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Lopez, Victor Fernandez; Pedersen, Klaus I.; Soret, Beatriz

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RESEARCH

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# Interference characterization and mitigation benefit analysis for LTE-A macro and small cell deployments

Víctor Fernández-López<sup>1\*</sup>, Klaus I Pedersen<sup>1,2</sup> and Beatriz Soret<sup>2</sup>

## Abstract

This article presents a characterization of different LTE-Advanced network deployments with regard to downlink interference and resource usage. The investigation focuses on heterogeneous networks (HetNets) with dedicated spectrum for each layer and, in particular, on cases where small cells are densely deployed. Thus, the main interference characteristics of the macro layer and the dense small cell layer are studied separately. Moreover, the potential benefit of mitigating the dominant interferer in such scenarios is quantified as an upper bound gain and its time variability is discussed and evaluated. A dynamic FTP traffic model is applied, with varying amounts of traffic in the network. The results present an uneven use of resources in all feasible load regions. The interference under the dynamic traffic model shows a strong variability, and the impact of the dominant interferer is such that 30% of the users could achieve at least a 50% throughput gain if said interferer were mitigated, with some users reaching a 300% improvement during certain time intervals. All the mentioned metrics are remarkably similar in the macro and small cell deployments, which suggests that densification does not necessarily imply stricter interference mitigation requirements. Therefore, the conclusion is that the same techniques could be applied in both scenarios to deal with the dominant interferer.

**Keywords:** Interference; Mitigation; Dominant interferer; LTE-Advanced; Macro cell; Small cell

## 1 Introduction

Interference is one of the main factors that compromise the downlink performance in LTE and LTE-Advanced (LTE-A) networks [1]. As such, it has been the focus of numerous studies since the first LTE network deployments comprising only macro cells. Research on interference management for macro-cell networks has analysed, among others, aspects such as resource partitioning in the frequency and space domains (e.g., frequency reuse, fractional frequency reuse) [2-4] to improve the signal strength at the mobile terminal or to reduce the interference. These studies were performed under static traffic models, therefore limiting the time variability of the interference. Hence, the solutions proposed in these investigations managed to bring notable benefits while using slow adaptation capabilities. More recently, coordinated

multi-point transmission (CoMP) studies have tried to approach these issues in a more dynamic manner [5], by studying procedures with extensive coordination and, for the most part, under the assumption of a fast backhaul, or even fronthaul, with negligible latency [6].

Following these macro-only topologies, research turned to heterogeneous networks (HetNets) as a way to meet the increasing capacity demands in LTE-A networks. HetNets comprise a mixture of macro cells and low-power nodes known as small cells. These topologies face a challenging interference problem in cases where the macro and the small cells utilize the same carrier due to the difference in transmission power. Therefore, this inter-layer interference has been the focus of many studies [7-9]. The current trend is pointing to dedicated deployments with higher frequency bands [10] and thus shifting the focus towards intra-layer interference between the same class of nodes. Most of the research on intra-layer interference between small cells in the literature has considered femto cells (home base stations), which present a high risk for

\*Correspondence: vfl@es.aau.dk

<sup>1</sup>Department of Electronic Systems, Aalborg University, Fredrik Bajers Vej 7, 9220 Aalborg, Denmark

Full list of author information is available at the end of the article

inter-cell interference as the nodes are commonly installed by the users, making up an unplanned network [11,12].

However, current research is contemplating increasingly denser small cell deployments in HetNets [13], where intra-layer interference becomes a considerable concern, even in a planned deployment. It has been claimed that denser scenarios exhibit unique interference characteristics and therefore will require custom-designed solutions for interference mitigation [14]. This study sets out to evaluate this hypothesis and to understand how the efforts to manage interference should be steered depending on the topology. In particular, the impact of the strongest interferer and the potential benefit from cancelling it are evaluated. This investigation continues the work begun in [15], which evaluated the behaviour of the intra-layer interference in an LTE-A dense small cell network. The analysis is extended here to a network based on a regular macro-cell deployment, and we delve deeper into the reasons for the observed interference patterns. Both the macro-only and the dense small cell scenarios are examined under a dynamic traffic model with different amounts of offered traffic. The time evolution of the interference is studied, analysing the required dynamism for interference mitigation solutions in these topologies.

The two scenarios are found to be remarkably similar with regard to these considerations, despite their very different degrees of density. This conclusion fits in with previous studies such as [8] and [16], which found that, assuming an interference-limited network with unbiased cell association and equal path loss exponents for all links, adding base stations does not modify the downlink SINR statistics. As such, similar strategies to manage the interference could be used in the two scenarios analysed in this article, potentially achieving very significant performance gains if the main interferer were ideally mitigated.

The structure of the paper is as follows: Section 2 will introduce a description of the considered network scenarios and the traffic model, together with some necessary theoretical considerations for the interference analysis; Section 3 will focus on a description of the system-level simulation settings; Sections 4 and 5 will present the analysis and discussion of the collected statistics and their significance. The article closes with a discussion on future research and the concluding remarks.

## 2 Setting the scene

### 2.1 Network model

The majority of the network models found in the literature are either variations of the Wyner model, making up an idealized, regular structure, or are based on stochastic geometry, such as Poisson point processes (PPPs) [17]. The 2D Wyner model forms a regular lattice of deterministic base station positions, in a hexagonal deployment, whereas in the case of the PPP scenarios, the network

