Phase Reshaping via All-Pass Filters for Robust LCL-Filter Active Damping

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Abstract — Active damping is a common way to stabilize the current control of LCL-filtered converters. In this paper, the stable region of -180°-phase-crossing is firstly identified within a predefined range of grid impedance and LCL parameter variations. Once the phase of the current control loop is in the identified region, a stabilization control can be attained. Subsequently, digital filters can be adopted to achieve active damping by reshaping the open-loop phase. Various digital filters are selected and benchmarked in this paper. It is confirmed that the all-pass filter has a unity gain and adjustable lagging phase before the Nyquist frequency, thereby being a promising solution to the phase reshaping. Therefore, the all-pass filter is employed to move the phase of the open-loop control (i.e., -180°-phase-crossing) into the targeted region for active damping. Notably, the current controller and the all-pass filter-based active damping can be separately designed, indicating the easy implementation of the active damping. Experimental tests demonstrate that the proposed method can ensure the system stability over a wide range of parameter variations (e.g., grid impedance changes and LCL-filter parameter drifts) while maintaining fast dynamics with the grid-side current control.

Index Terms — All-pass filter, active damping, LCL filter, digital control, parameter variations, PWM converters.

I. INTRODUCTION

LCL-filtered single-phase AC/DC converters are commonly used in grid-connected applications [1], [2]. As a third-order system, the resonant peak of the LCL filter may challenge the system stability, depending on the controlled current (i.e., the converter-side current or the grid-side current), switching frequency and delay in the control loop [3]-[5]. In [3], it has been revealed that if an one-step delay (z-1) is considered in a digital control loop, a critical frequency f_c being 1/6 of the switching frequency f_s can be identified. It has been further indicated that damping is necessary for the grid-side current feedback (GCF) control if the resonant frequency f_res is lower than the critical frequency (i.e., f_res < f_c). On the other hand, for the converter-side current feedback (CCF) control, the damping is mandatory if f_res > f_c. To ensure a general stable operation, either “passive” or “active” damping is required for such systems. The passive damping usually needs power-dissipation elements, which inevitably incurs additional power losses [6]. In contrast, the “active” method only modifies the control algorithm without extra passive components, and thus being commonly adopted in many LCL-filtered inverters for damping [7].

In fact, most of the “active” methods adopt the online impedance estimation, either a single-loop or multi-loop feedback control to realize the damping [8]-[28]. The multi-loop feedback methods introduce an additional damping term into the denominator of the open-loop transfer function to mimic the characteristics of a passive damping resistor or impedance, known as virtual resistance or virtual impedance methods. Typically, the virtual resistor is realized by feeding the capacitor current into the control loop [8], [9]. For instance, a high-pass filter [8] and a delay compensation [9] were employed to improve the active damping performance. The capacitor voltage feedback is an alternative that can provide the capacitor current [10]-[12], and however, it requires a complicated algorithm (e.g., the second-order generalized integrator – SOGI [11] and a lead-lag filter [12]) to differentiate the capacitor voltage into its current. Other solutions like the Lyapunov-function-based method was proposed in [13] by using both inductor currents to achieve stable operation. However, requiring additional sensors is the major drawback of multi-loop feedback methods. In addition, the controller design of multi-loop methods is relatively complicated.

To simplify the system and then achieve cost-effective damping, online impedance estimation and single-loop control methods are recommended. The online impedance estimation is realized by injecting perturbation signals, e.g., binary sequences [14] and pseudo random sequences [15], into the system, and then the response is measured and sent to the estimation algorithm to calculate the grid impedance. Subsequently, the control algorithm can be adaptively modified to maintain the stability. However, the online grid impedance measurement requires complicated algorithms [14]-[17] and/or hard-
ware modifications [17]. Moreover, the accuracy of the estimation is critically important for the damping performance [7]. Alternatively, active methods employing digital filters [18]-[23], virtual impedances in parallel with the filter inductor [24], state observers [25], and impedance reshaping [26], [27] are single-loop-control representatives. For example, in [24], the grid current was fed forward to the current control loop, enabling adequate damping of the resonance without additional measurements. Among those, the impedance reshaping method recently gains much attention [26], [27]. Reshaping the input impedance via voltage feedforward can achieve a pre-designed stability margin. Inserting a digital filter into the current controller becomes intuitive to “filter” the resonance of the LCL-filter, being the filter-based solution [18]-[23]. However, as mentioned in [23], the control bandwidth will be compromised.

