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Final piece to the *Fusarium* pigmentation puzzle – Unraveling of the phenalenone biosynthetic pathway responsible for perithecial pigmentation in the *Fusarium solani* species complex

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ABSTRACT

The *Fusarium solani* species complex (FSSC) is comprised of important pathogens of plants and humans. A distinctive feature of FSSC species is perithecial pigmentation. While the dark perithecial pigments of other *Fusarium* species are derived from fusarubins synthesized by polyketide synthase 3 (PKS3), the perithecial pigments of FSSC are derived from an unknown metabolite synthesized by PKS35. Here, we confirm in FSSC species *Fusarium vanettenii* that PKS35 (*fsnI*) is required for perithecial pigment synthesis by deletion analysis and that *fsnI* is closely related to *phnA* from *Penicillium herquei*, as well as *duxI* from *Talaromyces stipentatus*, which produce prephenalenone as an early intermediate in herqueinone and duclauxin synthesis respectively. The production of prephenalenone by expression of *fsnI* in *Saccharomyces cerevisiae* indicates that it is also an early intermediate in perithecial pigment synthesis. We next identified a conserved cluster of 10 genes flanking *fsnI* in *F. vanettenii* that when expressed in *F. graminearum* led to the production of a novel corymbiferan lactone F as a likely end product of the phenalenone biosynthetic pathway in FSSC.

1. Introduction

The *Fusarium solani* species complex (FSSC) is one of the most widespread fungal groups with members causing disease in both plants and humans (Coleman, 2016; Zhang et al., 2006). More than 50 species within the FSSC have been described (O'Donnell, 2000; O'Donnell et al., 2008; Zhang et al., 2006) and new species are identified each year (Šišić et al., 2018a). FSSC members are important plant pathogens of more than 100 agricultural crops where they can cause vascular wilt or root rot (Kolattukudy and Gamble, 1995). The association of some *F. solani* strains to one or a few hosts has led to their assignment to formae speciales (Bueno et al., 2014; Chung et al., 2011; Suga et al., 2002), although this view has been challenged (Šišić et al., 2018b). Variation within the species complex is also reflected in their varied reproductive abilities as strains may be heterothallic or homothallic or produce spores asexually (O'Donnell, 2000). In contrast to the colorless asexual spores,

sexual spores and perithecia often appear reddish or orangish due to an unknown polyketide pigment (Nalim et al., 2011).

Fusarium pigmentation is due primarily to four polyketide synthase (PKS) gene clusters. Mycelial pigmentation is mediated by aurofusarin or bikaverin, which are synthesized by the PKS12 (Frandsen et al., 2006; Kim et al., 2005; Malz et al., 2005) and PKS16 gene clusters, respectively (Linnemannstons et al., 2002; Wiemann et al., 2009). Most perithecial pigmentation is mediated by fusarubins and bostrycoidins which are synthesized by the PKS3 gene cluster (Awakawa et al., 2012; Frandsen et al., 2016; Studt et al., 2012). However, in FSSC species fusarubins and bostrycoidins mediate mycelial pigmentation (Arnstein and Cook, 1947; Hardegger et al., 1964; Parisot et al., 1990; Ruelius and Gauhe, 1950) while perithecial pigmentation is mediated by an unknown chemical synthesized by the PKS35 gene cluster (Brown and Proctor, 2016; Graziani et al., 2004). The presence of PKS35 homologs in members of *Fusarium* species complexes basal to FSSC suggest the production of this

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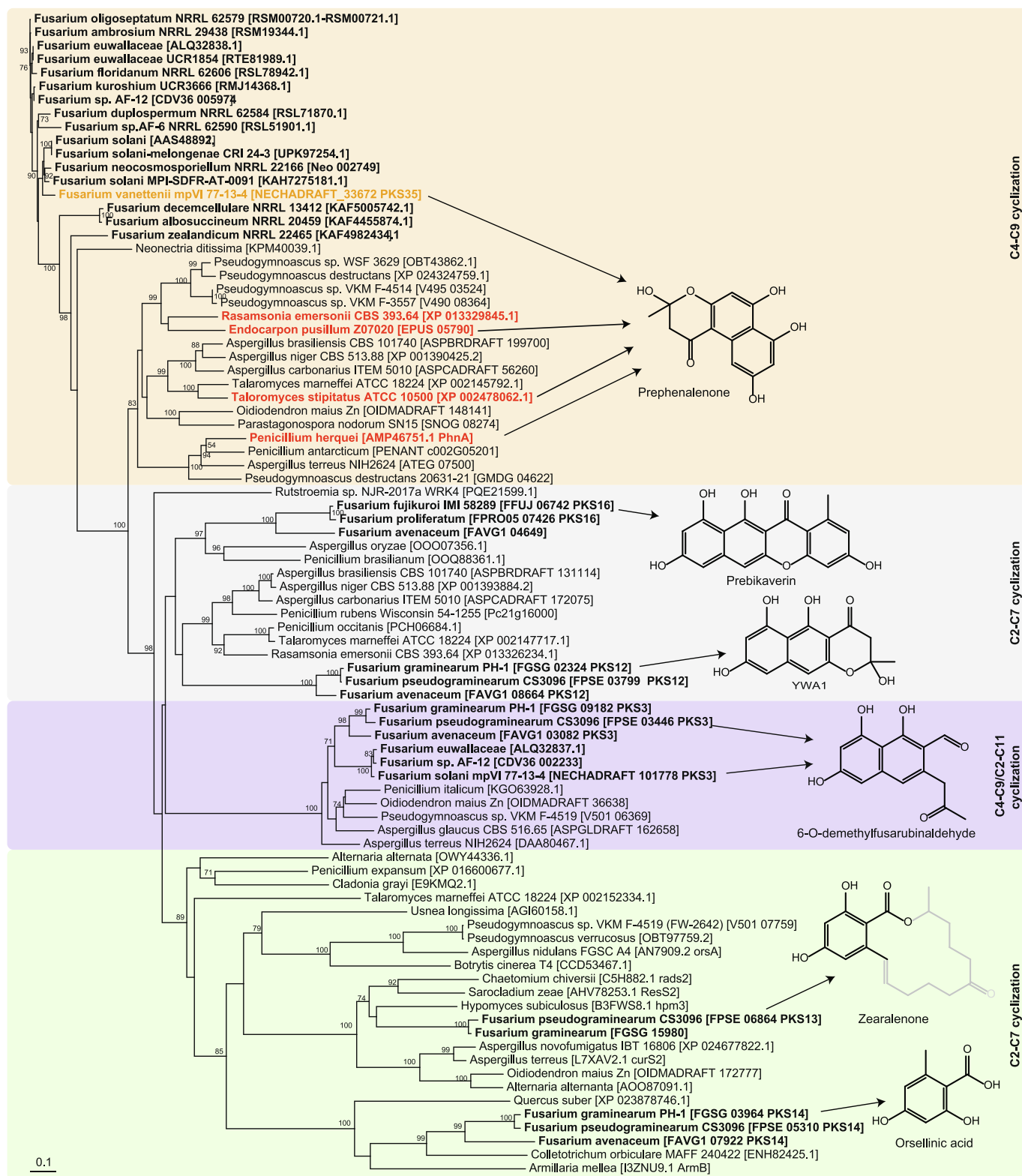


Fig. 1. Phylogenetic tree obtained by maximum likelihood analyses of PT domains from non-reducing PKSs from *Fusarium* (bold) and selected PKSs from GenBank. Initial PKS chemical products are indicated. Bootstrap support (>70) from 100 replications are included at internal nodes. The *F. vanettenii* FsnI (PKS35) PT domain is highlighted in yellow. The PKS35 gene clusters from the fungi highlighted in red, along with the *F. vanettenii* PKS35 cluster, were compared by synteny plot. The aldol condensation reaction directed by different PT domains are indicated on the right and are grouped by color.