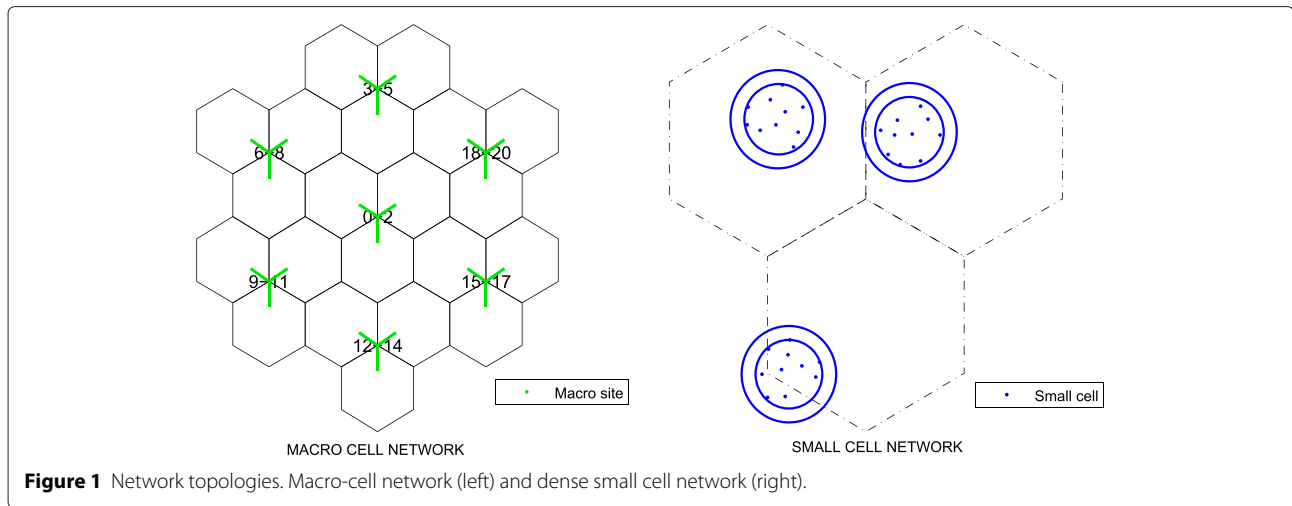
positions are random and the structure, irregular. Both methods have a series of advantages and disadvantages. The Wyner model is more tractable but highly ideal and therefore requires extensive simulations to produce realistic results. On the other hand, the PPP models account better for randomness in the network and allow us to define the notion of a typical user [18]. PPP has also been found to adequately model the user's positions, both in a macro cell when applied uniformly over the area and in hotspots when used in clustered form. The main disadvantage of stochastic models is the difficulty in modelling the correlated dependences in node positions, i.e., the fact that the location of a base station is generally dependent on the position of its neighbours [17].

A third option for network modelling comes in the form of realistic (site-specific) scenarios, generally using data from real operator deployments [19]. The main challenge of performing a study in such a scenario is that the reproducibility of the results is limited, and that it might not be easy to extract general conclusions that are applicable to other deployments. The Third Generation Partnership Project (3GPP) has adopted the use of Wyner and stochastic models in its simulation assumptions [13]. In particular, macro deployments are represented by a regular hexagonal structure, whereas small cells are deployed in clusters according to a PPP with several inter-eNodeB distance constraints.

The two LTE-Advanced network scenarios considered in this study, which are illustrated in Figure 1, follow these characteristics. The network topologies are similar to the ones described in [13]. On the left-hand side of Figure 1, the macro-cell case comprises seven sites with three sectors each. The deployment is regular, with a 500-m inter-site distance. The users are deployed uniformly over the cell area according to a PPP. All the macro cells transmit at the same frequency. The small cell scenario, depicted on the right-hand side of Figure 1, includes three non-overlapping clusters with ten small cells each, modelling areas with high traffic density. As shown in the figure, the clusters are delimited by two concentric circles. The cells are randomly placed according to a Poisson point process within the inner circle, with a 50-m radius. There is a minimum distance constraint between small cells of 20 m. The outer circle, with a 70-m radius, represents the area where the users are deployed uniformly according to a PPP (i.e., taking a clustered approach). All the small cells in the network share the same frequency band. It is assumed that each user connects to the cell corresponding to the strongest received power.

### 2.2 Traffic model

Two types of traffic models are commonly used in LTE-A studies. On the one hand, closed-loop full-buffer models consider a constant number of users with unlimited



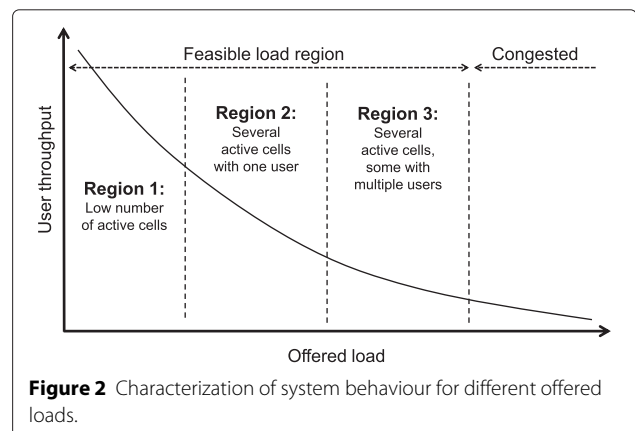
**Figure 1** Network topologies. Macro-cell network (left) and dense small cell network (right).

data to transmit. In contrast, finite-buffer models (also known as FTP traffic models) include user arrival (birth) and departure (death) processes, and it is assumed that the users have a limited amount of data to transmit, and they leave the network once they have done so [20]. These models can be of the closed-loop or open-loop types, depending on whether the number of users in the network is fixed or variable, respectively. Both full- and finite-buffer models have been used in 3GPP studies [21]. The finite-buffer model with Poisson arrival has been found to adequately model the arrival of user sessions [22]. It also includes the effect of users not being simultaneously active, thereby introducing fluctuations in the interference conditions in the network. The full-buffer model is less realistic in its assumption of constantly active users and results in more stable interference patterns. The difference between the models can be significant in dense deployments where the coverage areas are reduced and the cells serve a low number of users. In such a scenario, the full-buffer model would lead to an underestimation of the interference variability, which would seemingly facilitate the scheduling decision process. In order to properly understand the challenges faced in dense deployments, this study adopts an open-loop dynamic FTP traffic model in which session arrivals are controlled by an average arrival rate,  $\lambda$ , following a homogeneous Poisson process, and each user demands a fixed payload of  $L$  bits. The arrival rate  $\lambda$  has different meanings depending on the scenario. In the macro-cell case,  $\lambda$  indicates the average number of users per second per cell area, whereas in the small cell case, it is defined as the average number of users per second per small cell cluster. The offered load  $O$  is defined as the product of the arrival rate and the payload,  $O = L \cdot \lambda$ , and will accordingly adopt different meanings depending on the scenario. Likewise, we define the carried load,  $C$ , as the average amount of supported traffic in one of the cells (macro scenario) or in one cluster (small

cell scenario). The different interference and performance metrics analysed in this study will be evaluated in relation to the offered and carried loads.