Notably, the aforementioned methods are normally coupled with the controller design. In order to achieve high control bandwidth and simplify the design procedure, it is straightforward to only reshape the open-loop phase for the stability enhancement. By doing so, the current controller and active damping design can be separated into two independent stages. In [5], it has been mentioned that by changing the sampling start instant and the CMP loading time, a non-integer unit time delay can be introduced to reshape the phase-frequency curve. This is simple, but it may suffer from aliasing errors and in turn affect the performance of the grid current control [8], [9]. Moreover, it will make the delay time not be integer times of the unit delay Z^1, and thus it may require a complicated discretization of the delay transfer function in the control implementation. Considering this, the phase reshaping with all-pass filters is one of the most promising solutions, since the all-pass filter feature a unity gain in the entire frequency range. The simplest all-pass filter z^n (n is an integer) was recommended in [5] to change the critical frequency fres, but its fixed lagging phase limits the phase reshaping flexibility. In [21], the all-pass filter (AF) was introduced to guarantee zero phase at the resonance frequency fres. However, the tolerance of the grid impedance Lg and LCL filter parameter variations is uncontrollable, since such variations are not considered during the design phase. In addition, as the phase of the LCL-filter at fres is highly related to the equivalence series resistor (ESR) of the inductor and capacitor, the ESR may bring additional issues when choosing the lagging phase of the AF. In this paper, a novel AF design strategy is thus proposed to ensure the system stability of the GCF control. The controller is designed systematically considering a certain variation range of the LCL-filter parameters and grid impedance. Firstly, the current controller is designed to ensure desired bandwidth fc for the system. Then, with the pre-defined variation range of the LCL-filter parameters and grid impedance, a stable region can be obtained, where the open-loop gain is always below 0 dB. This indicates that, once the −180°-phase-crossing of the open-loop system is reshaped into the identified region, a stable system is achieved. Therefore, the final step of the proposed method is to design the lagging phase of the AF to move the −180°-phase-crossing point into the identified stable region, rather than reshaping the open-loop phase to zero at fres, as presented in [21]. In the proposed method, only one coefficient related to the AF phase should be determined. As an extended study of [22], this paper also demonstrates that the effect of the ESR on the crossover frequencies is relatively small, and then, the system open-loop gain can be simplified. Here, the Cardano’s method is employed to find the solution. Furthermore, applying the AF to the CCF control is also explored in this paper. It has been identified that the step-up of a 180°-phase change at the anti-resonant frequency (f_anti_res) may introduce another −180°-phase-crossing between f_{anti_res} and fres, which may complicate the design.

The rest of this paper is organized as follows. In § II, the system control structure of the LCL-filtered converter is introduced, followed by an analysis of the crossover frequency to identify the stable region. § III gives an overall comparison of the digital filters that can be employed for phase reshaping. The tuning procedure of the AF for the GCF control is discussed in § IV, where the robustness analysis of the entire closed-loop current control considering parameter variations is also presented. The limitation of the AF when applied to the CCF control is explained in § V. Experimental results are provided in § VI to verify the proposed active damping design method. Finally, concluding remarks are provided in § VII.

II. SYSTEM DESCRIPTION AND SAFETY REGION IDENTIFICATION

Fig. 1 shows a grid-connected single-phase AC/DC converter with an LCL filter, where Cf is the filter capacitor, L1, and L2 are the converter side inductor and the grid side inductor, respectively. Moreover, r1, r2, and r3 can be considered as the ESRs of the filter inductors and capacitor. The grid voltage vgs is measured for synchronization, and Lgrid represents the grid impedance under a weak grid condition. The LCL filter parameters are given in Table I. Normally, both the grid-side current (i2: GCF) and converter-side current (i1: CCF) can be fed back to the inner current loop. The GCF control is more convenient for the control of active power and passive power. Hence, the GCF control is adopted in this paper. Considering the grid impedance, the transfer function of the LCL filter in the s-domain from the converter output voltage vcos to the grid current i2 can be given as

\[ G_f(s) = \frac{b_1(s)}{v_{in}(s)} = \frac{n_1s + 1}{d_1s^3 + d_2s^2 + d_3s + d_4} \]  

where \( d_0 = r_1 + r_2, \) \( d_1 = L_1 + L_2 + C_f (\gamma r_2 + \gamma r_3 + r_2), \) \( d_2 = C_f \cdot L_2 (r_2 + r_1) + L_1 (r_2 + r_3), \) \( d_3 = C_f \cdot L_2 \cdot L_1, \) and \( n_1 = C_f (r_2 + r_3). \) Moreover, \( L_g = L_{grid} + L_2 \) representing the total grid-side inductance. The resonant frequency can be calculated as

\[ \omega_{res} = \frac{1}{\sqrt{L_f C_f}} \left( \frac{1}{L_2} \frac{1}{L_1} \right) = \frac{L_f + L_2}{L_1 L_2 C_f} \]  

in which \( \omega_{res} = 2\pi f_{res} \) being the resonant angular frequency of the LCL filter.

A. Traditional current controller design and stability problem

The overall control structure for the single-phase AC/DC converter is depicted in Fig. 2 with an outer DC voltage control loop and an inner grid current control loop. The grid voltage is fed to a phase-locked loop (PLL) for synchronization. As seen, the outer control path is to regulate the DC output voltage...
leading to phase contribution is small at the crossover frequency, thus bandwidth appropriately to tolerate possible grid frequency variations. The cutoff frequency can be set by ensuring that its magnitude is expected to be smaller than the inner resonance, where the highest order of harmonics that can be compensated is usually constrained by the Nyquist stability criterion [4]. According to Fig. 2, the open-loop transfer function of the GCF controller can be expressed in the z-domain as

$$G_{\text{open}}(z) = Z \left[ G_p(z) \right] = Z \left[ G_{\text{in}}(s) \cdot V_a \cdot G_{\text{cl}}(s) \right]$$  \hspace{1cm} (5)

Notably, the Zero-Order-Hold (ZOH) transform can be employed to discretize the open-loop transfer function in (5). With the converter parameters listed in Table I, Fig. 3 shows the Bode plot of the discretized $G_{\text{open}}(z)$. As shown in Fig. 3, in the frequency range with the magnitude above 0 dB, the phase-response has a negative crossing of the -180°-phase (denoted as $N_\circ = 1$) and there are no positive crossings (represented by $N_\text{c} = 0$), resulting in $N_\circ - N_\text{c} \neq 0$. According to the Nyquist stability criterion [4], there are unstable poles in the open-loop system. If the negative crossing $N_\circ$ can be avoided by employing the active damping with the proper reshaping of magnitude- or phase-responsive, the system stability can be guaranteed. This is the concept of the reshaping active damping. Normally, reshaping the magnitude-response makes the active damping be coupled with the controller design, possibly leading to reduced control bandwidth. In the conventional way, this is undesired in certain applications. In those cases, it is expected to decouple the stability of resonant controllers from the influence of the LCL resonance, where the highest order of harmonics that can be compensated is usually constrained by the control bandwidth [4], [35]. Even if this constraint can be extended to be beyond the control bandwidth by adopting the resonant controller with detailed phase compensation, the active damping is still coupled with the controller design. Thus, in order to simplify the design, the phase reshaping is employed in this paper for the stability enhancement.

