A Dual-Band Shared-Aperture Antenna with Wide-Angle Scanning Capability for Mobile System Applications

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Abstract—A dual-band shared-aperture phased array antenna with wide-angle scanning capability (WASC) is presented in this paper for mobile communications. Each shared-aperture antenna element consists of two wideband microstrip antenna units with air-cavity for the C-band and one wide beam magnetic-electro dipole antenna unit for the S-band. To achieve the wide-angle scanning, a phased array should have low inter-element mutual coupling and wide array-element beam-width. A simple metallic strip (MS), comprising four metal stubs and one rectangle shaped metal pillar, is utilized to improve the inter-element isolation of the array in and between the C- and S-bands. Furthermore, the metal strip can also broaden the beam-width of the C-band antenna units without distorting the wide-beam property of the S-band units. The shared-aperture array with a simple metallic strip is designed based on the above elements, and WASC is realized in both the C- and S-bands with low mutual coupling. To verify the proposed method, the shared-aperture array is fabricated and characterized, yielding good performance within the overall operating bandwidth. The measured results align very well with the simulated. The proposed array realizes the scanning coverage of ±60° with realized gain reduction of less than 3dB in both the C- and S-bands, which is a good candidate for the base station in the mobile environment.

Index Terms—phased array, wide-angle scanning, scanning antennas, shared-aperture antennas, mobile communication, beamforming.

I. INTRODUCTION

RECENTLY, with ever-increasing demand for wireless communication, 5G communication system has been widely applied because of large system capacity and high spectrum efficiency [1], especially in mobile communication system. The intelligent transportation system (ITS) is more and more popular in recent years, where supports various applications including autonomous vehicle operation, smart vehicular system, in-car delivery of infotainment services and safe driving assistance system [2]. Its communication methods consist of the vehicle to vehicle, base station to vehicle, base station to unmanned aerial vehicle (UAV) and vehicle to UAV [3] [4], and so on. To satisfy the communication system of ITS, various antennas [5]-[12] have been designed and applied. However, to ensure large date rate for ITS, the 5G communication system plays a pivotal role, which can improve the system capacity, transmission scope, and spectrum efficiency [13] [14]. Hence, some smart antennas come in as right candidates aimed to ensure high-speed mobile communication. Beam-steering technology is a good method to realize high-performance communication, as depicted in Fig. 1, which scans the beam to the high-speed targets and nulls to the interference, simultaneously. Therefore, the wide-angle scanning array antenna has become a hotspot, and the main topic for global researchers and scholars [15]-[22]. To realize wide-angle scanning capability (WASC) for array antennas, at present, the following methods are adopted. One is broadening the beam-width of the array element through designing the tapered slot [15], utilizing metal cavity [16], adding metal walls [17], and artificial magnetic conductor (AMC) [18], and so on. Another one is decoupling technology, where is realized by defected ground structure (DGS) [19], polarization-conversion isolator (PCS) [20], high impedance surface (HIS) [21], decoupling network [22], Metamaterials and metasurface[23], and so on[24][25]. Besides, some frequency scanning antennas [26]-[28] also realize wide-angle scanning capability, but which is different technology with the above literature.

In modern communication systems, in order to cope with the complex communication environment, multi-band system is a
shared aperture antenna element: (a) Referenced shared-aperture antenna (Ref.-SAPA); (b) the proposed antenna (Pro.-SAPA).

As the first step, it is necessary that designing suitable low and high band antenna units to satisfy the required inter-unit distance for both the low- and high-frequency bands according to the frequency ratio (1:2). As described in the Introduction, a dual-band shared-aperture antenna array with wide-angle scanning requires small inter-element distance, weak mutual coupling, and

In this work, a dual-band shared-aperture phased array antenna (SAPA) with WASC is designed and presented for 5G NR bands (2469-2690MHz) in S-band and (4400-5000GHz) in C-band in mobile communication systems. Each dual-band antenna element is composed of two wideband microstrip antenna units with air-cavity operating in the C-band and one wide beam magnetic-electro (ME) dipole antenna in the S-band. Two C-band units are placed upon two dipole plates of the S-band unit, respectively, to satisfy the array inter-element distances for the WASC in two frequency bands. A simple metallic strip is designed and applied in the array, which not only improves the port isolation in and between the C- and S-bands, but broadens the beam-width of the C-band unit without distorting the wide-beam of the S-band units. The designed shared-aperture array covers the bandwidth of 9.3% and 26.9% with high inter-element isolation of 18 dB and 20 dB in the S-band and C-band, respectively. The array can achieve a wide scanning angle of ±60° with the low gain fluctuation of 3 dB in both the bands. The array antenna design in this paper is able to achieve wide angle scanning capability in a relatively wide bandwidth and the gain fluctuation is better than most common aperture antennas in the scanning range. However, these advantages are achieved at the expense of the height of the proposed array antenna. Hence, the proposed array antenna is a good candidate for base stations of mobile communication systems.

