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Analysis of a high power, resonant DC-DC converter for DC wind turbines

Catalin Dincan, Student Member, IEEE, Philip Kjaer, Senior Member, IEEE, Yu-hsing Chen, Stig Munk-Nielsen, Claus Leth Bak, Senior Member, IEEE,

Abstract—This paper is introducing a new method of operation for a series resonant converter, with intended application in megawatt high-voltage DC wind turbines. Compared to a frequency controlled series resonant converter operated in sub resonant mode, the method (entitled pulse removal technique) allows the design of the medium frequency transformer for highest switching frequency, while being operated at lower frequency without saturation. The main focus of this paper is to identify and analyse the operating modes of the converter with pulse removal technique. With the use of variable frequency and variable phase displacement in sub resonant mode, the new method of operation promises transformer size reduction and facilitates soft-switching transition of the IGBTs and line frequency diodes on rectifier side. Four modes of operation are identified, while equations for output power, voltage and current are written. Experimental results are concluded on a 1 kW, 250V / 500V prototype.

Index Terms—resonant converter, medium voltage DC, medium frequency transformer, offshore wind farm, high voltage converter

NOMENCLATURE

CCM Continuous conduction mode.
DCM Discontinuous conduction mode.
HVDC High voltage direct current.
LVDC Low voltage direct current.
MVDC Medium voltage direct current.
SRC Series resonant converter.
SRC# Series resonant converter "sharp".
CR Resonant (tank) capacitor.
δ Inverter legs phase displacement.
Fsw Switching frequency.
Fr Resonant frequency.
M Voltage gain.
N Transformer turns ratio
im Transformer magnetizing current.
out Output current of the converter.
rip Primary resonant current.
rs Secondary resonant current.
τ Rectified current
K Constant.
Lr Resonant (tank) inductor.
Lm Transformer magnetizing inductance.
P in Input power.
P out Output power.
Q Converter quality factor.
r Rectifier capacitor stored charge.

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I. INTRODUCTION

PRESENT offshore wind farms use mainly HVAC collection grids to transmit the energy collected from wind turbines to onshore, while other solutions use HVAC up to a large rectifier and then to the mainland through a high voltage DC (HVDC) transmission line. According to [1]-[4], HVDC wind farms could operate with higher efficiency when connected to a MVDC (Medium Voltage Direct Current) collection grid. A single line diagram for the DC wind farm is shown in Fig. 1a. The motivation lays in the fact that the levelized cost of energy (LCOE) could be reduced by as much as 3%, by improving the efficiency with 2% and reducing the bill of materials (BoM) costs by at least 1% [1]. It is expected in the near future, that MVDC grids will be the preferred solution for energy distribution and collection grids.

A desired, but challenging component of such a system would be the MV DC/DC converter located in the wind turbines. A good candidate solution, with more benefits then deficits, could be a unidirectional series resonant converter (SRC). The proposed topology is composed of: low voltage (LV) inverter, one monolithic transformer (with one primary and secondary winding) and a medium voltage (MV) rectifier built with series connected diodes. The topology will be referred as SRC# and it’s shown in Fig. 1b, with the ratings from Table I.

High availability, efficiency and power density are targets for the DC/DC converter and they can be achieved through the use of series resonant converter. Considering the high voltage specifications, a transformer with high turns ratio
should be employed. But, high voltage transformers suffer from the impact of leakage inductance, which can lead to high overshoots across the switching semiconductors. Through the use of a series resonant tank, the leakage inductance can now be incorporated in the tank and actually help reducing losses. For high power operation, the topology has been investigated mainly in traction applications [5]-[9] and in solid-state transformer [10]-[12]. Operated at constant frequency and in sub-resonant mode it is known as half cycle discontinuous-conduction-mode series-resonant converter (HC-DCM-SRC). For these particular applications, the converter couples two DC link voltages with a fixed voltage transfer ratio, but has no control possibilities (cf. Fig.2a).

For a wind turbine on the other hand, the DC/DC converter must have the functionality of controlling the LV DC bus voltage, while offering galvanic separation and a high voltage gain. A candidate solution (cf. Fig. 2b) was proposed in [13] and it employs a SRC, operated at resonant mode and with constant frequency, while a front end boost converter controls the input DC-link, increasing thus number of components, complexity and losses.

In [26], a per-phase configuration of the series resonant converter is proposed, with three single phase rectifier series-connected on the output. The topology is controlled through variable duty cycle and constant frequency, while being operated exclusively in super-resonant mode. The drawback of this mode are hard turn-off losses on both inverter and rectifier side switches. No efficiency analysis or measurement was reported and the circuit would appear to suffer from technical barriers on implementing 2-3 MVA, 10-kHz monolithic transformers. Non-isolated topologies have also been proposed in prior art: [27] and [28] propose a single and three phase topology, while employing low-cost thyristors. The topology is similar to a parallel resonant converter, but suffers from high voltage stress across the semiconductors and resonant tank. In a DC wind turbine application, galvanic separation is preferred for protection and safety reasons, by effectively decoupling the generator and its rectifier from that of the dc/dc converter.

In order to control the LV DC bus voltage, our work proposes a method of operation for the SRC, where variable frequency and phase shift control in sub-resonant mode are applied. The benefits and deficits of the method are discussed and compared to a SRC operated only with frequency control. The paper deals with the review of classic SRC and motivates the need for pulse removal technique, in order to avoid a bulky transformer. Four modes of operation are identified and analysed, while experiment results from a 1 kW, 500V setup are used to validate the expected behaviour.

The paper is organized as follows: in Section II, modes of operation for the classic SRC are reviewed and preferred mode of operation for a high power converter is selected. In Section III, operation principle of the SRC# is introduced and an explanation of why it’s efficient with tank on rectifier side is presented. Section IV provides analysis of SRC# modes.