The system is in equilibrium and operates in the feasible load region when the carried traffic matches the offered load, i.e.,  $C = O$ . Congestion takes place when the system cannot support the demanded traffic ( $C < O$ ) and the session departure rate becomes lower than the rate at which users arrive in the network. The congestion region is unstable and not of interest for the design of a practical interference management solution. Therefore, only the performance in the feasible load region will be considered in this study.

A sketch of the user performance in the feasible region with increasing traffic is presented in Figure 2. This region can be further subdivided in three sub-regions according to the occupation of the cells. Region 1 represents the low load cases, where there are plenty of available resources for the users, which in turn get served quickly and often leave the network before the next arrival. Inter-cell interference can be neglected in this case as the sessions are



**Figure 2** Characterization of system behaviour for different offered loads.

very short and the probability of having multiple active cells at the same time is low. In region 2, the offered load has increased, together with the probability of having several simultaneously active transmitters. However, the load in the cells is still fairly low, with typically one active user per cell. Finally, region 3 represents the case where the load in the network is such that the capacity limit is nearly reached, with a high number of users in some cases and a considerable number of cells transmitting at once.

### 2.3 Interference mitigation benefit

This section introduces the theoretical analysis that will allow us to evaluate the spectral efficiency gains from mitigating the main interferer. Starting with the most common signal quality measure, the signal-to-interference-plus-noise ratio, SINR ( $\Gamma$ ), is defined as

$$\Gamma = \frac{P}{\sum_n I_n + N}, \quad (1)$$

where  $P$  is the power of the desired signal,  $\sum_n I_n$  represents the total amount of received interference and  $N$  is the background noise. The time variation of the parameters in (1) has been kept out of the equation for the sake of notational simplicity.

The dominant interference ratio, DIR ( $\Lambda$ ), indicates how significant the role played by the strongest interferer in the total interference profile is. The DIR is defined as

$$\Lambda = \frac{I_1}{\sum_n I_n - I_1 + N}, \quad I_1 \geq I_2 \geq \dots \geq I_n, \quad (2)$$

where  $I_1$  represents the strongest source of interference. We can relate the DIR to the potential performance benefit that would be obtained assuming ideal cancellation of the main interferer. Under that assumption, the SINR becomes

$$\Gamma_c = \frac{P}{\sum_n I_n - I_1 + N}. \quad (3)$$

Taking the ratio of the SINR expressions in (3) and (1), we quantify the improvement from cancelling the strongest interferer as

$$\frac{\Gamma_c}{\Gamma} = \frac{I_1}{\sum_n I_n - I_1 + N} + 1 = \Lambda + 1, \quad (4)$$

which is proportional to the DIR value. Finally, the DIR and the SINR can be related to the throughput improvement with ideal cancellation of the strongest interferer by applying Shannon's formula and calculating the ratio of the spectral efficiencies with cancellation,  $C_c$ , and without,  $C$ ,

$$\frac{C_c}{C} = \frac{\log_2(1 + \Gamma_c)}{\log_2(1 + \Gamma)} = \frac{\log_2(1 + \Gamma(\Lambda + 1))}{\log_2(1 + \Gamma)}. \quad (5)$$

### 2.4 Intra-cell packet scheduling

The packet scheduler determines how resources should be allocated among the multiple users of a cell. Because the packet scheduler performs the resource allocation in an intra-cell fashion, it can only impact the performance in region 3, where there are multiple users within the cell. This study makes use of three different scheduler algorithms, all based on the same principle of selecting a user  $u^*$  according to a metric  $M_u$ ,

$$u^* = \arg \max_u \{M_u\}, \quad M_u = \frac{r_u^\alpha}{R_u^\beta}, \quad (6)$$

where  $u$  is the index of the user,  $r_u$  is the achievable throughput for user  $u$  in the current Transmission Time Interval (TTI),  $R_u$  is the past average throughput and  $\alpha, \beta \in [0, 1]$  are parameters which control the fairness.

The first algorithm, and one of the most commonly used, is Proportional Fair (PF) [23], obtained by applying  $\alpha = 1, \beta = 1$  in (6). PF has been found to offer a good trade-off between scheduling gains and fairness, especially under full-buffer traffic models. In addition, a modified gradient search  $\beta$ -fair scheduler algorithm, known as Generalized PF (GPF), will be included as it was found to be more attractive for scenarios with a birth-death traffic model in [20]. Finally, we will present results for the Blind Equal Throughput (BET) scheduler, with  $\alpha = 0, \beta = 1$ , targeted to serving users with an equal average throughput [24].

## 3 Simulation methodology

The interference analysis and estimation of potential interference mitigation benefits will be based on system-level simulation results. The simulator is time based and includes all the major LTE resource management functionalities such as link adaptation, hybrid automatic repeat request (H-ARQ) and packet scheduling. In every 1-ms subframe, the SINR of each user is calculated per subcarrier according to the chosen receiver type. Subsequently, it is determined whether the transmission was successfully decoded using the effective exponential SINR model [25] for link-to-system-level mapping. H-ARQ with ideal Chase combining is applied in case of failed transmissions, and the SINRs for the different H-ARQ transmissions are linearly added. The link adaptation functionality determines the modulation and coding scheme for the first transmission based on frequency-selective feedback from the users. The simulator does not consider user mobility (for HetNet studies with mobility, the reader can refer to [26,27]). However, the user sessions are generally short and the SINR calculations include the effect of variable fast fading. Together with an open-loop traffic model, this provides a significant variability in the channel conditions. The main simulation settings for this study are summarized in this section and collected in Table 1.

