Trust the Raw Data? The Importance of Applying Data Integrity Intelligence to Building Energy Management Systems

Niall Brady¹, Raymond Lloyd²

IBM Research,
Dublin, Ireland
¹bradynl@ie.ibm.com
²raylloyd@ie.ibm.com

Abstract

As the smart building solution providers industry continues to mature, the benefits of deploying Building Energy Management Systems (BEMS) to achieve and sustain energy efficiencies goals within the real estate portfolio, becomes ever clearer. However as with any high volume data acquisition environment, BEMS are susceptible to a myriad of ongoing data integrity operational issues that beyond the obvious data outage reporting difficulties, and that can ultimately lead to a mistrust in the underlying data sets, and therefore a degradation in the value of any applied analytics that relies on the provisioning of accurate sensor or meter data. This paper attempts to address these concerns, by setting out the background of the most important of these practical data integrity issues, by defining a data integrity validation methodology, and finally through a series of use cases, demonstrate how such an approach could effectively be applied, to build trust levels, by introducing detection and timely fault diagnosis in underlying BEMS raw data sources.

Keywords - Smart, Building, Sensor, Meter, Raw Data, Trust, Integrity, Detection Algorithms, Fault Diagnosis, Geospatial Visualisation

1. Introduction

With the proliferation of BEMS system managing energy alerting processes across the Smart Buildings community, which have the capability to detect energy wastage scenarios, more companies are building a reliance on such systems to achieve their ongoing energy savings objectives [1]. As this dependency increases, so too does the need for trust in the underlying building environmental data to ensure proper alert reporting, and an avoidance of false positives which only serve to reduce the confidence in the use and effectiveness of such systems over time. Equally maintaining the integrity and subsequent ongoing performance is paramount, particularly with weak or no direct linkage between system BEMS practitioners and system maintainers which more than likely reside with an organisation’s IT department [2].
1.1 Understanding the Raw Data Integrity Landscape

In order to better understand the data integrity problem a background study of historical data integrity performance, looking at the various data quality indicators, was performed. The initial characterisation exercise was carried out on a number critical data points, taken from IBM Dublin Research’s Living Lab comprehensive dataset, a data warehouse which has been acquiring deep dive building parametric data for over 3 years now as part of its ongoing Smart Building’s research efforts.

A data quality indicator summary from a sample interval time period from a single sample meter are presented in Figure 1 below to highlight the unexpected levels of variability in the data reporting.

![Figure 1(a) Sample Electrical Meter Usage Plot (3 Months)](image1)

![Figure 1(b) Sample Interval Plots with Mean, Max and Min](image2)

![Figure 1(c) Meter Sample Data Points Per Day](image3)
Several observations are clearly identifiable from the plots in Figure 1, namely

1. The interval times (the timestamps of data points as they arrive into the warehouse) vary significantly as witnessed by the maximum and minimum values in Fig 1(b)
2. Over time there was a widening of the maximum and minimum reporting intervals in Fig 1(b)
3. There is a data outage event in evidence during the sample period in Fig 1(c)

From the study it became clear that data quality, and resultant data quality indicators are more variable than expected and therefore worthy of ongoing monitoring, and correction, and without which will inevitably have downstream impacts on output reporting and resultant analytics effectiveness and credibility.

1.2 Data Integrity Acquisition Issues

Enterprise data sources by their nature are inevitably quite noisy [3][4]. Table 1 presents a non-exhaustive summary of the typical data integrity failure modes that are likely to be experienced in normal day-to-day operations in BEMS.

