> are provided in the SI (Text Section S1).

**3.2. AFM Topography.** The AFM topography analysis revealed notable alterations in the PET surface. Figure 1 presents 3D topography maps of PET surfaces following biofilm colonization and/or UV aging. All measurements were performed in triplicate; Figure 1 shows one representative scan from each condition, and the description refers to this replicate. PET\_P exhibited surface height variations ranging from 0 to 270 nm (Figure 1a). Biofilm formation appeared to smooth the surface (Figure 1c), reducing height variation to 0–100 nm. In contrast, UV aging slightly increased the surface roughness of pristine plastic, with height variation extending up to 540 nm (Figure 1b). Notably, biofilm growth followed by UV aging resulted a drastically roughened surface, characterized by localized cavity formation, with height variations spanning from 0 to 4000 nm (Figure 1d). Corresponding 2D contour plots for all four conditions, as well as 3D topography maps of the additional triplicate scans, are provided in the SI (Figures S5–S16, respectively).

These morphological changes were further supported by RMS and SSA analysis (Figure 2), based on triplicate measurements for each condition. RMS values for PET\_P ranged from 14.5 to 27.3 nm, whereas PET\_WB exhibited lower values (4.3–8.4 nm). UV aging of pristine plastic increased the RMS to 71.6–81.6 nm, while the combined effects of biofilm growth and UV aging led to a substantial increase, with RMS reaching 741.5–964.5 nm (Figure 2a). According to Tukey's HSD posthoc test, PET\_WB\_UV differed significantly from all other conditions, whereas PET\_P, PET\_P\_UV, and PET\_WB showed no significant



**Figure 2.** Comparison of four PET conditions in (a) root-mean-square roughness (RMS, nm), and (b) specific surface area (SSA, g m<sup>-2</sup>) for pristine PET (PET\_P), UV-aged pristine PET (PET\_P\_UV), biofilm-colonized PET (PET\_WB), and UV-aged PET with biofilm colonization (PET\_WB\_UV). The central line within each box is the median value. Box limits define the upper and lower quartiles (Q3 and Q1), describing the interquartile range (IQR). Whiskers extend from the box to 1.5× IQR. Statistical significance is indicated as follows: *p*-value < 0.001 “\*\*\*”, < 0.01 “\*\*”, and < 0.05 “\*”.

differences. For SSA (Figure 2b), all conditions exhibited significant differences, except for PET\_P and PET\_WB.

When these results are compared with previous studies analyzing surface changes after UV aging using AFM, certain parallels emerge. Schefer et al.,<sup>3</sup> who employed a similar UV exposure duration (28 days vs 25 days here), reported an increase in RMS following UV aging, aligning with the findings of this study. However, while the RMS increase from PET\_P to PET\_P\_UV was noticeable in the present study, it was not statistically significant, in contrast to the significant changes documented by Schefer et al.<sup>3</sup> Conversely, their study reported an almost unchanged SSA after UV aging, whereas the present study shows significant SSA differences between PET\_P and PET\_P\_UV. To our knowledge, no previous studies have incorporated biofilm exposure in this context, precluding direct comparison. RMS values of PET\_WB decreased relative to PET\_P, while SSA remained largely unchanged. Despite biofilm removal prior to analysis, residual thin layers may have remained, potentially filling surface irregularities and thereby contributing to the reduction in RMS. Possible surface damage through the manual biofilm removal was unlikely, since 3D surface plots of PET\_WB appear visibly rough and irregular with sharp peaks (SI, Figure S7).