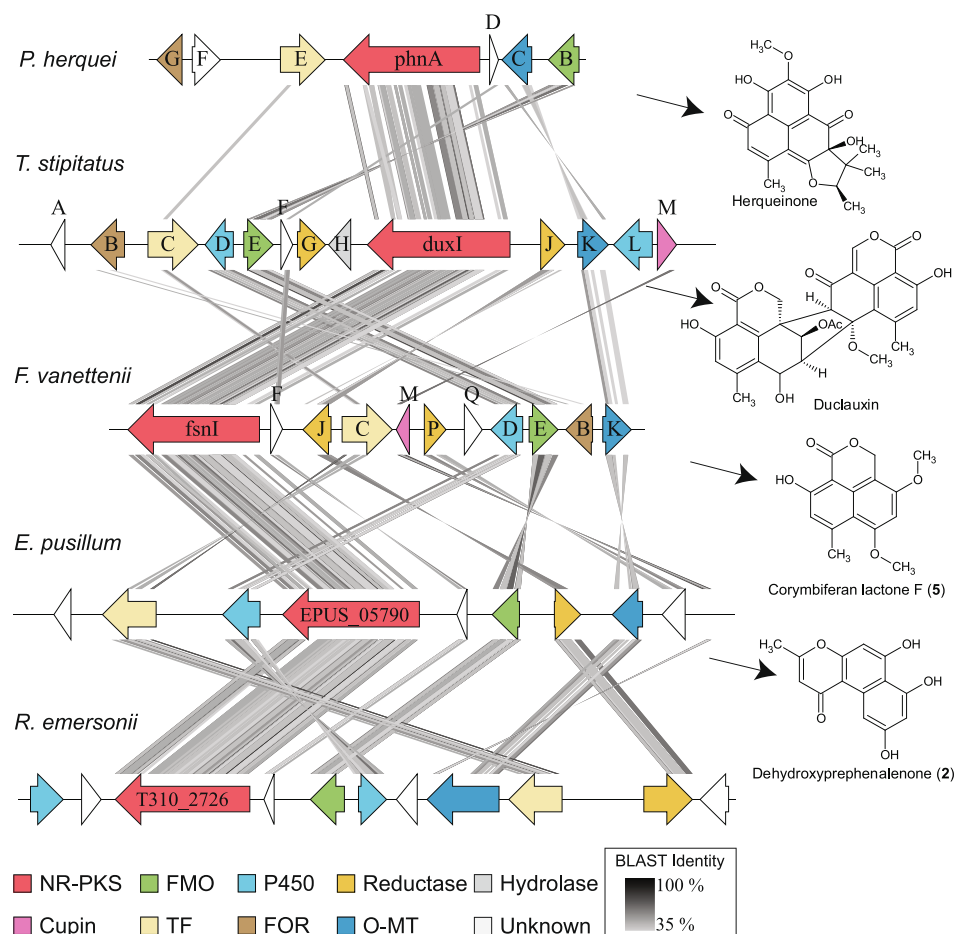


Fig. 2. Synteny plot comparison of *F. vanettenii* 77-13-4 PKS35 gene cluster to putative orthologous gene clusters from other fungi. BLAST identity is shown as grey to black bars between putative gene homologs. The chemical product of the *P. herquei* *phn* cluster is herqueinone and the chemical product of the *T. stipitatus* *dux* cluster is duclauxin. The possible product of the *F. vanettenii* PKS35 cluster is corymbiferan lactone F (this work). The chemical product of the *E. pusillum* PKS encoded by EPUS_05790 is prephenalenone. The chemical product of the *R. emersonii* PKS T310_2726 gene cluster is unknown.

pigment is the ancestral state of the genus (Brown et al., 2022).

The predicted domain architecture of pigment-producing PKSs is similar and consist of a starter unit acyltransferase (SAT), a ketosynthase (KS), acyltransferase (AT), a product template (PT) domain, two acyl carrier protein (ACP) domains and a thio-esterase / claisen cyclase (TE) domain (Graziani et al., 2004; Hansen et al., 2015; Hansen et al., 2012). Phylogenetic analysis indicate that PKS35 is a possible orthologue of PhnA from *Penicillium herquei*, which produces the heptaketide prephenalenone (Gao et al., 2016). The rare angular structure of prephenalenone is facilitated by the PKSs unique PT domain that catalyze a C4 – C9 aldol condensation reaction. The transformation of prephenalenone to phenalenone is catalyzed by the FAD-dependent monooxygenase (FMO) PhnB, which is responsible for simultaneous C2 aromatic hydroxylation and opening of the γ -pyrone ring (Gao et al., 2016).

A major challenge to determining the structure of perithecial pigments in FSSC is the difficulty in obtaining sufficient material for chemical structure studies. Perithecial development span a few weeks or more and does not yield an abundance of tissue per agar plate. One strategy to increase fungal chemical synthesis is to express a cluster specific transcription factor that then expresses the clusters biosynthetic genes. This approach successfully linked several polyketide-derived chemicals to their responsible gene clusters in *Fusarium* including bostrycoidins and fusarielins in *F. graminearum* (Sørensen et al., 2012; Frandsen et al., 2016), equisetin in *F. heterosporum* (Kakule et al., 2013) and fujikurins in *F. fujikuroi* (Von Bargen et al., 2013). A second

challenge is the lack of convenient fungal genetic tools in FSSC. This was recently overcome using yeast recombinational cloning to assemble fungal vectors and *Agrobacterium tumefaciens* to transform vectors into the fungus (Nielsen et al., 2019a; Nielsen et al., 2022). In this study, we employed the described methodologies in conjunction with both heterologous and homologous gene expression techniques to elucidate the principal chemical compound generated by the PKS35 gene cluster.

2. Material and methods

A list of all primers used in this study can be found in [Supplementary Table 1](#). A list of plasmids created and utilized in this study can be found in [Supplementary Table 2](#). The PKS35 cluster genes are listed in [Supplementary Table 3](#) together with predicted functions. All mutant strains produced in this study are listed in [Supplementary Table 4](#).

2.1. Strains and media

Fusarium vanettenii 77-13-4 (FGSC 9596, NRRL45880, synonym *Fusarium solani* f. sp. *pisi*, previously *Nectria haematococca* mp VI) and *Fusarium graminearum* PH-1 (NRRL31084) were maintained on Czapek Dox (Cz) or potato dextrose agar (PDA) with supplements (Frisvad, 2012). *F. vanettenii* transformants were maintained on media supplemented with 150 μ g/mL geneticin G418 or 120 μ g/mL hygromycin B when applicable. *F. graminearum* transformants were maintained on media supplemented with 300 μ g/mL G418. For production of

metabolites, fungal strains were cultivated on yeast extract sucrose (YES) (Sørensen and Sondergaard, 2014) or Defined Fusarium medium (DFM) (Malz et al., 2005).

Saccharomyces cerevisiae BY4743 (Euroscarf Y20000), used for plasmid assembly, was maintained on YEPD (0.3 % yeast extract, 1 % peptone, 2 % glucose, X% agar). For selection of transformants and for maintaining heterologous expression vectors, yeast synthetic drop-out medium without uracil (SC-U; Sigma Y1501), without leucine (SC-L; Sigma Y1376), or without uracil and leucine (SC-UL; Sigma Y2001, added 76 mg/L histidine and 76 mg/L tryptophane) was prepared with yeast nitrogen base without amino acids (Sigma Y0626) and 2 % glucose according to manufacturer's specifications. *Escherichia coli* DH5 α , used for yeast-plasmid recovery and propagation, was grown on solid (2 % agar) or liquid Luria-Bertani (LB, Lennox) medium supplemented with 50 μ g/mL kanamycin at 37 °C when applicable. *Agrobacterium tumefaciens* AGL-1, used for transformation of *F. vanettenii*, was grown on yeast malt agar medium (Oxoid, CM920) supplemented with 10 μ g/mL rifampicin, 100 μ g/mL streptomycin or 50 μ g/mL kanamycin when applicable.

2.2. Bioinformatic analyses

Amino acid sequences of predicted PT domains from non-reducing PKSs from *Fusarium* and closely related species were retrieved from GenBank (<http://www.ncbi.nlm.nih.gov>) essentially as described (Jørgensen et al., 2014). The sequences were aligned and analyzed in CLC Genomics Workbench (Qiagen, Hilden, Germany) using default parameters. The evolutionary history of the PT domains within and among species was then inferred using maximum likelihood analysis. Statistical support for branches were generated by bootstrap analysis with 1000 bootstraps (Severinsen et al., 2023).

To explore the breadth of the PKS35 gene cluster in *F. vanettenii*, predicted genes neighboring PKS35 (*fsnI*) (NECHADRAFT_33672) were investigated using CD-Search (<https://www.ncbi.nlm.nih.gov/Structure/cdd/cdd.shtml>).

Shared synteny of the putative *F. vanettenii* PKS35 gene cluster (*fsnIFJCMPQDEBK*) was investigated by comparing it to possible orthologous clusters from *Rasamsonia emersonii* CBS 393.64 (T310_2724-2733, PRJNA292605), *Penicillium herquei* NRRL20711 (*phnABCDEFGHI*, gene_12204-12211, (Pedersen et al., 2023)), *Talaromyces stipitatus* NRRL10500 (*duxABCDEFGHIJKL*, TSTA_083230-083350 (Gao et al., 2018)), *Endocarpon pusillum* Z07020 (EPUS_05787-05795 (Harvey et al., 2018)). Linear comparison figures of the multiple genomic loci were generated with Easyfig v. 2.1 (Sullivan et al., 2011) as described in (Nielsen et al., 2019a) (Fig. 2).