<table>
<thead>
<tr>
<th>Failure Mode</th>
<th>Operational Causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Outage</td>
<td>Hardware failure at sensor or network level</td>
</tr>
<tr>
<td></td>
<td>Battery failure in wireless networks</td>
</tr>
<tr>
<td></td>
<td>Data Integrator or Warehouse Database outage</td>
</tr>
<tr>
<td>Data Intermittency</td>
<td>Network Traffic Delays</td>
</tr>
<tr>
<td></td>
<td>Data Compression Invocation*</td>
</tr>
<tr>
<td></td>
<td>Server response Time</td>
</tr>
<tr>
<td></td>
<td>Random reporting delays</td>
</tr>
<tr>
<td>Data Drift</td>
<td>Sensor hardware failure</td>
</tr>
<tr>
<td></td>
<td>Scheduled Maintenance delinquency</td>
</tr>
<tr>
<td></td>
<td>Calibration failure</td>
</tr>
<tr>
<td>Data Abnormalities</td>
<td>Noisy infrastructure generating random data values</td>
</tr>
<tr>
<td></td>
<td>Corrupted database or interface</td>
</tr>
<tr>
<td></td>
<td>Sensor failure</td>
</tr>
<tr>
<td></td>
<td>Sensor replacement</td>
</tr>
<tr>
<td></td>
<td>Sensor reboot</td>
</tr>
</tbody>
</table>

* handling sensor data compression particularly in wireless networks where battery saving algorithms are being invoked causing the sensor node only to report intermittently is a problem that needs special attention from a data integrity validation perspective

Table 1: Data Integrity Failure Mode Summary
And so with the many sensor and meter data failure modes, coupled with large volume sensor network estates, and the gap between system practitioners and system support teams, one can see that the management and maintenance of data quality becomes a challenging task. This paper presents a data integrity management methodology that will help the user to help manage this environment effectively, by proposing data integrity model, defining failure modes, and discussing possible failure mode detection capabilities.

Further in the paper several use cases are presented that are aimed at helping the reader to see the practical implications and value of deployment of such a data integrity model and support tooling within their environments to help confidently address the maintenance of a healthy raw data sensor and meter estates.

2. Defining a Data Integrity Model

It is clear that there is need to develop more holistic management solutions to address the various data integrity and flow issues previously identified. In the following section a data integrity model schema is proposed, and follow on detail of failure mode definitions and various failure mode detection scenarios are presented.

2.1 Data Integrity Model Schema

As outlined in data model schema presented in Figure 2 below, current BEMS positioning is more than likely ingesting raw data from multiple direct building environment meter and sensor feeds, or through an intermediate data warehousing schema with only rudimentary raw data error checking in place.

Fig 2 :  Data Integrity Model Schema
In the new environment, data integrity tooling (identified as the shading area in Figure 2) would be proposed where raw data integrity testing, including detection, alerting, diagnosis and appropriate intervention (manual and automatic) would then deliver a proper synchronised, clean, and continuous time series database into future BEMS instantiations, and overarching analytics layers.

2.2 Data Integrity Failure Mode Definitions

Within the proposed data integrity tool provisioning outlined in the data model – the first step in the process was to define appropriately categorised and most relevant data integrity failure modes experienced in the field relating to raw data sensor and meter data acquisition issues in BEMS. A first pass non exhaustive list of these possible categories are defined in Table 2 below.

<table>
<thead>
<tr>
<th>Category</th>
<th>Failure Mode Definition</th>
<th>Alert Detection Approach</th>
<th>Data Point Type Applicability</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Data point outage</td>
<td>Detect a data point outage over a defined time interval</td>
<td>Meter/Sensor</td>
</tr>
<tr>
<td>2</td>
<td>Data point intermittent</td>
<td>Detect a change in frequency in data point</td>
<td>Meter/Sensor</td>
</tr>
<tr>
<td>3</td>
<td>Data point base drift</td>
<td>Detect a significant drift (&gt;10%) in data point reference over a defined time interval</td>
<td>Sensor</td>
</tr>
<tr>
<td>4</td>
<td>Data point abnormal reporting</td>
<td>Detect abnormal sensor behaviour over a defined time interval</td>
<td>Meter/Sensor</td>
</tr>
</tbody>
</table>

Table 2: Data Integrity Failure Mode Definition Summary

Once the definitions are clarified, putting in place the appropriate detection techniques and follow on alerting capability as to possible data integrity issues, is then required.

