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Enhancing PV Inverter Reliability With Battery System Control Strategy

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Abstract—The increasing integration of Photovoltaic (PV) and Battery Energy Storage Systems (PV-BESS) adds more power control flexibility of the PV systems. This offers an opportunity to improve the PV inverter reliability, where the loading of the PV inverter can be modified through the operation of the battery system (e.g., charge/discharge). In that case, the control strategy of battery systems will affect the PV inverter loading and thereby also the reliability. This paper investigates the potential solution to enhance the PV inverter reliability through the control of battery system, where three self-consumption control strategies are considered. The impact of the battery system control strategies on the PV inverter reliability is analyzed with the mission profile of a 6-kW PV-BESS installed in Germany. The evaluation results indicate that limiting the maximum charging power of the battery system has high potential to enhance the PV inverter reliability, where the damage of power devices in the inverter can be reduced by approximately 50%.

Index Terms—Battery, control strategy, lifetime, mission profile, PV inverters, reliability, self-consumption.

I. INTRODUCTION

To further increase the penetration level of Photovoltaic (PV) systems, a significant reduction in the cost of PV energy is still demanded. It is recommended in [1] that the cost of PV energy should be reduced by a factor of around three in the near future (e.g., from 0.18 USD/kWh in 2016 to 0.05 USD/kWh by 2030 for residential PV systems in the US) to increase the competitiveness of PV systems. This is a challenging target, which requires improving PV systems in several aspects. Among those, the PV inverter reliability is one of the areas that should be enhanced [1]. From the field experience, the PV inverter is one of the most fragile parts in PV systems and it is responsible for a majority of unexpected failure events [2]. As a consequence, such an event results in higher maintenance cost as well as PV energy yield losses, and eventually, increasing the cost of PV energy. Therefore, enhancing the reliability of PV inverters has high potential to bring down the cost of PV energy.

The reliability of PV inverters (and power electronics in general) is strongly affected by the system operating condition (also referred to as the mission profile) [3]. In that regard, the control strategy of PV systems inevitably affects the PV inverter reliability, as it changes the operating conditions. Nevertheless, the relationship increases the awareness of control for reliability. In the literature, many attempts have been made in recent years to enhance the PV inverter reliability. For instance, in [4], a control strategy to limit the maximum feed-in power of the PV inverter has been discussed, and its contribution to the inverter reliability improvement has been analyzed in [5]. Another control strategy to reduce the thermal loading fluctuation of PV inverters was proposed in [6], where the maximum power point tracking algorithm is modified during the fast change in the solar irradiance condition. In both control strategies, there is a trade-off between the improvement in the PV inverter reliability and the PV energy yield loss, since the PV power extraction is intentionally reduced (power curtailment) to alleviate the thermal loading of PV inverters. In some cases, the effectiveness of those control strategies is thus limited, considering the overall cost of energy [5].

Recently, the integration of PV and Battery Energy Storage Systems (PV-BESS) has become more economical-viable due to the declining cost of battery systems and the supportive policies [7]. For instance, in recent years, more than 40% of residential PV systems in Germany have been installed with battery systems, where self-consumption schemes are widely adopted [8], [9]. With the integration of battery systems, more control flexibilities of the PV system is enabled. In that case, the loading of PV inverters can be reduced by storing a certain amount of PV energy in the battery, instead of being curtailed, as it is illustrated in Fig. 1. This thus offers a possibility to enhance the PV inverter reliability without a loss of the total energy production. Hereby, the control strategies for battery systems are important to maintain the energy yield or the power flow, which affects the PV inverter loading and thus its reliability. Considering the PV self-consumption scheme, there are several battery system control strategies [10]-[13], whose impacts have also been investigated and compared for several aspects (e.g., battery lifetime, grid-relieving effect) in the literature [14]-[17]. However, the influence on the PV inverter reliability has not been explored yet.