Genomic sequence flanking PKS35 homologs was retrieved from 28 publicly available *Fusarium* genomes including *F. vanettenii* 77–134 (Coleman et al., 2009), *F. solani* FSSC 5 MPI-SDFR-AT-0091 (Mesny et al., 2021), *F. ambrosium* NRRL20438, *F. floridanum* NRRL62606, *F. kuroshium* UCR3641, *F. sp.* AF-6 NRRL62590, *F. duplospermum* NRRL62584, *F. oligoseptatum* NRRL62579, *F. euwallaceae* NRRL62626 UCR1854 (J.E. Stajich et al., unpublished), *F. euwallaceae* HFE-W16_IV_019 (Ibarra-Laclette et al., 2017), *F. solani-melongenae* (Xie et al., 2022), *F. neocosmosporiellum* NRRL22166 (Kim et al., 2019), *F. neocosmosporiellum* NRRL22436, *F. cicatricum* NRRL54954 (Brown et al., 2022), *F. liriodendri* NRRL22389 (H.-S. Kim, 2022, unpublished), *F. devonianum* NRRL22134, *F. staphyleae* NRRL22316 (R. H. Proctor & H.-S. Kim, 2021, unpublished), *F. dimerum* NRRL20691, *F. penzigii* NRRL20711, *F. domesticum* NRRL29976, *F. robinianum* NRRL25729, *F. zealandicum* NRRL22465, *F. decemcellulare* NRRL13412, *F. albosuccineum* NRRL20459, *F. setosum* NRRL36526, *F. drepaniforme* NRRL62941, *F. tuaranense* NRRL46518 (H.-S. Kim et al. 2020), and *F. cyanostomum* NRRL53998 (C.E. Probyn et al. 2020, unpublished) and compared using Easyfig as above. The 28 species are members of six different species complexes including Solani (16), Staphyleae (4), Dimerum (3), Decemcellulare (3), Buxicola (1) and Ventricosum (1).

PKS35 from *Neonectria ditissima* R09/05 (Gómez-Cortecero et al., 2015) was used to illustrate the conserved region.

Phylogenetic analysis of PKS35 and PKS3 cluster transcription factor genes and selected other transcription factor genes were conducted on nucleotide sequences of predicted open reading frames (ORFs) retrieved from GenBank in MEGA-X (Tamura et al., 2021).

2.3. Heterologous expression of *F. Vanettenii* *fsnI* and *fsnE* in *Saccharomyces cerevisiae*

The *F. vanettenii* *fsnI* ORF was cloned by amplifying the six predicted exons using primers containing 15 bp tails homologous to the adjacent exon (Supplementary Table 1). The outermost primers A057 and A079 contained 30 bp tails homologous to the insertion site of the yeast expression vector pYES2 (Invitrogen). A *fsnI* expression vector was constructed using target associated recombination (TAR)-mediated cloning as previously described (Bejenari et al., 2023; Pedersen et al., 2020). The vector was co-transformed into *S. cerevisiae* with a vector containing a gene encoding a 4'-phosphopantetheinyl transferase from *F. verticillioides* (*FvPPT1*) that was codon-optimized for yeast (Pedersen et al., 2022). Yeast transformant *Sc* O-PPT O-*fsnI* was grown under galactose inducing conditions (Pedersen et al., 2020) and metabolites were extracted from pelleted cells with 5 mL ethyl acetate:dichloromethane:methanol (3:2:1) solution plus 1 % (v/v) formic acid in an ultrasonic bath for 60 min. The organic phase was evaporated under a flow of N₂ gas and the resulting material was dissolved in 800 μ L methanol. Insoluble materials were removed by filtration with a 0.45 μ m syringe filter.

A yeast codon-optimized version of *fsnE* (NECHADRAFT_76234), encoding a putative FAD-dependent monooxygenase, was synthesized by GenScript Biotech, amplified with primers E032/E033, and cloned into the pESC-LEU:PPT linearized with *Bgl*II and *Not*I. The resulting construct pESC-LEU:PPT:*fsnE* was validated by Sanger sequencing with primers E034 and E043. pESC-LEU:PPT:*fsnE* was then transformed into *S. cerevisiae* together with pYES2:*fsnI* and grown under inducing galactose conditions for five days prior to extraction.

2.4. Genetic manipulation of *Fusarium vanettenii*

Gene overexpression and gene deletion experiments were carried out as previously described (Nielsen et al., 2022). In brief, *F. vanettenii* conidia were transformed using *Agrobacterium tumefaciens* plasmids tailored to either delete a target gene by double homologous integration or overexpress a gene by ectopic integration of the transforming DNA. To create the cluster overexpression vector, the predicted cluster transcription factor *fsnC* was first amplified from *F. vanettenii* genomic DNA using primers OE_ *fsnC*_Fwd /OE_ *fsnC*_Rev and fused with the constitutive *TEF-1 α* promoter using TAR cloning, resulting in plasmid pSHUT4:*fsnC* following *Bam*HI/*Xho*I digestion of pSHUT4-eYFP (Addgene 133094). Single-colony TAR constructs were recovered and proliferated in *E. coli* before PCR screening with primers E022/E023. A. *tumefaciens* AGL-1 pSHUT4:*fsnC* was used to transform *F. vanettenii* conidia using G418 (Gibco) for selection (Nielsen et al., 2022), whereafter the resulting *Fv* OE:: *fsnC* transformants were screened by PCR using primers D096/C091 and D096/D097 testing correct recombination between T-DNA and the β -tubulin locus.

The *fsnI* deletion plasmid was prepared by amplifying genomic DNA upstream and downstream of *fsnI* using primer sets KO_ *fsnI*_UpFwd/ KO_ *fsnI*_UpRev and KO_ *fsnI*_DwFwd/ KO_ *fsnI*_DwRev, respectively. The PCR products were then assembled with the *hph* selection marker from pRF-HU2 (Frandsen et al., 2008) and the plasmid backbone of pSHUT3-32 (Nielsen et al., 2019b) creating pKO-FSNI. Validation of the correct four-fragment DNA product was carried out by PCR on plasmid isolated from single yeast colonies with primers C090/G058 and G059/C093. Finally, transformation of *F. vanettenii* wild-type and mutant *Fv* OE:: *fsnC* strains was carried out as above yielding mutant *Fv* Δ *fsnI* and double

mutant *Fv OE::fsnC ΔfsnI*. Deletion of *fsnI* was analyzed by PCR with primers G056/G057, locus *fsnI*_Fwd/G058, and G059/locus *fsnI*_Rev, and G062/G063 as a negative control. The PKS3 (*fsr1*) deletion plasmid, available from a previous study (Nielsen et al., 2022), was used to transform strain *Fv OE::fsnC* yielding the double mutant *Fv OE::fsnC Δfsr1*. Deletion *fsr1* was analyzed by PCR with primers G056/G057, locus *fsr1*_Fwd/G058, and G059/locus *fsr1*_Rev.

Following PCR validation, selected mutants were subjected to whole genome sequencing to confirm correct integration of vector DNA in targeted loci. High quality DNA for sequencing was isolated from mycelia harvested after five days growth in liquid YES media at 25 °C at 150 rpm, using the phenol–chloroform method described in (Petersen et al., 2022). Minimap2 version 2.17 (Li, 2018) was used to map the reads to *in silico* generated chromosome 2 and 10 retrieved from GenBank accensions GG698910 and GG698970 for *F. vanettenii* (Figures S2, S3, S5 and S6) (Coleman et al., 2009).

2.5. Reconstruction of the PKS35 gene cluster in *Fusarium graminearum*

To verify the findings from the ectopic overexpression analyses, the entire PKS35 gene cluster was cloned and transformed into *F. graminearum* for heterologous expression, essentially as described (Nielsen et al., 2019b); (Nielsen and Sørensen, 2022). Plasmids pS33501 and pS33502, each containing the 11 gene PKS35 cluster, were transformed into *F. graminearum* using the protoplast method as previously (Twaruschek et al., 2018). G418-resistant transformants were screened for correct integration at the β -Tubulin locus by PCR with primers flanking the target integration site FgTUB-Fw/nptII-Rv and pTAR₉-Fw/FgTUB-Rv. Two PCR positive strains were genome sequenced by DNA-sense (Aalborg, Denmark) to verify that the intact PKS35 gene cluster had been inserted into the *F. graminearum* genome.