**Table 1 Main simulation assumptions**

	Macro scenario	Small cell scenario
Network layout	7 three-sectored sites	3 clusters with 10 small cells each [13]
Bandwidth	10 MHz at 2.0 GHz	10 MHz at 3.5 GHz
Transmit power	46 dBm	30 dBm
User arrival rate, $\lambda$	0-4 users/s/cell	0 to 30 users/s/cluster
Path loss model	ITU-R UMa [21]	ITU-R UMi [21]
Antenna pattern	Directional, 70° beamwidth [21]	Omnidirectional
Receiver type	MMSE-IRC	
Traffic model	Poisson arrival, finite buffer	
Payload size, $L$	0.5 Mbytes	
Transmission mode	$2 \times 2$ MIMO, single user	
OFDMA symbols/TTI	13	
$\beta$ (for GPF)	0.6	

In the macro-cell scenario, the cell transmit power is 46 dBm and the antennas have a directional pattern. The carrier frequency is 2 GHz with 10-MHz bandwidth. The arrival rate will range between 0 and 4 users/cluster/s. The stochastic ITU-R urban macro-cell (UMa) radio propagation model is assumed, including different characteristics for line-of-sight (LOS) and non-LOS (NLOS) [21]. The LOS case considers shadow fading with a 4-dB standard deviation ( $\sigma$ ) and different expressions for the path loss depending on the distance with respect to a breakpoint. The NLOS case has no breakpoint and uses  $\sigma = 6$  dB for the shadow fading.

In the small cell scenario, all the small cells operate on the same carrier frequency at 3.5 GHz with 10-MHz bandwidth. The antenna pattern is omnidirectional, and the transmit power is 30 dBm. The arrival rate will be set to values between 0 and 30 users/cluster/s. The path loss model is ITU-R urban micro-cell (UMi) [21], again with different expressions for LOS and NLOS cases. The LOS expression depends on the distance to a breakpoint and applies  $\sigma = 3$  dB, whereas the NLOS case assumes  $\sigma = 4$  dB.

The remaining simulation parameters are common to both scenarios. The users demand a  $L = 0.5$  MB payload. Closed loop  $2 \times 2$  single-user MIMO with rank adaptation is assumed, i.e., corresponding to LTE transmission mode-4 [28,29]. Packet scheduling is performed in the time domain only, with one user per TTI [24]. This allows us to increase the number of OFDMA symbols per TTI from 11 to 13 to improve the data rate. The value of  $\beta$  in (6) for the GPF scheduler is fixed to 0.6 as recommended in [20]. The link to-system level modelling is according to [25]. The receiver type at the user equipment is MMSE-IRC [30].

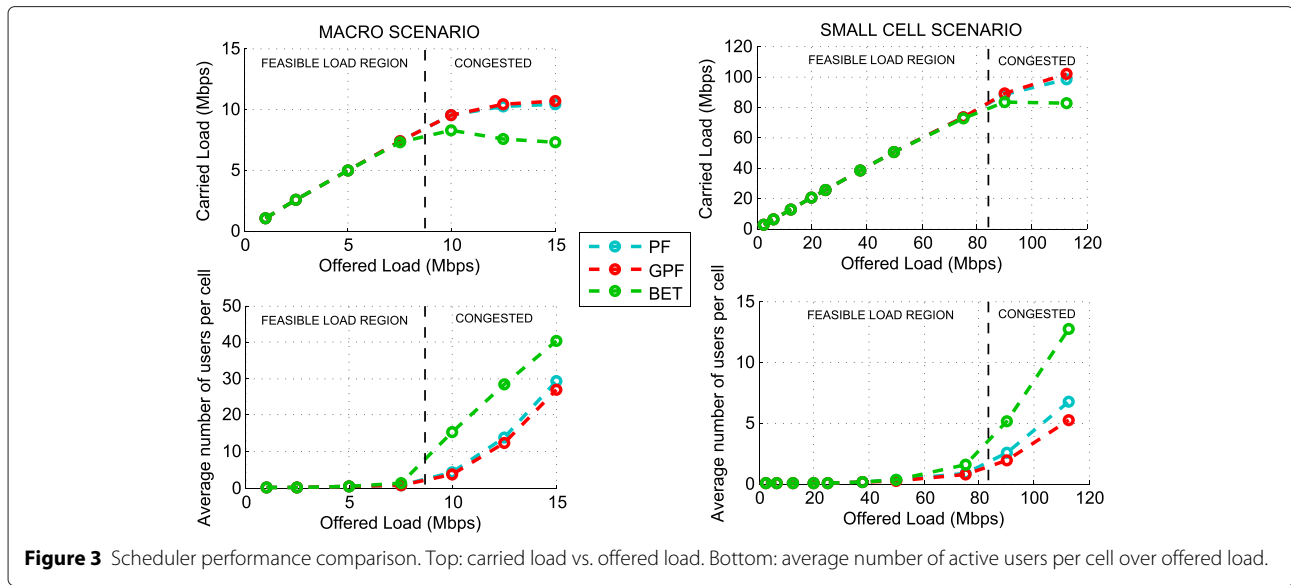
## 4 Performance results

In this section, we will take a look at simulation results which illustrate the interference conditions and achievable data rates in the considered scenario, under different traffic loads and scheduling metrics. The first part of the section will focus on establishing the traffic regions as described in Section 2.2 and on a comparison of the scheduling algorithms. Next, we will take a closer look at the load behaviour of the network by examining cell occupation statistics. The magnitude and time variability of the interference in the network will be dealt with afterwards, finally offering an estimation of the potential benefits that could be obtained from interference mitigation.

### 4.1 Traffic load region analysis

We will begin the analysis by studying the behaviour of the traffic in terms of the different load regions in the macro-cell and small cell scenarios. The carried load and the average number of users per cell as a function of the offered traffic are presented in Figure 3 for the two scenarios and the three schedulers. The carried load plots (upper graphs) present two different segments: the feasible load region in which the carried load increases linearly and matches the offered load, and the congestion region, where the network cannot cope with the amount of demanded traffic. The feasible load region reaches approximately 7.5 Mbps offered load in the macro-only case and 75 Mbps offered load in the small cell case. The evolution of the carried load with increasing traffic is very similar in both scenarios.