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**TABLE I**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nominal power, $P_n$</td>
<td>10 MW</td>
</tr>
<tr>
<td>Nominal input voltage $V_{in}$</td>
<td>$\pm 2kV$</td>
</tr>
<tr>
<td>Nominal output voltage $V_{out}$</td>
<td>$\pm 50kV$</td>
</tr>
<tr>
<td>Isolation level</td>
<td>$\pm 75kV$</td>
</tr>
<tr>
<td>Inverter</td>
<td>4x3 in parallel IGBT(6500V-x-750A)</td>
</tr>
<tr>
<td>Rectifier</td>
<td>4x40 in series diode (6500V-x-750A)</td>
</tr>
<tr>
<td>Frequency range $F_{sw}$</td>
<td>0-1000 Hz</td>
</tr>
<tr>
<td>Resonant capacitor $C_r$</td>
<td>0.250 uF</td>
</tr>
<tr>
<td>Resonant inductor $L_r$</td>
<td>78 mH</td>
</tr>
<tr>
<td>Magnetizing inductance $L_m$</td>
<td>10 mH</td>
</tr>
<tr>
<td>Transformer core weight $F_e$</td>
<td>800 kg</td>
</tr>
<tr>
<td>Transformer winding weight $C_u$</td>
<td>340 kg</td>
</tr>
<tr>
<td>Resonant capacitor energy $E_{cap}$</td>
<td>1250 J</td>
</tr>
<tr>
<td>Resonant inductor energy $E_{ind}$</td>
<td>1250 J</td>
</tr>
</tbody>
</table>
of operation, while in Section V, simulation results of steady state operation with the target converter are shown. In Section VI, output power, voltage and current stress characteristics are identified. Section VII provides the experiment results from a 1 kW prototype, confirming SRC# expected behaviour and Section VIII concludes on the results.

II. REVIEW OF SERIES RESONANT CONVERTER

A. Theory of operation

In order to fully comprehend pulse removal technique, a review of series resonant converter modes of operation and control is necessary. Therefore, consider the first SRC design, with tank on inverter side, as shown in Fig. 3, where initially the transformer magnetizing inductance \( L_m \) is not considered. When the two complementary switching pairs \((S1, S2)\) and \((S3, S4)\) are opened and closed alternately, a square wave voltage \( V_g \) of defined frequency \( F_{sw} \) and duty cycle \( D \) is applied to the resonant LC tank while the rectifying bridge is left uncontrolled, i.e only diodes are in operation. The resonant frequency \( F_r \), resonant angular frequency \( \omega_r \), and characteristic impedance of the tank are further defined in eqs. (1), (2) and (3). \( V_g \) induces a resonant current \( i_{rp} \) in the tank circuit, which when rectified and filtered is fed into the output voltage network. The magnitude and shape of the output current is determined by the ratio between \( F_{sw} \) and \( F_r \). This explanation is valid for all modes of operation [14]-[16].

\[
F_r = \frac{1}{2\pi \sqrt{L_r C_r}} \quad (1)
\]

\[
\omega_r = \frac{2\pi}{F_r} \quad (2)
\]

\[
Z_c = \sqrt{\frac{L_r}{C_r}} \quad (3)
\]

B. Possible modes of operation

For a SRC, three modes of operation are possible: sub-resonant mode (Fig. 4a and Fig 4b), resonant mode (Fig. 4c) and super-resonant mode (Fig. 4d). In sub-resonant mode, \( F_{sw} \) is lower than \( F_r \), while in super-resonant mode it’s higher. In resonant mode \( F_{sw} \) is equal to that of the resonant tank, meaning switching occurs exactly at the zero crossing event of the current. For both sub-resonant and super-resonant modes, two states of conductions can exist: continuous and discontinuous. Discontinuous conduction mode (DCM-Fig. 4a) for sub-resonant mode is characterized by the presence of zero current sub-interval. In that period, all of the rectifier diodes are reversed biased, until \( V_g \) changes sign. Continuous conduction mode (CCM-Fig. 4b) appears when the resonant current rings continuously for the full switching period. Regarding control methods, three different methods are identified in prior art for sub-resonant and super-resonant mode: frequency control (see Fig. 4 a, b, c, d), phase shift control (see Fig. 4 e, f) and dual control (see Fig. 4 g, h). By frequency control of input voltage, the effective resonant tank impedance varies with the switching frequency. The phase-shift method is controlling the applied voltage to the resonant tank by changing the duty cycle of the inverter (square wave) voltage, while having constant switching frequency. It can be applied in super-resonant mode (Fig. 4e) or sub-resonant mode (Fig. 4f). With dual control, a combination of variable frequency and phase-shift is applied in order to control transformer primary voltage and the switching current. Dual control in super resonant mode (Fig. 4h) has been published in prior art [25], while the dual control in sub-resonant mode (Fig. 4g) has not been investigated. Pulse removal technique covers this area. Switching characteristics at turn on and off for frequency, phase shift and dual control are further mentioned in Table II.

C. Selection of mode of operation and control method

The mode of operation and control method for the SRC are in general selected based on the application type. For example, at low power and high voltage, applications prefer to employ super resonant and phase shift control [20]-[24]. A three phase variant of the SRC operated in resonant mode is described in [2] and [18] and promises efficiency above 99% but lacks controllability. On the other hand, constant frequency and sub-resonant mode are applied in traction and solid state applications like [19]. Mode of operation and control method are selected based on inverter side device selection and application requirements. Considering the high power and medium voltage application in this case, 6.5 kV IGBTs will be employed on the inverter side, while 6.5kV line frequency diodes are used on rectifier side. Most of the available publications which use a resonant topology are addressing low power and low voltage applications and are using MOSFETs with switching frequencies in the range of hundreds of kilohertz. For those kind of applications, super-resonant mode is attractive, as it allows ZVS at turn on. But, as
also stated in [29], the main contributors to the overall losses with IGBT applications are the turn-OFF losses. According to [30] and [31] the main reason is that these semiconductors are characterized by a bipolar power stage, that, in order to block HV, comprise a considerable large N-base region, which stores a large amount of charge during the conduction phase of the semiconductor. When the switch is turned off, this stored charge is evacuated from the semiconductor, causing tail currents that overlap with the blocking voltage, generating high switching losses. Therefore, a mode of operation that allows ZCS or a small current at turn-off needs to be selected. The obvious mode of operation is therefore sub-resonant mode for IGBT applications. Another particular reason why sub-resonant operation is attractive and with reference to Fig. 5a is that regardless of switching frequency, during every switching period a full resonant pulse is sent to the load. This means if the converter operates in DCM mode, intervals of zero current will appear. Operated below resonant frequency, it allows the LV and MV side switches to operate with ZCS at turn off. Further on, if frequency control is implemented, output power is dependent on the amount of energy transfer to the output stage, making it a function of number of energy pulses transferred to the output, as seen in Fig. 5b. For an SRC operated in DCM mode, an energy pulse is dependent on the resonant capacitor \( C_r \) and its voltage \( V_{Cr} \), as it determines the stored charge \( q_s \), shown in eq. (4), where \( V_{Cr} = 2 \cdot V_{in} \).