### B. Identification of stable region for -180°-phase crossing placement

$$K_p = \frac{\phi_0 \left( L_1 + L_2 \right)}{V_d}, \hspace{1cm} T_c = \frac{\tan(\phi_0 + \omega_0 T_c)}{\omega_0}$$  \hspace{1cm} (4)

In which $T_c$ is the total delay in the control loop. The sampling and computation process can be accounted as a time delay of $T_i$ [4], and the total delay can then be given as $G_{\text{delay}}(s) = e^{-sT_c} = e^{-1.5 \omega_0 T_c}$, as shown in Fig. 2. In general, the DC voltage control is much slower than the inner current loop. Hence, it can be assumed that the voltage control has negligible impact on the current control. Then, only the stability of the inner loop is considered [29]. Using the Tustin transformation with pre-wrap to discretize the open-loop controller results in

$$G_{\text{open}}(z) = K_p \left( \frac{\omega_0 T_i}{\omega_0 T_i - s} \right) \left[ \frac{1}{1 + s T_i} \right]$$  \hspace{1cm} (3)

where $K_p$ and $T_i$ are the controller parameters, $T_i$ is the sampling period, $\omega_0$ is the cutoff frequency, and $\phi_0$ is the fundamental grid frequency. The cutoff frequency $\omega_0$ can be set appropriately to tolerate possible grid frequency variations (e.g., ±2% of the rated). In addition, $K_p$ can be tuned according to the optimized relationship between $K_p$ and the control bandwidth $\omega_0$ by appointing a desired phase margin $\phi_0$ (e.g., $\phi_0 = 60^\circ$) [3], and $T_i$ can be calculated by ensuring that its phase contribution is small at the crossover frequency, thus leading to

$$\omega_0 T_i = \frac{\omega_0 (L_1 + L_2)}{V_d}, \hspace{1cm} T_i = \frac{\tan(\phi_0 + \omega_0 T_c)}{\omega_0}$$  \hspace{1cm} (4)

### TABLE I.

PARAMETERS OF THE SINGLE-PHASE AC/DC CONVERTER.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Converter Side Inductor</td>
<td>$L_1 = 1.8 \text{ mH}$</td>
</tr>
<tr>
<td>Grid Side Inductor</td>
<td>$L_2 = 1.1 \text{ mH}$</td>
</tr>
<tr>
<td>Filter Capacitor (GCF)</td>
<td>$C_1 = 15 \text{ uF}$</td>
</tr>
<tr>
<td>Filter Capacitor (CCF)</td>
<td>$C_1 = 2.5 \text{ uF}$</td>
</tr>
<tr>
<td>Grid Impedance</td>
<td>$L_{\text{imp}} = 0\text{–}10 \text{ mH}$</td>
</tr>
<tr>
<td>Sampling Frequency</td>
<td>$f_s = 10 \text{ kHz}$</td>
</tr>
<tr>
<td>Grid Voltage</td>
<td>$V_g = 110 \text{ V/50 Hz}$</td>
</tr>
<tr>
<td>DC Bus Voltage</td>
<td>$V_d = 225 \text{ V}$</td>
</tr>
</tbody>
</table>

| Side Inductor                 | $L = 1.5 \text{ mH}$ |
| Grid Capacitor                | $C = 1.8 \text{ mF}$ |

Fig. 1. Grid-connected single-phase AC/DC converter with an LCL filter (PLL: Phase-locked loop).

Fig. 2. Closed-loop current control system using the grid current feedback (GCF) for the single-phase AC/DC converter with an LCL filter.

Fig. 3. Bode plots of the open-loop current control using the grid-side current feedback without active damping.
According to Fig. 3, the GCF control has two regions with negative gains. One is between the crossover frequencies $f_1$ and $f_2$; the other is between $f_3$ and the Nyquist frequency. Hence, the $-180^\circ$-phase-crossing point can be reshaped to one of the two negative gain regions to reduce the negative crossing $N_c$. The high-frequency region (between $f_3$ and the Nyquist frequency) is not desired, as it requires large leading phase to compensate. That is, placing the $-180^\circ$-phase-crossing point between $f_1$ and $f_2$ is recommended. Moreover, $f_1$ and $f_2$ may change with the grid impedance $L_{grid}$ and LCL-filter parameters, and clearly, it is necessary to explore how $f_1$ and $f_2$ move with the parameter variations in order to find the optimal $-180^\circ$-phase-crossing point. It is assumed that the variations of the parameters are in a pre-defined range, e.g., $L_{grid}$ being 0 to 10 mH and the drift of the filter capacitance and inductance being up to 50%. With this, Fig. 4 shows how the magnitude and phase of the open-loop system change accordingly. Referring to Fig. 4, $f_2$ is always larger than $f_1$ under different parameters. The smallest $f_2$ is obtained in the case of the largest grid impedance (i.e., $L_{grid} = 10$ mH), denoted as $f_{22}$. On the other hand, the largest crossover frequency $f_3$ is related to the 50%-decrease parameter variation range, once the $-180^\circ$-phase-crossing point is assigned to be between $f_{31}$ and $f_{32}$, the stability of the current control can be ensured. Hence, the frequency range from $f_{31}$ to $f_{32}$ is identified as the safe stability region, as shown in Fig. 4 (i.e., the region highlighted in green). However, using the Bode plot to determine the stable region is slightly rough and insufficient, since a group of open-loop frequency responses should be obtained. It is, therefore, natural to quantify the relationship of $f_{31}$ and $f_{32}$ with LCL parameters, so that the design procedure can be simplified and synthesized. The open-loop transfer function in (5) can also be expressed in the s-domain as