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In this paper, the impact of battery system control strategies on the PV inverter reliability is analyzed. The analysis is carried out on a 6-kW PV-BESS with a PV self-consumption scheme. The control strategies for battery systems are described in Section III, and the impact on the PV inverter loading is discussed in Section IV. Then, a reliability assessment of the PV inverter is carried out in Section V, where the mission profile of the PV-BESS installed in Germany is considered. Finally, concluding remarks are given in Section VI.

II. SYSTEM DESCRIPTION OF PV-BESS

In this paper, a single-phase PV-BESS is considered, as shown in Fig. 2, where the battery system is connected to the DC-link of the PV system, being a DC-coupled configuration. The system parameters are given in TABLE I.

A. PV Arrays and Converter

PV arrays are the main power source of the system. Since the PV array characteristic is strongly dependent on the environmental conditions (e.g., the solar irradiance and temperature), a Maximum Power Point Tracking (MPPT) operation is normally employed to maximize the PV energy yield. This is achieved through the control of the PV converter (e.g., the DC-DC converter), which regulates the PV array voltage at the Maximum Power Point (MPP), and the extracted PV power is then delivered to the DC-link [18].

B. Battery and Converter

For the DC-coupled configuration, the battery system is connected to the DC-link in parallel with the PV converter. Here, the battery system adds more power control flexibility, where the self-consumption control scheme can be implemented. The charging and discharging of the battery (i.e., bi-directional power flow) is achieved by controlling the battery converter (e.g., the bidirectional DC-DC converter). In this paper, the battery system parameters are designed according to the recommendation in [19], where the ratio between the battery capacity and the PV array rated power (kWh/kWp) is selected as 1:1.

C. PV Inverter

The PV inverter is the interface between the DC-link and the point of common coupling. The total power at the DC-link, depending on the PV array output power and the battery power, should be delivered to the grid and/or load through the PV inverter. The DC-link voltage of the inverter is regulated to be constant through the control of the output AC current. Moreover, the injected current should be synchronized with the grid voltage, e.g., by means of phase-locked loops [20]. In this paper, a single-phase full-bridge PV inverter is used, as it is shown in Fig. 2. The power devices, whose reliability is considered, are Insulated-Gate Bipolar Transistor (IGBT) devices from [21].

III. CONTROL STRATEGY OF PV SELF-CONSUMPTION

The basic concept of the PV self-consumption is to locally consume the generated PV electricity within the household, instead of drawing the electricity from the grid to supply the loads. The Self-Consumption Rate (SCR) is normally defined as the ratio between the self-consumed energy $E_{self}$ and the generated PV energy $E_{pv}$: $SCR = E_{self}/E_{pv}$ [13]. Clearly, the higher the SCR is, the better the utilization of PV energy for local consumption will be. With battery systems, the surplus PV power during the day can be stored in the battery, and it will be used to supply the loads during nights. As a result, the SCR of the PV systems can be increased. Several battery system control strategies to realize the self-consumption operation are available in the literature. The operational principle of different control strategies will be discussed in the following, where the one-day PV power
and load profiles in Fig. 3 are considered.

A. Maximizing Self-Consumption

The most commonly-used battery system control strategy within the self-consumption scheme is the maximizing self-consumption method [13]. In this control strategy, the battery is charged as soon as the PV power production is higher than the load demand, as it is demonstrated by simulations in Fig. 4. By doing so, it can be ensured that the SCR of the PV-BESS is maximized, which is the advantage of this control strategy. However, this control strategy usually leads to a situation where the battery is fully charged before noon (especially during summer) [15], as it can be seen in Fig. 4(b) from the State of Charge (SOC) of the battery. This is undesirable from the grid integration perspective, since the battery system cannot contribute to the PV peak power reduction (e.g., the battery is fully charged before midday). Additionally, the early fully charged batteries will lead to a high average SOC during operation. This will accelerate the calendar aging of some types of batteries (i.e., lithium-ion batteries), which limits the battery lifetime [22].