\[
q_s = C_r \cdot (2V_{Cr}) \tag{4}
\]

The relation between output averaged current and stored charge is given by eq. (5):

\[
i_{out} = \frac{2 \cdot q_s}{t_s} = 2 \cdot q_s \cdot F_{sw} \tag{5}
\]

Combining eq. (4) into (5), will give (6):

\[
i_{out} = 8 \cdot C_r \cdot F_{sw} \cdot V_{in} \tag{6}
\]
Considering that $P_{out} = I_{out} \cdot V_{out}$ and $V'_{out} = \frac{V_{out}}{N}$, the previous mentioned relation between output power and switching frequency is determined in (7):

$$P_{out} = \frac{8 \cdot C_r \cdot F_{sw} \cdot V_{in} \cdot V'_{out}}{N} \quad (7)$$

Thus, if an SRC should be operated only in DCM mode, eq. (7) provides a simple function, which could be implemented in a feedforward controller. Low frequency operation means low power output, while high frequency operation will deliver a high power output. On the other hand, the disadvantage of operating the SRC with resonant tank on inverter side in sub-resonant mode and frequency control, is that the transformer and output filter now need to be designed for the lowest frequency point and the magnetizing inductance needs therefore to be considered, as seen in Fig. 5c and included in the schematic. Fig. 5b (top) shows how the magnetizing current $i_{m}$ varies with frequency, being in a direct relation with applied volt-seconds. Below lowest operational frequency, saturation and transformer induced oscillations will occur [32], [33]. A means of avoiding this must be implemented, otherwise, designing medium frequency transformers with SRC operated in sub resonant mode is not possible.

III. OPERATION PRINCIPLE OF THE SRC#

A. Pulse removal technique

Pulse removal technique was initially described in [17] and it’s further explained in following paragraphs. As mentioned previously, sub-resonant operation and frequency control are optimal for IGBT applications as they allow soft-switching at turn-off and allow the SRC to control output power. The question is now, how can the transformer be operated with variable frequency and be designed at highest operating frequency, while avoiding saturation at lower frequency. One possible way and with reference to Fig. 6a, is to make $V_g$ a function of square wave pulses, meaning a pulse with determined length is applied to the inverter, but the distance between pulses varies as a function of output power. As the length of every voltage pulse is fixed, the amplitude of magnetizing current $i_{m}$ will be constant. Fig. 6a (top) shows the inverter voltage $V_g$ operating at highest frequency, providing the maximum number of voltage pulses per unit time, while $i_{m}$ varies between a maximum and minimum value. Fig. 6a (middle) and (bottom) indicate that if variable zero voltage periods are inserted between the pulses, $i_{m}$ will not go above or below maximum and minimum values, but remain constant. Further on and with ref. to Fig 6b, if the applied voltage $V_g$ has the same length as the resonant pulse $i_{rs}$, then frequency control in sub-resonant mode becomes possible, allowing the design of the transformer for highest operating point. In particular, Fig. 6b (top) relates to a lower power output (fewer current pulses) than Fig. 6b (bottom), which relates to higher power output. Fig. 6c shows transistors switching pattern, inverter voltage $V_g$, secondary resonant current $i_{rs}$ and rectifier current $i_r$, where a pulse removal technique is implemented with a combination of frequency and phase shift control. Another aspect worthy to mention is that, the length of one $V_g$ pulse needs to consider the impact of rectifier diode reverse recovery time $T_{rec}$, as seen in Fig. 7c.

B. Resonant tank on inverter or rectifier side

Applying pulse removal technique is successful only if the resonant tank is placed on the rectifier side of the transformer, as explained in the following. When LC tank is placed on inverter side, $V_g$ is the inverter voltage and $V_{g'}$ is the rectifier voltage reflected on inverter side, the main goal is to achieve zero volts on transformer primary winding, as soon as the resonant current reaches zero, thus stopping any flux build-up. Consider the equivalent circuits and corresponding waveforms of SRC with tank on inverter side (Fig. 7a) and with tank on rectifier side (Fig. 7b). In the first case, transformer primary voltage is the rectifier reflected voltage on inverter side, namely $V_{g'}$. Looking at principle waveforms from (Fig. 7a (top), it is noticed that even if $V_g$ is clamped to zero during Q1 sub-interval, resonant capacitor voltage $V_{C_r}$ is slowly
discharged through the magnetizing inductor, not allowing zero volts on the primary. During sub-interval X, transformer primary voltage has the same level as capacitor voltage, but with an opposite sign. In other words, it’s not possible to get zero volts on primary winding, even if \( V_g \) is zero. Fig. 7a (middle) and (bottom) show primary resonant current \( i_{rp} \), magnetizing current \( i_m \), and the magnetic flux \( \Phi \), as a function of \( V_{g'} \) time and angle.

In the second case with LC tank on rectifier side, (see Fig. 7b top), will mean that transformer primary excitation voltage will be controlled directly through \( V_g \), thus limiting the volt-seconds and allowing for lower magnetizing flux, as seen in Fig. 7b (bottom). Comparing the shape of magnetizing current \( i_m \) from Fig. 7b (middle) to the one from Fig. 7a (middle), it becomes evident why pulse removal technique is efficient only with the LC tank on rectifier side. The drawback is that the tank needs to be designed for medium voltage levels, impacting insulation, clearance and creepage specifications.

With this arrangement, operating in a sub-resonant mode permits a full resonant cycle of the LC circuit current to pass within a single switching cycle of the full bridge, allowing ZVS at turn-on and a low turn-off current for inverter switches, while the rectifier diodes will experience ZVS at turn-on and ZCS at turn-off.