The carried load can be used to find the limit of the feasible load region, but it is necessary to look at cell occupation statistics to classify the offered traffic into sub-regions as discussed in Section 2.2. The average number



of users per cell (bottom part of Figure 3) is kept low in the feasible load region but quickly grows beyond the congestion point. As the system is unstable in this region and the results are therefore highly dependent on parameters such as the simulation time, we will focus on the feasible region for the remainder of the article. In line with the results presented in [15] and [20] for the dense small cell case, the scheduling algorithm which provides the best results in terms of these two metrics is GPF, both for the macro-cell and the small cell scenario. The reason is that this scheduler assigns a higher priority to users under better SINR conditions than PF and BET. These users get served faster and, given the chosen open-loop traffic model, they can leave the network more quickly, reducing the generated interference. This results in an overall performance gain in the network. Therefore, we will only show the statistics obtained under the GPF scheduler in the following figures.

The behaviour observed in Figure 3, both for the carried load and the number of users per cell, can help us choose a representative offered load value for each of the three characteristic traffic regions. These values and the percentage of active cells in each region are indicated in Table 2. The table serves as a reference for the following

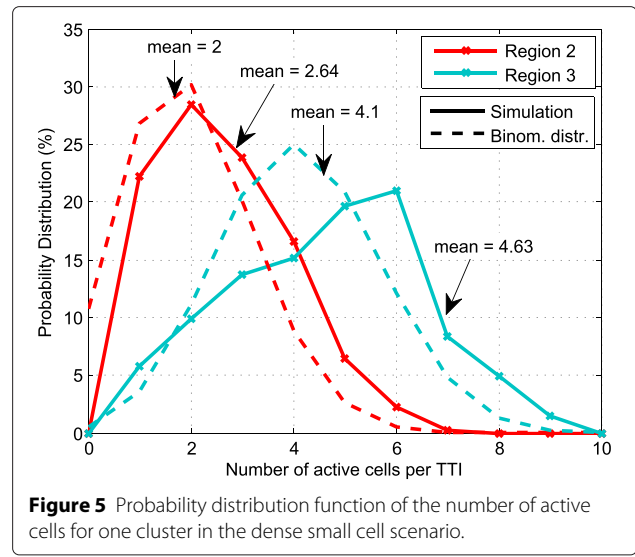
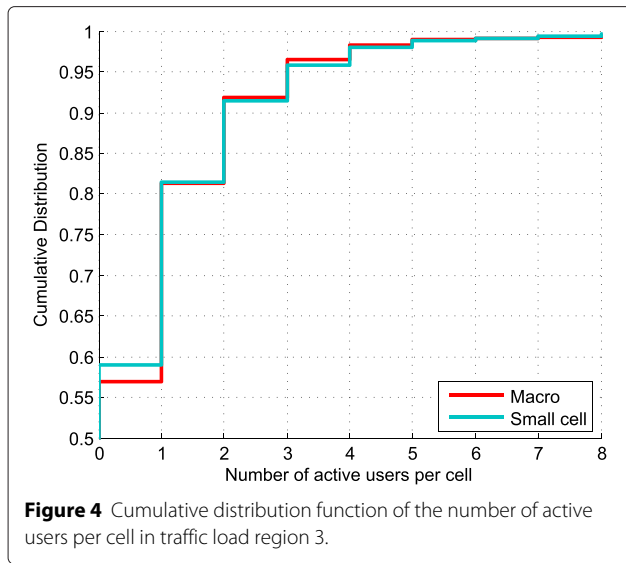
figures in the article, where we will not refer explicitly to the offered load value but to the traffic region.

#### 4.2 Cell occupation statistics

One result from Table 2 that immediately comes to the forefront is the low percentage of active cells in region 3, within the feasible region but close to the congestion point. We can examine the situation more closely by plotting the empirical cumulative distribution function (cdf) of the number of users in the cells in this region as shown in Figure 4. The distribution of the users in the cells in both scenarios is very uneven. As previously presented in Table 2, there is a high percentage of inactive cells, while some cells contain a fairly large number of users. The majority of the active cells, however, are only simultaneously serving one or two users. Since region 3 is within the feasible region but close to congestion, this behaviour suggests that congestion can be reached without all of the cells being active, as long as some of them are very occupied. Those cells that are very loaded cause such interference to their neighbours that the system approaches saturation while half of its resources are kept unused. This is the case not only in the dense small

**Table 2** Traffic load regions according to offered load and cell occupation

Traffic region	Scenario			
	Macro cell		Small cell	
	Offered load (Mbps)	Ave. perc. of active cells (%)	Offered load (Mbps)	Ave. perc. of active cells (%)
1	2.5	8.7	25	6.9
2	5	22.8	50	20
3	7.5	43	75	41



cell cluster scenario but also in the macro-cell network, where the cell deployment is regular and the spatial user distribution is uniform. This situation is clearly undesirable and indicates the need for solutions that can reduce the congestion in the highly loaded cells. As suggested by the performance gains brought by the GPF scheduler, trying to serve the users in a faster way could have a positive impact in terms of reduced interference and cell occupation. Furthermore, inter-cell load balancing solutions could be applied to compensate for the uneven use of resources in the network [31].