$$G_{open}(s) = G_p(s) \cdot G_k(s) \cdot V_d \cdot G_{dc}(s)$$  \hspace{1cm} (6)

Because the magnitude of the PR controller is near $K_p$ around the resonant frequency $f_0$, (6) can be simplified as

$$G_{open}(s) = G_{dc}(s) \cdot K_p$$  \hspace{1cm} (7)

Substituting (1) and (2) into (7) and then applying $s = j\omega$, the magnitude of the open-loop system $G_{open}(s)$ can be expressed as

$$G_{open}(j\omega) = \frac{K_p(j\omega)}{-d_3 \omega^3 + d_2 \omega^2 + d_1 \omega + d_0}$$  \hspace{1cm} (8)

When solving $|G_{open}(j\omega)| = 1$, it gives

$$|K_p(j\omega)| \sqrt{d_3 \omega^3 + d_2 \omega^2 + d_1 \omega + d_0}$$  \hspace{1cm} (9)

Eq. (9) can be used to calculate the crossover frequencies of the open-loop system $G_{open}(s)$. Unfortunately, it is very complicated and difficult to find a general roots-expression for (9). Here, the MATLAB “roots” function is adopted, which gives three roots of (9), and those are the crossover frequencies for $G_{open}(s)$, denoted as $\omega_{c1}$, $\omega_{c2}$, and $\omega_{c3}$. Assuming that the ESRs $r_1$, $r_2$, and $r_3$ change from 0 Ω to 1 Ω with a step of 0.01 Ω, the trajectories of $f_1$, $f_2$, and $f_3$ (i.e., $\omega_{c1}$, $\omega_{c2}$, and $\omega_{c3}$ in Hz) are then given in Fig. 5. As it can be observed in Fig. 5, due to the ESRs, $f_3$ and $f_3$ are reduced, while $f_2$ becomes larger. However, the movement of the crossover frequencies is relatively small (all are less than 30 Hz), when the ESRs increase from 0 Ω to 1 Ω. Hence, neglecting the ESRs in the analysis may not affect $f_1$, $f_2$, and $f_3$ significantly. Furthermore, in practice, the ESRs of the inductor and capacitor are relatively small, when compared with the maximum of 1 Ω used in Fig. 5. Thus, the ESRs of the LCL filter are ignored to simplify the analysis. By doing so, $n_1$, $d_2$, and $d_3$ become zero and then (8) is reduced to

$$G_{open}(j\omega) = \frac{K_p}{-d_3 \omega^3 + d_2 \omega^2 + d_1 \omega + d_0}$$  \hspace{1cm} (10)

Substituting (1) into (10), the crossover frequency of the open-loop system $G_{open}(s)$ can then be found by solving $|G_{open}(j\omega)| = 1$. Further simplification can be performed, leading to

$$\omega^3 + p\omega + q = 0 \quad \text{with} \quad p = -d_3, \quad q = \frac{K_p}{d_3}$$  \hspace{1cm} (11)

which is a one-variable cubic equation. Clearly, (11) has three roots related to the crossover frequencies $f_1$, $f_2$, and $f_3$ of the open-loop system $G_{open}(s)$. According to the Cardano's
If $K_p$ is too large and (12) cannot be satisfied, the Cardano's method states that (11) has one real root and two complex roots, resulting in that the open-loop gain curve has only one crossover frequency. The only real root can be referred to as $f_3$ when the open-loop gain curve in Fig. 3 is moved upward to a large extent until $f_3$ and $f_2$ disappear. Obviously, in this case, the open-loop gain curve is always above 0 dB in the range $f < f_{oc} < f_3$, and the Nyquist stability criterion is difficult to meet, since the step phase change at $f_{oc}$ will contribute to a −180°-phase-crossing with the open-loop gain above 0 dB. Hence, (12) is a mandatory condition to stabilize the current control loop. In this paper, the gain of the PR controller $K_p$ is designed according to (4), and hence, (12) can be simplified as

$$\Delta = \left(\frac{q_3}{2} + \frac{K_p}{3}\right)^3 - \alpha_2^3 - \frac{6}{27} < 0$$  \hspace{1cm} (13)$$