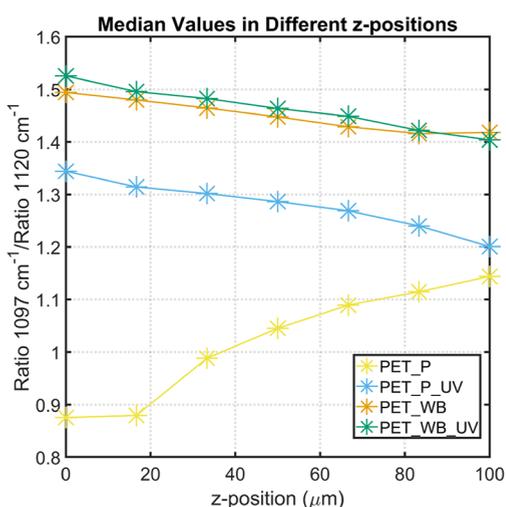
Upon UV irradiation, PET\_WB\_UV exhibited significant alterations in both RMS and SSA compared to all other conditions (PET\_P, PET\_P\_UV, and PET\_WB). AFM topography further revealed localized cavity formation on the surface of PET\_WB\_UV. The 0–4000 nm height range and the significant differences in RMS and SSA are primarily attributed to this cavity formation, with a likely contribution from differential swelling/shrinkage associated with the hydrated biofilm matrix. Biofilms are highly hydrated and retain water, creating moist microenvironments that can dry nonuniformly after the transition from the aqueous biofilm phase to the UV exposure in air.<sup>5</sup> Such nonuniform drying is known to generate mismatch stresses in polymer films, which can drive defect formation, and thus help open or enlarge cavities.<sup>24</sup> These findings indicate that biofilm, when combined with UV exposure, functions not as a passive protective barrier but as an active contributor to substantial surface modification.

**3.3.  $\mu$ -Raman Spectroscopy. 3.3.1. Laser Attenuation in *z*-Direction.** Laser attenuation along the *z*-direction is

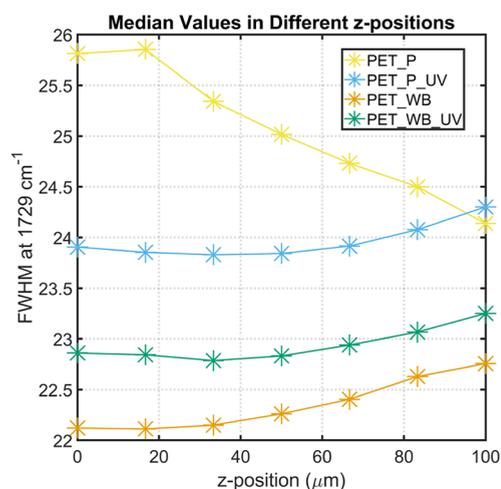
illustrated in the SI (Figures S9 and S10), where the spectral range between 1097 and 1120 cm<sup>-1</sup> is highlighted. A decrease in intensity is observed for the PET\_P sample (Figures S9a–c and S10a–c), and four distinct layers can be identified, indicating laser penetration and subsequent attenuation within the material. A similar pattern is observed in the first replicate of PET\_WB (Figures S9g and S10g). In contrast, the third replicate (Figures S9i and S10i) exhibits only two distinguishable layers, while the second replicate (Figures S9h and S10h) shows no clear layer separation. This trend persists across all samples subjected to UV aging (Figures S9d–f, j–l and S10d–f, j–l), suggesting that UV exposure alters material’s optical properties, thereby reducing laser penetration compared to pristine samples. While this effect is more apparent after UV aging – likely due to increased opacity – changes are also visible after biofilm formation on PET\_WB. These alterations hinder direct comparisons of signal intensity along the *z*-axis without applying correction methods, such as the Monte Carlo Multi-Layered (MCML) model.<sup>25</sup> Although a more detailed analysis could yield further insights, it falls beyond the scope of the present study. Accordingly, the *x*-axis in Figures 3 and 4 represents the displacement of the microscope stage along the *z*-direction.

**3.3.2. Comparison of Raman 3D Maps.** Recently,<sup>26</sup> introduced a high-resolution 2D Raman mapping method to analyze structural anisotropies, including crystallinity and molecular alignment, in different PET materials. Their study focused on characteristic Raman bands reflective of the structural state of PET. The peak at 1097 cm<sup>-1</sup> (ring C–C, ester C(O)–O, ethylene glycol C–C stretching (trans confirmation)) intensifies with increasing crystallinity, whereas the 1120 cm<sup>-1</sup> band (ring C–H in-plane bending, ester C(O)–O and ethylene glycol C–C stretching (gauche confirmation)) is more prominent in amorphous regions. The intensity ratio of these bands provides a direct indicator of PET crystallinity.