B. Delaying Charging Period

To tackle the above issues, a control strategy that delays the charging period of the battery system has been discussed in [12]. In this control strategy, the battery will not be charged immediately when the surplus PV power becomes positive. In contrast, the battery will be charged after a certain time period in a way to shift the charging period from the early morning to midday. An operational example of the delaying charging period control strategy is shown in Fig. 5, where the battery is allowed to be charged after 9:00. In this case, the battery is fully charged around 12:00.

Compared with the maximizing self-consumption control strategy in Fig. 4, the time duration where the battery SOC is kept at 100% is reduced with this control strategy. Thus, the average SOC of the battery is effectively reduced. As a consequence, the lifetime of the battery can be improved and at the same time a certain amount of PV peak power injected into the grid is reduced. However, this control strategy may reduce the SCR in the case of a low solar irradiance conditions (e.g., during winter period), where the battery may not be fully charged by the end of the day (e.g., less load during night can be supplied by the PV energy). In that case, the PV energy is not fully utilized for local consumption.

C. Limiting Charging Power

Limiting the charging power of the battery is another possible solution to avoid the battery to be fully charged early in the day. In this control strategy, the maximum battery charging power is limited to a certain value [11]. When the surplus PV power is higher than the charging power limit, the battery will be charged with a constant power corresponding to the maximum power limit, while the rest of the surplus PV power will be delivered to the grid. The operation of this control strategy is demonstrated in Fig. 6, where the maximum charging power of the battery is kept at 30% of the battery converter power rating (i.e., the maximum power rating of the battery).
charging power is 900 W). As a result, the battery charging time is prolonged, where the battery is fully charged around 14:00.

The limiting charging power control strategy can effectively reduce the average SOC of the battery, as it can be seen from the battery SOC in Fig. 6(b). However, its contribution to the grid-relieving is limited, since a part of the surplus power is injected to the grid due to the limited battery charging power. Moreover, it also shares the same drawback with the delaying charging period method, where the SCR may be reduced during a low irradiance day.

IV. IMPACT OF BATTERY SYSTEM CONTROL STRATEGY ON PV INVERTER LOADING

In this section, the impact of battery system control strategies on the PV inverter loading is analyzed. There are several quantities to map the PV inverter loading: 1) the input power of PV inverters, 2) the thermal stress of the power devices, and 3) the damage of the power devices, which can be

![Fig. 6. Operation principle of the PV-BESS with the limiting charging power control strategy: (a) battery power and (b) State of Charge of the battery.](image1)

![Fig. 7. Loading determination and reliability evaluation process of PV inverters with battery systems under with self-consumption.](image2)

![Fig. 8. Loading of the PV inverter with the maximizing self-consumption control strategy: (a) PV inverter power $P_{inv}$, (b) the thermal cycle amplitude of the power device $\Delta T$, and (c) the damage accumulation of the power device.](image3)

obtained following the procedure in Fig. 7. This procedure will be explained in details.

A. Input Power of PV Inverters

The input power of the PV inverter $P_{inv}$ can be used to represent the system-level loading of the PV inverter. For the PV-BESS, the input power of the PV inverter $P_{inv}$ can be obtained by subtracting the PV array output power $P_{pv}$ with the battery power $P_{bat}$. Therefore, the battery system control strategy (discussed in Section III) will determine the battery power, as it is shown in Fig. 7.

Here, the impact of battery system control strategies on the input power of the PV inverters is demonstrated by using the one-day PV power and load profiles in Fig. 3. The input power of the PV inverter with the maximizing self-consumption control strategy is demonstrated in Fig. 8(a), where the battery power profile in Fig. 4(a) is used. It can be seen that the maximizing self-consumption control strategy can reduce the input power of the PV inverter during the early morning, which corresponds to the charging time period of the battery. However, the PV inverter will experience similar peak loading periods during noon as the case of PV inverters without battery system, since the batteries have been fully charged before noon and thus the PV peak-power cannot be stored.