It should be noted that the desired bandwidth $\omega_b$ is always smaller than the resonant frequency of the $LCL$-filter. Thus, (13) is always satisfied if the controller is designed according to (4). Subsequently, the three real-roots can be obtained. The first one denoted as $\omega_1$ is referred to as the bandwidth of the control loop and can be calculated by an equivalent $L$ filter. According to (11), the three real-roots can be expressed as

$$\begin{align*}
\omega_1 &= 2\pi f_1 = R + T \\
\omega_2 &= 2\pi f_2 = \lambda \cdot R + \lambda^2 \cdot T \\
\omega_3 &= 2\pi f_3 = \lambda^2 \cdot R + \lambda \cdot T
\end{align*}$$  \hspace{1cm} (14)$$

where $R$, $T$, and $\lambda$ are given as [30]

$$\begin{align*}
R &= \sqrt{\frac{K_p}{2LIC_c} + \sqrt{\frac{K_p}{2LIC_c}^2 - \frac{\alpha_2^3}{3}}} \\
T &= \sqrt{\frac{K_p}{2LIC_c} - \sqrt{\frac{K_p}{2LIC_c}^2 - \frac{\alpha_2^3}{3}}} \\
\lambda &= -1 + \sqrt{3}
\end{align*}$$  \hspace{1cm} (15)$$

To explore how $f_1$ and $f_2$ vary with the grid impedance and $LCL$-filter parameters, the following is defined:

$$L_i = k_i L_2, \quad C_i = k_i C$$  \hspace{1cm} (17)$$

where $k_i$ is the grid impedance factor, $k_c$ is the capacitance factor and $C$ is the rated capacitance of the $LCL$-filter. Hence, $k_p$ represents the possible variation of the grid impedance, and $k_c$ is related to the capacitance change. Substituting the $LCL$-filter parameters from Table I, $f_{c1}$, $f_{c2}$, and $f_3$ in (14) are obtained, as shown in Fig. 6. As it can be observed in Fig. 6, when the capacitor factor $k_c$ decreases to 0.5 from its nominal value 1 (i.e., corresponding to the 50%-degradation of capacitance), $f_{c2}$ increases accordingly, but $f_3$ remains almost unchanged. On the other hand, when the grid impedance factor $k_p$ varies from 0.5 to 6 (i.e., corresponding to the 50%-degradation of $L_2$ and the variation of the grid impedance from 0 to 10 mH), both $f_{c1}$ and $f_{c2}$ decrease from its normal value (when $k_p = 1$). Fig. 6 also indicates that in the pre-defined variation ranges of $L_{grid}$ and $LCL$-filter parameters, $f_{c2}$ is always larger than $f_{c1}$. This means that there is a frequency range that the open-loop gain can be maintained below 0 dB, as shown in the green area in Fig. 6. This highlighted area is related to the stable region shown in Fig. 4, where the open-loop Bode plot was adopted. Once the −180°-phase-crossing of the open-loop system is placed in this region (i.e., the green area in Fig. 6), the $LCL$-filtered converter has high robustness against large variations of the grid impedance and $LCL$-filter parameters. The optimal −180°-phase-crossing point can be selected at the mean value of the maximum frequency $f_{c1}$ and the minimum frequency $f_{c2}$, implying the same tolerant range for both $f_{c1}$ and $f_{c2}$ variations.

### C. Digital Filters for Phase Reshaping

Based on the above discussions, there is thus a need to reshape the open-loop phase to ensure the GCF control stability. In this case, the additional lagging phase should be implemented, as it was also discussed in [19]. In this section, var-
uous digital filters are then employed to realize the phase reshaping (i.e., additional lagging phase), so that the current controller design can be independent of the active damping design. Nevertheless, a digital filter should be selected with the following requirements:

1. The filter should be able to provide a lagging phase in the stable region or at the selected −180°-phase-crossing point \( f_{dp} \).

2. The digital filter gain should be as high as possible in the frequency range below \( f_{dp} \) to maintain the bandwidth.

With the above concerns, [22] has given a comprehensive comparison between the low-pass filter (LPF), a phase-lag filter (LF) [12], a notch filter (NF) [19], and an AF [32] with lagging phase characteristics. As it has been benchmarked, all the filters provide the same lagging phase at a specific frequency (e.g., −45° at 800 Hz). According to the transfer functions given in [22], the frequency responses of the selected digital filters are plotted in Fig. 7. As can be seen in Fig. 7, using an LF for the phase reshaping will sacrifice the control bandwidth and its large lagging phase will reduce the phase margin (PM). In contrast, the LPF, the NF, and the AF have almost the same phase response in the low-frequency range, while the AF is the best in terms of magnitude response. The features of the selected filters are also summarized in Table II. This further confirms that the AF is the best candidate for the phase reshaping in terms of control bandwidth degradation and PM loss among the selected.

### III. PROPOSED PHASE RESHAPING WITH AN ALL-PASS FILTER

Section III demonstrates that the AF is the promising candidate to reshape the open-loop phase. This concept was firstly adopted in [21] to guarantee zero phase at the resonant frequency. In this section, a novel design method is proposed for the AF-based active damping. An AF is employed to reshape the phase crossing of the open-loop system at a desired point that is in the stable region identified in Section II in a way that the active damping is robust against grid impedance and LCL-filter parameter variations.

#### A. All-pass filter design

The AF has a 0-dB gain in the entire frequency range, and its simplest form can be expressed as [32]

\[
G_{af}(z) = \frac{-r^2 + 1}{z - r}, \quad 0 < r < 1
\]  
(18)

with \( r \) being the pole of the AF. The phase response of the AF with different poles \( r \) is given in Fig. 8, where it is demonstrated that the increase of the pole \( r \) results in larger lagging phase. Hence, it is possible to adjust the pole \( r \) to achieve the desired phase lag at a specific frequency. The phase of the AF can be derived from (18) as

\[
\theta_{af}(\omega) = -\omega r T + 2\arctan\frac{r\sin\omega T}{1 - r\cos\omega T}
\]  
(19)