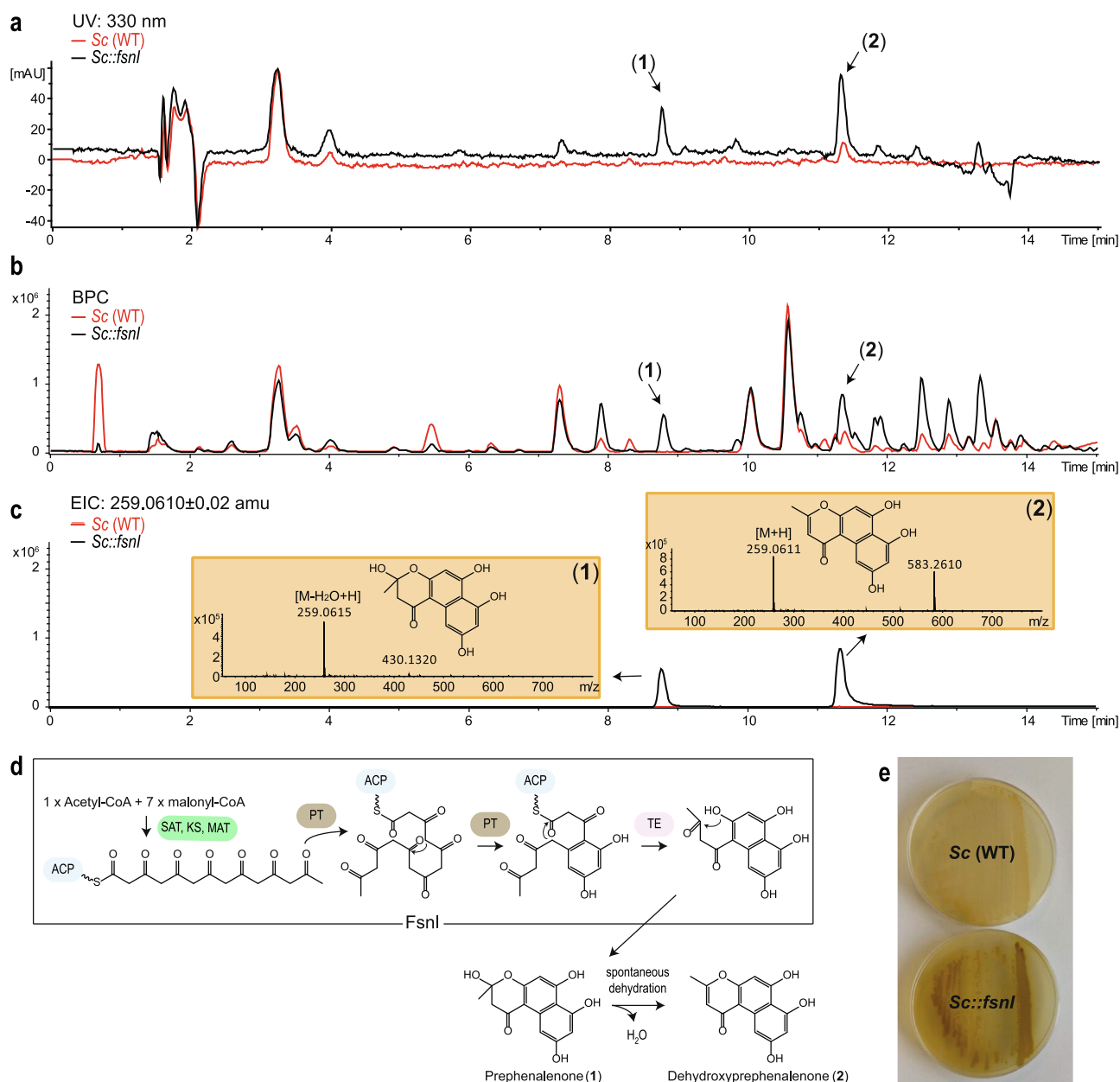


Fig. 3. HPLC-MS analysis of *S. cerevisiae* wild-type and mutant strain expressing *fsnI* and *FvPPT1*. UV chromatogram at 330 nm (A), Base peak chromatogram (B) and extracted ion chromatogram (C) at 259.0610 ± 0.02 amu. D. Proposed biosynthesis of prephenalenone (1) and formation of dehydroxyprephenalenone (2). E. Photograph of *S. cerevisiae* wild type and heterologous expression strain showing formation of pigmented colonies.

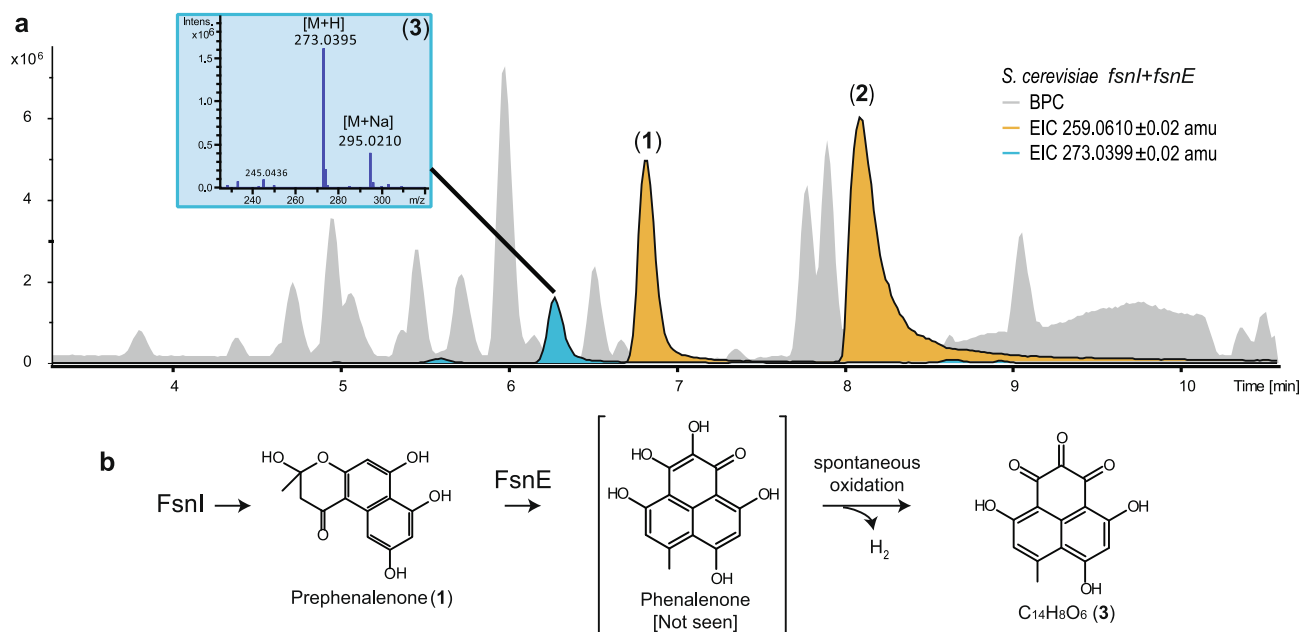


Fig. 4. HPLC-MS analysis of *S. cerevisiae* mutant strain expressing PKS *fsnI* and monooxygenase *fsnE*. The base peak chromatogram is shown in grey. An extracted ion chromatogram at 259.0610 ± 0.02 amu (orange) included two major peaks corresponding to prephenalenone (1, $[M - H_2O + H]^+$) and deoxyphenalenone (2, $[M + H]^+$). An extracted ion chromatogram at 273.0399 ± 0.02 amu (blue) ($C_{14}H_8O_6$) included a one major peak that likely corresponding to **compound 3** ($[M + H]^+_{(theoretical)} = 273.03991$ amu).

2.6. Chemical analyses

Transformants were grown as three point inoculated cultures on solid YES, PDA, YPD and Cz agar plates in triplicates for two weeks in the dark at 25 °C. Secondary metabolites were extracted from 10 plugs using the microscale extraction method with ethyl acetate:dichloromethane:methanol (3:2:1) plus 1 % (v/v) formic acid (Smedsgaard, 1997). The extracts were dissolved in 500 µL methanol and analyzed by reverse phase-high performance liquid chromatography coupled to a Bruker quadrupole time-of-flight (gTOF) high resolution mass spectrograph (RP-HPLC-HRMS), as previously described (Westphal et al., 2019; Wollenberg et al., 2017).

2.7. Isolation and structural characterization of corymbiferan lactone F

100 Cz agar plates inoculated with strain *Fv* OE::*fsnC* Δ *fsr1* were grown at 28 °C for 14 days prior to metabolite extraction. The agar media were diced using a sterile scalpel and extracted with 4 L of ethyl acetate:dichloromethane:methanol (3:2:1) plus 1 % (v/v) formic acid.

Preparative LC-MS was performed with a Waters AutoPurification System using a hexylphenyl-column (Waters XBridge Prep C6Ph OBD 19 x 250 mm, 5 µm granule size) at a flow rate of 20 mL/min. The gradient began at 60 % acetonitrile/40 % water for 1 min, then increased linearly to 99 % acetonitrile/1% water over 9 min, and remained constant for 2 min. Both water and acetonitrile (VWR, LC-MS grade) contained 0.1 % (v/v) formic acid (Sigma Aldrich, LC-MS grade). The signal of the QDa detector was used to trigger fraction collection. Fractions were dried *in vacuo* and redissolved in MeOH, centrifuged and the pellet was then dried and dissolved in DMSO- d_6 .