**C. Description of SRC#**

The series resonant converter with the new method of operation (SRC#) is depicted in Fig. 8 and comprises a full bridge inverter, monolithic 1:N transformer, resonant tank and medium voltage rectifier. Power flows from \( V_{in} \) to \( V_{out} \). The switch pairs (S1/S2) and (S3/S4) as indicated in Fig. 10a, operate at a 50% duty cycle. Determining (S1,S2) as leading leg, will generate Q1 and Q2 sub-intervals (Fig. 10b), while if (S3,S4) is the leading leg, will determine P1 or P2 sub-intervals (Fig. 10c). Q and P sub-intervals are explained later in the paper. For simplicity, in the following paragraphs (S1, S2) is considered the leading leg. Commutation of switches on the leading leg is phase shifted with respect to the conduction of switches on the lagging leg, with a duration \( \delta \), equal to a resonant period, resulting in a quasi-square excitation voltage.

---

Fig. 7. Characteristic waveforms for SRC# with tank on inverter side (a) and rectifier side (b): top - inverter voltage \( V_g \), rectifier voltage \( V_{o'} \), resonant capacitor voltage \( V_{cr} \); middle - primary resonant current \( i_{rp} \), magnetizing current \( i_m \); bottom - magnetic flux \( \Phi \); Impact of rectifier diode reverse recovery on length of \( V_g \) voltage pulse (c).

Fig. 8. Series resonant converter with new method of operation: SRC#

Fig. 9. Power to frequency relationship, for an SRC#
as seen in Fig. 10a. The applied square wave voltage passes through the transformer ($V_g$ referred to rectifier side is $V'_g$) and excites the tank and a resonant tank current $i_{rs}$ starts to flow. After rectification and filtering it is fed into the medium voltage network, $V_{out}$. Up to this point there is no operational difference compared to a constant frequency phase shift control, which is normally applied for operation in super resonant mode, to achieve ZVS at turn on. As IGBTs are employed, ZCS or a low current at turn off is necessary, so SRC# operates in sub-resonant mode. The particular case for SRC# is that the implemented phase shift has the same length as the resonant pulse ($T_r/2$), as seen in Fig. 12a. This means that as soon as the secondary resonant current reaches zero, $V'_g$ is switched to zero, i.e. the pulsed voltage is removed. Now, because the converter needs to control output power, just like in the case of frequency control of the classical SRC, also here output power has a linear relation to the number of resonant pulses transferred per second, as depicted in Fig. 9. Therefore, the excitation voltage $V_g$, becomes a function of frequency and phase shift angle, with operation in sub-resonant mode. This means the zero voltage sub-interval has different lengths for different power levels.

### IV. SRC# Conduction Modes

Considering that SRC# is operating in sub resonant mode, four modes of conduction (two discontinuous and two continuous) will appear under steady state operation: DCM1, DCM2, CCM1-hybrid and CCM1. Switching frequency $F_{sw}$ and voltage difference $\Delta V$ between inverter voltage reflected on rectifier side $V'_g$ (where $V'_g = V_g \cdot N$) and $V_o$ will determine whether the converter operates in one conduction state or another. A summary of these conditions is shown in Table III. Discontinuous and continuous modes are characterized by the number of half resonant cycles that appear during a half switching period, with the difference that in DCM modes, a period of no conduction appears, while in CCM modes the current never ceases to flow. [14].

DCM1 can occur in the entire operating range (for frequencies from 0 to $F_r$) during transient states, when the resonant capacitor voltage is increasing or decreasing to certain values. DCM2 can occur only for frequencies below $F_r/2$, as two half resonant cycles followed by no conduction period are not possible above half of $F_r$. Transition from DCM1 to DCM2 will occur, as soon as $V_{Cr} \geq V_o$, implying energy will flow towards output voltage network. Transition from DCM1 to CCM1-hybrid occurs when $F_{sw} \geq F_r/2$. As $\Delta V$ determines peak resonant current and voltage, when $V_{Cr} \geq (V'_g + V_o)$ and
$F_{sw} \geq F_r/2$, transition to CCM1 mode will occur.

A one-cycle operation of SRC# is (regardless of conduction mode) composed of a sequence of linear circuits, each corresponding to a particular switching interval, as seen in Table IV. Every linear circuit is determined by switching certain switch mode) composed of a sequence of linear circuits, each corresponding to a particular switching interval, as seen in Table IV. Every linear circuit is determined by switching certain switch.

For further clarification, Fig. 12 (a, b, c, d) presents secondary and primary resonant currents with their corresponding conductive devices per sub-intervals for every mode of operation.

### Table III

<table>
<thead>
<tr>
<th>SRC# Conduction Modes and Boundary Conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mode of operation</td>
</tr>
<tr>
<td>-------------------</td>
</tr>
<tr>
<td>DCM1</td>
</tr>
<tr>
<td>DCM2</td>
</tr>
<tr>
<td>CCM1-hybrid</td>
</tr>
<tr>
<td>CCM1</td>
</tr>
</tbody>
</table>

### A. Equations for subintervals

The time domain approach is used to investigate the behaviour of the SRC# operated with variable frequency and...
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Fig. 12. Secondary (top) and primary (bottom) resonant currents with their respective conductive devices per sub-intervals in DCM1 (a); in DCM2 b); in CCM1-hybrid (c) and in CCM1 (d).

**TABLE IV**  
SEQUENCE OF SUBINTERVIEWS FOR DIFFERENT MODES

<table>
<thead>
<tr>
<th>Mode of operation</th>
<th>Subinterval sequence</th>
</tr>
</thead>
<tbody>
<tr>
<td>DCM1</td>
<td>T1-X-T2-X</td>
</tr>
<tr>
<td>DCM2</td>
<td>T1-Q1-X-T2-Q2-X</td>
</tr>
<tr>
<td>CCM1-Hybrid</td>
<td>T1-X-Q1-T2-X-Q2</td>
</tr>
<tr>
<td>CCM1</td>
<td>T1-D1-Q1-T2-D2-Q2</td>
</tr>
</tbody>
</table>

**TABLE V**  
CONDUCTING DEVICES FOR DIFFERENT SUB-INTERVALS

<table>
<thead>
<tr>
<th>Subinterval</th>
<th>T1</th>
<th>D1</th>
<th>Q1</th>
<th>P1</th>
<th>T2</th>
<th>D2</th>
<th>P2</th>
<th>X</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inverter</td>
<td>S1</td>
<td>D1</td>
<td>D1</td>
<td>S2</td>
<td>S2</td>
<td>D2</td>
<td>D2</td>
<td>S1</td>
</tr>
<tr>
<td>Rectifier</td>
<td>D5</td>
<td>D6</td>
<td>D6</td>
<td>D6</td>
<td>D6</td>
<td>D5</td>
<td>D5</td>
<td>D5</td>
</tr>
</tbody>
</table>