Based on these findings, attention was given to the Raman peaks at 1097 and 1120 cm<sup>-1</sup>. Figure 3 presents a plot of the ratio of their peak heights for the four PET conditions and the seven *z*-positions. More detailed box plots showing the median, data distribution, and outliers are provided in the SI (Figure S20). In one of the maps obtained from



**Figure 3.** Plot of the median values of the peak height ratio between 1097 and 1120  $\text{cm}^{-1}$  for pristine PET (PET\_P, yellow), UV-aged pristine PET (PET\_P\_UV, blue), biofilm-colonized PET (PET\_WB, orange), and UV-aged PET after biofilm colonization (PET\_WB\_UV, green), measured from the surface (0  $\mu\text{m}$ ) to a depth of 100  $\mu\text{m}$  in triplicate.



**Figure 4.** Plot of the median values of the full width at half-maximum (FWHM) at 1729  $\text{cm}^{-1}$  for pristine PET (PET\_P, yellow), UV-aged pristine PET (PET\_P\_UV, blue), biofilm-colonized PET (PET\_WB, orange), and UV-aged PET after biofilm colonization (PET\_WB\_UV, green), measured from the surface (0  $\mu\text{m}$ ) to 100  $\mu\text{m}$  in depth, based on triplicate measurements.

PET\_WB\_UV, some spectra showed a very intense fluorescent background caused by biofilm residues; these spectra were considered outliers and excluded from the analysis. For PET\_P\_UV, PET\_WB, and PET\_WB\_UV, the 1097  $\text{cm}^{-1}$  peak consistently exceeded that at 1120  $\text{cm}^{-1}$  across all  $z$ -positions, yielding a ratio  $>1$ . In contrast, PET\_P initially exhibited a ratio below 1 – indicating a higher relative intensity at 1120  $\text{cm}^{-1}$  – up to a depth of approximately 33  $\mu\text{m}$ , after which the ratio exceeded 1. At around 83  $\mu\text{m}$ , the ratios of PET\_P and PET\_P\_UV converged, suggesting structural differences diminished. A Kruskal–Wallis test followed by Dunn’s posthoc pairwise comparison confirmed these significant differences between sample groups up to the depth of 83  $\mu\text{m}$ . Beyond this depth, no significant differences were detected. Biofilm-exposed PET materials (PET\_WB and

PET\_WB\_UV) maintained structural consistency from the surface down to the penultimate layer ( $z$ -position: 83  $\mu\text{m}$ ), significantly distinct from the nonbiofilm-exposed samples (PET\_P and PET\_P\_UV).

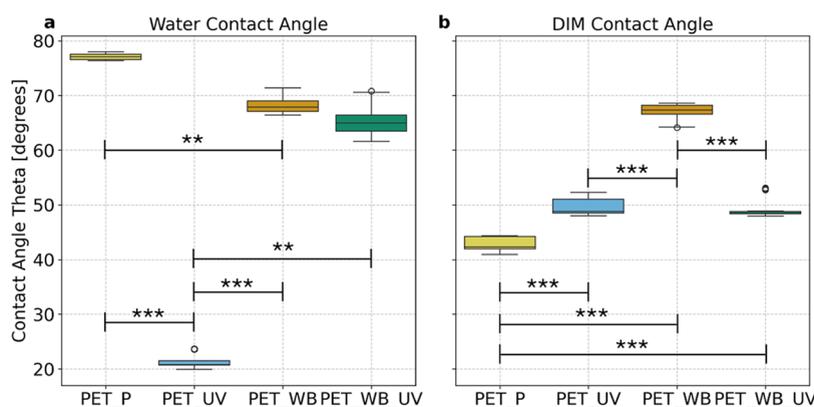
These findings reveal that PET\_P, initially characterized by a predominance of gauche conformation and a more amorphous structure, undergoes a transition toward trans conformation – indicative of increased crystallinity – upon exposure to UV radiation and/or biofilm colonization. The most pronounced shift toward this crystalline configuration occurs when both UV radiation and biofilm are present, although biofilm alone induces a comparable transformation. In contrast, UV exposure alone results in the least structural change. These findings indicate that biofilm exerts a stronger influence on the structural state of the ethylene glycol segment in PET than UV radiation. Furthermore, the UV-induced changes from amorphous to crystalline are most predominantly confined at the surface, whereas biofilm-induced changes extend consistently throughout the depth profile (up to 100  $\mu\text{m}$ ), indicating that biofilm-induced alterations are not limited to the surface but penetrate into the bulk material.