2.3 Failure Mode Detection Algorithms

Properly crafted detection algorithms are critical for intelligently detecting and differentiating between the various failure modes, and where appropriate machine learning techniques can further be applied [5]. But given that this is a positional paper, details of more indepth statistical algorithms are not presented here, but are planned in future publications, with only some simple baseline aggregation test algorithms provided within
the different failure mode categories being presented to demonstrate the concepts involved.

However, as the following use cases show, even such simple algorithms can be effective in detecting many of the data integrity failure modes likely to be experienced in normal day to day operations. For example, while Category 1, that of data outage is relatively straightforward to detect, additional consideration is needed to be given to areas like outage tolerances, to allow for normal occasional temporary outage windows that will occur, to avoid false alerts scenarios. Also detection frequencies need to be considered, which are dependent on the criticality of the data points in question, and upfront assessments of the potential loss and impact on BEMS analytics engines if such outages are not detected and remediated quickly.

2.4 Failure Mode Alert Reporting and Diagnosis

While there are several existing effective methods to output the subsequent data integrity alerts, the proposed method presented in the data integrity tool instantiation is that of RSS feed subscription approach, where alerts are delivered through the user’s RSS feed reader. The feed reader could be standalone, or integrated into the users email or browser.

RSS is an effective existing web based technology that checks for feed updates automatically at specified time intervals. Updates are provided by subscribing to an RSS feed URL, where the updates are delivered directly to the feed reader. In this case, the URL is a web service that provides and analytical checks required e.g. checking if a specific sensor has reported in the last number of hours. Therefore RSS was considered a pragmatic solution to data integrity alert reporting and given that it can be embedded in email applications, like Lotus Notes or Outlook, it allows users to have almost continuous visibility of data integrity performance through their email application during their working day and where they can see almost immediately on their workspace when data integrity alerts are forthcoming. Setting up the RSS subscription takes only a few seconds making it another useful reporting advantage.

It is envisioned that a summary set of data integrity RSS feeds by the failure mode categories defined in Table 2 would always be present on the users email application, as an effective way to continue to monitor BEMS data flow quality and consistency.

Furthermore, as an enhancement to data integrity management capabilities, an additional feature relating to the use of simple visualisation
techniques that make use of geospatial data relating sensor and meter logical and physical environments, can help to quickly and efficiently fault diagnose sensor and meter root cause in normally large, complex and layered sensor and meter estates.

Finally, as per data integrity model schema presented in Figure 2 above, the final stage of the proposed data integrity toolset, that of intervention, data cleansing or data correction algorithms, manual and automated solutions for populating a clean continuous time series database for future BEMS application, and appropriate use case demonstration is to be considered for future publications. Some Research work has already been published in this space. [6]

3. Data Integrity Failure Mode Detection Use Case Outline

In order to demonstrate the data integrity model from concept to actual use, the following section outlines a practical set of actual deployed and operational use cases for the given defined model.

As mentioned in the previous section while there are several methods to output the subsequent data integrity alerts, the method deployed in the use case presentation, relate to relevant screen shots of RSS feed output within a Lotus Notes email environment, but equally accessible directly through a browser.

3.1 Category 1 Usage Case Example

A screenshot of a defined Category 1 data integrity alert, and corresponding alert message detail relating to a data outage problem, is presented in Figure 3 below, and as it would be presented in a Lotus Notes email RSS event notification window.

Fig 3 : Category 1 RSS Feed Alert Screen Shot

In this simple example an alert is generated relating to a detected desk temperature sensor data outage event i.e. a specific desk temperature sensor that has not reported in the last 24 hours.
And while such an alert is useful for detection of an outage event in itself, ingesting the sensor’s geospatial into the data integrity model, gives additional value to the user. There has been much advancements and developments in this space over the last number of years. [7], where appropriate exploitation of available geospatial data not only helps to physically locate the non-reporting sensor, but will also allow the user to see where it is positioned in the building and how it relates to other sensors and assets in space. Such a visualisation snapshot is presented below in Figure 4 below, where the use of the additional sensor geospatial data allows for immediate location and diagnosis of the data integrity problem, which in this instance clearly identifies a network breakage in the daisy chain infrastructure at desk DP ID 2716, which incidentally impacts also on desk DP ID’s 2717 and 2718 (although RSS feed alerts for these sensor data outages were not presented in the snapshot for clarity reasons).