Phase shift modulation. From the equivalent circuits, steady state equations of resonant inductor and capacitor voltage for every mode are derived by Laplace transform. Considering the half wave symmetry of tank variables, the analysis is performed for half cycle of switching period for every mode of operation. Similar to [20] the circuit behaviour of the SRC# under each topological mode can be described using the following differential equations, for subintervals T1, D1, T2, D2, Q1, Q2, P1, P2 and X, where $V_t$ is the resonant tank voltage:

$$L_r \frac{di_{rs}}{dt} + V_{C_r} = V_t \quad (8)$$

$$C_r \frac{dV_{C_r}}{dt} = i_{rs} \quad (9)$$

$$V_t = \begin{cases} 
+V_o, & \text{for T1} \\
-V'_g + V_o, & \text{for D1} \\
-V'_g - V_o, & \text{for T2} \\
-V'_g, & \text{for D2} \\
-V_o, & \text{for Q2} 
\end{cases} \quad (10)$$

$$V_{C_o}, \text{for X} \quad (11)$$

For subinterval X:

$$L_r \frac{di_{rs}}{dt} = 0 \quad (12)$$

$$C_r \frac{dV_{C_r}}{dt} = 0 \quad (13)$$

By solving equations eq. (8) and eq. (9), expressions for $i_{rs}$ and $V_{C_r}$ in each subinterval can be derived, with application of the consistent initial conditions for each subinterval:

$$i_{rs} = \frac{V_t - V_{C_r}(t_0)}{Z_c} \quad (14)$$

$$V_{C_r} = V_t - (V_t - V_{C_r}(t_0)) \cos \omega_r t + i_{rs}(t_0)Z_c \sin \omega_r t \quad (15)$$

Considering that $V_t = V'_g - V_o$, the polarity of $V_t$ is determined by the specific sub-intervals and conductive devices, as seen in Table. V.

B. DCM1

With reference to Fig. 14, DCM1 mode can appear in the full switching range and it’s possible only if $\Delta V \approx 0$ and $V_{C_r} \leq V'_g$. Fig. 14 shows on top the inverter voltage reflected on rectifier side $V'_g$, rectifier voltage $V_o$ and secondary resonant current $i_{rs}$. Middle graph describes resonant capacitor voltage
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$V_{Cr}$, while lower graph indicates the transistors (S1 to S4) switching pattern.

This mode of operation is composed of following sub-intervals: T1-X-T2-X. The sub-intervals equivalent circuits are shown in Fig. 11 (a), (e) and (i). Due to symmetry of operation over an entire switching interval, the analysis is performed out over half the switching interval.

1) Sub-interval T1: $t_0 \leq t \leq t_1$ This sub-interval starts when both S1 and S4 conduct, exciting the resonant tank with positive voltage $V_g'$. On the rectifier side, D5 and D8 are forward biased and the tank will be exposed to the rectifier voltage $V_o$. A positive inductor current rises from 0 with $di/dt$ limited by the resonant tank elements, as long as $V_g' > V_o$. At $t_0 = 0$, the inductor current is zero due to the discontinuous nature of the inductor current in this mode. Resonant capacitor voltage rises from a negative $V_{Cr}$ towards a positive value.

So, both $i_{rs}$ and $V_{Cr}$ increase to positive values. This ensures ZVS turn-on on inverter side switches S1 and S4 and for rectifier side diodes. During this sub-interval a half resonant cycle (of duration $T_r/2$) is allowed to pass. T1 sub-interval finishes when S4 is blocked and S3 starts conducting. By this time, the resonant current has reached zero while capacitor voltage stabilizes at $+V_g'$ (considering the initial condition for $V_{Cr}(t_0) = -V_g' + 2\Delta V$ and $t = \pi$, see Fig. 13). Following equations are expressions for resonant current and voltage in DCM1 T1 sub-interval:

$$i_{rs}(t) = \frac{V_g' - V_o - V_{Cr}(t_0)}{Z_c} \sin \omega_r t$$  \hspace{1cm} (16)

$$V_{Cr}(t) = (V_g' - V_o) - (V_g' - V_o - V_{Cr}(t_0)) \cos \omega_r t$$  \hspace{1cm} (17)

As seen in Fig. 13, during transient state, capacitor voltage is increasing every switching interval with $2 \cdot \Delta V$ from 0 to $+V_g'$. As soon as $V_{Cr} \geq V_g'$, transition to DCM2 occurs.

2) Sub-interval X: $t_1 \leq t \leq t_2$ X sub-interval begins when S1 and S3 both conduct, while S2 and S4 are blocked. This means $V_g'$ is clamped to zero, inductor current remains at zero and all rectifier diodes are reversed biased. The equivalent circuit of this sub-interval can be seen as an open circuit resonant tank. In this time, $V_o$ drops to $V_{Cr}$ level. One half cycle is finished as soon as S1 is blocked, while S2 and S3 conduct. Following sub-intervals T2 and X are analysed with similar procedure.

$$i_{rs}(t) = 0$$  \hspace{1cm} (18)

$$V_{Cr}(t) = V_g'$$  \hspace{1cm} (19)

3) Power flow: To evaluate how much power is delivered during DCM1, the average area of current waveforms $i_{rs}$ during T1 and X sub-interval has to be calculated, following the steps from eq. (20) to eq. (26):

$$i_{out} = \langle i_{rs}(t) \rangle_{T_{sw}} = \frac{2}{T_{sw}} \int_{t_0}^{t_2} i_{rs}(t) dt$$  \hspace{1cm} (20)

$$i_{out} = 2 \cdot F_{sw} \cdot q_s$$  \hspace{1cm} (21)

$$q_s = 2 \cdot C_r \cdot V_{Cr}$$  \hspace{1cm} (22)

$$i_{out} = 4 \cdot F_{sw} \cdot C_r \cdot V_{Cr}$$  \hspace{1cm} (23)

$$P_{out} = V_{out} \cdot i_{out}$$  \hspace{1cm} (24)

$$V_{Cr} = V_g' = V_{in} \cdot N$$  \hspace{1cm} (25)

$$P_{out} = 4 \cdot F_{sw} \cdot N \cdot C_r \cdot V_{in} \cdot V_{out}$$  \hspace{1cm} (26)