The paper is organized as follows: In Section II, the shared-aperture antenna element and array are designed. The mechanism of the metallic strip is analyzed. The WASC of the proposed array is analyzed and the performance is demonstrated in Section III. The proposed array antenna is fabricated and measured in Section IV, where the comparison with the previously published works is also carried out. The conclusions are provided in Section V.

II. THE DESIGN PROCESSING AND PRINCIPLE ANALYSIS

A. Shared-aperture antenna element design

Fig. 2. Three-dimensional exploded view and design steps of the shared-aperture antenna element: (a) Referenced shared-aperture antenna (Ref.-SAPA); (b) the proposed antenna (Pro.-SAPA).
broad element beam width in the respective frequency bands. The proposed two antenna elements (magnetic-electro (ME)-dipole element and air-cavity microstrip element) are also realize the capability of the wide beam with compact size. Besides, in the high frequency band, a wide band antenna element is needed to cover the N79. Hence, the proposed configuration is designed and applied in the proposed system. And a good dual-band shared-aperture array with good wide-angle scanning capability is achieved, which is compared with the literature in [31][35]-[38]. As shown in Fig. 2, the three-dimensional exploded view and design steps of the proposed SAPA element are given. For the frequency bands of the application, an improved ME-dipole antenna [39] is applied as the S-band unit. And a substrate cavity microstrip antenna is designed to put the above on the plate of the ME-dipole antenna unit for the C-band unit. For the low-frequency band, it is good for wide-angle scanning capability that the proposed unit can radiate a wide beam pattern and make the structure compact, which consists of two bent metal plates, a U-shaped feed structure, and the ground plane. For the high-frequency band, a compact structure and wide-band antenna unit are needed, which should put on the limited ground plane (the plate of the ME-dipole unit) and mainly consists of three parts: a radiating patch on a thin substrate of Rogers RO4350B (with a relative dielectric constant of 3.66 and a loss tangent of 0.0027, and the thickness of 1.524mm) which is substrate 1 (Sub.1), a cavity substrate (which is the poly-tetra propylene with a relative dielectric constant of 2.2 and a loss tangent of 0.002) which is substrate 2 (Sub.2), and a metal probe. The key design parameters and stack diagram of the proposed shared-aperture antenna element are given in Fig. 2. All dimensions of the proposed antenna element, optimized by simulation, are shown in Table I. As we all know, the scanning coverage of the SAPA in two frequency bands would be larger with a smaller inter-element distance (even less than half wavelength) [40]. However, this can lead to a gain loss and strong inter-element mutual coupling. The strong coupling may distort the active VSWR when the array scans to a large angle, which limits the array scan angle. Moreover, the coupling among array elements could also affect the radiation patterns of the elements to even produce scan blindness [41]. Hence, the proposed antenna can’t just combine two compact antenna units, the mutual coupling, extending the pattern, and port isolation between high and low bands in the array should be solved. As given in Fig. 2(b), a simple but useful structure is designed to improve the above problems to ensure a good WASC in the proposed SAPA.

### Table I

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For interpreting the function of the proposed structure, the E-field distribution of two type 1-by-2 antenna arrays is given in Fig. 3. In the S-band of 2469-2690MHz, as shown in Fig. 3(a), we can find that the microstrip patches (for C-band) on the driven unit can get a strong induced E-field when port1 is excited. And the coupling of the adjacent ME-dipole unit (port4 for S-band) is relatively weak. As given in Fig. 3(b), a metallic strip is applied in the array. It consists of four metal stubs and one rectangle-shaped metal pillar. It can be induced a strong E-field and weaken the E-field coupled to the microstrip patch. Besides, as a passive scatter, it also prevents a little E-field to couple the adjacent ME-dipole units. In the C-band of 4400-5000MHz, as shown in Fig. 3(c), when port 3 is excited, the E-field can be induced on the adjacent units by the spacing coupling. In the meanwhile, some E-field could be induced between the ground plane (the plate of the ME-dipole unit) by the bending vertical plate. Hence, the mutual coupling in the proposed array is strong. In Fig. 3(d), the E-field from the driven unit is mostly blocked by the metal strip to weaken the mutual coupling between the C-band patch units. Meanwhile, the proposed metallic strip also traps the coupling between the bending vertical plates. Hence, by comparing Fig. 3(c) and (d), we can find that the coupled E-field of the matching units is weakened due to the metallic strip.