If a phase lag \( \theta_{lag} \) is specified at the desired frequency \( \omega_{dp} \), the pole \( r \) can thus be solved as

\[
r = \frac{\tan[0.5(\theta_{lag} + \omega_{dp} T)]}{\tan[0.5(\theta_{lag} + \omega_{dp} T)] \cos \omega_{dp} T - \sin \omega_{dp} T}
\]  
(20)

It is worth mentioning that the first-order AF can provide the maximum phase of −180°. Thus, one first-order AF is able to provide sufficient phase to stabilize the GCF-controlled LCL-filtered converter, as it will be explained in the following. B. Proposed design method for the AF-based active damping

Fig. 9 shows the current control loop with an AF in the forward path for the phase reshaping. Accordingly, the open-loop transfer function of the current control can be expressed in the \( z \)-domain as

\[
G_{open}(z) = G_{af}(z) \cdot G_{af}(s) \cdot Z \{G_{af}(s) \cdot V_{af} \cdot G_{af}(s) \}
\]  
(21)

It is assumed that the PR controller does not contribute so much phase at the first crossover frequency \( f_{c1} \) [3], i.e., \( \angle G_{af}(e^{j\pi f_{c1}/T}) \approx 0 \). Then, by approximating the phase behavior of the LCL filter as a single L filter [3], [22], the phase of the open-loop system can be derived as

\[
\theta_{open}(f) = \angle G_{af}(e^{j\pi f/T}) + \angle G_{af}(e^{j\pi f/T}) + \angle G_{af}(e^{j\pi f/T})
\]  
(22)

\[
\approx \left\{ \begin{array}{ll}
-\frac{\pi}{2} - 3\pi f_{r} + \theta_{af}(f), & f < f_{res} \\
-3\pi f_{r} + \theta_{af}(f), & f > f_{res}
\end{array} \right.
\]

The phase reshaping aims to place the −180°-phase-crossing point \( f_{dp} \) between the first and the second crossover frequencies \( f_{c1} \) and \( f_{c2} \), i.e., \( f_{c1} < f_{dp} < f_{c2} \). Hence, only the phase with \( f < f_{res} \) in (22) is considered in the analysis. From (22), the desired phase of the AF at \( f_{dp} \) can be expressed as

\[
\theta_{af}(f_{dp}) = \angle G_{af}(f_{dp}) = -\pi f_{dp} + 3\pi f_{dp}
\]  
(23)

which shows that the largest required lagging phase is −0.5π when \( f_{dp} = 0 \). It means that only one first-order AF is enough for the phase reshaping, as mentioned previously. Referring to Section II, the recommended −180°-phase-crossing point \( f_{dp} \) is the mean value of \( f_{c1} \) and \( f_{c2} \) in Fig. 6. If a 50%-reduction of the LCL-filter parameters and a variation of the grid impedance from 0 to 10 mH are considered, \( f_{dp} \) can be calculated as 815 Hz. Substituting \( f_{dp} \) into (23), the phase that the AF should provide is −45° (\( \theta_{AF} = -45° \)). Finally, the pole of the AF can be calculated according to (20), which gives \( r = 0.222 \).
Subsequently, the Bode plot of the open-loop system, i.e., (21), can be obtained as shown in Fig. 10 with \( r = 0.222 \) and the parameters are given in Table I. As it can be seen in Fig. 10, the \(-180^\circ\)-phase-crossing of the open-loop system \( G_{open}(z) \) with the AF occurs at the designed frequency of \( f_{dp} = 815 \text{ Hz} \), where the gain margin (GM) is 2.66 dB and the PM at \( f_1 \) is reduced from 60° to 33.2°. This PM reduction is the major drawback of all the filter-based active damping methods. In other words, a trade-off between the PM decrease and the damping effectiveness should be made. In addition, there is no \(-180^\circ\)-phase-crossing with the gains above 0 dB in Fig. 10. Consequently, the current control system stability is guaranteed. Fig. 11 further shows the root loci in the z-plane of the overall current control system when the grid impedance and the \( LCL \)-filter parameters vary. Observations in Fig. 11(a) indicate that the control system maintains the stability when the filter capacitance decreases to 50% of the rated. This is in agreement with the discussions in Section II—the control loop keeps stable with a 50%-degradation in the filter capacitance. However, when the filter capacitance further increases to 120% or decreases to 25% of the rated, the closed-loop poles (red in Fig. 11a) will move outside the unit circle, indicating that the current control system becomes unstable even with the AF. On the other hand, Fig. 11(b) shows that although the grid impedance \( Z_{grid} \) varies between 0 mH (a very strong grid) and 10 mH (a very weak grid), the system is always stable. Thus, the proposed AF-based active damping can keep the current control loop stable in the pre-defined range. That is, it can tolerate a wide range of grid impedance variations and \( LCL \)-filter parameter drifts, being highly robust.

C. Design guideline for the proposed active damping

To summarize the above discussion, the flowchart of the proposed algorithm is shown in Fig. 12. At Step 1 and Step 2, the \( LCL \)-filter parameter and the PR controller are designed referring to [33], [34] and Eq. (4), respectively. Then, at Step 3, if the \( LCL \)-filter resonant frequency \( f_{res} \) is larger than the critical frequency \( f_{crit}(f_{crit} = f_s/6, \text{ and } f_{crit} < f_{res}) \), the current control loop is inherently stable without active damping and the current control design can be performed. Otherwise, an AF is adopted to stabilize the current control loop, as discussed above. A variation range of the grid impedance and \( LCL \)-filter parameters can be assumed to define the robustness level of the proposed active damping. Eqs. (14) - (17) are then employed to calculate the variation range of the crossover frequency and find the desired \(-180^\circ\)-phase-crossing point \( f_{dp} \) as mentioned in Section II.B. After that, the lagging phase \( \theta_{af} \) that the AF should provide at the selected frequency crossing point \( f_{dp} \) can be calculated according to (23), as Step 4. Finally, according to (20), the pole \( r \) of the AF is calculated at Step 5. It is worth pointing out that the implementation of the control bandwidth design in Step 2 will not change with the following steps. That is, the PR controller design is independent of the active damping design, which is also one of the objectives of the proposed method—to separate the design of the current controllers and active damping. Once the active damping design is finalized, it can be easily applied to other PWM converters.