Further insight on the distribution of users within the dense small cell clusters can be attained by studying the probability distribution function (pdf) of the number of active cells in each TTI. If the occupation of any given cell were statistically independent from the rest, the pdf would follow a binomial distribution [32]. The binomial distribution is the discrete probability distribution of a number of successes,  $X$ , achieved after  $t$  independent trials, each of them having a success probability  $p$ ,

$$P\{X = i\} = \binom{t}{i} p^i (1 - p)^{t-i}, \quad i = 0, 1, \dots, t. \quad (7)$$

Figure 5 presents the empirical distribution of the number of active cells in every TTI for one of the clusters in the dense small cell scenario, together with the theoretical binomial distribution. Region 1 was omitted from the figure as the number of active cells is very low. The values of  $p$  for the binomial distribution (i.e., the mean probability that a cell will be active) were obtained from the cdfs of the number of active users per cell, such as the example presented in Figure 4 (for region 3). The mismatch between the binomial and empirical distributions suggests that there is a coupling between the cells in the cluster

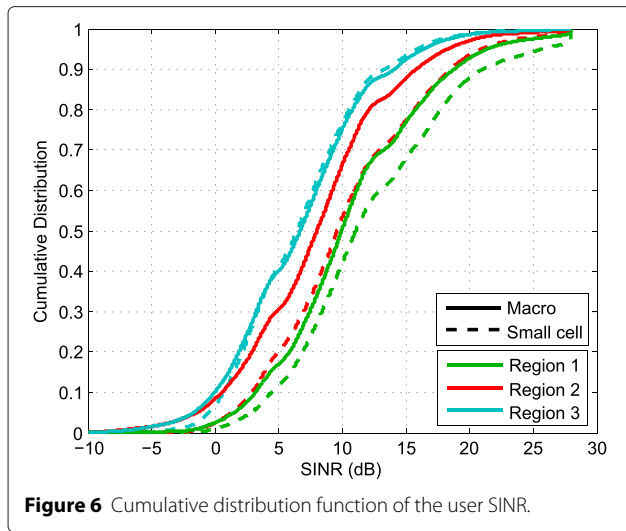
because of mutual interference, and the occupation of the cells is not an independent process.

### 4.3 Signal and interference levels

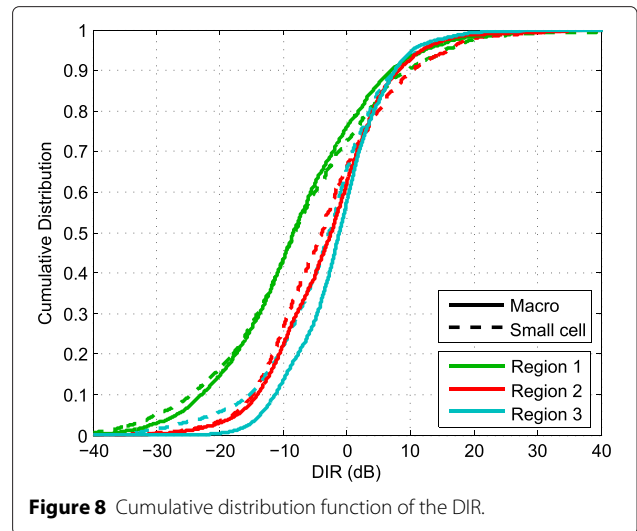
The magnitude of the interference will be quantified in terms of the SINR and DIR of the users. The cdf of the users' scheduled SINR in the three traffic regions is shown in Figure 6. As expected, the values decrease with increasing traffic load as more cells start becoming active and the interference increases. Moreover, the SINR is higher for the small cell scenario than for the macro-cell case in regions 1 and 2. This is due to the larger inter-site distance in the macro-only case, making it more probable to have users located far from the serving cell and in lower SINR conditions. On the other hand, the larger number of users in region 3 increases the diversity and hence the values are very similar in both scenarios. The user throughput is directly linked to the SINR and therefore exhibits the same behaviour as the latter with regard to the traffic load regions and network scenarios, as shown in Figure 7.

A different conclusion can be drawn with respect to the DIR, which increases with the offered traffic as presented in Figure 8. At low load (region 1), having very few active cells in the network can often imply that the strongest interferer for a given user is located far in the network. When this happens, the DIR expression in (2) is dominated by the background noise component, and the DIR value becomes very low. This behaviour can also be observed for a small percentage of the cases in regions 2 and 3, but generally, as more cells start becoming active, the probability that the dominant interferer will be located closer to the user increases, and so does the DIR. An almost negligible difference between the two scenarios can be observed in region 1, but with increasing traffic, the macro-cell scenario provides the largest DIR values,





**Figure 6** Cumulative distribution function of the user SINR.



**Figure 8** Cumulative distribution function of the DIR.

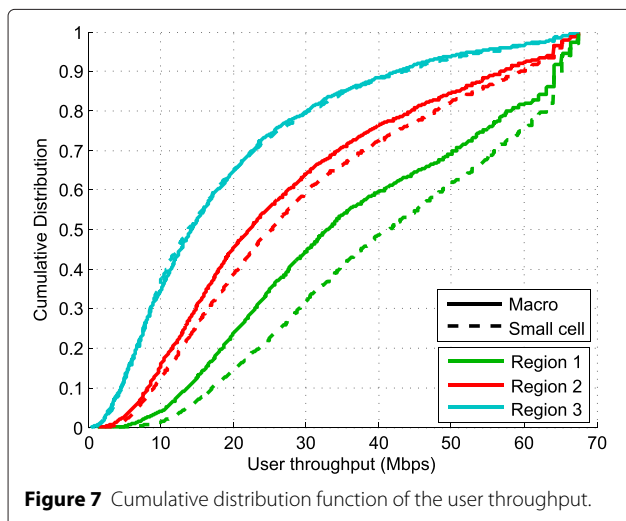
due to the higher transmitted power and the lower number of potentially interfering cells compared to the small cell cluster. In general, region 3 is the most interesting one in terms of applying a mechanism to mitigate the strongest interferer, as it is the one providing the largest DIR values, and the potential benefit from mitigation is related to this parameter as shown in (5).

**4.4 Time variability of the interference**

From the perspective of designing an interference mitigation mechanism, it is important to understand how the interference in the network evolves in time. In order to study this aspect, we present in Figure 9 an example of the time variation of the DIR for 15 of the users. The selected case is the dense small cell scenario at high load (region 3). Each horizontal bar in Figure 9 shows the values of the DIR within the lifetime of one user. The frequent

colour changes indicate that this value can shift within a few TTIs, sometimes abruptly. It should be noted that our estimation of the DIR does not take into account the fast fading, which does change for every simulated TTI. Therefore, all DIR variations are due to the interference pattern changing when users enter or leave the network. The DIR changes with approximately the same frequency in both scenarios, hence the omission of macro results in Figure 9.