NMR spectra were recorded on a Bruker AVIII-600 MHz spectrometer equipped with a cryogenically cooled triple resonance 5 mm probe with z-gradients controlled by TopSpin 3.5pl6 software (Severinsen et al., 2023; Westphal et al., 2019). All spectra were acquired at 308.1 K in DMSO- d_6 (99.9 atom % D, 0.03 % (v/v) TMS, Sigma-Aldrich) and calibrated to internal TMS 1H and ^{13}C (0.0 ppm) signals. 1H NMR, $[^1H, ^{13}C]$ -HSQC and a $[^1H, ^{13}C]$ -HMBC optimized for $J_{CH} = 8$ Hz were recorded for structure elucidation. The ^{13}C chemical shifts and their

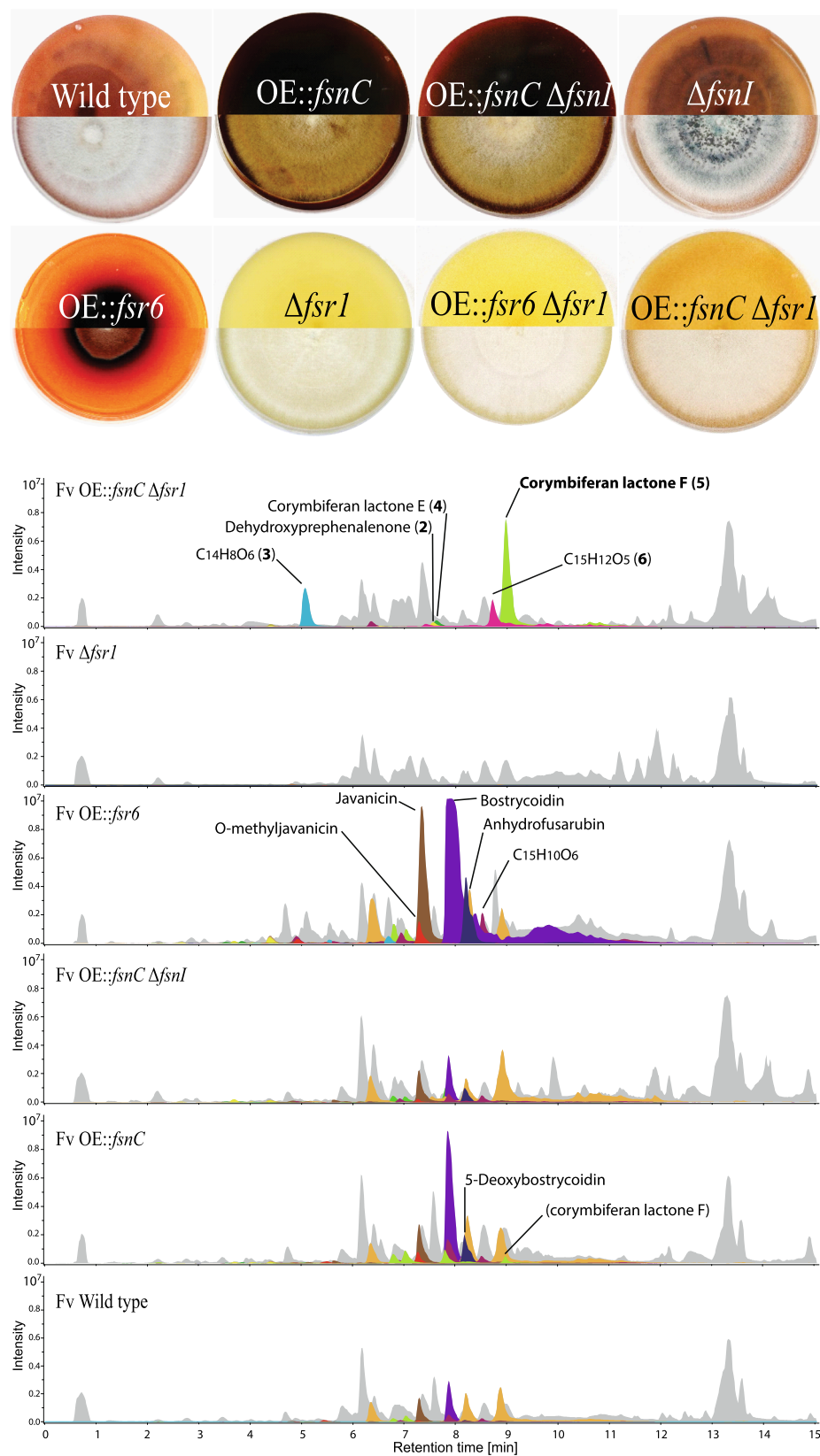
assignment were reviewed by the CSEARCH Robot Referee (Robien, 2017).

3. Results and discussion

3.1. Phylogenetic analysis of the PKS35 gene cluster

A comparative analysis of PKSs in the *Fusarium* genus showed that PKS35 homologs were present in 36 of 206 species examined which included 19 members of the FSSC, as well as members of six other species complexes (Brown et al., 2022) (Figure S1). A phylogenetic analysis was conducted of PT domains collected from representative PKS35 *Fusarium* orthologues, non-reducing PKSs from *Fusarium* and selected PKSs from GenBank to obtain structural information about the chemical product directed by *F. vanettenii* PKS35 (FsnI) predicted PT domain (e.g., linear or angular multicyclic polyketide) (Fig. 1). Consistent with previous results, the phylogenetic analysis identified FsnI as a likely orthologue of prephenalenone PKSs PhnA from *Penicillium herquei*, DuxI from *Talaromyces stipentatus* and EPUS_05790 from *E. pusillum* (Harvey et al., 2018). This cluster also contains a possible orthologue from *Aspergillus terreus* (ATEG_07500), which has not yet been linked to a product. The *Fusarium* orthologues included PKS35 genes from *F. solani* strain CBS 225.58 and PKS35 from *F. neocosmosporiellum* (NRRL22166), which when deleted resulted in albino perithecia (Graziani et al., 2004; Kim et al., 2019). The closest relative to PKS35 outside the *Fusarium* genus was identified in *Neonectria ditissima* R09/05 (KPM40039) which is a canker causing pathogen of fruit trees including apple and pear (Gómez-Cortecero et al., 2015). In another strain of *Neonectria*, five oxophenalenone pigments, neonectrolide A-E, were isolated, together with corymbiferan lactone E (Ren et al., 2015; Ren et al., 2012). It is unknown if this PKS35 ortholog and flanking genes are involved in neonectrolide synthesis in *Neonectria*.

A search of the Conserved Domain Database (CDD) at NCBI using CD-Search with nucleotide query sequences flanking *F. vanettenii* *fsnI* identified eight genes with predicted protein activities often associated with the synthesis of secondary metabolites. The eight proteins include a Zn (II)₂Cys₆ family transcription factor (*fsnC*), two alcohol dehydrogenases



(caption on next page)

Fig. 5. A. *F. vanettenii* mutants and wild-type strain cultivated on Cz agar viewed from the top and the bottom of the petri dish. Wild-type, OE-*fsnC* = overexpression of transcription factor *fsnC*, OE-*fsnC* $\Delta*fsnI* = deletion of *fsnI* in OE-*fsnC*, $\Delta*fsnI* = deletion of *fsnI*, OE-*fsr6* = overexpression of transcription factor *fsr6*, Δ *fsr1* = deletion of *fsr1*, OE-*fsr6* Δ *fsr1* = overexpression of *fsr6* in Δ *fsr1* and OE-*fsnC* Δ *fsr1* = overexpression of *fsnC* in Δ *fsr1*. B. Base peak chromatograms (grey) and extracted ion chromatograms highlighting PKS3 (*fsr1*) and PKS35 (*fsnI*)-derived polyketide metabolites produced by mutant strains of *F. vanettenii*. Overexpression of transcription factor genes *fsnC* and *fsr6* led to production of dark/black/red mycelia and agar medium due to accumulation of PKS3-derived polyketides O-methyljavanicin (red, [M + H]⁺ = 305.1025 ± 0.02 amu), Javanicin (brown, [M + H]⁺ = 291.08683 ± 0.02 amu), Bostrycoidin (purple, [M + H]⁺ = 286.0715 ± 0.02 amu), 5-deoxybostrycoidin (dark blue, [M + H]⁺ = 270.0766 ± 0.02 amu), Anhydrofusarubin (orange, [M + H]⁺ = 289.0713 ± 0.02 amu), and a molecule deconvoluted to C₁₅H₁₀O₆ (dark red, [M + H]⁺ = 287.0556 ± 0.02 amu). Loss of PKS35-derived polyketides (i.e., in deletion of *fsnI* in the *fsnC*-overexpression strain; OE-*fsnC* Δ *fsnI*) did not impact production of PKS3-derived polyketides. In contrast, deletion of the *fsr1* (PKS3, Δ *fsr1*) resulted in an albino phenotype with no detectable levels of PKS3-derived compounds. Deletion of *fsr1* in the *fsnC*-overexpression strain (OE-*fsnC* Δ *fsr1*) revealed novel masses putatively associated with the PKS35 gene cluster including: C₁₄H₁₂O₆ (**Compound 3**, blue, [M + H]⁺ = 273.0399 ± 0.02 amu), Dehydroxyphenalenone (**Compound 2**, yellow, [M + H]⁺ = 259.0606 ± 0.02 amu), Corymbiferan lactone E (**Compound 4**, dark green, [M + H]⁺ = 261.0763 ± 0.02 amu), C₁₅H₁₂O₅ (**Compound 6**, pink, [M + H]⁺ = 273.0763 ± 0.02 amu), and Corymbiferan lactone F (**Compound 5**, light green, [M + H]⁺ = 275.0920 ± 0.02 amu). MS and UV spectra of PKS35-related compounds are shown in [Supplementary Figure S7](#).$$

(*fsnJ*, *fsnP*), two monooxygenases (*fsnD*, *fsnE*), an oxidoreductase (*fsnB*), an O-methyltransferase (*fsnK*), and a cupin dioxygenase (*fsnM*). Two other predicted ORFs (*fsnF*, *fsnQ*) did not share similarity with previously characterized genes ([Supplementary Table 3](#)).