Comparing eq. (26) to eq. (6), they differ by a factor of 2. The main reason is that capacitor voltage on the "classic" SRC (with LC tank on inverter side) stabilizes at $2 \cdot V_g$. For


2) **Sub-interval Q1:** $t_1 \leq t \leq t_2$ During Q1 sub-interval, the inductor current resonates for another half cycle, until $t_2 = T_r$. During this period, S1 is still on, but S4 is blocked and S3 starts to conduct. Therefore, the negative current flows through D1 and T3 as seen in Fig. 11(c). On rectifier side, D6 and D7 are forward biased due to this rectifier voltage $V_o$ is negative. Capacitor voltage is slowly discharged, as $V_{Cr} > V_o$. Resonant current and capacitor voltage are given in Eq. (27) and (28). Eq. (29) shows where capacitor voltage will stabilize, when $t = t_2 = T_r$.

$$i_{rs}(t) = \frac{V_o - V_{Cr}(t_1)}{Z_c} \sin \omega_r(t - t_1) \quad \text{(27)}$$

$$V_{Cr}(t) = V_o - (V_o - V_{Cr}(1)) \cos \omega_r(t - t_1) \quad \text{(28)}$$

$$V_{Cr}(t) = V_o - (V_o - V_o^*)(1) = V_o - \Delta V \quad \text{(29)}$$

3) **Sub-interval X:** $t_2 \leq t \leq t_3$ When $V_{Cr} = V_o - \Delta V$, another X subinterval begins as resonant current is zero, while all rectifier diodes are reversed biased. At $t_3 = T_{sw}/2$, switch S1 is off and another half cycle begins. Similar to DCM1, in this subinterval, the resonant current is zero and capacitor voltage stays flat, meaning no power is delivered to $V_{out}$.

4) **Power flow and peak stress:** Equation for power flow is exactly the same as in DCM1. As in DCM1 and also according to [14], the SRC# is operating similar to a frequency controlled current source in DCM2, implying a linear function between power and frequency. On the other hand, the slope of the function is slower as compared to DCM1, due to higher $\Delta V$ across the LC tank. In DCM2, $V_{out}$ is smaller then in DCM1. This means output power will also be less, but still proportional to the frequency. Looking at Fig. 19a, one notices the slope in DCM2 is proportional to $\Delta V$ and also impacts the resonant peak current (Fig. 19b).

5) **Boundary condition:** Transition from DCM1 to DCM2 is achieved when:

$$V_{Cr} > V_o \quad \text{(30)}$$

**D. CCM1-Hybrid**

CCM1-hybrid mode of conduction is described in Fig. 16. The name **hybrid** is used as very short X sub interval (characterized by zero resonant current) will appear. First of all, this mode can appear in the switching interval $[F_r/2 \text{ to } F_r]$ and if $\Delta V \approx 0$. It is composed of following sub-intervals: T1-X-Q1-T2-X-Q2. Similar to other modes of operation, only the first three sub-intervals will be analysed, as the other three are similar but with opposite voltage and current signs.

1) **Sub-interval T1:** $t_0 \leq t \leq t_1$ As S4 is already conducting, S1 switch is turned on and a full resonant cycle is delivered to the load, while D5 and D8 are forward biased. During this interval, as the resonant current $i_{rs}$ is increasing from 0, resonant capacitor voltage increases too from $-V_{Cr}$. At $t_1 = T_r/2$, T1 sub-interval is finished, having again a zero inductor current and maximum $V_{Cr}$. Resonant inductor current and capacitor voltage equations are similar to DCM1 mode.

$$i_{rs} = \frac{V_o' - V_o - V_{Cr}(t_0)}{Z_c} \sin \omega_r t + i_{rs}(t_0) \cos \omega_r t \quad \text{(31)}$$
with ZCS. Resonant tank current and voltage are described in following equations.

\[ i_{rs} = \frac{V_o - V_{Cr}(t_2)}{Z_c} \sin \omega_r(t - t_2) + i_{rs}(t_2) \cos \omega_r(t - t_2) \]  

(36)

\[ V_{Cr} = V_o - (V_o - V_{Cr}(t_2)) \cos \omega_r(t - t_2) + i_{rs}(t_2)Z_c \sin \omega_r(t - t_2) \]  

(37)

Following T2, X and Q2 subintervals are complementary, but with opposite sign.

4) Power flow: Compared to DCM1, the power to frequency relation in CCM1-hybrid is slightly non linear and described in Eq. 42.

\[ M = \frac{V_o/N}{V_g} \]  

(38)

\[ \gamma = \frac{F_r \cdot \pi}{F_{sw}} \]  

(39)

\[ \Phi = 1 + \frac{2 \cdot atan\left(\frac{V_o + \cos(\gamma) \cdot (2V_o - V_g) - V_{Cr}}{\sin(\gamma) \cdot (2V_o - V_g)}\right)}{\gamma} \]  

(40)

\[ A = \frac{(1 - \cos(\Phi \gamma)) \cdot ((2M - 1) \cdot \cos(\gamma) + 1)}{\cos(\gamma) - \cos(\Phi \gamma)} \]  

(41)

\[ P_{out} = V_g^2 \cdot \omega_{sw} \cdot C_r \cdot \frac{1}{\pi} \cdot A \]  

(42)

5) Boundary condition: Transition from CCM1-hybrid to CCM1 is achieved when:

\[ V_{Cr} > V_g' + V_o \]  

(43)

E. CCM1

Final mode of conduction is characterized by the waveforms from Fig. 17 and it’s composed of following subintervals: T1-D1-Q1-T2-D2-Q2, with D1 and D2 equivalent circuits shown in Fig. 11(b) and (f). This mode appears only above \( F_{r/2} \) and if \( \Delta V >> 0 \), showing a highly nonlinear relation between power and switching frequency and it should be avoided as it increases turn-on losses. Only first half cycle is analysed as the other half cycle is symmetric and complementary.