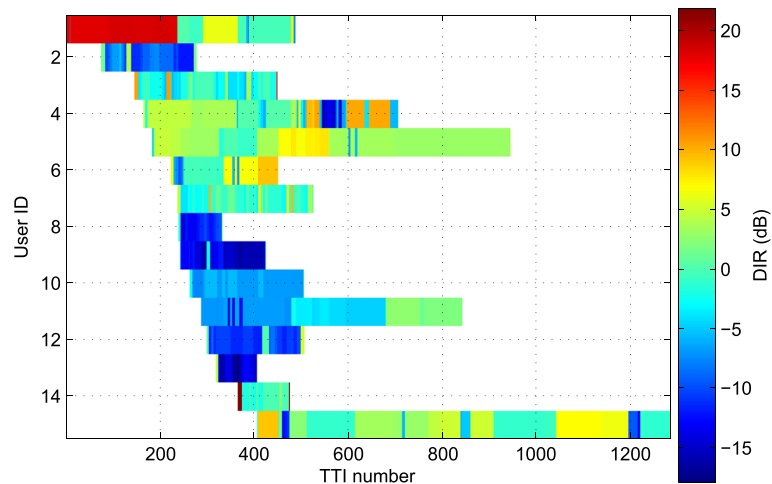
To understand better the source of the DIR changes, Figure 10 shows the time variation of the strongest interferer cell index for the same set of users, in a similar fashion. Looking at Figures 9 and 10, we can see that, while there are frequent shifts in the DIR value, the strongest interferer index remains constant for a longer time. This is true not only for the few users presented in the two figures but also for the rest of the cases, as pictured in Figures 11 and 12, which show the cdf of the number of TTIs between changes in DIR value and in strongest interferer index, respectively. For example, the 50-percentile value is at 7 TTIs between DIR changes but at 100 in the case of the strongest interferer index. This indicates that the changes in the DIR are mainly due to secondary interferers becoming active or inactive.



**Figure 7** Cumulative distribution function of the user throughput.

**4.5 Potential benefit from interference mitigation**

The potential gains from interference mitigation in both scenarios are finally quantified in Figure 13. These gains are estimated from the empirical SINR and DIR values of the users according to (5). The improvement is more pronounced for the higher traffic loads and is overall very similar for the two scenarios. For low load (region 1), there is a high probability of having a negligible improvement, and the values are slightly higher in the small cell case. As the traffic load increases, so does the probability of



**Figure 9** Time evolution of the DIR for 15 of the users. GPF scheduler and 75 Mbps offered load.

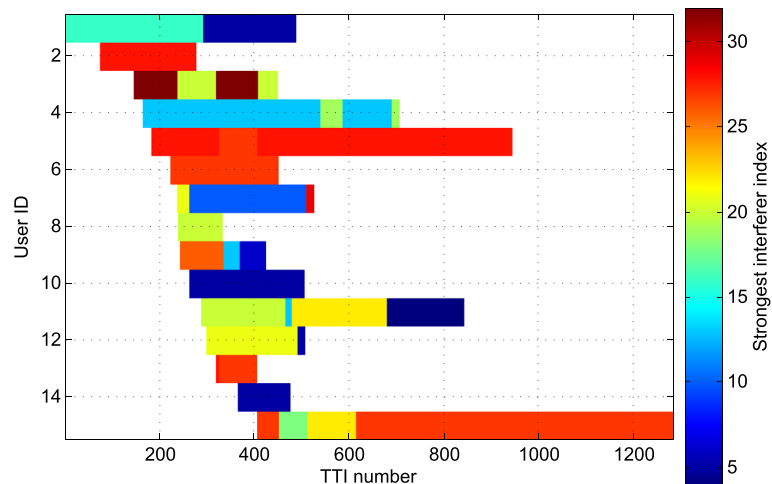
achieving more significant gains, and the macro-cell scenario starts yielding higher potential benefits. In region 3, there is around a 30% probability of having a throughput gain over 50%, and values as high as 300% can be reached for particular users and TTIs.

### 5 Discussion of interference mitigation options

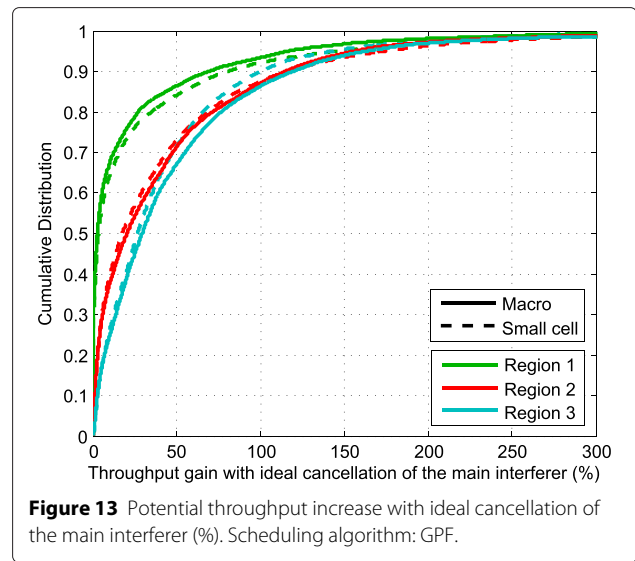
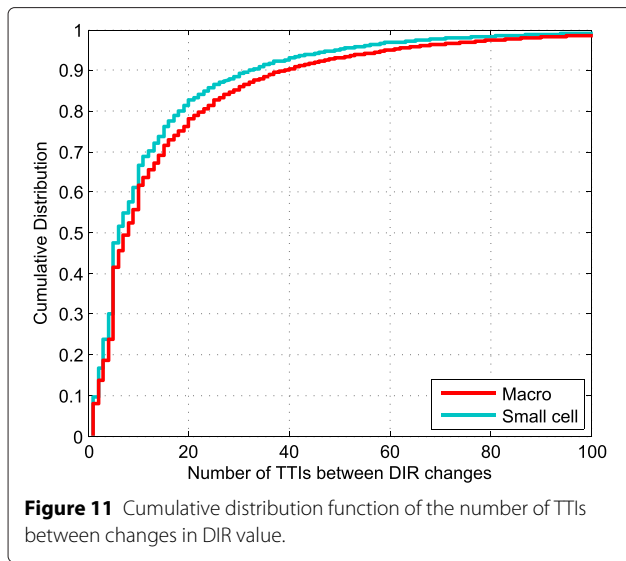
The presented results show a comparison of the characteristics of the interference in two network scenarios. In spite of the very different nature of the macro-cell and dense small cell cases, the interference behaviour was found to be remarkably similar. Even though previous studies worked under the hypothesis that denser deployments will require the use of custom designed interference mitigation techniques [33], the findings in this article point out that the performance in such cases could be improved by applying similar solutions to those utilized in