On the other hand, the loading of PV inverters during the PV peak power generation period can be reduced with
the delaying charging period control strategy, as it can be seen in Fig. 9(a). In this case, the PV inverter loading starts decreasing after 9:00, which is the time when the battery system starts the operation (i.e., Fig. 5(a)). Therefore, a certain amount of PV power during noon is stored in the battery, and thus the peak load of the PV inverter can be reduced to some extent.

Similarly, the limiting charging power control strategy contributes also to the peak load reduction of the PV inverters. The input power of the PV inverters under this control strategy is demonstrated in Fig. 10(a). It can be seen in Fig. 10(a) that the loading of the PV inverter is reduced with the power difference corresponding to the maximum charging power. In this case, the load reduction starts from early morning until afternoon, corresponding to the battery charging time period.

Notably, the input power of the PV inverter, the thermal stress of the power devices can be obtained using the loss and thermal models of the power device, as it is shown in Fig. 7. Notably, the translation from the input power to the power loss and thermal stress is normally realized through a look-up table in order to handle long-term simulations (e.g., one-year mission profile). More details about the mission profile translation has been provided in [24]. For IGBT power devices, the cycle amplitude $\Delta T_j$ of the junction temperature is the main stress factor that induces wear-out failures after a number of cycles (e.g., resulting in bond-wire lift-off) [3].

The thermal stress of the power device under different battery system control strategies is determined by translating the input power of the PV inverter in Fig. 8(a), Fig. 9(a), and Fig. 10(a) into the junction temperature of the power device $T_j$ of the junction temperature is the main stress factor that induces wear-out failures after a number of cycles (e.g., resulting in bond-wire lift-off) [3].

The thermal stress of the power device under different battery system control strategies is determined by translating the input power of the PV inverter in Fig. 8(a), Fig. 9(a), and Fig. 10(a) into the junction temperature of the power device (e.g., the cycle amplitude) following Fig. 7. In general, the thermal stress of the power device has a similar tendency as the input power profile of the PV inverter. More specifically, the cycle amplitude of the power device decreases significantly in the early morning with the maximizing self-consumption control strategy, as it is shown in Fig. 8(b). In the case of the delaying charging period control strategy, the thermal stress of the power device starts decreasing after the battery system is activated (i.e., after 9:00), as it is shown in Fig. 9(b). For the limiting charging power control strategy, the thermal stress in the power device reduces from the early
morning until the afternoon, covering the PV peak power generation period, as it is shown in Fig. 10(b).

C. Damage Evaluation of Power Devices

For power devices, one main wear-out failure mechanism is related to the thermal cycling, which can cause the bond-wire lift-off after a number of thermal cycles [25]. Therefore, a cycle counting algorithm such as the rainflow analysis needs to be applied to the thermal stress profile in order to obtain the thermal cycling information. Normally, it is assumed that the contribution of each thermal cycle to the failure, also referred to as damage, is accumulated linearly and independently during operation (i.e., using the Miner’s rule) [3]. For instance, the accumulated damage in the power device during operation is calculated as

\[ AD = \sum \frac{n_i}{N_p} \]  

(1)

where \( AD \) is the accumulated damage of the power device. \( n_i \) is the number of cycles for a certain thermal stress condition (e.g., the cycle amplitude \( \Delta T_j \), the mean value \( T_{jm} \), and the cycle period \( t_{on} \)), which is obtained from the cycle counting algorithm. \( N_p \) is the number of cycles to failure at a certain stress condition, which can be calculated from the lifetime model of the power device as

\[ N_f = A \cdot (\Delta T_j)^\gamma \cdot (ar)^{A\Delta T_j/\beta_0} \cdot \exp \left( \frac{E_a}{k_B \cdot T_{jm}} \right) \cdot f_\beta \]  

(2)

where the lifetime model parameters are given in TABLE II [25]. It is worth mentioning that this lifetime model is obtained through the accelerated testing where the power devices are subjected to the stress level higher than normal operation in order to obtain the test results in a reasonable time. This lifetime prediction (damage calculation) is based on the extrapolation of this model when the operating condition falls outside the testing conditions [3].