3.2 Category 2 Usage Case Example

A screenshot of a defined Category 2 data relating to a data intermittency problem is presented in Figure 5 below as it would be presented in a Lotus Notes email RSS event notification window.

![Figure 4: Category 1 Alert Diagnosis Screenshot](image1)

![Figure 5: Category 2 RSS Feed Alert Screen Shot](image2)
In this example, the data quality alert relates to a critical building water submeter that has experienced intermittent meter reporting, as can be seen in Figure 6, and which is quite a common failure mode in wireless network environments. Algorithms to detect various forms of intermittency can be deployed but in this example for demonstration purposes a simple hourly aggregation method is presented.

![Figure 6: Sensor DP ID 2116 Reporting Frequency](image)

Detecting such events is important particularly for usage meter reporting, and to avoid classic meter reporting issues as highlighted in Figure 6 where such a data integrity problem is not appropriately managed and corrected.

Data outage or data intermittent outages can lead to BEMS false alerts, for example, the classic misreporting of abnormal usage behaviour levels which are due to a delay in meter reporting, is once such scenario, presented in Figure 7 below.

![Figure 7: Impact of Category 2 DP ID 2116 Failure](image)
3.3 Category 3 Usage Case Example

A screenshot of a defined Category 3 data integrity alert, relating to a sensor drift problem is presented in Figure 8 below, and as it would be presented in a Lotus Notes email RSS event notification window.

![Fig 8 : Category 3 RSS Feed Alert Screen Shot](image)

Here various algorithms can be deployed to detect drift over time – one such algorithm is that of Simple Moving Average, where incoming values are compared against a weekly baseline reference with appropriately applied control limits. An example of such an event experienced within the test environment, is presented in Figure 9 below, and in which an anomaly was detected and subsequent alert generated on the 19th October. In this specific case, root cause analysis required Facilities Management intervention, to replace early ahead of planned maintenance schedules, a filter within the effected CO2 sensor.

![Fig 9 : Category 3 Alert Sensor Drift Detection](image)
3.4 Category 4 Usage Case Example

A screenshot of a defined Category 4 data integrity alert, relating to a possible data corruption/process shift problem is presented in Figure 10 below, and as it would be presented in a Lotus Notes email RSS event notification window.

Separating data integrity Category 4 alerts from more sophisticated multivariate regression algorithms used to detect process shifts in building performance, is difficult. So in the context of data integrity which is what is covered within the scope of this paper, the value of a Category 4 alert is seen as minimally serving as a notification to the user of a data abnormality event, which would be flagged for followup investigation into the source of the abnormal behaviour, and which could be due to a possible data corruption issue which would need correction. Additionally however as is the case in this specific example with a building water meter, this Category 4 alert, once it is confirmed that it is not a data corruption issue, is actually an indicator of a background water leak which is an additional benefit of applying such Category 4 data integrity detection approaches, one of which is presented in Figure 11 below which is based around baseline hourly average thresholds.
Conclusions

Given the very positive impact of BEMS system applications today, there is a growing need to put forward appropriate solutions that will properly address the myriad of data integrity issues facing the ongoing proper operation of these systems. Equally the effectiveness of the applied data analytics relies heavily on the quality and integrity of the underlying raw data from a building’s sensor and meter estate. So defining appropriate data integrity failure modes and corresponding detection and correction algorithms are important first steps in helping to address this industry wide problem. Furthermore the development and testing of these first generation data integrity tools in smart building environments such as those demonstrated in the several use case scenarios presented in this paper, show the progress that is being made in addressing the raw data trust deficit that exists today. This increased confidence will in turn lead to more effective and sustainable BEMS deployments into the future.

References