IV. AF APPLICATION IN THE CCF CONTROL

It has been pointed in [21] and also this paper that using an AF is an easy way to stabilize the GCF control. However, the CCF control is practically preferred in industrial applications, as the current sensors are typically installed in the converter. Thus, it is necessary to discuss the possibility of applying the AF active damping to the CCF control. Fig. 13 shows the inner current loop of the \( LCL \)-filtered converter with the CCF
control and AF for active damping, where $G_{11}(s)$ is the transfer function of the LCL-filter from the converter output voltage $v_{con}$ to the converter side current $i_1$ as
\[
G_{11}(s) = \frac{i_1(s)}{v_{con}(s)} = \frac{L_i C_i s^2 + 1}{s^3 \left[ L_i L_C C_i s^2 + L_i + L_y \right]} \quad (24)
\]
which has an anti-resonant frequency at $f_{\text{res}} = 1/L_i C_i$ with a 180°-phase change. As shown in Fig. 13, an additional term $\omega_C V_F \cos \varphi$ is added in the current reference $i_1$ to compensate the reactive power exchange from the filter capacitor. Subsequently, the open-loop transfer function of the CCF control with an AF is given as
\[
G_{\text{open}}(z) = G_p(z) \cdot G_{\text{af}}(z) \cdot Z \cdot \left[ G_a(s) \cdot V_c - G_i(s) \right] \quad (25)
\]

According to [19], the unstable region of the GCF and CCF control can be partitioned into three sub-regions, namely GCF I $(f_s / 6 < f_c < f_s / 3)$, CCF II $(f_s / 6 < f_c < f_s / 3)$, and CCF III $(f_c > f_s / 3)$, as shown in Fig. 14. It was also revealed in [19] that introducing proper lagging phase helps to make the −180°-phase-crossing happen before the resonant frequency, and thus it can maintain the stability of the current control loop in GCF I and CCF III. On the contrary, as mentioned in [19] that the leading phase is preferred in CCF II, the AF with lagging phase is not suitable for the current control in the region of the CCF II. Thus, this paper will not discuss using an AF to stabilize the current control in the CCF II region.

For the CCF III, the active damping design is directed to the method in [19] with dual notch filters. In [19], the notch frequency is designed at the Nyquist frequency, and the notch filters only provide lagging phase with the maximum being −180°. Then, the phase of the open-loop system is pulled down to avoid the −180°-phase-crossing around the resonant frequency $f_{\text{res}}$. The NF is also required to ensure a sufficient PM at the crossover frequency $f_c$ (e.g., PM = 30°). This design is then applied to the AF-based active damping, since an AF also can provide maximum −180°-phase before the Nyquist frequency. Moreover, it is possible to manage the PM at $f_c$. Considering a capacitor of 2.5 μF for the LCL-filter (the other parameters remain), the resonant frequency will be moved to the region of CCF III ($f_c / 3 < f_{\text{res}} = 3852 \text{ Hz} < f_c / 2$). In this case, the open-loop Bode plot with an AF is given in Fig. 15, where the AF is designed to provide the phase of −26° to guarantee the PM at $f_c$ (PM = 30°). As observed in Fig. 15, the lagging phase from the AF successfully pulls down the open-loop phase, and consequently, no −180°-phase crossing occurs with the gain above 0 dB. Thus, the system is stable, and the AF is able to stabilize the CCF control system.

Furthermore, the Bode plots of $G_{\text{open}}(z)$ with various grid impedance $L_{\text{grid}}$ and filter capacitors $C_i$ are given in Fig. 16. As shown in Fig. 16, $G_{\text{open}}(z)$ has two large phase changes (180°-change). These are induced by the resonant frequency $f_{\text{res}}$ and
the anti-resonant frequency $f_{\text{res}}$. The system $G_{\text{opd}}(z)$ is originally stable if there are no such parameter variations. However, when $L_{\text{grid}}$ increases to 2 mH, the stability is lost due to that another $-180^\circ$-phase-crossing emerges in the frequency band with positive gains around the resonant frequency (see Fig. 16, the shaded area). Moreover, in the case of a 40% decrease in the filter capacitor, the third crossover frequency $f_3$ is missing (beyond the Nyquist frequency), as shown in Fig. 16, and $G_{\text{opd}}(z)$ becomes unstable. This is because $G_{\text{opd}}(z)$ has a positive gain with the phase being $-540^\circ$ at the Nyquist frequency. Considering the folding effect of digital control systems, this phenomenon is equivalent to a $-180^\circ$-phase-crossing with a positive gain [33], and the system becomes unstable. In all, compared with the GCF control, applying an AF to the CCF control gives limited tolerance of the grid impedance $L_{\text{grid}}$ and filter parameter variations.