The accumulated damage \( AD \) can be used as a quantitative reliability metric to compare the contribution of the operating condition (e.g., battery system control strategies) to the reliability of power devices. It indicates a proportion of the component lifetime that has been consumed during the operation. The operation with high accumulated damage indicates low reliability, resulting in a high failure rate, where the end of life of the power devices is reached when the damage is accumulated to unity (i.e., \( AD = 1 \) after a few years of operation) [3].

The accumulated damage during one-day operation is obtained by considering the thermal stress in the power devices, and it is compared with the case of the PV inverter without battery system. It can be seen in Fig. 8(c) that the maximizing self-consumption control strategy has a limited contribution to the damage reduction of the power devices. On the other hand, the control strategy with a delaying charging period can reduce the damage of the power devices significantly, where the accumulated damage during one-day is reduced by 39% (compared to the case of the PV inverter without battery system, as it is shown in Fig. 9(c). Nevertheless, the battery system control strategy with a limited charging power is the most effective solution in terms of damage reduction, as it can be seen in Fig. 10(c). In that case, the accumulated damage during one-day is reduced by 69% (compared to the case of the PV inverter without battery system). This is mainly due to the reduced peak load of the PV inverter during noon, where the maximum cycle amplitude of the power device junction temperature is decreased and thereby the damage of power devices is reduced significantly.

V. RELIABILITY ASSESSMENT OF PV INVERTERS WITH SELF-CONSUMPTION CONTROL STRATEGY

The previous analysis during one-day operation suggests that the battery system control strategy can strongly affect the reliability of PV inverters. Nevertheless, the impact of the control strategy is also dependent on the mission profile of the PV system (e.g., solar irradiance and ambient temperature), which varies during the operation [23]. In this section, the reliability assessment of the PV inverter is carried out with a one-year mission profile of the PV-BESS in Germany. By doing so, the seasonal variations in the mission profile (e.g., during summer and winter) can be included in the analysis, and the long-term impact of the battery system control strategies on the PV inverter reliability is then examined.

A. Mission Profile of PV-BESS

For PV systems, the mission profile usually consists of the solar irradiance and the ambient temperature, as the PV array output power is mainly determined by the two parameters [24]. One-year solar irradiance and ambient temperature profiles recorded in Lindenberg, Germany are shown in Fig. 11 and used as a case study, where the annual PV energy yield is approximately 6600 kWh/year. Due to a strong seasonal variation (e.g., between summer and winter) in the mission profile, it can be expected that the amount of surplus power during the day in the summer will be much higher than that in winter. In that case, the issues associated with the early
self-consumption is applied to all cases during the winter period. Instead, the control strategy similar to the maximizing surplus energy during the day is already lower than the battery during the winter period (i.e., November-February), since the drawback, the above two control strategies are not applied during the day with low irradiance conditions. To minimize limiting charging power control strategies may reduce the SCR. As discussed previously, the delaying charging period and temperature control strategies are applied to the mission profile in Fig. 11. One-year mission profile of the PV-BESS in Lindenberg, Germany with a sampling rate of 1 minute per sample: (a) solar irradiance, (b) ambient temperature, and (c) household load profile.

Fully charged battery will be more pronounced in summer.

In addition to the solar irradiance and ambient temperature profiles, the household load profile also plays an important role in determining the battery system operation under the self-consumption scheme. Here, a one-year load profile of typical residential household shown in Fig. 11(c) is employed. This load profile is stochastically modified based on the measurement data to represent the dynamic behavior and variation in the household load. The annual energy consumption is approximately 4800 kWh/year, which represents an average 4-person household load. From the load and PV array output power profiles, the battery power can then be obtained according to the battery system control strategy.