**Fig. 3.** E-field distribution of Ref.-SAPA: (a) 2.7GHz; (c) 4.9GHz; and Pro.-SAPA: (b) 2.7GHz; (d) 4.9GHz.

**Fig. 4.** The analysis of broadening the radiation pattern of high-frequency units.

The proposed structure can also improve the beam-width of the C-band array units. According to the above mentioned, the
E-field can be induced on the metallic strip by the driven unit. So the vertical current is produced on the metallic strip. As reported in Fig. 4, the patch antenna can radiate a broadside-direction pattern, but which is narrow. The metallic strip can induce the E-field from the patch antenna and radiate the pattern like the monopole. After combining the metallic strips and the patch antenna, the radiation pattern is broadened.

The simulated scattering parameters of two shared-aperture antennas are given in Fig. 5. For the Ref.-SAPA, as shown in Fig. 5(a), the impedance bandwidth (port1) of the ME-dipole unit in the S-band is from 2.55 to 2.80 GHz (9.3%). Although, the ME-dipole antenna is a wideband antenna. To reduce the interactions between S- and C-bands of the SAPA element and balance the radiation performance of the antenna, the ME-dipole antenna unit has to focus on the structure size reduction and wide-beam performance and ignores the wideband performance. The impedance bandwidth (port 2 or 3) of the substrate cavity patch unit at the C-band is from 4.40 to 6.15 GHz (33.2%). And the mutual coupling between port 2 and 3 is from -11 to -15dB in the frequency bands. The antenna unit has a wideband characteristic with a compact structure of about $\lambda/3$ (at 5.0 GHz). The port isolation in the two bands is similar, but the port isolation between two low and high bands is improved. The mutual coupling is lower than -15 and -20dB in the S-band and C-band, respectively, indicating a good decoupling performance in the shared-aperture antenna array. Besides, as given in Fig. 6(b), the radiation pattern in the high-frequency band is improved obviously, which is a wide beam. We can find that the pattern of the antenna element without MS is narrow and its 3dB-beam-width is lower than 55°. However, the MS is applied in the antenna element, it can be observed that the pattern is obviously broadened and the 3-dB-beam-width is more than 120°.

### B. Parameter study

As shown in Fig. 7, we select the height ($h_4$) and length ($S_7$) of the metal stub to analyze the function of the MS. As shown in Fig. 7(a) and (b), the S-parameters of the array are improved when the MS is added to the array. We can find that the operating bandwidth in the two bands is similar, but the port isolation between two low and high bands is improved. The mutual coupling is lower than -15 and -20dB in the S-band and C-band, respectively, indicating a good decoupling performance in the shared-aperture antenna array. The mutual coupling between the high-frequency units changes higher and higher. However, the beam-width of the high-frequency unit changes a little with the variation of $S_7$. Therefore, the beam-width and the mutual coupling in the C-band are improved by the MS, simultaneously. The simulated S-parameters of the shared-aperture antenna element with MS are given in Fig. 5(b). Compared with the S-parameters without MS in Fig. 5(a), the mutual coupling in the two frequency bands is optimized while the high-frequency radiation beam is broadened through the MS, but the wide beam characteristics in the S-band are not affected. Therefore, the proposed design meets the basic requirements of a wide-angle scanning array antenna.