V. EXPERIMENTAL RESULTS AND DISCUSSION

To verify the proposed AF-based active damping, a single-phase LCL-filtered converter prototype has been built, as shown in Fig. 17. The converter parameters are given in Table I. The DC capacitor is designed to limit the DC voltage ripple within 10% of its average value, and a 2200 μF capacitance is selected in the experimental setup. The converter-side inductor $L_1$ is designed according to the peak-to-peak current ripple $\Delta i_L$, being 30% of the rated current [33], resulting in $L_1 = 1.5$ mH, which is chosen as 1.8 mH due to the lab availability. The grid-side inductor $L_2$ is selected as 1.1 mH, with which the peak-to-peak current ripple will be further reduced to be below 1% of the rated current [34].

The control systems were implemented in a dSPACE DS 1006 system. The inner current control algorithm is developed according to the control schemes shown in Figs. 9 and 13, for the GCF and CCF control, respectively. To place the resonant frequency in different unstable regions, the filter capacitance is selected as 15 μF (GCF) and 2.5 μF (CCF). Experiments have been performed on the system firstly with the GCF control and the AF. Due to the filter capacitance of 15 μF, the resonant frequency calculated as $f_{\text{res}} = 1527$ Hz, where thus damping is required. According to the design procedure in Section IV, the AF should reshape the $-180^\circ$-phase-crossing of the open-loop system at 815 Hz, which allows the grid impedance variation from 0 to 10 mH and up to 50% degradation in the filter capacitance. Furthermore, according to Fig. 2, due to use of the notch filter to minimize the impact of DC ripple voltage on the current reference, the control bandwidth of the voltage control loop is below 20 Hz, which is much lower than the current loop.

![Fig. 17. Laboratory experimental prototype of a single-phase LCL-filtered converter.](Image)

![Fig. 18. Performance of the all-pass filter-based active damping in the region of GCF I: grid current $i_g$: 10 A/div, grid voltage $v_g$: 100 V/div, DC output voltage $v_{dc}$: 100 V/div, and time: 20 ms/div.](Image)

![Fig. 19. Performance of the all-pass filter-based active damping in the region of GCF I with 2-mH additional grid impedance: grid current $i_g$: 10 A/div, grid voltage $v_g$: 100 V/div, DC output voltage $v_{dc}$: 100 V/div, and time: 20 ms/div.](Image)

![Fig. 20. Performance of the all-pass filter-based active damping in the region of GCF I with a 50%-degradation of the filter capacitance $C$: grid current $i_g$: 10 A/div, grid voltage $v_g$: 100 V/div, DC output voltage $v_{dc}$: 100 V/div, and time: 20 ms/div.](Image)
Fig. 22. Performance comparison (grid current) of the LCL-filtered converter with various active damping methods: grid current $i_g$: 5 A/div and time: 20 ms/div.

Fig. 23. Performance of the all-pass filter-based active damping in the region of CCF III: grid current $i_g$: 10 A/div, grid voltage $v_g$: 100 V/div., DC output voltage $v_{dc}$: 100 V/div, and time: 20 ms/div.

gest that the proposed AF and the AF from [21] have different phase lag at the crossover frequency $f_{c1}$, but they have almost the same tracking performance. Thus, the AF lagging phase will not affect the dynamics, as the control bandwidth is kept unchanged. Moreover, the NF-based method is slightly slower than the AF-based method. This is because the NF has negative gains below the Nyquist frequency, resulting in the reduced crossover frequency $f_{c1}$ as well as lowered control bandwidth.

Following, the CCF control for the single-phase AC/DC converter system is tested according to Fig. 13. In this case, the filter capacitance is 2.5 μF and the resonant frequency of the LCL filter is $f_{res} = 3852$ Hz, which is in the region of CCF III. The AF is designed according to Section V that ensures a PM of 30° at the crossover frequency $f_{c1}$. As a result, the AF should provide a phase of $-26^\circ$ at the desired frequency $f_{c1} = 500$ Hz, and the pole of the AF is then obtained as $r = 0.1957$. Fig. 23 shows the performance of the converter system with the AF. As it can be observed in Fig. 23, the AF is able to stabilize the CCF control in the region of CCF III. As anticipated, the resonance appears when the AF is disabled. Compared with the GCF, the grid voltage $v_g$ and current $i_g$ have slight phase difference, because the reactive power compensation term $\omega C P_q \cos(\omega t)$ cannot perfectly match the real power consumed by the capacitor. Nevertheless, the above experimental results have confirmed the effectiveness of the AF-based active damping by properly rephasing the phase of the open-loop system.

VI. CONCLUSIONS

In this paper, a novel AF-based active damping method is proposed for the grid-current feedback-controlled converter with an LCL-filter. Based on the stability analysis with different resonant frequencies, a stable region of $-180^\circ$-phase crossing is identified considering a predefined range of grid impedance changes and LCL-filter parameter variations. Once the phase of the current control loop is reshaped to the identified stable region, the system stability can be ensured. Various digital filter-based active damping methods are benchmarked, which has demonstrated that the AF features a unity gain and adjustable lagging phase in the entire frequency range, being a promising solution for phase reshaping. Using an AF enables the independent design of the current controller and the AF-
based active damping. Furthermore, applying the AF to the converter-side current control is also discussed, which has confirmed that the AF is not very suitable for the region of the CCF II, since using an AF to provide leading phase may bring another −180º-phase crossing point at high frequencies. In the region of CCF III, the AF can stabilize the entire system, but with limited tolerance of the grid impedance and parameter variations. Experimental tests are provided, which have verified that the proposed AF-based active damping method can ensure system stability while maintaining fast dynamics.

REFERENCES


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