Additionally, Perret et al.<sup>16,26</sup> focused on the 1729  $\text{cm}^{-1}$  (C=O stretching) band, which narrows with increasing crystallinity, also reflecting changes in chain packing density. For differentiating the peak shape at 1729  $\text{cm}^{-1}$ , the full width at half-maximum (FWHM<sub>1729</sub>) was calculated and compared. Lower FWHM<sub>1729</sub> indicate sharper peaks and are typically associated with higher density and greater crystallinity in polymer structures, whereas higher values suggest reduced density and a more amorphous character.<sup>16,26</sup> Figure 4 the FWHM<sub>1729</sub> values plotted across the  $z$ -positions. More detailed box plots illustrating the median, data distribution, and outliers, are provided in the SI (Figure S21). PET samples exposed to UV and biofilm exhibited sharper peaks at 1729  $\text{cm}^{-1}$  compared to pristine PET. Furthermore, the lowest FWHM<sub>1729</sub> (sharpest peak) was found for PET\_WB, followed by PET\_WB\_UV, and finally PET\_UV. This aligns with the previously discussed 1097/1120  $\text{cm}^{-1}$  band ratio, supporting the transition from an amorphous structure in PET\_P to more crystalline structures in PET\_P\_UV, PET\_WB, and PET\_WB\_UV.

Given that amorphous regions generally exhibit lower density than crystalline ones,<sup>27</sup> the observed decrease in FWHM<sub>1729</sub> across treated samples suggests that both biofilm formation and UV radiation contributed to an increase in PET density. Again, structural changes extended to a depth of 100  $\mu\text{m}$  in biofilm-exposed samples, whereas UV radiation alone affected only the top 50  $\mu\text{m}$ .

However, the Kruskal–Wallis test followed by Dunn’s posthoc analysis for pairwise comparisons, revealed significant differences between all four PET conditions at each  $z$ -position, except at 83  $\mu\text{m}$  between PET\_P and PET\_P\_UV ( $p = 0.0514$ ). This further supports the interpretation that biofilm-induced transformations are more profound and persistent in deeper layers than those caused by UV alone. However, as this study utilized UV–C light, it is important to note that longer-wavelength UV–A and UV–B radiation may penetrate deeper into the material and potentially induce changes at greater depths.

Overall, a synergistic effect between biofilm formation and UV exposure on PET crystallization is indicated. As shown in Figure 1, the combined treatment increases surface-height variation and leads to localized cavity formation (Figure 1d),

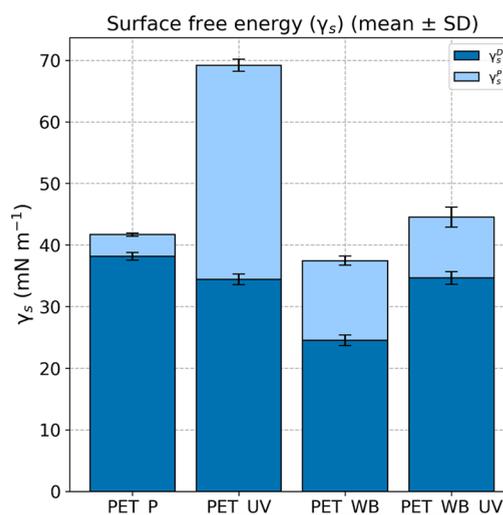


**Figure 5.** Contact angle measurements for water (a) and diiodomethane (DIM, (b) for four PET conditions: pristine PET (PET\_P), UV aged pristine PET (PET\_P\_UV), biofilm-colonized PET (PET\_WB), and UV aged PET after biofilm colonization (PET\_WB\_UV). The central line within each box is the median value. Box limits define the upper and lower quartiles (Q3 and Q1), describing the interquartile range (IQR). Whiskers extend from the box to  $1.5 \times$  IQR. Statistical significance is indicated as follows:  $p$ -value  $< 0.001$  “\*\*\*”,  $< 0.01$  “\*\*”, and  $< 0.05$  “\*”.

which exposes subsurface PET to environmental conditions. Microbial exudates such as sugars and carboxylic acids<sup>28</sup> can act as chemical nucleation agents that promote crystal growth;<sup>29,30</sup> consistent with this, Legras et al.<sup>29</sup> reported that salts of carboxylic acids react with PET ester bonds and promote crystallization. The Raman carbonyl band at  $1729 \text{ cm}^{-1}$  and its  $\text{FWHM}_{1729}$  (Figure 4) differ between biofilm/UV-treated and pristine PET, supporting changes in surface chemistry and crystallinity.