B. Description of the Case Study

In the analysis, three case studies with different battery system control strategies are applied to the mission profile in Fig. 11. As discussed previously, the delaying charging period and limiting charging power control strategies may reduce the SCR during the day with low irradiance conditions. To minimize this drawback, the above two control strategies are not applied during the winter period (i.e., November-February), since the surplus energy during the day is already lower than the battery capacity. Instead, the control strategy similar to the maximizing self-consumption is applied to all cases during the winter period, as it is suggested in [14].

C. Reliability Evaluation

The reliability evaluation is carried out during one-year operation, where the battery system control strategies and the mission profile are considered. The AD over one-year is used as a reliability metric to quantify the impact of battery system control strategies on the reliability of the PV inverter. The improvement in the PV inverter reliability can be analyzed by comparing the AD of the PV inverter with battery system control strategies and the case without battery system.

The AD of the power device in the PV inverter with different battery system control strategies is summarized in Table III. It can be seen that employing the maximizing self-consumption control strategy results in a comparable AD as the case without battery system. Thus, its effectiveness in terms of PV inverter reliability enhancement is limited. On the other hand, the delaying charging period and limiting charging power control strategies can effectively improve the PV inverter reliability, where the AD during operation is reduced significantly. It can be seen from the comparison in the AD shown in Fig. 12 that the delaying charging period control strategy can reduce the AD of the power device by approximately 40% compared to the case without batteries. Nevertheless, the limiting charging power control strategy is the most effective solution among the three control strategies in terms of the PV inverter reliability improvement, where more than 50% reduction in the AD can be achieved.

<table>
<thead>
<tr>
<th>Control Strategy</th>
<th>Accumulated Damage (per year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Without battery system</td>
<td>$16.2 \times 10^{-3}$</td>
</tr>
<tr>
<td>Maximizing self-consumption</td>
<td>$15.2 \times 10^{-3}$</td>
</tr>
<tr>
<td>Delaying charging period</td>
<td>$9.75 \times 10^{-3}$</td>
</tr>
<tr>
<td>Limiting charging power</td>
<td>$8.05 \times 10^{-3}$</td>
</tr>
</tbody>
</table>

Additionally, the start charging time and the maximum charging power are the parameters that strongly affect the battery system operation for the delaying charging period and the limiting charging power control strategies, respectively. In general, using a low charging power or large delaying charging period (e.g., start charging the battery after 10:00) will further benefit the PV inverter reliability, but decrease the SCR (e.g., during low solar irradiance days). In this case study, the battery with the delaying charging period control strategy is charged after 9:00, while the maximum charging power of the limiting charging power control strategy is selected as 30% of the rated power. These parameters are selected in a way to maintain an equal SCR for both control strategies (i.e., 2% lower SCR than that of the maximizing self-consumption control strategy). By doing so, the SCR of all control strategies are comparable (resulting in a similar energy yield), and the benefit in terms of reliability improvement of different control strategies can be benchmarked.
Accordingly, it can be seen that the delaying charging period and the limiting charging power control strategies are promising solutions to enhance the PV inverter reliability with a minimum reduction in the SCR (i.e., 2% lower than the maximizing self-consumption case).

VI. CONCLUSION

In this paper, solutions to enhance the PV inverter reliability through the control of battery system were explored. Three different control strategies for self-consumption operation were discussed, and their impact on the PV inverter loading was investigated. The loading analysis indicates that the delaying charging period and limiting charging power control strategies can reduce the peak load of the PV inverter to some extent and thereby it has high potential for the reliability improvement. The reliability assessment has shown that the limiting charging power control strategy can effectively reduce the damage of power devices by 50% compared to the case of the PV inverter without a battery system. Therefore, it has the potential to enhance the reliability of the PV inverters, and thus maximize the benefit of the integration of battery systems in PV applications.

REFERENCES

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He is nominated in 2014, 2015, 2016 and 2017 by Thomson Reuters to be between the most 250 cited researchers in Engineering in the world.