1) Sub-interval T1: \( t_0 \leq t \leq t_1 \) Similar to CCM1-hybrid, T1 sub-interval starts at a current \( i_{rs} > 0 \), but with larger magnitude, impacting turn-on losses. Also in this sub-interval a resonant cycle is delivered to the load until \( t = t_1 \), when the resonant current reaches zero and capacitor voltage is reaching it’s peak value. Lower equations describes the two parameters.

\[ i_{rs} = \frac{V_g' - V_o - V_{Cr}(t_0)}{Z_c} \sin \omega_r t + i_{rs}(t_0) \cos \omega_r t \]  

(44)

\[ V_{Cr} = (V_g' - V_o) - (V_g' - V_o - V_{Cr}(t_0)) \cos \omega_r t + i_{rs}(t_0)Z_c \sin \omega_r t \]  

(45)
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Fig. 17. CCM1 characteristic waveforms: top - inverter voltage reflected on inverter side \( V_o' \); rectifier voltage \( V_o \), resonant secondary current \( i_r \); middle - resonant capacitor voltage \( V_{Cr} \); bottom - corresponding switching pattern.

2) Sub-interval D1: \( t_1 \leq t \leq t_2 \)

As a positive \( V_o' \) is still applied to transformer windings and \( V_{Cr} > V_o \), a negative current flows through the resonant tank. This means on inverter side, diodes D1, D4 are conducting, while on rectifier side D6 and D7 are forward biased. The capacitor voltage is slowly discharging. Equations for resonant current and capacitor voltage are described as follows:

\[
 i_r = \frac{V_o' + V_o - V_{Cr}(t_1)}{Z_c} \sin \omega_r(t-t_1) + i_r(t_1) \cos \omega_r(t-t_1)
\]

(46)

\[
 V_{Cr} = (V_o' + V_o) - (V_o' + V_o - V_{Cr}(t_1)) \cos \omega_r(t-t_1)
\]

(47)

Sub-interval D1 is ended at \( t = t_2 \), when switch S4 is turned off and S3 is turned on.

3) Sub-interval Q1: \( t_2 \leq t \leq t_3 \) By turning off switch S4, applied inverter voltage \( V_o' \) is clamped to zero. A negative current is still flowing, but through switch S3 and diode D1, while D6 and D7 are still forward biased. The capacitor voltage is still discharging. This sub-interval will end at \( t = t_3 \), when switch S1 is turned-off and S2 is turned-on. Equations for resonant current and capacitor voltage are given below:

\[
 i_r = \frac{V_o - V_{Cr}(t_2)}{Z_c} \sin \omega_r(t-t_2) + i_r(t_2) \cos \omega_r(t-t_2)
\]

(48)

\[
 V_{Cr} = V_o - (V_o - V_{Cr}(t_2)) \cos \omega_r(t-t_2)
\]

\[
 + i_r(t_2)Z_c \sin \omega_r(t-t_2)
\]

(49)

4) Power flow: Considering the power flow equation for CCM1 mode of operation, eg. (50) is derived. In CCM1, the relation between power and frequency is highly non-linear, making this mode of operation not favourable.

\[
 P_{out} = V^2 g / \omega_{sw} \cdot C_r \cdot M \cdot 2 / \pi \cdot B
\]

(50)

\[
 B = \left[ \frac{\cos(\gamma) - 1 + 2M \cos(\gamma(1 - \Phi))}{-\cos(\gamma(1 - \Phi)) - \cos(\gamma)} \right] \cdot \cos(\Phi \gamma) + M \cdot (\cos(\Phi \gamma) + 1)
\]

(51)

\[
 \Phi = \frac{\delta}{2} + \frac{1}{\lambda} \cdot Q_s \cdot \sin M
\]

(52)

F. Resonant tank stress

For proper component selection, the peak stress level has to be considered. The peak current level will occur in subinterval T1 at \( \pi/2 \), while peak voltage at \( \pi \). Considering Eq. (53) to (57), following parameters are defined: normalized output voltage \( M \), converter quality factor \( Q_s \), normalized switching frequency \( \gamma \), constant \( K \) and load resistance \( R_{\text{load}} \). The equations are valid for all modes of operation.

\[
 M = \frac{V_o/N}{V_g}
\]

(53)

\[
 K = \frac{Q_s \cdot \gamma}{2}
\]

(54)

\[
 Q_s = \frac{1}{\omega_r \cdot C_r \cdot R_{\text{load}}}
\]

(55)

\[
 \gamma = \frac{\omega_r \cdot C_r \cdot R_{\text{load}}}{F_{\text{sw}} \cdot \pi}
\]

(56)

\[
 R_{\text{load}} = \frac{V_{out}^2}{P_{out}}
\]

(57)

Similar to [14] eq. (58) and (59) will predict the peak resonant tank voltage \( V_{pk} \) and current stress \( I_{pk} \) in all modes of operation.

\[
 I_{pk} = \omega_r \cdot C_r \cdot V_g \cdot (M(K + 1) - 1)
\]

(58)

\[
 V_{pk} = M \cdot K \cdot V_g \cdot N
\]

(59)
V. STEADY STATE OPERATION

To illustrate the steady state operation of the target converter, a PLECS simulation model was built and run at different switching frequencies, in the range 0 to 1000 Hz, while voltage difference $\Delta V$ between input and output voltage was close to 0.1%, facilitating the operation in DCM1. The results are shown in Fig. 18 and point out that output power is related to the applied switching frequency (cf. Fig. 18 a, b). As the converter operates in DCM1, peak resonant current and voltage are constant in the whole operating range. Fig. 18 b, c and d are zoomed in windows of the principle current and voltage waveforms (primary current $i_{rp}$, magnetizing current $i_m$, inverter voltage $V_i$ and resonant capacitor voltage $V_{Cr}$ at 900 Hz (b), 450 Hz (c) and 150 Hz (d)).