**3.4. Contact Angle Measurements and Surface Free Energy ( $\gamma_s$ ).** Water contact angles ranged from an average of  $21.3^\circ$  on PET\_UV to  $77.1^\circ$  on PET\_P, with intermediate values observed for PET\_WB ( $68.2^\circ$ ) and PET\_WB\_UV ( $65.3^\circ$ ), indicating increased hydrophilicity upon surface modification – most pronounced after UV treatment alone (Figure 5a). In contrast, DIM contact angles displayed a different pattern: highest on PET\_WB ( $67.0^\circ$ ), followed by PET\_UV ( $49.7^\circ$ ), PET\_WB\_UV ( $49.3^\circ$ ), and lowest on PET\_P ( $42.8^\circ$ ) (Figure 5b). Statistical analysis using the Kruskal–Wallis test confirmed that these significant differences in contact angles for both water and DIM. Dunn’s posthoc test with Bonferroni correction revealed all pairwise differences in water contact angles were statistically significant, except between PET\_WB and PET\_WB\_UV. For DIM contact angles, all comparisons were statistically different except between PET\_UV and PET\_WB\_UV. These findings align with Schefer et al.,<sup>3</sup> who reported significantly lower water contact angles, and hence greater hydrophilicity for UV-aged PET compared to pristine samples.

Calculations of  $\gamma_s$  revealed clear differences among the four PET conditions (Figure 6). PET\_P exhibited a  $\gamma_s$  of  $41.7 \text{ mN m}^{-1}$ , dominated by  $\gamma_s^D$  ( $38.1 \text{ mN m}^{-1}$ ) and minimal  $\gamma_s^P$  ( $3.5 \text{ mN m}^{-1}$ ), indicating a predominately apolar surface. PET\_WB showed the lowest  $\gamma_s$  ( $37.4 \text{ mN m}^{-1}$ ), characterized by a reduced  $\gamma_s^D$  and a moderately increased  $\gamma_s^P$ . This suggests that biofilm exposure alone alters the surface chemistry without enhancing overall surface energy, and, in fact, reduces chemical surface activity compared to PET\_P. In contrast, PET\_UV showed the highest  $\gamma_s$  ( $69.2 \text{ mN m}^{-1}$ ), with comparable contributions from  $\gamma_s^D$  and  $\gamma_s^P$ , indicating significant polar functionalization and enhanced surface chemical activation following UV treatment. The combined treatment (PET\_WB\_UV) resulted in an intermediate  $\gamma_s$  ( $44.5 \text{ mN m}^{-1}$ ), driven by an  $\gamma_s^P$  increase compared to PET\_P.



**Figure 6.** Surface free energy ( $\gamma_s$ ) with its components: dispersive ( $\gamma_s^D$ , dark blue) and polar ( $\gamma_s^P$ , light blue) for the four PET conditions: pristine PET (PET\_P), UV-aged pristine PET (PET\_P\_UV), biofilm colonized PET (PET\_WB), and UV-aged PET after biofilm colonization (PET\_WB\_UV). Bars represent the mean of replicate measurements; error bars indicate standard deviation (SD) of the corresponding component.

Statistical analysis confirmed that all treatment conditions led to significant differences in  $\gamma_s$  and its components. While most pairwise comparisons showed statistically significant differences in  $\gamma_s$ , but also in  $\gamma_s^D$  and  $\gamma_s^P$ . The only exception was between PET\_UV and PET\_WB\_UV, where  $\gamma_s^D$  did not differ significantly. Statistical results from Tukey’s HSD posthoc test are displayed in the SI (Tables S2–S4).