We next constructed a synteny plot comparing the prephenalenone biosynthetic gene clusters from *Penicillium herquei*, *Talaromyces stipitatus*, *Endocarpon pusillum* and *Rasamsonia emersonii* to the putative *F. vanettenii* PKS35 cluster (*fsnIFJCMQDEBK*). We found that the 10 genes adjacent to *fsnI* in *F. vanettenii* share homology with four (*P. herquei*), eight (*T. stipitatus*), seven (*E. pusillum*) and six (*R. emersonii*) genes that flank the *fsnI* homologs in these respective fungi ([Fig. 2](#)). Finally, examination of the available *Fusarium* genome sequences showed that the 11 membered PKS35 gene cluster is conserved in genomes of FSSC as well as six other *Fusarium* species complexes (([Brown et al., 2022](#); [Pokhrel and Coleman, 2023](#)); [Figure S1](#)).

3.2. Identification of the entry compound produced by *FsnI*

To identify the chemical product synthesized by *FsnI*, the predicted open reading frame was expressed in *Saccharomyces cerevisiae* under the control of an inducible GAL1 promoter (*Sc::fsnI*). After five days of cultivation under inducing conditions, the *Sc::fsnI* culture media was yellow and the subsequent extracts contained two peaks that were not present in extracts of the *S. cerevisiae* wild type ([Fig. 3](#)). The predominant ions for the two peaks in the HRMS spectrum were 259.0615 and 259.0611, which match well with prephenalenone (**compound 1**, C₁₄H₁₂O₆; [M + H-H₂O]⁺: 259.0607) and a dehydrated product dehydroxyphenalenone (**compound 2**, C₁₄H₁₀O₅; [M + H]⁺: 259.0607). Both of these chemicals were detected after expression of orthologous PKSs in yeast from *Penicillium herquei*, *Talaromyces stipitatus* and *Endocarpon pusillum* ([Gao et al., 2016](#); [Gao et al., 2018](#); [Harvey et al., 2018](#)). This suggest that the biosynthetic route for the *F. vanettenii* perithecial pigment has an identical entry compound as the herqueinone pathway in *P. herquei* and the duclauxin pathway in *T. stipitatus*.

To determine the next step in the biosynthetic pathway, we coexpressed *fsnE*, which encodes a putative monooxygenase, with *fsnI*, in *S. cerevisiae* (*Sc::fsnI* + *fsnE*). *phnB* and *dxE*, putative homologs of *fsnE*, have been shown to catalyze the formation of phenalenone from prephenalenone ([Gao et al., 2016](#); [Gao et al., 2018](#)). In addition to **1** and **2** in *Sc::fsnI* + *fsnE*, extracts contained a new peak (**3**) with a mass of 273.0395 [M + H]⁺ ([Fig. 4](#)). The molecular formula that corresponds well with this mass is C₁₄H₈O₆ (theoretical [M + H]⁺: 273.03991) which has been reported to be a spontaneous oxidation product of phenalenone ([Gao et al., 2016](#)). We did not detect a mass consistent with phenalenone in our *S. cerevisiae* expression experiments.

3.3. Activation of the PKS35 cluster transcription factor in *F. Vanettenii* leads to formation of PKS3- and PKS35-derived polyketides

To activate expression of all PKS35 cluster genes in *F. vanettenii*, we overexpressed the predicted cluster transcription factor *fsnC* using the

Table 1

NMR result; the chemical shifts and HMBC correlations of Corymbiferan lactone F shown in [Fig. 7](#).

Atom #	δ (¹³ C) [ppm]	δ (¹ H) [ppm]	ⁿ J _{CH} (δ - ¹³ C), observable HMBC cross peaks to ¹³ C atoms with a chemical shift [ppm] of
1	169.32	—	—
2	96.12	—	—
3	161.74	—	—
3-OH	—	11.83	96.12, 117.39, 161.74
4	117.39	6.78 (d, J = 0.9 Hz)	24.96, 96.12
5	146.74	—	—
6	112.00	—	—
7	153.92	—	—
8	92.88	6.77 (s)	100.57, 112.00, 153.92, 159.07
9	159.07	—	—
10	100.57	—	—
11	66.42	5.62 (s)	100.57, 131.35, 153.92, 169.32
12	131.35	—	—
13	24.96	2.76 (d, J = 0.9 Hz)	112.0, 117.39, 146.74
14	55.94	3.965 (s)	153.92
15	55.94	3.963 (s)	159.07

constitutive TEF-1 α promoter. The expression cassette was transformed into *F. vanettenii* by ATMT and yielded 6 transformants. Three transformants were positive by colony PCR for the transforming DNA of which one, referred to here after as *Fv* OE::*fsnC*, was whole genome sequenced to verify the presence of the expression cassette ([Figure S2](#)). *Fv* OE::*fsnC* was grown on agar plates and in liquid media for two weeks prior to chemical analysis of culture extracts. Over this time, the agar media developed a deep red color, which contrasted with the lighter red/pale color of wild type cultures ([Fig. 5](#)). The secondary metabolite profile revealed the presence of anhydrofusarubin, bostrycoidin and javanicin which are products derived from PKS3 (*fsr1*) as well as corymbiferan lactone F. An extract from *Fv* OE::*fsnC* grown on solid Cz media contained O-methyljavanicin and 5'-deoxybostrycoidin, which were not detected in extracts of the wild-type parent. This overproduction of *fsr1*-derived compounds by *Fv* OE::*fsnC* mirrored that of the *fsr6* overexpression strain, *Fv* OE::*fsr6* except for no corymbiferan lactone F was detected ([Nielsen et al., 2019a](#)).

We were intrigued to find that forced expression of the *fsnC* led to an increase in production of another PKS cluster chemical. We therefore deleted *fsr1* ([Figure S3](#)), which is well known for biosynthesis of fusarubins and derivatives in *F. vanettenii* and other *Fusaria* ([Nielsen et al., 2022](#)). The observations that deletion of *fsnI* did not alter the appearance of mycelium significantly and that the media color of *Fv* OE::*fsnC* was similar to the double mutant *Fv* OE::*fsnC*- Δ *fsnI*, suggest that the PKS encoded by *fsnI* is not responsible for the dark color observed after forced expression of *fsnC* ([Figure S4](#)).

To further reveal the chemicals produced by the PKS35 cluster, we deleted *fsr1* in the wildtype and *Fv* OE::*fsnC* mutant, which were validated by genome sequencing ([Figure S5 and S6](#)). Strain *Fv* Δ *fsr1*