VI. PEAK RESONANT CURRENT AND VOLTAGE STRESS

Peak current and voltage will determine the specifications for semiconductors and resonant tank parameters. Evaluation of stress is determined through the analytical equations from previous section and through steady state and dynamic simulations. Fig. 19(a,b and c) shows the good agreement between analytical values and simulation results, within operation range of 0 to 1000 Hz and for different $\Delta V$ values. Looking at Fig. 19a, a power to frequency characteristic in DCM1 is shown on the black line, with a linear characteristic. As soon as a $\Delta V$ is increased, the characteristic starts to be non-linear at the end point. Fig. 19(b and c), if operation in DCM1 is considered, the peak current and voltage are the same in full switching interval. Applying a $\Delta V$, will impact both current and voltage peak. It is observed in Fig. 19e, how $\Delta V$ is impacting current waveform and it’s peak, while the converter operates at the same frequency of 900 Hz. For this case, the converter can operate close to DCM1, while having a $\Delta V$ of 5%. Further on, Fig. 19f concludes the fact that capacitor voltage is output power dependent. Assuming a max. $\Delta V$ of 10%, with relation to Fig. 19a, nominal power is now being delivered at $\approx 825$ Hz. At the same frequency, it is noticed that peak current has increased from 1.8 p.u. to 2.1 p.u, while capacitor voltage from 1.0 p.u. to 1.25 p.u. This means, when designing the converter LC tank, one has to consider a variation of +25% above nominal voltage and current specifications, to allow a safe margin with a 10% output voltage variation. Another aspect necessary to mention is that the converter efficiency will decrease for a $\Delta V$ higher then 5%.

Fig. 20 (a, b, c, d) indicates the trajectories of resonant capacitor voltage $V_{Cr}$ in relation to resonant secondary current $i_{rs}$ for every mode of operation.

![Fig. 18. Simulation results: steady state operation in DCM1 with steps in switching frequency (a); zoomed in windows of principle waveforms (primary resonant current $i_{rp}$, magnetizing current $i_m$, inverter voltage $V_i$ and resonant capacitor voltage $V_{Cr}$ at 900 Hz (b), 450 Hz (c) and 150 Hz (d)).](image-url)
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VII. EXPERIMENT AND DISCUSSIONS

A. Experimental setup

The SRC# has been built and tested at relatively low power ratings (see Table VI), to confirm modes of operation, control principles and basic protection based on voltage and current monitors. Loss model validation will be performed on a higher power and voltage demonstrator and presented in future publication.

The circuit diagram is shown in Fig. 21a and experimental setup in Fig. 21b. The transformer (1:2 turns ratio) is designed for a maximum frequency of 1000 Hz, which is the same as the target component, and uses an amorphous core and windings with round wires. The low scale setup was tested with two different $\Delta V$ and variable switching frequencies. The measuring equipment was a Lecroy HDO4054 oscilloscope, with high voltage differential probes (ADP305) and current probes. Fig. 22 show the SRC# characteristic waveforms for DCM1 mode, where $\Delta V \approx 0$.

Looking at principle waveforms from Fig. 22 (right column), it can be noticed that regardless of switching frequency, the magnetizing current is stable and there is no saturation phenomenon. As the transformer windings resistance is high in this case, as compared to the magnetizing inductance $L_{m}$, the magnetizing current shows a slow decline during the zero voltage period. $i_m$ was recorded, while the transformer secondary was in open circuit and afterwards overlapped across the $i_{rp}$ measured data.

Fig. 22 shows the secondary resonant current (left column) and primary resonant current (right column) ($i_{rp}$ and $i_{ra}$) and applied inverter voltage $V_g$ for three different switching frequencies (200, 500 and 800 Hz). It is noticed in Fig. 22 (right...
Fig. 21. Experiment circuit diagram (a) and laboratory setup (b). In order to have a constant output voltage, a second DC source is used to supply a load resistor. As long as $V_g'$ is smaller than $V_o$, no power is delivered to load, as it comes only from $V_o$. If for example, the SRC# will deliver 50% of nominal load, then the external dc source will cover the rest of 50%. In this manner it’s possible to obtain a constant output voltage without too much effort. The disadvantage is that the resistors are always dissipating nominal power.

Fig. 22. Experimental results with $\Delta V \approx 0$. (Left column) Measured secondary side resonant current $i_{rs}$, resonant capacitor voltage $V_{Cr}$ and inverter voltage $V_g$. (Right column) measured primary side resonant current $i_{rp}$, magnetizing current $i_m$ and inverter voltage $V_g$ for different switching frequencies.
in the design process, a certain holding time of diodes reverse recovery is shown. As mentioned earlier resonant current at turn-off in Fig. 22 (left column), the impact is the magnetizing current. Now, observing the secondary (D1, S3 for positive current and D2, S4 for negative current) column), that during the zero voltage periods, on inverter side that during the zero voltage periods, on inverter side the current flowing through one pair of transistor and diodes (D1, S3 for positive current and D2, S4 for negative current) is the magnetizing current. Now, observing the secondary resonant current at turn-off in Fig. 22 (left column), the impact of diodes reverse recovery is shown. As mentioned earlier in the design process, a certain holding time $T_{rec}$ needs to be taken in consideration to allow reverse recovery process. Another phenomenon observed during the experiments, is the acoustic noise generates, as the converter operates from very low frequency up to 1000 Hz. For elevated power, it is expected the noise to increase and it will require a system to damp the noise.

Fig. 23 presents the same waveforms ($V_g$, $V_{cr}$, $i_{rp}$, and $i_{rs}$) as in Fig. 22, but for a $\Delta V \approx 10\%$. The top and middle graphs show operation in DCM2, while bottom graphs in CCM1-hybrid. As the voltage drop between $V'_g$ and $V_g$ is 10%, there is no longer a linear relation between switching frequency $F_{sw}$ and output power $P_{out}$. E.g, the peak in $i_{rs}$ at 800 Hz is $\approx 4A$, while at 200 Hz it is 3.3A, meaning a difference of $\approx 20\%$.

B. Discussions

Beside the benefits from controlling LV DC bus link, from soft-switching commutation, from compact transformer size and from a high voltage rectifier assembled with line frequency diodes, the SRC# circuit also presents its drawbacks: only single circuit configuration seems possible, preluding the use of a three-phase, three-limb transformer. Moving the resonant tank to the rectifier side, the transformer risks saturation. This is due to imbalanced (dc offset) from the inverter, caused by the secondary effects like differences in inverter phase-leg voltage drops and commutation time characteristics. Options to mitigate this dc offset include asymmetric duty cycle control or on-line monitoring of the magnetizing flux with a “magnetic ear”, as proposed in [34].
REFERENCES

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