### III. PERFORMANCE ANALYSIS OF SHARED-APERATURE ARRAY

#### A. SAPA with metallic strips

As the principle analysis mentioned, the metallic strip is applied to improve the above issues. As shown in Fig. 5(b), the S-parameters of the array are improved when the MS is added to the array. We can find that the operating bandwidth in the C-band with variation of $h_4$: (a) S-parameters; (b) Radiation pattern of port 3; and $S_7$: (c) S-parameters; (d) Radiation pattern of port 3.
As depicted in Fig. 8, the shared-aperture array antenna with the metallic strip is designed. Thanks to the compact element size, the array consists of a 1×8 S-band linear array with the inter-unit distance of 60mm (0.56λl at 2.8GHz) and a 1×16 C-band linear array with the inter-unit distance of 30mm (0.55λh at 5.5GHz). The metallic strip is arranged between the high-frequency units in the array. The array ground plane has dimensions of 100mm×500mm. For this array antenna, the representative elements are selected to show the detailed scattering parameters in Fig. 9. The impedance bandwidth at the C-band is from 2.55 to 2.80 GHz (9.3%), within which the mutual coupling between the adjacent units is lower than -20dB in the above bandwidth. And the port isolation between low- and high-frequency ports is better than 16dB and consistent with one in Fig. 5(b). The impedance bandwidth in the C-band is from 4.4 to 5.85 GHz (28.3%), where the port isolation between the low- and high-frequency ports is better than 18dB. Furthermore, the mutual coupling between the C-band units is lower than -20 dB. Patterns are also wide beam patterns, but it is asymmetric and the curve of the pattern has a little depression. This is because it has an asymmetric ground plane. Besides, the measured results of the patterns in the array also be given in this figure, we can find that the simulated and measured results are similar. In summary, the issues such as the mutual coupling and the port isolation of the SAPA, and the beam-width of the high-frequency unit are all solved because of the metallic strip which is a simple and effective structure. The SAPA with WASC would be realized in the proposed work, and the WASC will be analyzed and verified in the following parts.

**B. Simulated scanning performance analysis of the SAPA with metallic strip**

As described earlier, the improved SAPA has met the requirements of wide-angle scanning shared-aperture array antenna. Hence, we select some typical elements to report the scanning characteristics of the proposed array in Fig. 11 and Fig. 12. As shown in Fig. 11, the active S-parameters at 2.7 GHz with different scanning angles are given. The active \( S_{11} \) of two units is always lower than -10 dB in the bandwidth whatever the beam directs any angle in the coverage of ±60°. But the active \( S_{11} \) of LFU1 at 60° is not better than the one of LFU4. For the high-
frequency array, it is a $1 \times 16$ linear array, so the active $S_{11}$ of four units in the array is shown in Fig. 12. It is observed that the active $S_{11}$ is different with varying the scanning beam direction, but all of them are lower than -10dB in the frequency band. By analyzing the active S-parameters with varying the scanning beam direction, it is verified that the proposed SAPA with MS can achieve the WASC in two frequency bands with a large frequency ratio.

![Simulated scanning radiation patterns of SAPA with MS.](image)

By the above analysis, an ingenious structural design is presented, which realizes the shared-aperture array antenna with a frequency ratio of 2:1. However, to satisfy the WASC, a simple and effective structure is applied to improve the S-parameters of the proposed share-aperture antenna, meanwhile the wide-angle scanning impedance of the S- and C-bands is optimized, respectively. And the beam-width of the high-frequency unit in the array is extended. For verifying the proposed design method, the detailed scanning characteristics are analyzed and investigated in the following section.

IV. EXPERIMENTAL RESULTS AND DISCUSSIONS

A. Measurement setups

![The SAPA prototype and measurement process.](image)

Fig. 14. The SAPA prototype and measurement process: (a) the top view of the array; (b) the array prototype; (c) the measured process in S-band; (d) the measured process in C-band.

![Measured and simulated S-parameters of the SAPA with MS.](image)

Fig. 15. Measured and simulated S-parameters of the SAPA with MS.

The dual-band shared-aperture wide-angle scanning array

angles, but which’s variation is less than 3dB. Meanwhile, the side-lobe level of every scanning pattern is less than -10dB. For the WASC of the proposed array in the C-band, the simulated scanning performance is depicted in Fig. 13 (b), (c), and (d). The patterns in the proposed operating band can scan the coverage of ±60° with the realized gain reduction of less than 3dB. For the realized gain of the scanning beam, it is different from the one in the S-band; the maximum gain is at around -30°, not at broadside direction since the high-frequency units have an asymmetrical antenna structure. The SLL of every scanning beam is lower than -10dB in all the scanning coverage.
antenna with MS is fabricated according to the previous design requirements, and validated in the SATIMO near-field measurement system, depicted in Fig. 14. The S-band units are made of copper with a thickness of 0.3mm. And the high-frequency units are fixed on the bent plate by the metal screws. Other parts of the array are fabricated as the design requirements. For measuring the proposed shared-aperture array antenna, the equal length cables, phase shifters, and power dividers are applied to ensure good experiment results. When the scanning performance at the low-frequency band is measured, the high-frequency units connect the terminal load, and vice versa.