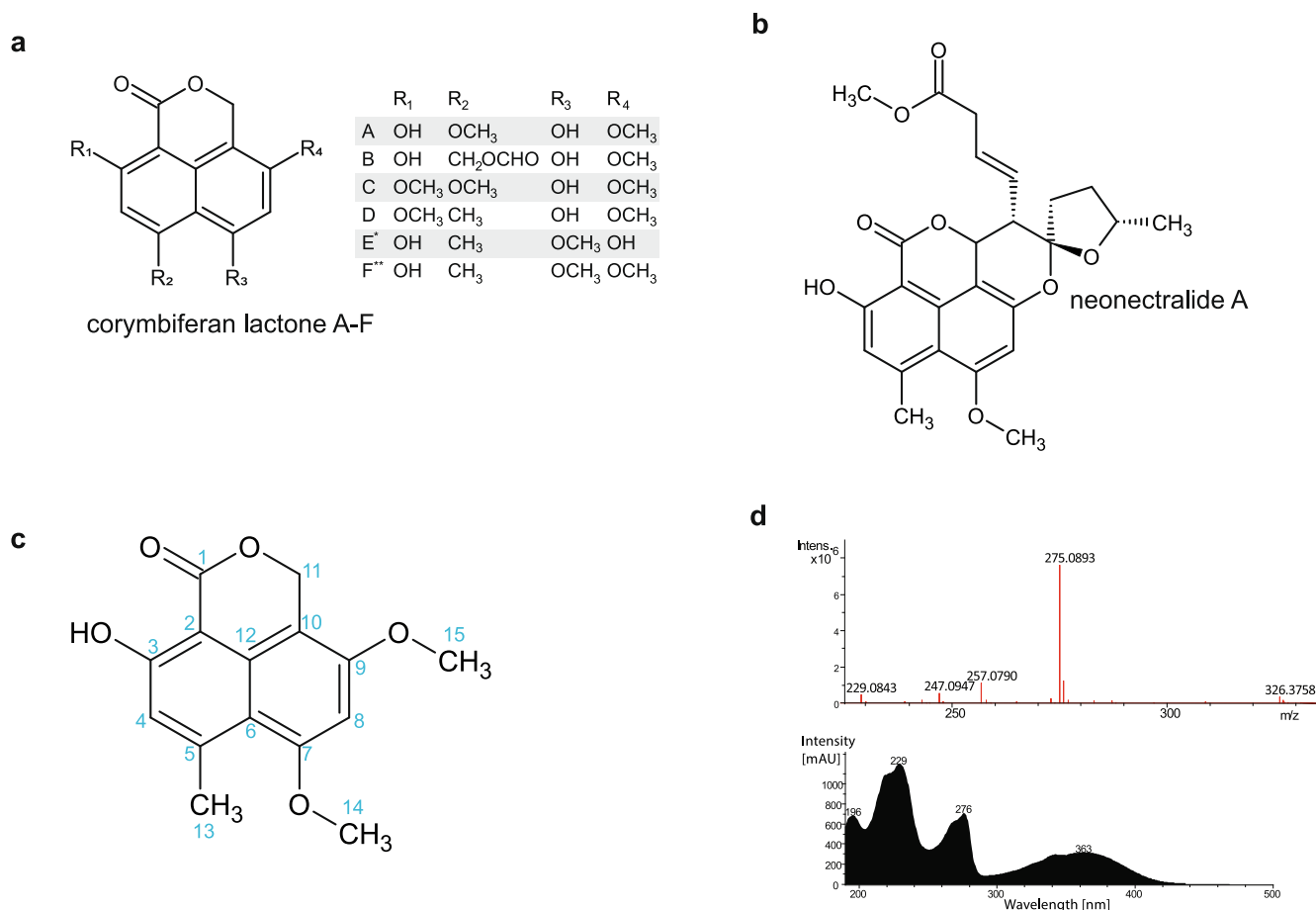


Fig. 6. Oxo-phenalenone polyketides produced by filamentous fungi. *Compound 4, **Compound 5. A) Corymbiferan lactones A-D isolated from *Penicillium hordei* [Overy 2004], corymbiferan lactone E from *Neonectria* sp. (Ren et al. 2015), corymbiferan lactone F isolated from *F. vanettenii* (this study). B) neonectralide A from *Neonectria* sp. (Ren et al. 2012). C) Chemical structure of corymbiferan lactone F shown with the atom numbering used throughout the article. D) HRMS and UV spectra of preparative LC fraction collected from *Fv* OE::*fsnC* Δ *fsr1* culture extract. The chemical shifts and HMBC correlations are given in Table 1.

produced pale or colorless mycelium while strain *Fv* OE::*fsnC* Δ *fsr1* produced yellow/orange mycelium. Analysis of extracts of *Fv* OE::*fsnC* Δ *fsr1* cultures contained compounds 2 and 3 (Fig. 5 and Figure S7), which were also observed when *fsnI* and *fsnE* were expressed in *S. cerevisiae*. The *Fv* OE::*fsnC* Δ *fsr1* extract chromatogram contained two additional peaks which are likely two different corymbiferan lactones. The first peak (compound 4) had a mass of 261.0754 [M + H]⁺ which corresponds to C₁₄H₁₂O₅ (theoretical: 261.0757 *m/z*) and is consistent with corymbiferan lactone E. This compound was originally isolated from a *Neonectria* species together with several other phenalenones (Ren et al., 2012). The second peak (compound 5) was much more abundant and had a mass of 275.0906 [M + H]⁺ which corresponded to C₁₅H₁₄O₅ (theoretical 275.0914 *m/z*). NMR analysis of purified 5 (Table 1 and Fig. 6, S8, S9, S10 and S11) led to its structural identification as a novel corymbiferan which we named corymbiferan lactone F. Corymbiferan lactone F differs from corymbiferan lactone E in that it has a methyl group at R₄.

This observed crosstalk between *PKS3* and *PKS35* could potentially occur through direct activation of the *PKS3* cluster genes by *FsnC* due to shared homology with *Fsr6*. To explore this possibility, we conducted a phylogenetic analysis of *fsnC* and *fsr6* putative homologs (Figure S12). The *fsnC* and *fsr6* homologs, including *pglR* (Frandsen et al., 2016), formed distinct clades. This suggest that the two transcription factors are not evolutionarily related and thus are not likely structurally similar and that the cross-activation by *fsnC* is not by direct induction of *fsr* gene transcription.

3.4. Heterologous expression of *PKS35* cluster in *Fusarium graminearum*

To further verify that the *PKS35* cluster is responsible for biosynthesis of the phenalenones, we inserted the entire cluster at the β -Tubulin locus in *F. graminearum*. The cluster was amplified as eight overlapping PCR fragments and assembled in *S. cerevisiae* by yeast recombinational cloning (Figure S13). Transformation of *F. graminearum* with the vector containing the 11-gene cluster resulted in nine G418-resistant transformants. Putative transformants were screened by colony PCR with primers specific to two genes located inside the gene cluster. Two PCR positive transformants, *Fg35-14* and *Fg35-24*, were chosen for whole genome sequence analyses which showed that the *PKS35* gene cluster had integrated at the β -tubulin locus (Figure S14). *Fg35-14* also contained additional insertion events elsewhere on the genome. Both transformants and the parent wild-type strain were grown on solid YES medium for two weeks prior to extraction and analysis by HPLC-HRMS (Fig. 7). Chromatograms of *Fg35-14* extracts contained peaks corresponding to prephenalenone as well as compounds 2–5, identified previously in *F. vanettenii* *fsnC* overexpression mutants, thus verifying that they are products of the *PKS35* cluster. All four compounds were also present in cultures extracts of *Fg35-24*, although at much lesser quantity, which could be due to a greater expression of the *PKS35* cluster genes due to the presence of multiple copies of the transforming DNA in *Fg35-14*. We also observed three additional peaks with similar UV spectra to compounds 2–5 but unique masses, in the chromatograms of both transformants (Fig. 7 and Figure S15). The extracted ion chromatograms of 2 and 5 resulted in