macro-cell deployments. Moreover, the gains that could be achieved seem to be comparable.

In general, interference mitigation techniques can be classified in two groups [34]: network-based coordination and user equipment-based solutions. Interference can be mitigated from the network side by limiting the resources in the cells which cause a significant portion of the interference. As explained in [35], there is an important trade-off to consider when applying resource partitioning. On the one hand, there will be a performance increase for the users that were affected by the interference. We can quantify this increase as a benefit metric. On the other hand, users served by the cells where resources have been limited will undergo a performance decrease, which can be considered as the cost metric of the solution. As long as the benefit is higher than the cost, the applied technique will bring an overall improvement in the network.



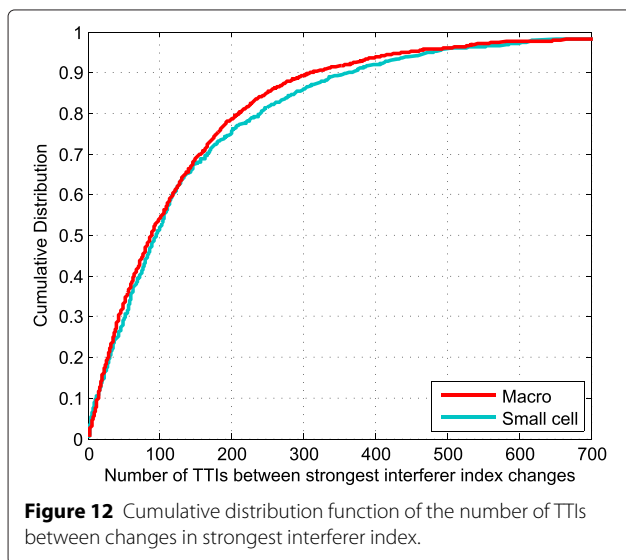
**Figure 10** Time evolution of the strongest interferer index for 15 of the users. GPF scheduler and 75 Mbps offered load.



In addition to network-based coordination, the user equipment can play a significant role in mitigating the interference by means of advanced receivers, operating in a linear [30,36] or non-linear fashion [37]. The use of advanced receivers presents an inherent advantage in that, since the interference is mitigated at the receiver, there is no need to limit the interfering cell's resources, effectively eliminating the performance cost that network-based coordination implies. However, user equipment-based techniques are not exempt from limitations. For example, linear advanced receivers with  $M$  antennas can only suppress up to  $M - r$  sources of interference [38], with  $r$  being the transmission rank, while non-linear advanced receivers can have stringent SINR requirements of the

strongest interference source, to be able to reliably estimate, reconstruct and cancel it from the total received signal.

The chosen interference mitigation solution should be dynamic enough to track the changes in the interference profile as suggested by Figures 11 and 12. It is usually more important to curb the effect of the strongest interferer than of the secondary ones, and the strongest interferer index was shown to change with a median period of 100 TTIs. This period is short enough to suggest that some solutions in the literature could be re-evaluated or modified to allow for more dynamic updates. In particular, most of the studies focused on macro-only scenarios have traditionally employed rather static mechanisms (an example is frequency reuse techniques [2]). In a scenario with user mobility or with a smaller packet size, the time variability of the interference would increase, further reinforcing the need for more dynamic solutions.



## 6 Future work

Future research could analyse the interference and potential benefits from mitigation under different network and traffic models, to understand how the chosen simulation scenario impacts on the conclusions. Examples of network models that could be used include the ones described in Section 2.1, such as deterministic Wyner models, random models based on different point processes and site-specific scenarios based on real data. It would also be interesting to study the interference conditions under a closed-loop finite-buffer traffic model, with a fixed number of users in the network. Additionally, a model with different classes of traffic based on quality of service demands could be defined. User mobility is another aspect that might impact the results, making

interference more variable and accentuating the need for sufficiently dynamic scheduling and interference mitigation solutions.

The findings presented in this paper could be applied to the design of joint inter-cell interference mitigation techniques combining some of the discussed options, including both network-based coordination and receiver-side interference suppression.

## 7 Conclusions

This article analysed the interference characteristics, performance and use of resources in two different LTE-A deployments: a regular macro-cell network and a network comprising dense small cell clusters. These aspects were examined under a dynamic traffic model with different amounts of offered traffic. The two deployments exhibited a strikingly similar behaviour in the different traffic load regions: both the performance figures and the time variability of the interference were comparable. The similarity became more noticeable with increasing offered loads.

The extent to which the main interferer impacts on the user performance was evaluated by means of the dominant interference ratio. This parameter was related through theoretical expressions to the potential benefit from mitigating the strongest source of interference, indicating a potential for notable performance gains in both scenarios. Furthermore, since the interference patterns in the two deployments show a strong resemblance, similar interference mitigation solutions could be applied.

### Competing interests

The authors declare that they have no competing interests.

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### Author details

<sup>1</sup>Department of Electronic Systems, Aalborg University, Fredrik Bajers Vej 7, 9220 Aalborg, Denmark. <sup>2</sup>Nokia Networks, Alfred Nobels Vej 21C, 9220 Aalborg, Denmark.

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