A study by Gewert et al.<sup>22</sup> investigated the degradation pathways of PET and demonstrated that UV radiation cleaves ester bonds, resulting in the formation of carboxylic acid (highly polar) and vinyl end groups, either directly or via radical intermediates. This photo-oxidative process likely explains the pronounced increase in wettability and hydrophilicity observed in PET\_UV, along with the substantial rise in  $\gamma_s$ , approximately 50% of which is attributed to  $\gamma_s^P$ . PET\_WB\_UV exhibited a similar trend, though the changes were less pronounced. In the absence of UV radiation, PET\_WB did not show an overall increase in  $\gamma_s$ , though an

increase in its  $\gamma_s^p$  was observed. Zhang et al.<sup>31</sup> reported minimal microbial or lipase-induced degradation of PET, hence biofilm was unlikely the primary cause for these changes. However, given that biofilm-exposed samples were submerged in a local stormwater retention basin, hydrolytic degradation is a more plausible contributor to the elevated  $\gamma_s^p$  in PET\_WB relative to PET\_P. Gewert et al.<sup>22</sup> noted that hydrolysis induces a degradation pathway similar to photo-oxidation, resulting a formation of carboxylic acid and alcohol groups, albeit at a slower rate.

The statistically significant differences between PET\_WB and PET\_WB\_UV indicate that UV-induced surface chemistry changes beyond the effects of hydrolysis. These findings suggest that while the biofilm offered partial protection, it was insufficient to fully shield the PET surface from UV-induced transformations. While these changes were reflected in  $\gamma_s$  measurements, these structural conversion – from ester to carboxyl groups – likely remained undetectable by  $\mu$ -Raman spectroscopy, as the vibrational frequencies of the respective functional groups are too similar to be distinguished.<sup>32</sup>

**3.5. Environmental Implications.** This study demonstrates that both UV radiation and biofilm colonization significantly influence the degradation pathways of PET under environmentally relevant conditions. While UV radiation alone primarily induces surface-level structural changes, biofilm colonization promotes more profound chemical and morphological alterations deeper into the material, increasing polymer brittleness and crystallinity. Notably, when biofilm formation precedes UV exposure, degradation is markedly intensified, characterized by pronounced changes in surface roughness, cavity formation, and increased surface area. These alterations are likely to enhance the material's susceptibility to fragmentation<sup>33</sup> and may facilitate the release of embedded additives, with potential implications for nano- and microplastic generation and pollutant dispersion in aquatic systems. The results also highlight a more complex role of biofilms than previously recognized. Although biofilms were initially hypothesized to act as a protective layer on plastic surfaces, our findings show that this effect is property-dependent. For instance, UV exposure had a stronger effect on surface hydrophilicity and surface free energy than biofilm colonization alone. In this context, biofilms appeared to attenuate UV-induced oxidation to a limited extent, providing only partial protection to the outermost polymer layer. For macro-to-microplastic conversion models, the following elements could be considered, where appropriate: (i) a biofilm-dependent crystallinity variable influencing brittleness; (ii) a cavity density variable, for example, informed by AFM roughness metrics (RMS, SSA); (iii) a moisture-related stress factor resulting from hydrated biofilms; and (iv) a UV-exposure variable coupled with a biofilm-attenuation factor to reflect partial shielding (i.e., a modest reduction in effective UV dose). Inclusion of such elements, may help reduce potential underestimation of biofilm-mediated fragmentation.

Taken together, these findings underscore the dual role of biofilms as both facilitator and mitigators of plastic degradation. Therefore, their dynamic interactions with plastic surface warrant explicit consideration in environmental aging studies. The exclusion of biofilm-plastic interactions from laboratory-based assessments likely underestimates the complexity and extent of plastic transformation under real-world conditions, potentially leading to an incomplete understanding of environmental microplastic behavior.

Future studies should include multiple exposure times to capture degradation kinetics and the temporal dynamics of biofilm-mediated plastic weathering. In addition, the longer-term consequences of biofilm activity on additive leaching warrant further investigation.

## ■ ASSOCIATED CONTENT

### Data Availability Statement

The SI contains many additional information concerning sequencing, accelerated weathering and calculations of surface free energy. Measurement data from the AFM,  $\mu$ -Raman, contact angles, and surface free energy are published in the open access data repository PANGAEA (pangaea.de).<sup>34,35</sup> Sequencing data is published in the European nucleotide archive with the accession number PRJEB90187.

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.5c08345>.

Pictures of PET in the four conditions; microbial community of the biofilm; 2D contour plots and 3D topography maps of the four PET conditions; Raman spectra of the Raman shift at 1097 and 1120  $\text{cm}^{-1}$ ; Raman spectra of the Raman shift at 1729  $\text{cm}^{-1}$ ; boxplots of height ratio between 1097 and 1120  $\text{cm}^{-1}$  and FWHM<sub>1729</sub> for the different z-positions; and supplementary references (PDF)

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