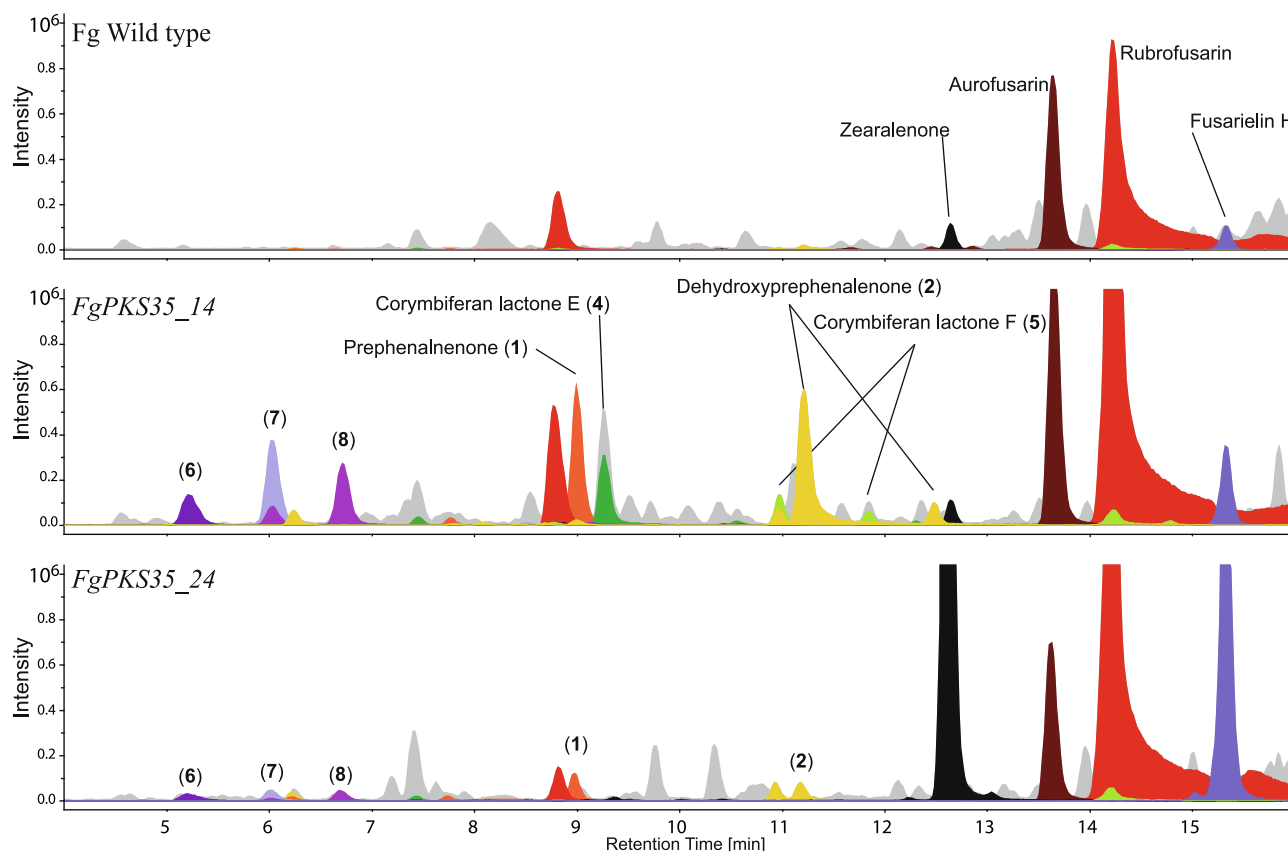


Fig. 7. Base peak chromatogram (grey) for the two *F. graminearum* strains (Fg35-14 and Fg35-24) heterologously expressing the PKS35 gene cluster and the parent wild type strain. Extracted Ion Chromatograms of known *Fusarium* metabolites present in both wild type and mutant extracts: 319.1545 $\pm$ 0.02 amu is Zearalenone $[M + H]^+$ (black), 571.0887 $\pm$ 0.02 amu is Aurofusarin $[M + H]^+$ (dark red), 273.0763 $\pm$ 0.02 amu is Rubrofusarin $[M + H]^+$ (red), and 369.2794 $\pm$ 0.02 amu is Fusarielin H $[M - H_2O + H]^+$ (blue). Both transformants were able to synthesize compounds with the same mass and UV spectra as compounds produced by the *F. vanettenii* *fsnC*-overexpression mutants: 277.0712 $\pm$ 0.02 amu is Prephenalenone (**Compound 1**) $[M + H]^+$ (orange), 261.0763 $\pm$ 0.02 amu is Corymbiferan lactone E (**Compound 4**) $[M + H]^+$ (dark green), 259.0606 $\pm$ 0.02 amu is Dehydroxyphenalenone (**Compound 2**) $[M + H]^+$ (yellow), and 275.0920 $\pm$ 0.02 amu is Corymbiferan lactone F (**Compound 5**) $[M + H]^+$ (light green). Additionally, we observed three peaks, that were not previously observed in our *S. cerevisiae* and *F. vanettenii* experiments, which were deconvoluted to the elemental formulas: C₂₂H₂₆NO₇ (**Compound 6**, dark purple), C₁₉H₂₀N₂O₇ (**Compound 7**, light purple), and C₁₉H₁₉NO₈ (**Compound 8**, Purple). Select mass and UV spectra of observed peaks are shown in [Supplementary Figure S11](#)

two additional peaks, which could originate from compounds with identical elemental composition as an isomer of 2 has previously been observed (Gao et al., 2016). The first new peak (6) had a mass of 417.1789 $[M + H]^+$ with a predicted molecular formula of C₂₂H₂₆NO₇ (theoretical 417.1782 m/z), the second new peak (7) had a mass of 389.1368 $[M + H]^+$ with a predicted molecular formula of C₂₂H₁₈N₃O₄ (theoretical 389.1370 m/z), and the third new peak (8) had a mass of 390.1214 $[M + H]^+$ with a predicted molecular formula of C₂₂H₁₇N₂O₅ (theoretical 389.1210 m/z). We were unable to generate a sufficient quantity of these materials for further structural elucidation, despite multiple attempts. It is interestingly to note that nitrogenous phenalenones have been identified in the marine fungus *Coniothyrium cereale* albeit with different molecular formulas (Elsebai et al., 2016).

3.5. Perithecial pigment biosynthesis in *F. Vanettenii*

Based on our data and biosynthetic studies in other fungi, we propose that the perithecial pigment biosynthetic pathway (Fig. 8) starts similarly to the pathways for duclauxin and herqueinone (Gao et al., 2016; Gao et al., 2018). Biosynthesis for all three materials begins with the production of prephenalenone (1), which in *F. vanettenii* can spontaneously form dehydroxyphenalenone (2). The next enzymatic step is the formation of phenalenone catalyzed by a monooxygenase, which can spontaneously form oxyphenalenone (3). In *Talaromyces stipitatus*, phenalenone undergoes four reductions catalyzed by DuxM, DuxJ, DuxD

and DuxG to yield SF226 (Gao et al., 2018). We identified putative orthologs of DuxM, DuxJ and DuxD encoded by genes adjacent to *fsnI* in *F. vanettenii* that could modify phenalenone in a similar manner. The lack of a DuxG ortholog could be compensated for by an unrelated reductase, FsnP, encoded by a gene located adjacent to the *duxM* homolog *fsnM*. In *T. stipitatus*, SF226 forms a dimer which is further modified creating the final product duclauxin (Gao et al., 2018). In our study, we identified two compounds, corymbiferan lactone E and F, which are single (E) and double (F) O-methylation products of SF226, which we propose as possible final products of the biosynthetic pathway in *F. vanettenii*. We also propose that both O-methylations, at the C-7 and C-9 oxygens, are due to the activity of FsnK, a putative O-methyltransferase. The three remaining cluster genes not assigned to a biochemical step in this model where *fsnB*, encodes a putative oxidoreductase, and *fsnF* and *fsnQ*, encode proteins of unknown function. *fsnB* is the only gene of these three to share homology with a *T. stipitatus* *dux* gene, *duxB* (Gao et al., 2018). A role in duclauxin synthesis for DuxB is unclear although a homolog in *T. stipitatus*, *duxB'*, sharing 85 % sequence identity to *duxB* and located within a closely related *dux'* cluster, did convert 2 % of a penultimate intermediate into duclauxin (Gao et al., 2018). The putative oxidoreductase encoded by *fsnB* or the proteins of unknown function encoded by *fsnF* and *fsnQ* may play a non-essential role in corymbiferan lactone synthesis or perhaps contribute to creating the final red perithecial color.

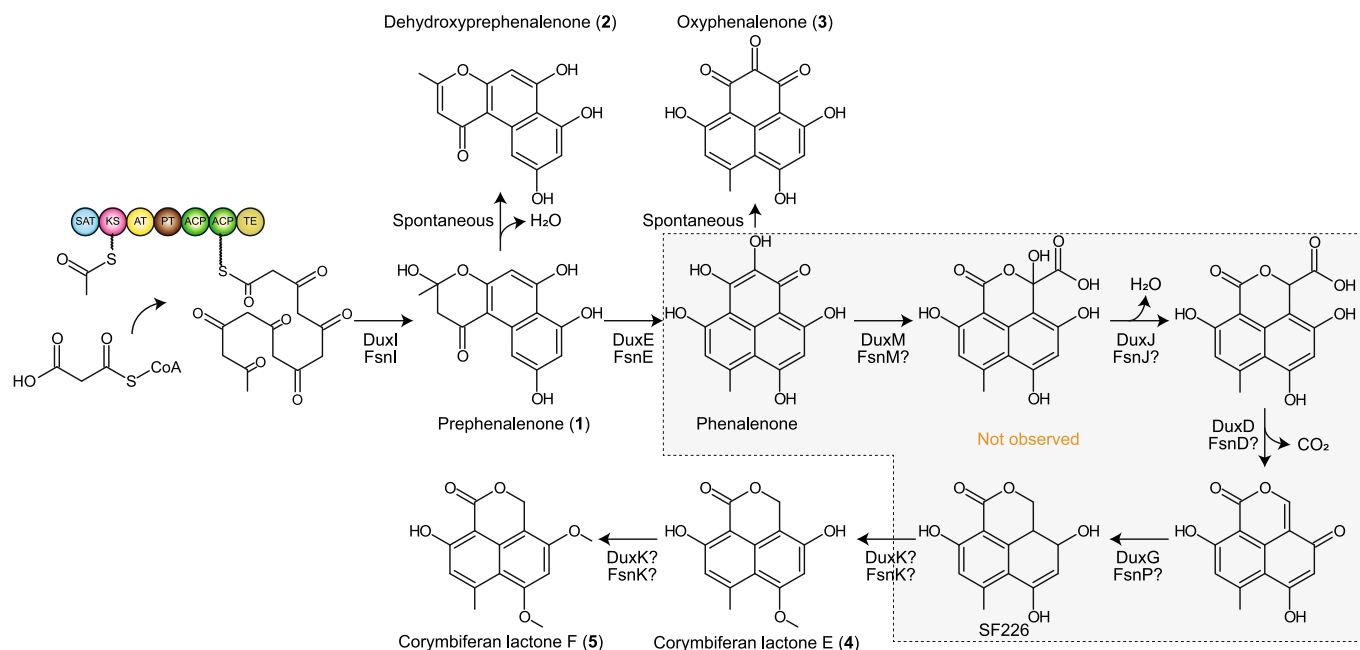


Fig. 8. Proposed biosynthesis of perithecial pigments in *F. vanettenii* based on our study and published pathways for duclauxin and herqueinone (Gao et al., 2016; Gao et al., 2018).

CRediT authorship contribution statement

Mikkel Rank Nielsen: Writing – review & editing, Writing – original draft, Methodology, Investigation, Conceptualization. **Trine Sørensen:** Writing – review & editing, Methodology, Investigation. **Tobias Bruun Pedersen:** Writing – review & editing, Investigation, Conceptualization. **Klaus Ringsborg Westphal:** Writing – review & editing, Writing – original draft, Investigation. **Lorena Díaz Fernández De Quincoces:** Writing – review & editing, Investigation. **Teis Esben Sondergaard:** Writing – review & editing, Investigation. **Reinhard Wimmer:** Writing – review & editing, Writing – original draft, Investigation. **Daren W. Brown:** Writing – review & editing, Writing – original draft, Methodology, Investigation. **Jens Laurids Sørensen:** Writing – review & editing, Writing – original draft, Supervision, Project administration, Funding acquisition, Conceptualization.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.fgb.2024.103912>.

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