B. The results analysis

The measured S-parameters of the SAPA with MS are shown in Fig. 15. From the figure, the measured results are very similar to the simulated results, especially in the S-band array. Since part of the antenna fabrication process is made manually, there are some differences between the measured and simulated S-parameters in the C-band, but it does not affect the antenna performance. For S-band array, the impedance bandwidth (≤-10dB) is from 2.5 to 2.8 GHz (9.3%), and the mutual coupling between elements in the array is lower than -20dB. The isolation port between low- and high-frequency units is better than 18dB. For the high-frequency array, the impedance bandwidth (≤-10dB) is a wide frequency band (26.9%) and from 4.35 to 5.7 GHz. For most of the operating band, the mutual coupling between the elements in the high-frequency array is lower than -20dB. The port isolation in the whole bandwidth is better than 22dB.

The measured scanning performance of the proposed shared-aperture array antenna is shown in Fig. 16. To analyze the simulated and measured results and demonstrate the WASC of the proposed shared-aperture array antenna, the detailed comparison is depicted in this figure. The measured results align very well with the simulated results, especially for the main-lobe, regardless of the scanning beam in any direction. However, the SLL and the realized gain has a little difference between the measured and simulated results, since there are fabrication errors and the alignment tolerance in assembly. Besides, the excitation amplitude obtained at each port is inconsistent due to the power splitter and the cable loss. The detailed radiation performance is given in Fig. 17. For the S-band, the measured and simulated realized gain in the operating band ranges from 12.9 to 13.8 dBi and from 13.4 to 14.5 dBi, respectively. And the scanning gain at 2.7GHz is from 11.4 to 14.4 dBi and from 10.9 to 13.8 dBi with the varying scanning coverage of ±60°. For C-band, the realized gain in the operating band is from 13.2 to 15.7 dBi for measurement, and from 14.0 to 16.2 dBi for simulation, respectively. At 4.4GHz, the measured realized gain varies from 12.8 to 14.0 dBi at the scan angle from -60° to 60°, the measured SLL is lower than -7.5dB. At 4.9GHz, it varies from 14.8 to 17.7 dBi in the scanning coverage. At 5.4 GHz, the measured gain variation is from 13.4 to 16.2 dBi with varying scanning angles. The SLL of these frequencies is lower than -7.3dB in the scanning coverage. Therefore, the WASC is applied to the shared-aperture phased array antenna to achieve the excellent dual-band shared-aperture
antenna with wide-angle-scanning capability.

C. Discussion

As described in Table II, compared the main performance of the proposed shared-aperture array with that of a typical sample for other published dual-band wide-angle scanning arrays. It is observed that the proposed dual-band array can realize excellent WASC and the scanning coverage of ±60° with the gain dropping of less than 3 dB in two bands with a large frequency ratio. In particular, the gain fluctuation is very low in the scan range of ±45°. Besides, the proposed antenna has a wide band especially in the high-frequency band and better port isolation. To the authors’ best knowledge, this is the best dual-band shared-aperture array antenna with wide-angle scanning capability.

V. CONCLUSION

In this paper, a novel dual-band shared-aperture phased array antenna with WASC and frequency ratio of 2:1 is designed and applied in the mobile communication system. For the proposed scanning capability, two compact antenna units are designed, one is a wideband ME-dipole antenna unit which has the size of 48×54×24mm³, one is a wideband patch antenna unit which is put on the plate of the ME-dipole unit and has the size of 18×18×6mm³. Besides, a simple metallic strip is used in the array to improve the inter-element mutual coupling of the proposed shared-aperture array, meanwhile, the isolation ports between S- and C-band units are improved, and more importantly, the radiation pattern of the high-frequency units is extended in the array. A shared-aperture array with the metallic strip is designed and fabricated to verify the proposed method, which’s measured gain are from 12.9 to 13.8 dBi in the low-frequency band and from 13.2 to 15.7 dBi in the high-frequency band, respectively. The measured results are similar to the simulated results and show the excellent wide-angle scanning capability of the shared-aperture phased array.

REFERENCES


